

Commission Implementing Regulation (EU) No 792/2012 of 23 August 2012 laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012
of 23 August 2012

laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein⁽¹⁾, and in particular Article 19(1) thereof,

Whereas:

- (1) Provisions are required to implement Regulation (EC) No 338/97 and to ensure full compliance with the provisions of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), hereinafter 'the Convention'.
- (2) In order to ensure the uniform implementation of Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein⁽²⁾, it is necessary to lay down models to which permits, certificates and other documents foreseen in those Regulations must correspond.
- (3) At the 15th session of the Conference of the Parties to the Convention, held in Doha (Qatar) from 13 to 25 March 2010, a number of Resolutions were modified concerning, inter alia, the harmonisation in permits and certificates and amendments of source codes. It is therefore necessary to take those Resolutions into account and amend the models accordingly. Changes are also required in order to render those documents clearer for their users and national administrations.
- (4) Uniform conditions for the use of those forms therefore need to be defined through models, instructions and explanations, to be used in conjunction with Regulation (EC) No 865/2006.
- (5) Those uniform conditions should be adopted in accordance with the examination procedure provided for in Article 5 of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general

principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers⁽³⁾. It is therefore necessary that they are included in an Implementing Regulation that is distinct from Regulation (EC) No 865/2006.

- (6) Regulation (EC) No 865/2006 should therefore be amended accordingly.
- (7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora,

HAS ADOPTED THIS REGULATION:

Article 1

General provision

The design and technical specifications with regard to forms for permits, certificates and other documents provided for in Regulation (EC) No 338/97 and Regulation (EC) No 865/2006 are described in this Regulation. The design and technical specifications are specified for the following documents:

- (1) import permits;
- (2) export permits;
- (3) re-export certificates;
- (4) personal ownership certificates;
- (5) sample collection certificates;
- (6) import notifications;
- (7) travelling exhibition certificates;
- (8) continuation sheets for personal ownership certificates and for travelling exhibition certificates;
- (9) certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97, and in Articles 8(3) and 9(2)(b) thereof;
- (10) labels referred to in Article 7(4) of Regulation (EC) No 338/97.

Article 2

Forms

1 The forms on which import permits, export permits, re-export certificates, personal ownership certificates and sample collection certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex I.

2 The forms on which import notifications are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex II. They may contain a serial number.

3 The forms on which travelling exhibition certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex III.

4 The forms on which continuation sheets for personal ownership certificates and for travelling exhibition certificates are drawn up shall conform to the model set out in Annex IV.

5 The forms on which the certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97 and in Articles 8(3) and 9(2)(b) thereof and applications for such certificates are drawn up shall conform to the model set out in Annex V to this Regulation, except as regards spaces reserved for national use.

Member States may, however, provide that, instead of the pre-printed text, boxes 18 and 19 are to contain only the relevant certification or authorisation, or both.

6 The form of the labels referred to in Article 7(4) of Regulation (EC) No 338/97 shall conform to the model set out in Annex VI to this Regulation.

Article 3

Technical specifications with regard to forms

1 The paper used for the forms referred to in Article 2 shall be free of mechanical pulp and dressed for writing purposes, and shall weigh at least 55 g/m².

2 The size of the forms referred to in Article 2(1) to (5) shall be 210 x 297 mm (A4) with a maximum tolerance as to length of 18 mm less and 8 mm more.

3 The colour of the paper used for the forms referred to in Article 2(1) shall be as follows:

- a white for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- b yellow for form 2, the copy for the holder;
- c pale green for form 3, the copy for the exporting or re-exporting country in the case of an import permit, or the copy for return by customs to the issuing management authority in the case of an export permit or re-export certificate;
- d pink for form 4, the copy for the issuing management authority;
- e white for form 5, the application.

4 The colour of the paper used for the forms referred to in Article 2(2) shall be as follows:

- a white for form 1, the original;
- b yellow for form 2, the copy for the importer.

5 The colour of the paper used for the forms referred to in Article 2(3) and (5) shall be as follows:

- a yellow for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- b pink for form 2, the copy for the issuing management authority;
- c white for form 3, the application.

6 The colour of the paper used for the continuation sheets and labels referred to in Article 2(4) and (6) respectively shall be white.

