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# ► <u>B</u> COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012 of 23 August 2012

laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

(OJ L 242, 7.9.2012, p. 13)

Corrected by:

▶<u>C1</u> Corrigendum, OJ L 294, 24.10.2012, p. 10 (792/2012)

#### COMMISSION IMPLEMENTING REGULATION (EU) No 792/2012

#### of 23 August 2012

laying down rules for the design of permits, certificates and other documents provided for in Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein and amending Commission Regulation (EC) No 865/2006

### THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 338/97 of 9 December 1996 on the protection of species of wild fauna and flora by regulating trade therein (<sup>1</sup>), and in particular Article 19(1) thereof,

Whereas:

- (1) Provisions are required to implement Regulation (EC) No 338/97 and to ensure full compliance with the provisions of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), hereinafter 'the Convention'.
- (2) In order to ensure the uniform implementation of Regulation (EC) No 338/97 and Commission Regulation (EC) No 865/2006 of 4 May 2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein (<sup>2</sup>), it is necessary to lay down models to which permits, certificates and other documents foreseen in those Regulations must correspond.
- (3) At the 15th session of the Conference of the Parties to the Convention, held in Doha (Qatar) from 13 to 25 March 2010, a number of Resolutions were modified concerning, inter alia, the harmonisation in permits and certificates and amendments of source codes. It is therefore necessary to take those Resolutions into account and amend the models accordingly. Changes are also required in order to render those documents clearer for their users and national administrations.
- (4) Uniform conditions for the use of those forms therefore need to be defined through models, instructions and explanations, to be used in conjunction with Regulation (EC) No 865/2006.
- (5) Those uniform conditions should be adopted in accordance with the examination procedure provided for in Article 5 of Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (<sup>3</sup>). It is therefore necessary that they are included in an Implementing Regulation that is distinct from Regulation (EC) No 865/2006.
- (6) Regulation (EC) No 865/2006 should therefore be amended accordingly.
- (7) The measures provided for in this Regulation are in accordance with the opinion of the Committee on Trade in Wild Fauna and Flora,

<sup>(&</sup>lt;sup>1</sup>) OJ L 61, 3.3.1997, p. 1.

<sup>&</sup>lt;sup>(2)</sup> OJ L 166, 19.6.2006, p. 1.

<sup>(&</sup>lt;sup>3</sup>) OJ L 55, 28.2.2011, p. 13.

HAS ADOPTED THIS REGULATION:

#### Article 1

### **General provision**

The design and technical specifications with regard to forms for permits, certificates and other documents provided for in Regulation (EC) No 338/97 and Regulation (EC) No 865/2006 are described in this Regulation. The design and technical specifications are specified for the following documents:

- (1) import permits;
- (2) export permits;
- (3) re-export certificates;
- (4) personal ownership certificates;
- (5) sample collection certificates;
- (6) import notifications;
- (7) travelling exhibition certificates;
- (8) continuation sheets for personal ownership certificates and for travelling exhibition certificates;
- (9) certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97, and in Articles 8(3) and 9(2)(b) thereof;
- (10) labels referred to in Article 7(4) of Regulation (EC) No 338/97.

### Article 2

#### Forms

1. The forms on which import permits, export permits, re-export certificates, personal ownership certificates and sample collection certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex I.

2. The forms on which import notifications are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex II. They may contain a serial number.

3. The forms on which travelling exhibition certificates and applications for such documents are drawn up shall conform, except as regards spaces reserved for national use, to the model set out in Annex III.

4. The forms on which continuation sheets for personal ownership certificates and for travelling exhibition certificates are drawn up shall conform to the model set out in Annex IV.

5. The forms on which the certificates provided for in paragraphs 2(b), 3 and 4 of Article 5 of Regulation (EC) No 338/97 and in Articles 8(3) and 9(2)(b) thereof and applications for such certificates are drawn up shall conform to the model set out in Annex V to this Regulation, except as regards spaces reserved for national use.

Member States may, however, provide that, instead of the pre-printed text, boxes 18 and 19 are to contain only the relevant certification or authorisation, or both.

6. The form of the labels referred to in Article 7(4) of Regulation (EC) No 338/97 shall conform to the model set out in Annex VI to this Regulation.

#### Article 3

### Technical specifications with regard to forms

1. The paper used for the forms referred to in Article 2 shall be free of mechanical pulp and dressed for writing purposes, and shall weigh at least 55 g/m<sup>2</sup>.

2. The size of the forms referred to in Article 2(1) to (5) shall be  $210 \times 297 \text{ mm}$  (A4) with a maximum tolerance as to length of 18 mm less and 8 mm more.

3. The colour of the paper used for the forms referred to in Article 2(1) shall be as follows:

- (a) white for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- (b) yellow for form 2, the copy for the holder;
- (c) pale green for form 3, the copy for the exporting or re-exporting country in the case of an import permit, or the copy for return by customs to the issuing management authority in the case of an export permit or re-export certificate;
- (d) pink for form 4, the copy for the issuing management authority;
- (e) white for form 5, the application.

4. The colour of the paper used for the forms referred to in Article 2(2) shall be as follows:

- (a) white for form 1, the original;
- (b) yellow for form 2, the copy for the importer.

5. The colour of the paper used for the forms referred to in Article 2(3) and (5) shall be as follows:

- (a) yellow for form 1, the original, with a guilloche pattern background, printed in grey on the front, so as to reveal any falsification by mechanical or chemical means;
- (b) pink for form 2, the copy for the issuing management authority;
- (c) white for form 3, the application.

6. The colour of the paper used for the continuation sheets and labels referred to in Article 2(4) and (6) respectively shall be white.

7. The forms referred to in Article 2 shall be printed and completed in one of the official Union languages as specified by the management authorities of each Member State. They shall, where necessary, contain a translation of their contents in one of the official working languages of the Convention.

8. Member States shall be responsible for the printing of the forms referred to in Article 2, which, in the case of the forms referred to in Article 2(1) to (5), may be part of a computerised permit/certificate issuing process.

### Article 4

Regulation (EC) No 865/2006 is amended as follows:

(1) Articles 2 and 3 are deleted;

(2) Annexes I to VI are deleted.

### Article 5

This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 27 September 2012.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

ANNEX I

	EUR	OPEAN UNION							
1	1.	Exporter/Re-exporter		PERMIT/CERTIFI	ICATE		No		
				IMPORT			2. Last da	ay of validity:	
				EXPORT				.,	
				RE-EXPORT					
				OTHER:					
۲	3	mporter							
ORIGINAL	0.1			CIE	Convention Endangered S		Internation of Wild Fa	al Trade in auna and Flora	
				4. Country of (re)	-export				
				5. Country of imp	port				
1	6. A	Authorized location for live specimens of A	nnex A species	7. Issuing Manag	ement Authority				
		Description of specimens (incl. marks, s animals)	ve 9. Net mass (kg)		10. Q	Quantity			
				11. CITES Appendix	12. EU Annex	13. So	ource	14. Purpose	
			15. Country of or	igin					
			16. Permit No 17. Date of issue						
				18. Country of las	st re-export				
				19. Certificate No	)	20. Da	ate of issue	)	
	21.	Scientific name of species							
	22.	Common name of species							
	23.	Special conditions							
		This permit/certificate is only valid if live ar Shipment of Live Wild Animals or, in the Association (IATA)							
	24.	The (re-)export documentation from the co (re-)export	ountry of	25. The 🔲 impo	ortation 🔲 exportat	tion 🗖	re-exportat	tion	
		☐ has been surrendered to the issuing a	authority	of the goods	described above i	is herek	by permitte	d.	
		has to be surrendered to the border duction	r customs office of intr	o- Signature an	d official stamp:				
				Name of issu	uing official:				
				Place and da	ate of issue:				
	26.	Bill of Lading / Air Waybill Number:							
	27.	For customs use only		Signature and off	icial stamp:				
			Customs documer	Customs document					
		Quantity / net mass (kg) actually Numb imported or (re)-exported on arr	per of animals dead rival	Туре:					
				Number:					
				Date:					

- 1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
- 2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
- 5. To be left blank in the case of a personal ownership certificate.
- 6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
- 8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- 11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
- 12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/ certificate.
- 13. Use one of the following codes to indicate the source:
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof

- I Confiscated or seized specimens (<sup>1</sup>)
- O Pre-convention (<sup>1</sup>)
- U Source unknown (must be justified)
- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
  - B Breeding in captivity or artificial propagation
  - E Educational
  - G Botanical gardens
  - H Hunting trophies
  - L Law enforcement/judicial/forensic
  - M Medical (including bio-medical research)
  - N Reintroduction or introduction into the wild
  - P Personal
  - Q Circuses and travelling exhibitions
  - S Scientific
  - T Commercial
  - Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
- The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
- 27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

<sup>(1)</sup> To be used only in conjunction with another source code.

	EUR	JROPEAN UNION										
2	1. E	Exporter/Re-exporter				ATE		No				
					IMPORT							
					EXPORT RE-EXPORT							
der					OTHER:							
phol e												
COPY for the holder	3. li	mporter		1	CIES	Convention Endangered S		Internation of Wild Fa	al Trade in auna and Flora			
COP				4.	Country of (re)-e	export						
				5.	5. Country of import							
2	6. A	Authorized location for live specimens	of Annex A species	7.	Issuing Manager	ment Authority						
		Description of specimens (incl. mar animals)	ks, sex/date of birth for	live 9.	9. Net mass (kg)			uantity				
				11	. CITES 12 Appendix	2. EU Annex	13. So	ource	14. Purpose			
			15	. Country of orig	in							
				16	. Permit No		17. Da	ate of issue	2			
				18	. Country of last	re-export						
				19	. Certificate No		20. Da	ate of issue	1			
	21.	Scientific name of species										
	22.	Common name of species										
	23.	Special conditions										
		This permit/certificate is only valid if li Shipment of Live Wild Animals or, i Association (IATA)										
	24.	The (re-)export documentation from t (re-)export	the country of	25		ation 🔲 exportation	_					
		has been surrendered to the issue	uing authority		or the goods o	lescribed above i	is nerec	by permitted	J.			
		has to be surrendered to the b duction	oorder customs office of in	itro-	Signature and	official stamp:						
				1	Name of issuir	ng official:						
					Place and date	e of issue:						
	26.	Bill of Lading / Air Waybill Number:										
	27.	For customs purposes only		Si	gnature and offic	ial stamp:						
		Quantity / not mere (ive) and (-it)	Number of optimula de-		stoms document							
			Number of animals dead on arrival	Тур								
					mber:							
				Dat	te:							

- 1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
- 2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
- 5. To be left blank in the case of a personal ownership certificate.
- 6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
- 8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- 11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
- 12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/ certificate.
- 13. Use one of the following codes to indicate the source:
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof

- I Confiscated or seized specimens (<sup>1</sup>)
- O Pre-convention (1)
- U Source unknown (must be justified)
- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
  - B Breeding in captivity or artificial propagation
  - E Educational
  - G Botanical gardens
  - H Hunting trophies
  - L Law enforcement/judicial/forensic
  - M Medical (including bio-medical research)
  - N Reintroduction or introduction into the wild
  - P Personal
  - Q Circuses and travelling exhibitions
  - S Scientific
  - T Commercial
  - Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
- The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
- 27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

<sup>(1)</sup> To be used only in conjunction with another source code.

Τ		OPEAN UNION								
1. Exporter/Re-exporter PERMIT/CERTIFICATE		Exporter/Re-exporter		PERMIT/CERTIF	ICATE		No			
							2. Last da	ay of validity:		
								, , ,		
				RE -EXPOR	г					
	3. Ir	mporter			Convention	<b>.</b>	Internatior	nal Trade in		
								nal Trade in auna and Flora		
				4. Country of (re)-export						
				5. Country of import						
-	6. A	Authorized location for live speciment	s of Annex A species	7. Issuing Mana	gement Authority					
		Description of specimens (incl. mark nimals)	s, sex/date of birth for live	9. Net mass (kg	)	10. Qi	uantity	_		
				11. CITES Appendix	12. EU Annex	13. So	ource	14. Purpose		
				15. Country of origin						
				16. Permit No		17. Da	ate of issue	9		
				18. Country of la	ast re-export					
				19. Certificate N	0	20. Da	ate of issue	9		
	21. Scientific name of species									
	22.	Common name of species								
	23.	Special conditions								
		This permit/certificate is only valid if for Shipment of Live Wild Animals o Association (IATA)	live animals are transported i r, in the case of air transport,							
		for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from	r, in the case of air transport,	, the Live Animals		ned by t	he Internat	ional Air Transport		
	24.	for Shipment of Live Wild Animals o Association (IATA)	r, in the case of air transport,	, the Live Animals 25. The □ imp	Regulations publish	tion 🗖	he Internat	ional Air Transport		
	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export	r, in the case of air transport, the country of uing authority	the Live Animals 25. The ☐ imp of the good	Regulations publish	tion 🗖	he Internat	ional Air Transport		
-	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export has been surrendered to the iss has to be surrendered to the bo	r, in the case of air transport, the country of uing authority	the Live Animals 25. The _ imp of the good Signature a	Regulations publish	tion 🗖	he Internat	ional Air Transport		
-	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export has been surrendered to the iss has to be surrendered to the bo	r, in the case of air transport, the country of uing authority	the Live Animals 25. The □ imp of the good Signature a Name of iss	Regulations publish	tion 🗖	he Internat	ional Air Transport		
	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export has been surrendered to the iss has to be surrendered to the bo	r, in the case of air transport, the country of uing authority	the Live Animals 25. The □ imp of the good Signature a Name of iss	Regulations publish portation _ exporta s described above and official stamp: suing official:	tion 🗖	he Internat	ional Air Transport		
	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export has been surrendered to the iss has to be surrendered to the bo duction	r, in the case of air transport, the country of uing authority	the Live Animals 25. The □ imp of the good Signature a Name of iss	Regulations publish portation _ exporta s described above nd official stamp: suing official: date of issue:	tion 🗖	he Internat	ional Air Transport		
	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export has been surrendered to the iss has to be surrendered to the bc duction Bill of Lading / Air Waybill Number: For customs purposes only	r, in the case of air transport, the country of uing authority rder customs office of intro-	25. The _ imp of the good Signature a Name of iss Place and o	Regulations publish portation _ exporta s described above and official stamp: suing official: date of issue: fficial stamp:	tion 🗖	he Internat	ional Air Transport		
	24.	for Shipment of Live Wild Animals o Association (IATA) The (re-)export documentation from (re-)export has been surrendered to the iss has to be surrendered to the bo duction Bill of Lading / Air Waybill Number:	r, in the case of air transport, the country of uing authority	the Live Animals 25. The ☐ imp of the good Signature a Name of iss Place and o Signature and o	Regulations publish portation _ exporta s described above and official stamp: suing official: date of issue: fficial stamp:	tion 🗖	he Internat	ional Air Transport		

- 1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
- 2. The period of validity of an export permit or re-export certificate shall not exceed six months and of an import permit 12 months. The period of validity of a personal ownership certificate shall not exceed three years. After its last day of validity, this document is void and the original and all copies must be returned by the holder to the issuing management authority without undue delay. An import permit is not valid where the corresponding CITES document from the (re-)exporting country was used for (re-)export after its last day of validity or if the date of introduction into the Union is more than six months from its date of issue.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
- 5. To be left blank in the case of a personal ownership certificate.
- 6. For live specimens of Annex A species other than captive bred or artificially propagated specimens, the issuing authority may prescribe the location at which they are to be kept by including details thereof in this box. Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, then requires prior authorisation from the competent management authority.
- 8. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- 11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the permit/certificate.
- 12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the permit/ certificate.
- 13. Use one of the following codes to indicate the source:
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof

- I Confiscated or seized specimens (<sup>1</sup>)
- O Pre-convention (<sup>1</sup>)
- U Source unknown (must be justified)
- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
  - B Breeding in captivity or artificial propagation
  - E Educational
  - G Botanical gardens
  - H Hunting trophies
  - L Law enforcement/judicial/forensic
  - M Medical (including bio-medical research)
  - N Reintroduction or introduction into the wild
  - P Personal
  - Q Circuses and travelling exhibitions
  - S Scientific
  - T Commercial
  - Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23 to 25. For official use only.
- The importer/(re)exporter or his agent must, where appropriate, indicate the number of the bill of lading or air waybill.
- 27. To be completed by the customs office of introduction into the Union or that of (re-)export as appropriate. In the case of introduction, the original (form 1) must be returned to the management authority of the Member State concerned and the copy for the holder (form 2) to the importer In the case of (re-)export, the copy for return by customs to the issuing authority (form 3) must be returned to the management authority of the Member State concerned and the original (form 1) and the copy for the holder (form 2) to the (re-)exporter.

<sup>(1)</sup> To be used only in conjunction with another source code.

EUROPEAN	UNION

_										
4	1.	Exporter/Re-exporter				IFICATE		No.		
Y					IMPORT EXPORT	-			t day of	
horit					RE-EXPOR OTHER:	1		vali	dity:	
aut	3.	Importer		_						
COPY for the issuing authority	0.	Importer			Car	Convention Endangered			nal Trade	
e iss						Flora	Specie	SOIW	nu rauna	anu
or th				4.	Country of	(re)-export				
PY fc										
co				5.	Country of	import				
	6.	Authorized location for live specime	ens of Annex A species	7.	Issuing Ma	anagement Authority	/			
4	8.	Description of specimens (incl. m	parks sex/date of hirth for li	/e 9.	Net mass	(ka)	10. Qu	antity.		
		animals)	larks, sex/date of birth for in		Net mass	(kg)	10. Qu	antity		
				11.	CITES Appendix	12. EU Annex	13. So	urce	14. Purpo	se
					Арропаіх					
				15.	Country of	origin				
				16.	Permit No		17. Da	te of issu	le	
				18.	Country of	last re-export	1			
				19.	Certificate	No	20. Da	te of issu	ie	
	21.	Scientific name of species								
	22.	Common name of species								
	23.	Special conditions								
		This permit/certificate is only valid if for Shipment of Live Wild Animals of								
		Association (IATA)				egulations publishe	u by the	memare		isport
	24.	The (re-)export documentation from	the country of	25	. The 🗖 in	portation 🔲 expor	tation 🗖	re-expo	rtation	
		(re-)export			_	ds described abov				
		has been surrendered to the is			0					
		has to be surrendered to the duction	border customs office of intr	0-	Signature	and official stamp:				
					Name of i	ssuing official:				
					Place and	date of issue:				
	26.	Bill of Lading / Air Waybill Number	:							
	27.	For customs purposes only		Sig	nature and	official stamp:				
				Cus	toms docum	nent				
		Quantity / net mass (kg) actually	Тур							
		imported or (re)-exported	dead on arrival							
				Number: Date:						

	EUROPEAN UNION										
5 N	1.	Exporter/Re-exporter		RMIT/CERTIF IMPORT EXPORT RE-EXPORT OTHER:	ICATE						
APPLICATION	3.	Importer	4.	Country of (r Country of ir	re)-export	on Internatior Species of Wild I					
5	6.	Location at which live specimens of Annex A species will be kept	7. Issuing Management Authority								
	8.	Description of specimens (incl. marks, sex/date of birth for live animals)	9. Net mass (kg) 10. Quantity								
			11.	CITES Appendix	12. EU Annex	13. Source	14. Purpose				
			15.	Country of o	origin						
			16.	Permit No		17. Date of issue	e				
			18.	Country of la	ast re-export						
			19.	Certificate N	0	20. Date of issue	9				
	21.	Scientific name of species									
	22.	Common name of species									
	23.	I hereby apply for the permit/certificate indicated above. Remarks (e.g. on purpose of introduction, details of accommodation	for I	ive specimen:	s, etc.)						
	I attach the necessary documentary evidence and declare that all the particulars provided are to the best of my knowledge and belief correct. I declare that an application for a permit/certificate for the above specimens was not previously rejected.										
			Sig	nature							
	Gui Wild	animals will be transported in compliance with the CITES delines for the Transport and Preparation for Shipment of Live Animals or, in the case of air transport, the Live Animals Regu-	Nar	ne of applica	nt						
	latio (IAT	ons published by the International Air Transport Association	Place and date								

- 1. Full name and address of the actual (re-)exporter, not of an agent. In the case of a personal ownership certificate, the full name and address of the legal owner.
- 2. Not applicable.
- 3. Full name and address of the actual importer, not of an agent. To be left blank in the case of a personal ownership certificate.
- 5. To be left blank in the case of a personal ownership certificate.
- To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
- 8. Description must be as precise as possible and include a 3-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 9/10. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- 11. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application for the permit/certificate.
- 12. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of application.
- 13. Use one of the following codes to indicate the source:
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
  - I Confiscated or seized specimens (1)
  - O Pre-convention (<sup>1</sup>)
  - U Source unknown (must be justified)
- 14. Use one of the following codes to indicate the purpose for which the specimens are to be (re-)exported/imported:
  - B Breeding in captivity or artificial propagation
  - E Educational
  - G Botanical gardens
  - H Hunting trophies

<sup>(1)</sup> To be used only in conjunction with another source code.

- L Law enforcement/judicial/forensic
- M Medical (including bio-medical research)
- N Reintroduction or introduction into the wild
- P Personal
- Q Circuses and travelling exhibitions
- S Scientific
- T Commercial
- Z Zoos
- 15 to 17. The country of origin is the country where the specimens were taken form the wild, born and bred in captivity or artificially propagated. Where this is a third country, boxes 16 and 17 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 18 to 20. The country of last re-export is, in the case of a re-export certificate, the re-exporting third country from which the specimens were imported before being re-exported from the Union. In the case of an import permit, it is the re-exporting third country from which the specimens are to be imported. Boxes 19 and 20 must contain details of the relevant re-export certificate.
- 21. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 23. Provide as many details as possible and justify any omissions to the information required above.

### ANNEX II

EUROPEAN UNION

1	1. lr	nporter	IMPORT NOTIFICATION	No			
			Council Regulation (EC) No 33 (EC) No 865/2006 on the protection flora by regulating trade therein	ction of specie			
	2. N	lember State of import	3. Date of import				
- 1	4. C	Country of origin	5. Country of (re)-export				
		<ol> <li>Description of specimens (incl. source code and (re-)export document number for CITES</li> </ol>	7. Net mass (kg)	8. Quantity			
5	A	Appendix III species)	9. Scientific name of species	·	10. CITES Appendix		
			11. Common name of species		12. EU Annex		
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity			
	в	Appendix III species)	9. Scientific name of species		10. CITES Appendix		
1			11. Common name of species		12. EU Annex		
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity			
	с	Appendix III species)	9. Scientific name of species	-	10. CITES Appendix		
			11. Common name of species		12. EU Annex		
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity			
	D	Appendix III species)	9. Scientific name of species		10. CITES Appendix		
			11. Common name of species		12. EU Annex		
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity	!		
	Е	Appendix III species)	9. Scientific name of species		10. CITES Appendix		
			11. Common name of species		12. EU Annex		
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity			
	F	Appendix III species)	9. Scientific name of species		10. CITES Appendix		
			11. Common name of species		12. EU Annex		
		For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country.	14. Official stamp of border customs office:				
	-	Signature of importer or his authorised representative					

- 1. