


Commission Implementing Regulation (EU) No 792/2012 of 23 August 2012 laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

ANNEX III

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Original	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration) <hr style="width: 50%; margin-left: auto; margin-right: auto;"/> Signature of owner		4. Issuing management authority	
5. Special conditions: a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form. b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority. c) This certificate is not valid unless accompanied by a continuation sheet. d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals. This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import Various		7. Purpose of the transaction Q	8. Security stamp no
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by: <hr style="width: 100%;"/> <div style="display: flex; justify-content: space-between;"> Place Date Signature and official seal </div>			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

F1F2F3

Textual Amendments

- F1** Words “European Union” in Annex 3 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(7\)\(a\)\(i\)](#)

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

- F2** Words “GB Annex” substituted for words “EU Annex” in Annex 3 form, box number 13 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(a)(ii)**
- F3** Words “Great Britain” substituted for words “a Member State of the Union” in Annex 3 form, box number 18 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(a)(iii)**

Instructions and explanations

1. A unique number should be generated by the issuing management authority for the certificate.
2. The date of expiry of the document may not be more than three years after the date of issuance. Where the travelling exhibition originates from [^{F4}outside Great Britain] the expiry date shall be no later than that indicated on the equivalent certificate from that country.

Textual Amendments

- F4** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(i)**

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate. Absence of the signature of the owner renders the certificate invalid.
4. The name, address and country of the issuing management authority should already be pre-printed on the form.
5. This block has been pre-printed to indicate the validity of the certificate for multiple cross-border movements of the specimen with its exhibition for exhibition purposes only, allowing the specimens to be displayed to the public in accordance with Article 8(3) of Regulation (EC) No 338/97 and to clarify that the certificate is not to be collected but is to remain with the specimen/owner. This block also can be used to justify the omission of certain information.
6. This block has been pre-printed to indicate that cross-border movement is permitted to any country accepting this certificate as a matter of national law.
7. This block has been pre-printed with the code Q for circuses and travelling exhibitions.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state ‘see attached inventory’.

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of issuance of the certificate.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of issuance of the certificate.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, [^{F5}a Member State] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 [^{F6}(pre-exit)] became applicable to them [^{F7}, were acquired in, or were introduced into, the United Kingdom before IP completion day] and the code O is also used.

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
U	Source unknown (must be justified)
O	Pre-Convention (may be used in conjunction with any other code).
[^{F8} X	Specimens taken in the marine environment not under the jurisdiction of any State]

Textual Amendments

- F8** Inserted by [Commission Implementing Regulation \(EU\) 2015/57 of 15 January 2015 amending Implementing Regulation \(EU\) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and in Commission Regulation \(EC\) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation \(EC\) No 338/97.](#)

Textual Amendments

- F5** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(7\)\(b\)\(ii\)\(aa\)](#)
- F6** Word in [Annex 3](#) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(7\)\(b\)\(ii\)\(bb\)](#)
- F7** Words in [Annex 3](#) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(7\)\(b\)\(ii\)\(cc\)](#)

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is [^{F9}outside Great Britain], box 16 must contain details of the relevant permit. ^{F10} ...

Textual Amendments

- F9** Words in Annex 3 substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(iii)(aa)**
- F10** Words in Annex 3 omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(iii)(bb)**

17. This block must contain the exhibition registration number.
18. Enter the date of acquisition only for specimens which were acquired in or were introduced into, [^{F11}a Member State] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 [^{F12}(pre-exit)] applied to them [^{F13}and were acquired in, or were introduced into, the United Kingdom before IP completion day].

Textual Amendments

- F11** Words in Annex 3 substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(iv)(aa)**
- F12** Word in Annex 3 inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(iv)(bb)**
- F13** Words in Annex 3 inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(iv)(cc)**

19. To be completed by the official who issues the certificate. A certificate may only be issued by the management authority of the country where an exhibition is based and only when the owner of the exhibition has registered full details of the specimen with that management authority. In the case of an exhibition originating [^{F14}outside Great Britain], a certificate may only be issued by the management authority of the country of first destination. The name of the issuing official must be written in full. The seal, signature and, where appropriate, security stamp number, should be clearly legible.