7 The forms referred to in Article 2 shall be printed and completed in one of the official Union languages as specified by the management authorities of each Member State. They shall,

where necessary, contain a translation of their contents in one of the official working languages of the Convention.

8 Member States shall be responsible for the printing of the forms referred to in Article 2, which, in the case of the forms referred to in Article 2(1) to (5), may be part of a computerised permit/certificate issuing process.

Article 4

Regulation (EC) No 865/2006 is amended as follows:

- (1) Articles 2 and 3 are deleted;
- (2) Annexes I to VI are deleted.

Article 5

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 27 September 2012.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 23 August 2012.

For the Commission

The President

José Manuel BARROSO

ANNEX I

EUROPEAN UNION						
ORIGINAL	1	1. Exporter/Re-exporter	PERMIT/CERTIFICATE <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:		No	
					2. Last day of validity:	
		3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora			
			4. Country of (re)-export	5. Country of import		
	1	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority			
		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)	10. Quantity		
			11. CITES Appendix	12. EU Annex	13. Source	14. Purpose
			15. Country of origin			
			16. Permit No		17. Date of issue	
			18. Country of last re-export			
			19. Certificate No		20. Date of issue	
		21. Scientific name of species				
		22. Common name of species				
		23. Special conditions				
		This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)				
		24. The (re)-export documentation from the country of (re)-export	25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.			
		<input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction <div style="border: 1px solid black; height: 30px; width: 100%; margin-top: 5px;"></div>	Signature and official stamp: Name of issuing official: Place and date of issue:			
		26. Bill of Lading / Air Waybill Number:				
		27. For customs use only		Signature and official stamp:		
				Customs document		
		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival	Type:		
				Number:		
				Date:		

Instructions and explanations

1. Full name and address of the actual (re)-exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal

ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.

3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens ⁽⁴⁾
O	Pre-convention ⁽⁴⁾

- U Source unknown (must be justified)
14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- | | |
|---|---|
| B | Breeding in captivity or artificial propagation |
| E | Educational |
| G | Botanical gardens |
| H | Hunting trophies |
| L | Law enforcement/judicial/forensic |
| M | Medical (including bio-medical research) |
| N | Reintroduction or introduction into the wild |
| P | Personal |
| Q | Circuses and travelling exhibitions |
| S | Scientific |
| T | Commercial |
| Z | Zoos |
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

Status: This is the original version (as it was originally adopted).

EUROPEAN UNION									
COPY for the holder	2	1. Exporter/Re-exporter	PERMIT/CERTIFICATE <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:		No				
	3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora							
	4. Country of (re)-export								
	5. Country of import								
	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority							
2	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity					
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose				
		15. Country of origin							
		16. Permit No		17. Date of issue					
		18. Country of last re-export							
		19. Certificate No		20. Date of issue					
		21. Scientific name of species							
22. Common name of species									
23. Special conditions									
<p>This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)</p>									
24. The (re)-export documentation from the country of (re)-export		25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.							
<input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction <div style="border: 1px solid black; height: 30px; width: 100%; margin-top: 5px;"></div>		Signature and official stamp: Name of issuing official: Place and date of issue:							
26. Bill of Lading / Air Waybill Number:									
27. For customs purposes only		Signature and official stamp:							
<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:			
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival								

Instructions and explanations

1. Full name and address of the actual (re)-exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to

the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.

3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens ⁽⁵⁾
O	Pre-convention ⁽⁵⁾
U	Source unknown (must be justified)

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:

B	Breeding in captivity or artificial propagation
E	Educational
G	Botanical gardens
H	Hunting trophies
L	Law enforcement/judicial/forensic
M	Medical (including bio-medical research)
N	Reintroduction or introduction into the wild
P	Personal
Q	Circuses and travelling exhibitions
S	Scientific
T	Commercial
Z	Zoos

15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.


18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.

21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

23 to 25. For official use only.

26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.

