

Commission Implementing Regulation (EU) No 792/2012 of 23 August 2012 laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012  
of 23 August 2012

laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein<sup>(1)</sup>, and in particular Article 19(1) thereof,

Whereas:

- (1) Provisions are required to implement Regulation (EC) No 338/97 and to ensure full compliance with the provisions of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), hereinafter 'the Convention'.
- (2) In order to ensure the uniform implementation of Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein<sup>(2)</sup>, it is necessary to lay down models to which permits, certificates and other documents foreseen in those Regulations must correspond.
- (3) At the 15th session of the Conference of the Parties to the Convention, held in Doha (Qatar) from 13 to 25 March 2010, a number of Resolutions were modified concerning, inter alia, the harmonisation in permits and certificates and amendments of source codes. It is therefore necessary to take those Resolutions into account and amend the models accordingly. Changes are also required in order to render those documents clearer for their users and national administrations.
- (4) Uniform conditions for the use of those forms therefore need to be defined through models, instructions and explanations, to be used in conjunction with Regulation (EC) No 865/2006.
- (5) Those uniform conditions should be adopted in accordance with the examination procedure provided for in Article 5 of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general

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**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers<sup>(3)</sup>. It is therefore necessary that they are included in an Implementing Regulation that is distinct from Regulation (EC) No 865/2006.

- (6) Regulation (EC) No 865/2006 should therefore be amended accordingly.
- (7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora,

HAS ADOPTED THIS REGULATION:

### *Article 1*

#### **General provision**

The design and technical specifications with regard to forms for permits, certificates and other documents provided for in Regulation (EC) No 338/97 and Regulation (EC) No 865/2006 are described in this Regulation. The design and technical specifications are specified for the following documents:

- (1) import permits;
- (2) export permits;
- (3) re-export certificates;
- (4) personal ownership certificates;
- (5) sample collection certificates;
- (5a) [<sup>F1</sup>musical instrument certificates;]
- (6) import notifications;
- (7) travelling exhibition certificates;
- (8) [<sup>F2</sup>continuation sheets for personal ownership certificates, for travelling exhibition certificates and for musical instrument certificates;]
- (9) certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97, and in Articles 8(3) and 9(2)(b) thereof;
- (10) labels referred to in Article 7(4) of Regulation (EC) No 338/97.

#### **Textual Amendments**

- F1** Inserted by Commission Implementing Regulation (EU) 2015/57 of 15 January 2015 amending Implementing Regulation (EU) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and in Commission Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97.
- F2** Substituted by Commission Implementing Regulation (EU) 2015/57 of 15 January 2015 amending Implementing Regulation (EU) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species

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of wild fauna and flora by regulating trade therein and in Commission Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97.

## Article 2

### Forms

[<sup>F2</sup>1 The forms on which import permits, export permits, re-export certificates, personal ownership certificates, sample collection certificates and musical instrument certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex I.]

2 The forms on which import notifications are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex II. They may contain a serial number.

3 The forms on which travelling exhibition certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex III.

4 The forms on which continuation sheets for personal ownership certificates and for travelling exhibition certificates are drawn up shall conform to the model set out in Annex IV.

5 The forms on which the certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97 and in Articles 8(3) and 9(2)(b) thereof and applications for such certificates are drawn up shall conform to the model set out in Annex V to this Regulation, except as regards spaces reserved for national use.

[<sup>F3</sup>The management authority] may, however, provide that, instead of the pre-printed text, boxes 18 and 19 are to contain only the relevant certification or authorisation, or both.

6 The form of the labels referred to in Article 7(4) of Regulation (EC) No 338/97 shall conform to the model set out in Annex VI to this Regulation.

#### Textual Amendments

- F2** Substituted by [Commission Implementing Regulation \(EU\) 2015/57 of 15 January 2015 amending Implementing Regulation \(EU\) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and in Commission Regulation \(EC\) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation \(EC\) No 338/97.](#)
- F3** Words in Art. 2(5) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(2)**

## Article 3

### Technical specifications with regard to forms

1 The paper used for the forms referred to in Article 2 shall be free of mechanical pulp and dressed for writing purposes, and shall weigh at least 55 g/m<sup>2</sup>.

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2 The size of the forms referred to in Article 2(1) to (5) shall be 210 x 297 mm (A4) with a maximum tolerance as to length of 18 mm less and 8 mm more.

3 The colour of the paper used for the forms referred to in Article 2(1) shall be as follows:

- a white for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- b yellow for form 2, the copy for the holder;
- c pale green for form 3, the copy for the exporting or re-exporting country in the case of an import permit, or the copy for return by customs to the issuing management authority in the case of an export permit or re-export certificate;
- d pink for form 4, the copy for the issuing management authority;
- e white for form 5, the application.

4 The colour of the paper used for the forms referred to in Article 2(2) shall be as follows:

- a white for form 1, the original;
- b yellow for form 2, the copy for the importer.

5 The colour of the paper used for the forms referred to in Article 2(3) and (5) shall be as follows:

- a yellow for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- b pink for form 2, the copy for the issuing management authority;
- c white for form 3, the application.

6 The colour of the paper used for the continuation sheets and labels referred to in Article 2(4) and (6) respectively shall be white.

7 The forms referred to in Article 2 shall be printed and completed <sup>F4</sup>... as specified by the [<sup>F5</sup>management authority]<sup>F6</sup>....

8 [<sup>F7</sup>The management authority] shall be responsible for the printing of the forms referred to in Article 2, which, in the case of the forms referred to in Article 2(1) to (5), may be part of a computerised permit/certificate issuing process.

#### Textual Amendments

- F4** Words in Art. 3(7) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(3)(a)(i)**
- F5** Words in Art. 3(7) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(3)(a)(ii)**
- F6** Words in Art. 3(7) omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(3)(a)(iii)**
- F7** Words in Art. 3(8) substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(3)(b)**

#### Article 4

Regulation (EC) No 865/2006 is amended as follows:

- (1) Articles 2 and 3 are deleted;
- (2) Annexes I to VI are deleted.