Textual Amendments

- F14** Words in Annex 3 substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(v)**

20. This block may be used to refer to national legislation or additional special conditions placed on the cross-border movement by the issuing management authority.
21. This block has been pre-printed to refer to the attached continuation sheet, which should indicate all cross-border movements.

Subject to point 5, upon expiration, this document must be returned to the issuing management authority.


Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

The holder or his authorised representative shall surrender the original of this certificate (form 1) — and, where applicable, the travelling exhibition certificate issued [^{F15}outside Great Britain] — for verification purposes and submit the accompanying continuation sheet or (where the certificate is issued on the basis of an equivalent certificate [^{F15}issued outside Great Britain]) the two continuation sheets and copies thereof to a customs office designated in accordance with Article 12(1) of Regulation (EC) No 338/97. The customs office shall, after completing the continuation sheet or sheets, return the original of this certificate (form 1), the original certificate issued [^{F16}outside Great Britain] (where applicable) — and the continuation sheet or sheets — to the holder or to his authorised representative and forward an endorsed copy of the continuation sheet of the certificate issued by [^{F16}Great Britain's] management authority to the relevant management authority in accordance with Article 45 of Regulation (EC) No 865/2006.

Textual Amendments


- F15** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(vi)(aa)**
- F16** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(vi)(bb)**

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Copy for the Issuing Authority	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration) <hr style="width: 50%; margin-left: auto; margin-right: auto;"/> Signature of owner		4. Issuing Management Authority	
5. Special conditions: a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form. b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority. c) This certificate is not valid unless accompanied by a continuation sheet. d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals. This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import Various	7. Purpose of the transaction Q	8. Security stamp no	
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by: <hr style="width: 100%;"/> <div style="display: flex; justify-content: space-between;"> Place Date Signature and official seal </div>			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

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Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

 EUROPEAN UNION CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA		TRAVELLING-EXHIBITION CERTIFICATE	
		Application	
3. Owner of specimen(s) (name, permanent address and country of registration) _____ Signature of owner		4. Issuing Management Authority	
6. Country of import Various	7. Purpose of the transaction Q	8. Security stamp no	
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. I hereby apply for the certificate indicated above.			
Remarks		I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a certificate for the above specimens was not previously rejected.	
		_____ Signature	
		_____ Name of Applicant	
Live animals will be transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)		_____ Place and date	

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Instructions and explanations

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate (not of an agent). Absence of the signature of the owner renders the certificate invalid.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of application.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of application.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, [^{F17}Great Britain] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
U	Source unknown (must be justified)
O	Pre-Convention (may be used in conjunction with any other code) ^{F18} ...
[^{F8} X	Specimens taken in the marine environment not under the jurisdiction of any State]

Textual Amendments

- F18** Deleted by [Commission Implementing Regulation \(EU\) 2015/57 of 15 January 2015 amending Implementing Regulation \(EU\) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and in Commission Regulation \(EC\) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation \(EC\) No 338/97.](#)

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III. (See end of Document for details)

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Textual Amendments

F17 Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(c)(i)**

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated. Where this is [^{F19}outside Great Britain], box 16 must contain details of the relevant permit. ^{F20} ...

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Textual Amendments

F19 Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(c)(ii)(aa)**

F20 Words in [Annex 3](#) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(c)(ii)(bb)**

17. This block must contain the exhibition registration number.
18. Enter the date of acquisition only for specimens which were acquired in, or were introduced into, [^{F21}Great Britain] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.

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Textual Amendments

F21 Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(c)(iii)**

19. Provide as many details as possible and justify any omissions to the information required above.

Changes to legislation:

There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX III.