27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

EUROPEAN UNION									
3	1. Exporter/Re-exporter	PERMIT/CERTIFICATE <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE -EXPORT <input type="checkbox"/> OTHER:		No 2. Last day of validity:					
	3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora							
		4. Country of (re)-export		5. Country of import					
	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority							
3	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity					
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose				
		15. Country of origin							
		16. Permit No		17. Date of issue					
		18. Country of last re-export							
		19. Certificate No		20. Date of issue					
		21. Scientific name of species							
		22. Common name of species							
		23. Special conditions							
		This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)							
24. The (re-)export documentation from the country of (re-)export		25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.							
<input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction <div style="border: 1px solid black; width: 200px; height: 20px; margin-top: 5px;"></div>		Signature and official stamp: Name of issuing official: Place and date of issue:							
26. Bill of Lading / Air Waybill Number:									
27. For customs purposes only		Signature and official stamp:							
<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:			
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival								

Instructions and explanations

1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to

the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.

3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
5. To be left blank in the case of a personal ownership certificate.
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens ⁽⁶⁾
O	Pre-convention ⁽⁶⁾
U	Source unknown (must be justified)

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:

B	Breeding in captivity or artificial propagation
E	Educational
G	Botanical gardens
H	Hunting trophies
L	Law enforcement/judicial/forensic
M	Medical (including bio-medical research)
N	Reintroduction or introduction into the wild
P	Personal
Q	Circuses and travelling exhibitions
S	Scientific
T	Commercial
Z	Zoos

15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.

18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.

21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.


23 to 25. For official use only.


26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.

27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

Status: This is the original version (as it was originally adopted).

EUROPEAN UNION

COPY for the issuing authority	4	1. Exporter/Re-exporter	PERMIT/CERTIFICATE <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:		No. 2. Last day of validity:				
		3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora						
			4. Country of (re)-export		5. Country of import				
	4	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority						
	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity					
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose				
		15. Country of origin							
		16. Permit No		17. Date of issue					
		18. Country of last re-export							
		19. Certificate No		20. Date of issue					
	21. Scientific name of species								
	22. Common name of species								
	23. Special conditions								
	<p>This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)</p>								
	24. The (re-)export documentation from the country of (re-)export		25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.						
	<input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction <div style="border: 1px solid black; width: 100%; height: 20px;"></div>		Signature and official stamp: Name of issuing official: Place and date of issue:						
	26. Bill of Lading / Air Waybill Number:								
	27. For customs purposes only		Signature and official stamp:						
	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:		
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival								

EUROPEAN UNION						
APPLICATION	5	1. Exporter/Re-exporter	PERMIT/CERTIFICATE <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:			
	3. Importer	 Convention on International Trade in Endangered Species of Wild Fauna and Flora				
		4. Country of (re)-export				
		5. Country of import				
	5	6. Location at which live specimens of Annex A species will be kept	7. Issuing Management Authority			
		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)	10. Quantity		
			11. CITES Appendix	12. EU Annex	13. Source	14. Purpose
			15. Country of origin			
			16. Permit No	17. Date of issue		
			18. Country of last re-export			
	19. Certificate No		20. Date of issue			
	21. Scientific name of species					
	22. Common name of species					
	23. I hereby apply for the permit/certificate indicated above. Remarks (e.g. on purpose of introduction, details of accommodation for live specimens, etc.) I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a permit/certificate for the above specimens was not previously rejected. Signature _____ Name of applicant _____ Place and date _____					
	Live animals will be transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)					

Instructions and explanations

1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
2. Not applicable.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.

5. To be left blank in the case of a personal ownership certificate.
6. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
8. Description must be as precise as possible and include a 3-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application for the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of application.
13. Use one of the following codes to indicate the source:
- | | |
|---|--|
| W | Specimens taken from the wild |
| R | Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood |
| D | Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| A | Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| C | Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| F | Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof |
| I | Confiscated or seized specimens ⁽⁷⁾ |
| O | Pre-convention ⁽⁷⁾ |
| U | Source unknown (must be justified) |
14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- | | |
|---|---|
| B | Breeding in captivity or artificial propagation |
| E | Educational |
| G | Botanical gardens |
| H | Hunting trophies |
| L | Law enforcement/judicial/forensic |
| M | Medical (including bio-medical research) |
| N | Reintroduction or introduction into the wild |
| P | Personal |

Q	Circuses and travelling exhibitions
S	Scientific
T	Commercial
Z	Zoos

- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
23. Provide as many details as possible and justify any omissions to the information required above.