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**Changes to legislation:** There are currently no known outstanding effects for the  
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F8

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**Textual Amendments**

**F8** [Art. 5](#) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(4)**

This Regulation shall be binding in its entirety and directly applicable in all Member States.

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

## ANNEX I

## EUROPEAN UNION

ORIGINAL	1	1. Exporter/Re-exporter	<b>PERMIT/CERTIFICATE</b> <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:		<b>No</b>  2. Last day of validity:	
		3. Importer	 <b>Convention on International Trade in Endangered Species of Wild Fauna and Flora</b>			
			4. Country of (re)-export		5. Country of import	
	1	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority			
		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity	
			11. CITES Appendix	12. EU Annex	13. Source	14. Purpose
			15. Country of origin			
			16. Permit No		17. Date of issue	
			18. Country of last re-export			
			19. Certificate No		20. Date of issue	
		21. Scientific name of species				
		22. Common name of species				
		23. Special conditions				
		This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)				
		24. The (re)-export documentation from the country of (re)-export  <input type="checkbox"/> has been surrendered to the issuing authority  <input type="checkbox"/> has to be surrendered to the border customs office of introduction  <div style="border: 1px solid black; width: 200px; height: 30px; margin: 0 auto;"></div>	25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.  Signature and official stamp:  Name of issuing official:  Place and date of issue:			
		26. Bill of Lading / Air Waybill Number:				
		27. For customs use only		Signature and official stamp:		
				Customs document		
		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival	Type:		
				Number:		
				Date:		

F9F10

**Textual Amendments**

- F9** Words “European Union” in Annex 1 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(5\)\(a\)\(i\)](#)

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**F10** Words “GB Annex” substituted for words “EU Annex” in Annex 1 form, box number 12 (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(5)(a)(ii)**

### Instructions and explanations

- [<sup>F21</sup>1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan agreement between owner and applicant should be provided to the relevant permit issuing authority.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate and of a musical instrument certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into [<sup>F11</sup>Great Britain] is more than six months from its date of issue.

#### Textual Amendments

**F11** Words in Annex 1 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(5)(b)(i)**

3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
- [<sup>F25</sup>To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
- [<sup>F28</sup>Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.]
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.

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11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:
- |                   |  |
|-------------------|--|
| W                 | Specimens taken from the wild  |
| R                 | Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood  |
| D                 | Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| A                 | Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof   |
| C                 | Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof   |
| F                 | Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof   |
| I                 | Confiscated or seized specimens <sup>(4)</sup>   |
| O                 | Pre-convention <sup>(4)</sup>  |
| U                 | Source unknown (must be justified)   |
| [ <sup>F1</sup> X | Specimens taken in the marine environment not under the jurisdiction of any State]   |
14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- |                   |  |
|-------------------|--|
| B                 | Breeding in captivity or artificial propagation  |
| E                 | Educational  |
| G                 | Botanical gardens  |
| H                 | Hunting trophies   |
| L                 | Law enforcement/judicial/forensic  |
| M                 | Medical (including bio-medical research)   |
| N                 | Reintroduction or introduction into the wild   |
| P                 | Personal   |
| [ <sup>F2</sup> Q | Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)] |
| S                 | Scientific   |
| T                 | Commercial   |
| Z                 | Zoos   |
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is [<sup>F12</sup>outside Great Britain], boxes 16 and 17 must contain details of the relevant permit. <sup>F13</sup> ...



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**Textual Amendments**

- F12** Words in [Annex 1](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(5)(b)(ii)(aa)**
- F13** Words in [Annex 1](#) omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(5)(b)(ii)(bb)**

18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from [<sup>F14</sup>Great Britain]. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.

**Textual Amendments**

- F14** Words in [Annex 1](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(5)(b)(iii)**

21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

23 to 25. For official use only.


26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.

27. To be completed by the customs office of introduction into [<sup>F15</sup>Great Britain] or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the [<sup>F16</sup>United Kingdom] and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the [<sup>F16</sup>United Kingdom] and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

**Textual Amendments**

- F15** Words in [Annex 1](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(5)(b)(iv)(aa)**
- F16** Words in [Annex 1](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(5)(b)(iv)(bb)**

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

EUROPEAN UNION									
COPY for the holder	2	1. Exporter/Re-exporter	<b>PERMIT/CERTIFICATE</b> <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:		No				
	3. Importer	 <b>Convention on International Trade in Endangered Species of Wild Fauna and Flora</b>							
	4. Country of (re)-export								
	5. Country of import								
	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority							
2	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)	10. Quantity						
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose				
		15. Country of origin							
		16. Permit No		17. Date of issue					
		18. Country of last re-export							
		19. Certificate No		20. Date of issue					
	21. Scientific name of species								
	22. Common name of species								
	23. Special conditions								
	<p>This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)</p>								
	24. The (re)-export documentation from the country of (re)-export	25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.							
	<input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction <div style="border: 1px solid black; height: 30px; width: 100%; margin-top: 5px;"></div>	Signature and official stamp:  Name of issuing official: Place and date of issue:							
	26. Bill of Lading / Air Waybill Number:								
	27. For customs purposes only		Signature and official stamp:						
	<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td style="height: 20px;"></td> <td style="height: 20px;"></td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:		
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival								

F9F10

### Instructions and explanations

[<sup>F2</sup>1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan

agreement between owner and applicant should be provided to the relevant permit issuing authority.

