

Commission Implementing Regulation (EU) No 792/2012 of 23 August 2012 laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

ANNEX V

EUROPEAN UNION

ORIGINAL	1	1. Holder	CERTIFICATE Not for use outside the European Union			No
			<input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen			
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein			
		2. Authorized location for live specimens of Annex A species	3. Issuing Management Authority			
	4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)		6. Quantity		
		7. CITES Appendix	8. EU Annex	9. Source		
		10. Country of origin				
1		11. Permit No		12. Date of issue		
	16. Scientific name of species		13. Member State of import			
	17. Common name of species (if available)		14. Document No	15. Date of issue		
	18. It is hereby certified that the specimens described above: <ul style="list-style-type: none"> a) <input type="checkbox"/> were taken from the wild in accordance with the legislation in force in the issuing Member State b) <input type="checkbox"/> are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) <input type="checkbox"/> are captive born-and-bred or artificially propagated specimens d) <input type="checkbox"/> were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97 e) <input type="checkbox"/> were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82 f) <input type="checkbox"/> were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) <input type="checkbox"/> were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory 					
	19. This document is issued for the purpose of : <ul style="list-style-type: none"> a) <input type="checkbox"/> confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question b) <input type="checkbox"/> exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) <input type="checkbox"/> exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) <input type="checkbox"/> using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) <input type="checkbox"/> authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in an export certificate 					
	Certificate valid only for holder named in box 1			Yes <input type="checkbox"/>	No <input type="checkbox"/>	
	20. Special conditions					
	Name of issuing official		Place and date		Signature and stamp	

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Textual Amendments

- F1** Words “European Union” in Annex 5 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), 9(9)(a)(i)

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

- F2** Words “Great Britain” substituted for words “the European Union” in Annex 5 form (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(ii)**
- F3** Words “GB Annex” substituted for words “EU Annex” in Annex 5 form, box number 8 (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(iii)**
- F4** Word “Country” substituted for words “Member State” in Annex 5 form, box number 13 (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(iv)**
- F5** Words “United Kingdom” substituted for words “issuing Member State” in Annex 5 form, box number 18, tick boxes a) and b) (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(aa)**
- F6** Words “a member State” substituted for words “the Union” in Annex 5 form, box number 18, tick box d) (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(bb)**
- F7** Words “as it had effect immediately before IP completion day” in Annex 5 form, box number 18, tick box d) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(bb)**
- F8** Words “a member State” substituted for words “the Union” in Annex 5 form, box number 18, tick box e) (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(cc)**
- F9** Words “a member State” substituted for words “the Union” in Annex 5 form, box number 18, tick box f) (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(dd)**
- F10** Words “United Kingdom” substituted for words “issuing Member State” in Annex 5 form, tick box g) (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(ee)**
- F11** Words “(as it had effect immediately before IP completion day)” in Annex 5 form, tick box g) inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(v)(ee)**
- F12** Words “Great Britain” substituted for words “the Union” in Annex 5 form box 19 tick box e) (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(a)(vi)**

Instructions and explanations

1. Full name and address of the holder of the certificate, not of an agent.
2. Only to be completed in case the import permit for the specimens concerned prescribes the location at which they are to be kept, or where specimens that were taken from the wild in [^{F13}Great Britain] shall be required to be kept at an authorised address.

Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, from the location indicated shall then be subject to prior authorisation from the competent management authority (see box 19).

Textual Amendments

- F13** Words in Annex 5 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(9)(b)(i)**

4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the certificate.
8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the certificate.
9. Use one of the following codes to indicate the source:
- | | |
|--------------------|--|
| W | Specimens taken from the wild |
| R | Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood |
| D | Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| A | Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| C | Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| F | Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof |
| I | Confiscated or seized specimens ⁽¹⁾ |
| O | Pre-convention ⁽¹⁾ |
| U | Source unknown (must be justified) |
| [^{F14} X | Specimens taken in the marine environment not under the jurisdiction of any State] |

Textual Amendments

F14 Inserted by [Commission Implementing Regulation \(EU\) 2015/57 of 15 January 2015 amending Implementing Regulation \(EU\) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and in Commission Regulation \(EC\) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation \(EC\) No 338/97.](#)

10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.

13 to 15. The [^{F15}country] of import is, where applicable, the [^{F15}country] having issued the import permit for the specimens concerned.

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

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Textual Amendments

F15 Words in [Annex 5](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(b)(ii)**

16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

EUROPEAN UNION		CERTIFICATE		No	
COPY for the issuing authority	2	1. Holder	Not for use outside the European Union		
			<input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen		
			Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein		
		2. Authorised location for live specimens of Annex A species	3. Issuing Management Authority		
		4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)	6. Quantity	
			7. CITES Appendix	8. EU Annex	9. Source
	10. Country of origin				
2		11. Permit No	12. Permit No		
	16. Scientific name of species	13. Member State of import			
	17. Common name of species (if available)	14. Document No	15. Date of issue		
	18. It is hereby certified that the specimens described above:				
	a) <input type="checkbox"/> were taken from the wild in accordance with the legislation in force in the issuing Member State b) <input type="checkbox"/> are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) <input type="checkbox"/> are captive born-and-bred or artificially propagated specimens d) <input type="checkbox"/> were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97. e) <input type="checkbox"/> were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82. f) <input type="checkbox"/> were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) <input type="checkbox"/> were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or (EEC) No 3626/82 or of CITES became applicable in this territory				
	19. This document is issued for the purpose of :				
	a) <input type="checkbox"/> confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of the species in question b) <input type="checkbox"/> exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) <input type="checkbox"/> exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) <input type="checkbox"/> using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) <input type="checkbox"/> authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit or in an import certificate				
	Certificate valid only for holder named in box 1		Yes <input type="checkbox"/>	No <input type="checkbox"/>	
	20. Special conditions				
	Name of issuing official		Place and date	Signature and stamp	

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Instructions and explanations

1. Full name and address of the applicant for the certificate, not of an agent.
2. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
3. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed

Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.

7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application.

8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date application.

9. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens ⁽²⁾
O	Pre-convention ⁽²⁾
U	Source unknown (must be justified)
[^{F14} X	Specimens taken in the marine environment not under the jurisdiction of any State]

10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.

13 to 15. The [^{F16}country] of import is, where applicable, the [^{F16}country] having issued the import permit for the specimens concerned.

Textual Amendments

F16 Words in [Annex 5](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(c)**

16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

18. Provide as many details as possible and justify any omissions to the information required above.

Changes to legislation: There are currently no known outstanding effects for the Commission
Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)

Changes to legislation: *There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V. (See end of Document for details)*

- (1) To be used only in conjunction with another source code.
- (2) To be used only in conjunction with another source code.

Changes to legislation:

There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012, ANNEX V.