Status: This is the original version (as it was originally adopted).

ANNEX II

EUROPEAN UNION

ORIGINAL	1	1. Importer	IMPORT NOTIFICATION	No	
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein		
		2. Member State of import	3. Date of import		
		4. Country of origin	5. Country of (re)-export		
	A	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	B	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	C	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
D	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
E	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
F	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
		13. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re)-exporting country. _____ Signature of importer or his authorised representative	14. Official stamp of border customs office:		

Instructions and explanations

1. Enter full name and address of importer or authorised representative.

4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
5. Only applies where the country from which the specimens are imported is not the country of origin.
6. Description must be as precise as possible.
9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
10. Enter III for species listed in Appendix III to CITES.
12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
13. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.

Status: This is the original version (as it was originally adopted).

EUROPEAN UNION

COPY for the importer	2	1. Importer	IMPORT NOTIFICATION	No	
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein		
		2. Member State of import	3. Date of import		
		4. Country of origin	5. Country of (re)-export		
	A	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	B	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
	C	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
			9. Scientific name of species		10. CITES Appendix
			11. Common name of species		12. EU Annex
D	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
E	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
F	6. Description of specimens (incl. source code and (re)-export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
		9. Scientific name of species		10. CITES Appendix	
		11. Common name of species		12. EU Annex	
		13. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re)-exporting country.	14. Official stamp of border customs office:		
		_____ Signature of importer or his authorised representative			

Instructions and explanations

1. Enter full name and address of importer or authorised representative.
4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.

5. Only applies where the country from which the specimens are imported is not the country of origin.
6. Description must be as precise as possible.
9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
10. Enter III for species listed in Appendix III to CITES.
12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
13. The importer has to submit the signed original (form 1) and ‘copy for the importer’ (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped ‘copy for the importer’ (form 2) to the importer or his authorised representative.

Status: This is the original version (as it was originally adopted).

ANNEX III

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Original	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration) <hr style="width: 20%; margin-left: auto; margin-right: auto;"/> Signature of owner		4. Issuing management authority	
5. Special conditions: a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form. b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority. c) This certificate is not valid unless accompanied by a continuation sheet. d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals. This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import Various	7. Purpose of the transaction Q	8. Security stamp no	
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by: <hr style="width: 80%; margin-left: auto; margin-right: auto;"/> <div style="display: flex; justify-content: space-between; width: 80%; margin-left: auto; margin-right: auto;"> Place Date Signature and official seal </div>			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

Instructions and explanations

1. A unique number should be generated by the issuing management authority for the certificate.
2. The date of expiry of the document may not be more than three years after the date of issuance. Where the travelling exhibition originates from a third country the expiry date shall be no later than that indicated on the equivalent certificate from that country.

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate. Absence of the signature of the owner renders the certificate invalid.
4. The name, address and country of the issuing management authority should already be pre-printed on the form.
5. This block has been pre-printed to indicate the validity of the certificate for multiple cross-border movements of the specimen with its exhibition for exhibition purposes only, allowing the specimens to be displayed to the public in accordance with Article 8(3) of Regulation (EC) No 338/97 and to clarify that the certificate is not to be collected but is to remain with the specimen/owner. This block also can be used to justify the omission of certain information.
6. This block has been pre-printed to indicate that cross-border movement is permitted to any country accepting this certificate as a matter of national law.
7. This block has been pre-printed with the code Q for circuses and travelling exhibitions.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of issuance of the certificate.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of issuance of the certificate.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

- C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
- F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
- U Source unknown (must be justified)
- O Pre-Convention (may be used in conjunction with any other code).

15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.

17. This block must contain the exhibition registration number.

18. Enter the date of acquisition only for specimens which were acquired in or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.

19. To be completed by the official who issues the certificate. A certificate may only be issued by the management authority of the country where an exhibition is based and only when the owner of the exhibition has registered full details of the specimen with that management authority. In the case of an exhibition originating in a third country, a certificate may only be issued by the management authority of the country of first destination. The name of the issuing official must be written in full. The seal, signature and, where appropriate, security stamp number, should be clearly legible.


20. This block may be used to refer to national legislation or additional special conditions placed on the cross-border movement by the issuing management authority.