2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate and of a musical instrument certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into [F11 Great Britain] is more than six months from its date of issue.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
- [F25. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
- [F28. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.]
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:
 

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance

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**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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	with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens <sup>(5)</sup>
O	Pre-convention <sup>(5)</sup>
U	Source unknown (must be justified)
[ <sup>F1</sup> X	Specimens taken in the marine environment not under the jurisdiction of any State]

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:

B	Breeding in captivity or artificial propagation
E	Educational
G	Botanical gardens
H	Hunting trophies
L	Law enforcement/judicial/forensic
M	Medical (including bio-medical research)
N	Reintroduction or introduction into the wild
P	Personal
[ <sup>F2</sup> Q	Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)]
S	Scientific
T	Commercial
Z	Zoos

15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is [<sup>F12</sup>outside Great Britain], boxes 16 and 17 must contain details of the relevant permit. <sup>F13</sup> ...

18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from [<sup>F14</sup>Great Britain]. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.

21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

23 to 25. For official use only.

26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.

27. To be completed by the customs office of introduction into [<sup>F15</sup>Great Britain] or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

be returned to the management authority of the [F16United Kingdom] and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the [F16United Kingdom] and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

**EUROPEAN UNION**

3 COPY for return by customs to the issuing authority *	1. Exporter/Re-exporter	<b>PERMIT/CERTIFICATE</b> <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE -EXPORT <input type="checkbox"/> OTHER:		<b>No</b>  2. Last day of validity:				
	3. Importer	 <b>Convention on International Trade in Endangered Species of Wild Fauna and Flora</b>						
		4. Country of (re)-export	5. Country of import					
	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority						
3 In the case of an import permit for specimens of CITES Appendix I species, this copy may be returned to the applicant for submission to the MA of the (re-)exporting country.	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity				
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose			
		15. Country of origin						
		16. Permit No		17. Date of issue				
		18. Country of last re-export						
		19. Certificate No		20. Date of issue				
		21. Scientific name of species						
		22. Common name of species						
		23. Special conditions						
		This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)						
24. The (re-)export documentation from the country of (re-)export <input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction  <div style="border: 1px solid black; width: 200px; height: 20px; margin: 5px 0;"></div>		25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.  Signature and official stamp:  Name of issuing official: Place and date of issue:						
26. Bill of Lading / Air Waybill Number:		Signature and official stamp:  Customs document Type: Number: Date:						
27. For customs purposes only								
<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td> </td> <td> </td> </tr> </table>		Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival					
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival							

F9F10

**Instructions and explanations**

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*Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)*

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- [<sup>F2</sup>1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan agreement between owner and applicant should be provided to the relevant permit issuing authority.
2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate and of a musical instrument certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into [<sup>F11</sup>Great Britain] is more than six months from its date of issue.
3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
- [<sup>F25</sup>. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
- [<sup>F28</sup>. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.]
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/certificate.
13. Use one of the following codes to indicate the source:
- |   |   |
|---|---|
| W | Specimens taken from the wild   |
| R | Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood |

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*Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)*

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D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens <sup>(6)</sup>
O	Pre-convention <sup>(6)</sup>
U	Source unknown (must be justified)
[ <sup>F1</sup> X	Specimens taken in the marine environment not under the jurisdiction of any State]

14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:

B	Breeding in captivity or artificial propagation
E	Educational
G	Botanical gardens
H	Hunting trophies
L	Law enforcement/judicial/forensic
M	Medical (including bio-medical research)
N	Reintroduction or introduction into the wild
P	Personal
[ <sup>F2</sup> Q	Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)]
S	Scientific
T	Commercial
Z	Zoos

15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is [<sup>F12</sup>outside Great Britain], boxes 16 and 17 must contain details of the relevant permit. <sup>F13</sup>...

18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from [<sup>F14</sup>Great Britain]. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.

21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

23 to 25. For official use only.

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**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)


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26. The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
27. To be completed by the customs office of introduction into [<sup>F15</sup>Great Britain] or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the [<sup>F16</sup>United Kingdom] and the copy for the holder (form 2) to the importer. In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the [<sup>F16</sup>United Kingdom] and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.




**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

**EUROPEAN UNION**

COPY for the issuing authority	4	1. Exporter/Re-exporter	<b>PERMIT/CERTIFICATE</b> <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:	No.  2. Last day of validity:									
		3. Importer	 <b>Convention on International Trade in Endangered Species of Wild Fauna and Flora</b>										
			4. Country of (re)-export										
			5. Country of import										
	4	6. Authorized location for live specimens of Annex A species	7. Issuing Management Authority										
		8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity								
			11. CITES Appendix		12. EU Annex		13. Source		14. Purpose				
			15. Country of origin										
			16. Permit No				17. Date of issue						
			18. Country of last re-export										
			19. Certificate No				20. Date of issue						
			21. Scientific name of species										
		22. Common name of species											
		23. Special conditions											
		This permit/certificate is only valid if live animals are transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)											
		24. The (re-)export documentation from the country of (re-)export <input type="checkbox"/> has been surrendered to the issuing authority <input type="checkbox"/> has to be surrendered to the border customs office of introduction  <div style="border: 1px solid black; width: 100%; height: 20px;"></div>				25. The <input type="checkbox"/> importation <input type="checkbox"/> exportation <input type="checkbox"/> re-exportation of the goods described above is hereby permitted.  Signature and official stamp:  Name of issuing official: Place and date of issue:							
		26. Bill of Lading / Air Waybill Number:											
		27. For customs purposes only				Signature and official stamp:							
		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Quantity / net mass (kg) actually imported or (re)-exported</td> <td style="width: 50%;">Number of animals dead on arrival</td> </tr> <tr> <td style="height: 20px;"></td> <td style="height: 20px;"></td> </tr> </table>				Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival			Customs document Type: Number: Date:			
Quantity / net mass (kg) actually imported or (re)-exported	Number of animals dead on arrival												

F9F10

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

EUROPEAN UNION						
APPLICATION	5	1. Exporter/Re-exporter	<b>PERMIT/CERTIFICATE</b> <input type="checkbox"/> IMPORT <input type="checkbox"/> EXPORT <input type="checkbox"/> RE-EXPORT <input type="checkbox"/> OTHER:			
		3. Importer	 <b>Convention on International Trade in Endangered Species of Wild Fauna and Flora</b>			
			4. Country of (re)-export			
			5. Country of import			
	5	6. Location at which live specimens of Annex A species will be kept	7. Issuing Management Authority			
	8. Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg)		10. Quantity		
		11. CITES Appendix	12. EU Annex	13. Source	14. Purpose	
		15. Country of origin				
		16. Permit No		17. Date of issue		
		18. Country of last re-export				
		19. Certificate No		20. Date of issue		
		21. Scientific name of species				
	22. Common name of species					
	23. I hereby apply for the permit/certificate indicated above.  Remarks (e.g. on purpose of introduction, details of accommodation for live specimens, etc.)  <b>I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a permit/certificate for the above specimens was not previously rejected.</b>  Signature _____  Name of applicant _____  Live animals will be transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)  Place and date _____					

F9F10

### Instructions and explanations

[<sup>F2</sup>1]. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate or of a musical instrument certificate, the full name and address of the legal owner. In the case of a musical instrument certificate, if the applicant is different from the legal owner, the full name and address of both the owner and of the applicant should be included in the form and a copy of a loan

- agreement between owner and applicant should be provided to the relevant permit issuing authority.]
2. Not applicable.
- [<sup>F23</sup>. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
- [<sup>F25</sup>. To be left blank in the case of a personal ownership certificate or of a musical instrument certificate.]
6. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
- [<sup>F28</sup>. Description must be as precise as possible and include a 3-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein. In the case of a musical instrument certificate, the description of the instrument should allow the competent authority to verify that the certificate corresponds to the specimen being imported or exported, and the description should include elements such as the manufacturer's name, the serial number or other means of identification such as photographs.]
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application for the permit/certificate.
12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of application.
13. Use one of the following codes to indicate the source:
- |   |  |
|---|--|
| W | Specimens taken from the wild  |
| R | Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood  |
| D | Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof |
| A | Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof   |
| C | Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof   |
| F | Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof   |
| I | Confiscated or seized specimens <sup>(7)</sup>   |
| O | Pre-convention <sup>(7)</sup>  |

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

- U Source unknown (must be justified)  
 [F1X Specimens taken in the marine environment not under the jurisdiction of any State]
14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
- B Breeding in captivity or artificial propagation  
 E Educational  
 G Botanical gardens  
 H Hunting trophies  
 L Law enforcement/judicial/forensic  
 M Medical (including bio-medical research)  
 N Reintroduction or introduction into the wild  
 P Personal  
 [F2Q Travelling exhibitions (sample collection, circus, menagerie, plant exhibition, orchestra or museums exhibition that is used for commercial display for the public)]  
 S Scientific  
 T Commercial  
 Z Zoos  
 Z Zoos

- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is [F17 outside Great Britain], boxes 16 and 17 must contain details of the relevant permit. F18 ...

#### Textual Amendments

- F17** Words in Annex 1 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(5)(c)(i)(aa)
- F18** Words in Annex 1 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(5)(c)(i)(bb)

- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from [F19 Great Britain]. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.

#### Textual Amendments

- F19** Words in Annex 1 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(5)(c)(ii)

21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
23. Provide as many details as possible and justify any omissions to the information required above.

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

ANNEX II

EUROPEAN UNION

<b>ORIGINAL</b>	<b>1</b>	1. Importer	<b>IMPORT NOTIFICATION</b>	<b>No</b>		
			<b>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein</b>			
		2. Member State of import	3. Date of import			
		4. Country of origin	5. Country of (re)-export			
	<b>A</b>		6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
				9. Scientific name of species		10. CITES Appendix
				11. Common name of species		12. EU Annex
	<b>B</b>		6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
				9. Scientific name of species		10. CITES Appendix
				11. Common name of species		12. EU Annex
	<b>C</b>		6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity	
				9. Scientific name of species		10. CITES Appendix
				11. Common name of species		12. EU Annex
<b>D</b>		6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
			9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
<b>E</b>		6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
			9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
<b>F</b>		6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)	7. Net mass (kg)	8. Quantity		
			9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
		13. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country.	14. Official stamp of border customs office:			
		_____ Signature of importer or his authorised representative				

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**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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### Textual Amendments

- F20** Words “European Union” in Annex 2 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(6)(a)(i)**
- F21** Words “GB Annex” substituted for words “EU Annex” in Annex 2 form, box number 12 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(6)(a)(ii)**

### Instructions and explanations

1. Enter full name and address of importer or authorised representative.
4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
5. Only applies where the country from which the specimens are imported is not the country of origin.
6. Description must be as precise as possible.
9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
10. Enter III for species listed in Appendix III to CITES.
12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
13. The importer has to submit the signed original (form 1) and ‘copy for the importer’ (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into [<sup>F22</sup>Great Britain] .

### Textual Amendments

- F22** Words in Annex 2 substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(6)(b)**

14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped ‘copy for the importer’ (form 2) to the importer or his authorised representative.

**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

## EUROPEAN UNION

COPY for the importer	2		1. Importer	IMPORT NOTIFICATION	No	
			<b>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora by regulating trade therein</b>			
			2. Member State of import	3. Date of import		
			4. Country of origin	5. Country of (re)-export		
	A	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)		7. Net mass (kg)		8. Quantity
				9. Scientific name of species		10. CITES Appendix
				11. Common name of species		12. EU Annex
	B	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)		7. Net mass (kg)		8. Quantity
				9. Scientific name of species		10. CITES Appendix
				11. Common name of species		12. EU Annex
	C	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)		7. Net mass (kg)		8. Quantity
				9. Scientific name of species		10. CITES Appendix
				11. Common name of species		12. EU Annex
	D	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)		7. Net mass (kg)		8. Quantity
9. Scientific name of species				10. CITES Appendix		
11. Common name of species				12. EU Annex		
E	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)		7. Net mass (kg)		8. Quantity	
			9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
F	6. Description of specimens (incl. source code and (re-)export document number for CITES Appendix III species)		7. Net mass (kg)		8. Quantity	
			9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
2		13. For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country.		14. Official stamp of border customs office:		
		_____ Signature of importer or his authorised representative				

F20

**Instructions and explanations**

1. Enter full name and address of importer or authorised representative.
4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.