21. This block has been pre-printed to refer to the attached continuation sheet, which should indicate all cross-border movements.

Subject to point 5, upon expiration, this document must be returned to the issuing management authority.

The holder or his authorised representative shall surrender the original of this certificate (form 1) — and, where applicable, the travelling exhibition certificate issued by a third country — for verification purposes and submit the accompanying continuation sheet or (where the certificate is issued on the basis of an equivalent certificate from a third country) the two continuation sheets and copies thereof to a customs office designated in accordance with Article 12(1) of Regulation (EC) No 338/97. The customs office shall, after completing the continuation sheet or sheets, return the original of this certificate (form 1), the original certificate issued by a third country (where applicable) — and the continuation sheet or sheets — to the holder or to his authorised representative and forward an endorsed copy of the continuation sheet of the certificate issued by Member State's management authority to the relevant management authority in accordance with Article 45 of Regulation (EC) No 865/2006.

Status: This is the original version (as it was originally adopted).

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Application	
3. Owner of specimen(s) (name, permanent address and country of registration) _____		4. Issuing Management Authority	
Signature of owner			
6. Country of import Various	7. Purpose of the transaction Q	8. Security stamp no	
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. I hereby apply for the certificate indicated above.			
Remarks		I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a certificate for the above specimens was not previously rejected.	
		_____ Signature	
		_____ Name of Applicant	
Live animals will be transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)		_____ Place and date	

Instructions and explanations

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate (not of an agent). Absence of the signature of the owner renders the certificate invalid.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down


detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of application.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of application.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
U	Source unknown (must be justified)
O	Pre-Convention (may be used in conjunction with any other code).
- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated. Where this is a third country (i.e. a non-EU country), box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
17. This block must contain the exhibition registration number.
18. Enter the date of acquisition only for specimens which were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
19. Provide as many details as possible and justify any omissions to the information required above.

Status: This is the original version (as it was originally adopted).

ANNEX IV

 <p>EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA</p>	<p>TRAVELLING-EXHIBITION CERTIFICATE PERSONAL OWNERSHIP CERTIFICATE</p> <p>CONTINUATION SHEET</p> <p>Page _____ of _____</p>
1. Original certificate No	4. Issuing Management Authority
8. Security stamp No	
3. Owner of specimen(s) (name, permanent address and country of registration)	
<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of import Date Signature Official stamp </div>	<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of (re)export Date Signature Official stamp </div>
<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of import Date Signature Official stamp </div>	<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of (re)export Date Signature Official stamp </div>
<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of import Date Signature Official stamp </div>	<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of (re)export Date Signature Official stamp </div>
<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of import Date Signature Official stamp </div>	<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of (re)export Date Signature Official stamp </div>
<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of import Date Signature Official stamp </div>	<div style="display: flex; justify-content: space-between; border-top: 1px solid black; border-bottom: 1px solid black; margin-top: 50px;"> Customs office of (re)export Date Signature Official stamp </div>

ANNEX V

EUROPEAN UNION		CERTIFICATE		No	
ORIGINAL	1	1. Holder	<p><i>Not for use outside the European Union</i></p> <input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen		
			<p>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein</p>		
		2. Authorized location for live specimens of Annex A species	3. Issuing Management Authority		
		4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)	6. Quantity	
			7. CITES Appendix	8. EU Annex	9. Source
1		10. Country of origin			
		11. Permit No	12. Date of issue		
	16. Scientific name of species	13. Member State of import			
	17. Common name of species (if available)	14. Document No	15. Date of issue		
	<p>18. It is hereby certified that the specimens described above:</p> <p>a) <input type="checkbox"/> were taken from the wild in accordance with the legislation in force in the issuing Member State</p> <p>b) <input type="checkbox"/> are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State</p> <p>c) <input type="checkbox"/> are captive born-and-bred or artificially propagated specimens</p> <p>d) <input type="checkbox"/> were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97</p> <p>e) <input type="checkbox"/> were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82</p> <p>f) <input type="checkbox"/> were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES</p> <p>g) <input type="checkbox"/> were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory</p>				
	<p>19. This document is issued for the purpose of :</p> <p>a) <input type="checkbox"/> confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question</p> <p>b) <input type="checkbox"/> exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97</p> <p>c) <input type="checkbox"/> exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97</p> <p>d) <input type="checkbox"/> using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes</p> <p>e) <input type="checkbox"/> authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in any certificate</p>				
	Certificate valid only for holder named in box 1		Yes <input type="checkbox"/>	No <input type="checkbox"/>	
	20. Special conditions				
	Name of issuing official		Place and date	Signature and stamp	

Instructions and explanations

1. Full name and address of the holder of the certificate, not of an agent.
2. Only to be completed in case the import permit for the specimens concerned prescribes the location at which they are to be kept, or where specimens that were taken from the wild in a Member State shall be required to be kept at an authorised address.

Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, from the location indicated shall then be subject to prior authorisation from the competent management authority (see box 19).

4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the certificate.
8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the certificate.
9. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens ⁽⁸⁾
O	Pre-convention ⁽⁸⁾
U	Source unknown (must be justified)
- 10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

EUROPEAN UNION		EUROPEAN UNION					
COPY for the issuing authority	2	1. Holder	CERTIFICATE <i>Not for use outside the European Union</i> <input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 939/97 on the protection of species of wild fauna and flora by regulating trade therein	No	3	1. Applicant	
		2. Authorised location for live specimens of Annex A species	3. Issuing Management Authority			2. Authorised location for live specimens	
		4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)	6. Quantity			4. Description of specimens (animals)
			7. CITES Appendix	8. EU Annex	9. Source		
			10. Country of origin			3	
2		11. Permit No	12. Permit No			16. Scientific name of species	
		16. Scientific name of species	13. Member State of import			17. Common name of species	
		17. Common name of species (if available)	14. Document No	15. Date of issue		18. I hereby certify that the a) <input type="checkbox"/> were taken from the wild in accordance with the legislation in force in the issuing Member State b) <input type="checkbox"/> are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) <input type="checkbox"/> are captive born-and-bred or artificially propagated specimens d) <input type="checkbox"/> were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97. e) <input type="checkbox"/> were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82. f) <input type="checkbox"/> were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) <input type="checkbox"/> were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory	
		18. It is hereby certified that the specimens described above:				19. I request a document for a) <input type="checkbox"/> confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question b) <input type="checkbox"/> exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) <input type="checkbox"/> exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) <input type="checkbox"/> using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) <input type="checkbox"/> authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in any certificate	
		19. This document is issued for the purpose of :				20. Remarks	
		Certificate valid only for holder named in box 1		Yes <input type="checkbox"/>	No <input type="checkbox"/>		
		20. Special conditions					
		Name of issuing official	Place and date	Signature and stamp		Name of applicant	


Instructions and explanations

1. Full name and address of the applicant for the certificate, not of an agent.
2. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed

rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application.
8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date application.
9. Use one of the following codes to indicate the source:
- | | |
|---|--|
| W | Specimens taken from the wild |
| R | Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood |
| D | Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| A | Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| C | Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| F | Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof |
| I | Confiscated or seized specimens ⁽⁹⁾ |
| O | Pre-convention ⁽⁹⁾ |
| U | Source unknown (must be justified) |
- 10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
18. Provide as many details as possible and justify any omissions to the information required above.

ANNEX VI

	Convention on International Trade in Endangered Species of Wild Fauna and Flora
	Article VII(6)
	SCIENTIFIC MATERIAL
1. Contents:	
2. From (full name and address):	
3. Registration No:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
4. To (full name and address):	
5. Registration No:	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Label No:	
This part to be returned to the management authority immediately after use	
Registration No of sender	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Registration No of recipient	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Contents:	
Label No:	

Status: This is the original version (as it was originally adopted).

- (1) [OJ L 61, 3.3.1997, p. 1.](#)
- (2) [OJ L 166, 19.6.2006, p. 1.](#)
- (3) [OJ L 55, 28.2.2011, p. 13.](#)
- (4) To be used only in conjunction with another source code.
- (5) To be used only in conjunction with another source code.
- (6) To be used only in conjunction with another source code.
- (7) To be used only in conjunction with another source code.
- (8) To be used only in conjunction with another source code.
- (9) To be used only in conjunction with another source code.