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**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)


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5. Only applies where the country from which the specimens are imported is not the country of origin.
6. Description must be as precise as possible.
9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
10. Enter III for species listed in Appendix III to CITES.
12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
13. The importer has to submit the signed original (form 1) and ‘copy for the importer’ (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into [F22Great Britain].
14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped ‘copy for the importer’ (form 2) to the importer or his authorised representative.



**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

## ANNEX III

 <b>EUROPEAN UNION</b>  <b>CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA</b>		<b>TRAVELLING-EXHIBITION CERTIFICATE</b>	
		Original	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration)  <hr style="width: 50%; margin-left: auto; margin-right: auto;"/> Signature of owner		4. Issuing management authority	
5. Special conditions:  a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form.  b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority.  c) This certificate is not valid unless accompanied by a continuation sheet.  d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals.  This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import  Various		7. Purpose of the transaction  Q	8. Security stamp no
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by:  <hr style="width: 100%;"/> <div style="display: flex; justify-content: space-between;"> <span>Place</span> <span>Date</span> <span>Signature and official seal</span> </div>			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

F23F24F25

**Textual Amendments**

- F23** Words “European Union” in Annex 3 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(7\)\(a\)\(i\)](#)

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

- F24** Words “GB Annex” substituted for words “EU Annex” in Annex 3 form, box number 13 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(a)(ii)**
- F25** Words “Great Britain” substituted for words “a Member State of the Union” in Annex 3 form, box number 18 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(a)(iii)**

### Instructions and explanations

1. A unique number should be generated by the issuing management authority for the certificate.
2. The date of expiry of the document may not be more than three years after the date of issuance. Where the travelling exhibition originates from [<sup>F26</sup>outside Great Britain] the expiry date shall be no later than that indicated on the equivalent certificate from that country.

#### Textual Amendments

- F26** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(i)**

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate. Absence of the signature of the owner renders the certificate invalid.
4. The name, address and country of the issuing management authority should already be pre-printed on the form.
5. This block has been pre-printed to indicate the validity of the certificate for multiple cross-border movements of the specimen with its exhibition for exhibition purposes only, allowing the specimens to be displayed to the public in accordance with Article 8(3) of Regulation (EC) No 338/97 and to clarify that the certificate is not to be collected but is to remain with the specimen/owner. This block also can be used to justify the omission of certain information.
6. This block has been pre-printed to indicate that cross-border movement is permitted to any country accepting this certificate as a matter of national law.
7. This block has been pre-printed with the code Q for circuses and travelling exhibitions.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state ‘see attached inventory’.

**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of issuance of the certificate.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of issuance of the certificate.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, [<sup>F27</sup>a Member State] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 [<sup>F28</sup>(pre-exit)] became applicable to them [<sup>F29</sup>, were acquired in, or were introduced into, the United Kingdom before IP completion day] and the code O is also used.

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
U	Source unknown (must be justified)
O	Pre-Convention (may be used in conjunction with any other code).
[ <sup>F1</sup> X	Specimens taken in the marine environment not under the jurisdiction of any State]

#### Textual Amendments

- F27** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(b)(ii)(aa)**
- F28** Word in Annex 3 inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(b)(ii)(bb)**
- F29** Words in Annex 3 inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(b)(ii)(cc)**

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is [<sup>F30</sup>outside Great Britain], box 16 must contain details of the relevant permit. <sup>F31</sup>...

#### Textual Amendments

- F30** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(b)(iii)(aa)**

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

**F31** Words in Annex 3 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(7)(b)(iii)(bb)

17. This block must contain the exhibition registration number.
18. Enter the date of acquisition only for specimens which were acquired in or were introduced into, [F32a Member State] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 [F33(pre-exit)] applied to them [F34and were acquired in, or were introduced into, the United Kingdom before IP completion day].

#### Textual Amendments

- F32** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(7)(b)(iv)(aa)
- F33** Word in Annex 3 inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(7)(b)(iv)(bb)
- F34** Words in Annex 3 inserted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(7)(b)(iv)(cc)

19. To be completed by the official who issues the certificate. A certificate may only be issued by the management authority of the country where an exhibition is based and only when the owner of the exhibition has registered full details of the specimen with that management authority. In the case of an exhibition originating [F35outside Great Britain], a certificate may only be issued by the management authority of the country of first destination. The name of the issuing official must be written in full. The seal, signature and, where appropriate, security stamp number, should be clearly legible.

#### Textual Amendments

- F35** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), 9(7)(b)(v)

20. This block may be used to refer to national legislation or additional special conditions placed on the cross-border movement by the issuing management authority.
21. This block has been pre-printed to refer to the attached continuation sheet, which should indicate all cross-border movements.

Subject to point 5, upon expiration, this document must be returned to the issuing management authority.

The holder or his authorised representative shall surrender the original of this certificate (form 1) — and, where applicable, the travelling exhibition certificate issued [F36outside Great Britain] — for verification purposes and submit the accompanying continuation sheet or (where the certificate is issued on the basis of an equivalent certificate [F36issued outside Great Britain]) the two continuation sheets and copies thereof to a customs office designated in accordance with Article 12(1) of Regulation (EC) No 338/97. The customs office shall, after completing the continuation sheet or sheets, return the original of this certificate (form 1), the original certificate issued [F37outside Great Britain] (where applicable) — and the continuation sheet or

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**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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sheets — to the holder or to his authorised representative and forward an endorsed copy of the continuation sheet of the certificate issued by [<sup>F37</sup>Great Britain's] management authority to the relevant management authority in accordance with Article 45 of Regulation (EC) No 865/2006.

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**Textual Amendments**


- F36** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(vi)(aa)**
- F37** Words in [Annex 3](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(7)(b)(vi)(bb)**

*Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)*

 <b>EUROPEAN UNION</b>  <b>CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA</b>		<b>TRAVELLING-EXHIBITION CERTIFICATE</b>	
		<b>Copy for the Issuing Authority</b>	
		1. Certificate No	2. Valid until
3. Owner of specimen(s) (name, permanent address and country of registration)  <hr style="width: 50%; margin-left: 0;"/> Signature of owner		4. Issuing Management Authority	
5. Special conditions:  a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form.  b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority.  c) This certificate is not valid unless accompanied by a continuation sheet.  d) The certificate shall in no way affect the right of states to adopt stricter domestic measures regarding restrictions or conditions for the certified specimens, especially the holding/keeping of live animals.  This certificate is valid only if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animal Regulations			
6. Country of import  <b>Various</b>	7. Purpose of the transaction  <b>Q</b>	8. Security stamp no	
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. This certificate is issued by:  <hr style="width: 100%;"/> <div style="display: flex; justify-content: space-between;"> <span>Place</span> <span>Date</span> <span>Signature and official seal</span> </div>			
20. Additional conditions			
21. Customs endorsement (see Continuation sheet)			

F23F24F25

**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

 <b>EUROPEAN UNION</b>  <b>CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA</b>		<b>TRAVELLING-EXHIBITION CERTIFICATE</b>	
		<b>Application</b>	
3. Owner of specimen(s) (name, permanent address and country of registration)  _____ Signature of owner		4. Issuing Management Authority	
6. Country of import  <b>Various</b>	7. Purpose of the transaction  <b>Q</b>	8. Security stamp no	
9. Scientific name (genus and species) and common name of species		10. Description of specimen/s, including identifying marks or numbers, age, sex	
11. Quantity	12. CITES Appendix	13. EU Annex	14. Source
15. Country of origin	16. Permit No and date	17. Exhibition registration number	18. Date of acquisition (if specimen originated in a Member State of the Union)
19. I hereby apply for the certificate indicated above.			
Remarks		I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a certificate for the above specimens was not previously rejected.	
		_____ Signature	
		_____ Name of Applicant	
Live animals will be transported in compliance with the CITES Guidelines for the Transport and Preparation for Shipment of Live Wild Animals or, in the case of air transport, the Live Animals Regulations published by the International Air Transport Association (IATA)		_____ Place and date	

F23F24F25

### Instructions and explanations

3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate (not of an agent). Absence of the signature of the owner renders the certificate invalid.
8. Where appropriate, indicate the number of the security stamp affixed in block 19.
9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down

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*Changes to legislation:* There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of application.
13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of application.
14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, [<sup>F38</sup>Great Britain] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
U	Source unknown (must be justified)
O	Pre-Convention (may be used in conjunction with any other code) <sup>F39</sup> ...
[ <sup>F1</sup> X	Specimens taken in the marine environment not under the jurisdiction of any State]

#### Textual Amendments

- F39** Deleted by [Commission Implementing Regulation \(EU\) 2015/57 of 15 January 2015 amending Implementing Regulation \(EU\) No 792/2012 as regards the rules for the design of permits, certificates and other documents provided for in Council Regulation \(EC\) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and in Commission Regulation \(EC\) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation \(EC\) No 338/97.](#)



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**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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**Textual Amendments**

**F38** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(c)(i)**

- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated. Where this is [<sup>F40</sup>outside Great Britain], box 16 must contain details of the relevant permit. <sup>F41</sup> ...

**Textual Amendments**

**F40** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(c)(ii)(aa)**

**F41** Words in Annex 3 omitted (31.12.2020) by virtue of The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(c)(ii)(bb)**

17. This block must contain the exhibition registration number.
18. Enter the date of acquisition only for specimens which were acquired in, or were introduced into, [<sup>F42</sup>Great Britain] before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.


**Textual Amendments**

**F42** Words in Annex 3 substituted (31.12.2020) by The Environment and Wildlife (Miscellaneous Amendments etc.) (EU Exit) Regulations 2020 (S.I. 2020/1395), regs. 1(2), **9(7)(c)(iii)**

19. Provide as many details as possible and justify any omissions to the information required above.

## ANNEX IV

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

 <p><b>EUROPEAN UNION</b>  <b>CONVENTION ON</b>  <b>INTERNATIONAL TRADE IN</b>  <b>ENDANGERED SPECIES OF</b>  <b>WILD FAUNA AND FLORA</b></p>	<p style="text-align: center;">▶<sup>(1)</sup> <b>TRAVELLING-EXHIBITION CERTIFICATE</b>  <b>PERSONAL OWNERSHIP CERTIFICATE</b>  <b>MUSICAL INSTRUMENT CERTIFICATE</b>  <b>CONTINUATION SHEET ◀</b></p> <p style="text-align: center;">Page _____ of _____</p>
1. Original certificate No	4. Issuing Management Authority
8. Security stamp No	
3. Owner of specimen(s) (name, permanent address and country of registration)	
<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of import</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>	<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of (re)export</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>
<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of import</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>	<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of (re)export</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>
<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of import</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>	<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of (re)export</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>
<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of import</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>	<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of (re)export</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>
<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of import</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>	<hr/> <div style="display: flex; justify-content: space-between; font-size: small;"> <span>Customs office of (re)export</span> <span>Date</span> <span>Signature</span> <span>Official stamp</span> </div>

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**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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### Textual Amendments

- F43** Words “European Union” in Annex 4 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(8)**

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

ANNEX V

EUROPEAN UNION																																														
ORIGINAL	<table border="1"> <tr> <td style="width: 5%; text-align: center;"><b>1</b></td> <td>1. Holder</td> <td colspan="3"> <b>CERTIFICATE</b>  <b>Not for use outside the European Union</b> </td> <td style="width: 5%; text-align: center;">No</td> </tr> <tr> <td></td> <td></td> <td colspan="3"> <input type="checkbox"/> Certificate of legal acquisition  <input type="checkbox"/> Certificate for commercial activities  <input type="checkbox"/> Certificate for movement of live specimen                 </td> <td></td> </tr> <tr> <td></td> <td></td> <td colspan="4"> <b>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora and Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora relating trade therein</b> </td> </tr> <tr> <td></td> <td>2. Authorized location for live specimens of Annex A species</td> <td colspan="4">3. Issuing Management Authority</td> </tr> <tr> <td></td> <td rowspan="4">4. Description of specimens (incl. marks, sex/date of birth for live animals)</td> <td colspan="2">5. Net mass (kg)</td> <td colspan="2">6. Quantity</td> </tr> <tr> <td></td> <td>7. CITES Appendix</td> <td>8. EU Annex</td> <td colspan="2">9. Source</td> </tr> <tr> <td></td> <td colspan="4">10. Country of origin</td> </tr> <tr> <td></td> <td>11. Permit No</td> <td colspan="3">12. Date of issue</td> </tr> </table>	<b>1</b>	1. Holder	<b>CERTIFICATE</b> <b>Not for use outside the European Union</b>			No			<input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen						<b>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora and Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora relating trade therein</b>					2. Authorized location for live specimens of Annex A species	3. Issuing Management Authority					4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)		6. Quantity			7. CITES Appendix	8. EU Annex	9. Source			10. Country of origin					11. Permit No	12. Date of issue		
	<b>1</b>	1. Holder	<b>CERTIFICATE</b> <b>Not for use outside the European Union</b>			No																																								
			<input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen																																											
			<b>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora and Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora relating trade therein</b>																																											
		2. Authorized location for live specimens of Annex A species	3. Issuing Management Authority																																											
	4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)		6. Quantity																																										
		7. CITES Appendix	8. EU Annex	9. Source																																										
		10. Country of origin																																												
		11. Permit No	12. Date of issue																																											
<b>1</b>	<table border="1"> <tr> <td colspan="2">16. Scientific name of species</td> <td colspan="4">13. Member State of import</td> </tr> <tr> <td colspan="2">17. Common name of species (if available)</td> <td colspan="2">14. Document No</td> <td colspan="2">15. Date of issue</td> </tr> </table>	16. Scientific name of species		13. Member State of import				17. Common name of species (if available)		14. Document No		15. Date of issue																																		
16. Scientific name of species		13. Member State of import																																												
17. Common name of species (if available)		14. Document No		15. Date of issue																																										
<p>18. It is hereby certified that the specimens described above:</p> <ul style="list-style-type: none"> <li>a) <input type="checkbox"/> were taken from the wild in accordance with the legislation in force in the issuing Member State</li> <li>b) <input type="checkbox"/> are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State</li> <li>c) <input type="checkbox"/> are captive born-and-bred or artificially propagated specimens</li> <li>d) <input type="checkbox"/> were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97</li> <li>e) <input type="checkbox"/> were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82</li> <li>f) <input type="checkbox"/> were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES</li> <li>g) <input type="checkbox"/> were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 or 3626/82 or of CITES became applicable in this territory</li> </ul>																																														
<p>19. This document is issued for the purpose of :</p> <ul style="list-style-type: none"> <li>a) <input type="checkbox"/> confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of species in question</li> <li>b) <input type="checkbox"/> exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97</li> <li>c) <input type="checkbox"/> exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97</li> <li>d) <input type="checkbox"/> using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes</li> <li>e) <input type="checkbox"/> authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit certificate</li> </ul>																																														
<p><b>Certificate valid only for holder named in box 1</b></p>					Yes <input type="checkbox"/>																																									
20. Special conditions																																														
		Name of issuing official		Place and date																																										
				Signature and stamp																																										

F44F45F46F47F48F49F50F51F52F53F54F55

**Textual Amendments**

**F44** Words “European Union” in Annex 5 form heading omitted (31.12.2020) by virtue of [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), [9\(9\)\(a\)\(i\)](#)

**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

- F45** Words “Great Britain” substituted for words “the European Union” in Annex 5 form (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(ii)**
- F46** Words “GB Annex” substituted for words “EU Annex” in Annex 5 form, box number 8 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(iii)**
- F47** Word “Country” substituted for words “Member State” in Annex 5 form, box number 13 (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(iv)**
- F48** Words “United Kingdom” substituted for words “issuing Member State” in Annex 5 form, box number 18, tick boxes a) and b) (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(aa)**
- F49** Words “a member State” substituted for words “the Union” in Annex 5 form, box number 18, tick box d) (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(bb)**
- F50** Words “as it had effect immediately before IP completion day” in Annex 5 form, box number 18, tick box d) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(bb)**
- F51** Words “a member State” substituted for words “the Union” in Annex 5 form, box number 18, tick box e) (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(cc)**
- F52** Words “a member State” substituted for words “the Union” in Annex 5 form, box number 18, tick box f) (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(dd)**
- F53** Words “United Kingdom” substituted for words “issuing Member State” in Annex 5 form, tick box g) (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(ee)**
- F54** Words “(as it had effect immediately before IP completion day)” in Annex 5 form, tick box g) inserted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(v)(ee)**
- F55** Words “Great Britain” substituted for words “the Union” in Annex 5 form box 19 tick box e) (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(a)(vi)**

### Instructions and explanations

1. Full name and address of the holder of the certificate, not of an agent.
2. Only to be completed in case the import permit for the specimens concerned prescribes the location at which they are to be kept, or where specimens that were taken from the wild in [F56Great Britain] shall be required to be kept at an authorised address.

Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, from the location indicated shall then be subject to prior authorisation from the competent management authority (see box 19).

### Textual Amendments

- F56** Words in [Annex 5](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(b)(i)**

4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed

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*Changes to legislation: There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)*

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rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.

7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the certificate.

8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the certificate.

9. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens <sup>(8)</sup>
O	Pre-convention <sup>(8)</sup>
U	Source unknown (must be justified)
[ <sup>F1</sup> X	Specimens taken in the marine environment not under the jurisdiction of any State]

10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.

13 to 15. The [<sup>F57</sup>country] of import is, where applicable, the [<sup>F57</sup>country] having issued the import permit for the specimens concerned.

#### Textual Amendments

**F57** Words in [Annex 5](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(b)(ii)**

16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

EUROPEAN UNION		No			
COPY for the issuing authority	2	1. Holder	<b>CERTIFICATE</b> <b>Not for use outside the European Union</b> <input type="checkbox"/> Certificate of legal acquisition <input type="checkbox"/> Certificate for commercial activities <input type="checkbox"/> Certificate for movement of live specimen  <b>Council Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 on the protection of species of wild fauna and flora and regulating trade therein</b>		
		2. Authorised location for live specimens of Annex A species	3. Issuing Management Authority		
		4. Description of specimens (incl. marks, sex/date of birth for live animals)	5. Net mass (kg)	6. Quantity	
			7. CITES Appendix	8. EU Annex	9. Source
			10. Country of origin		
			11. Permit No	12. Permit No	
	2	16. Scientific name of species	13. Member State of import		
		17. Common name of species (if available)	14. Document No	15. Date of issue	
		18. It is hereby certified that the specimens described above: a) <input type="checkbox"/> were taken from the wild in accordance with the legislation in force in the issuing Member State b) <input type="checkbox"/> are abandoned or escaped specimens that were recovered in accordance with the legislation in force in the issuing Member State c) <input type="checkbox"/> are captive born-and-bred or artificially propagated specimens d) <input type="checkbox"/> were acquired in or introduced into the Union in compliance with the provisions of Council Regulation (EC) No 338/97 e) <input type="checkbox"/> were acquired in or introduced into the Union before 1 June 1997 in accordance with Council Regulation (EEC) No 3626/82 f) <input type="checkbox"/> were acquired in or introduced into the Union before 1 January 1984 in compliance with the provisions of CITES g) <input type="checkbox"/> were acquired in or introduced into the issuing Member State before the provisions of Regulations (EC) No 338/97 and (EC) No 3626/82 or of CITES became applicable in this territory			
		19. This document is issued for the purpose of : a) <input type="checkbox"/> confirming that a specimen to be (re-) exported has been acquired in accordance with the legislation in force on the protection of species in question b) <input type="checkbox"/> exempting for sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 c) <input type="checkbox"/> exempting for display to the public without sale Annex A specimens from the prohibitions relating to commercial activities listed in Article 8.1 of Regulation (EC) No 338/97 d) <input type="checkbox"/> using the specimens for the advancement of science/breeding or propagation/research or education or other non-detrimental purposes e) <input type="checkbox"/> authorising the movement within the Union of a live Annex A specimen from the location indicated in the import permit certificate			
	<b>Certificate valid only for holder named in box 1</b>		Yes <input type="checkbox"/>		
	20. Special conditions				
	Name of issuing official	Place and date	Signature and stamp		

F44F45F46F47F48F49F50F51F52F53F54F55

**Instructions and explanations**

1. Full name and address of the applicant for the certificate, not of an agent.
2. To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed

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**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.

5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.

7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application.

8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date application.

9. Use one of the following codes to indicate the source:

W	Specimens taken from the wild
R	Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
D	Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
A	Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
C	Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
F	Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
I	Confiscated or seized specimens <sup>(9)</sup>
O	Pre-convention <sup>(9)</sup>
U	Source unknown (must be justified)
[ <sup>F1</sup> X	Specimens taken in the marine environment not under the jurisdiction of any State]

10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.

13 to 15. The [<sup>F58</sup>country] of import is, where applicable, the [<sup>F58</sup>country] having issued the import permit for the specimens concerned.

#### Textual Amendments


**F58** Words in [Annex 5](#) substituted (31.12.2020) by [The Environment and Wildlife \(Miscellaneous Amendments etc.\) \(EU Exit\) Regulations 2020 \(S.I. 2020/1395\)](#), regs. 1(2), **9(9)(c)**

16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

18. Provide as many details as possible and justify any omissions to the information required above.



ANNEX VI

	<b>Convention on International Trade in Endangered Species of Wild Fauna and Flora</b>
	Article VII(6)
	SCIENTIFIC MATERIAL
1. Contents:	
2. From (full name and address):	
3. Registration No:	□ □ □ □ □
4. To (full name and address):	
5. Registration No:	□ □ □ □ □
Label No:	
This part to be returned to the management authority immediately after use	
Registration No of sender	□ □ □ □ □
Registration No of recipient	□ □ □ □ □
Contents:	
Label No:	

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**Changes to legislation:** *There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)*

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**Changes to legislation:** There are currently no known outstanding effects for the  
Commission Implementing Regulation (EU) No 792/2012. (See end of Document for details)

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- (1) OJ L 61, 3.3.1997, p. 1.
- (2) OJ L 166, 19.6.2006, p. 1.
- (3) OJ L 55, 28.2.2011, p. 13.
- (4) To be used only in conjunction with another source code.
- (5) To be used only in conjunction with another source code.
- (6) To be used only in conjunction with another source code.
- (7) To be used only in conjunction with another source code.
- (8) To be used only in conjunction with another source code.
- (9) To be used only in conjunction with another source code.

**Changes to legislation:**

There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 792/2012.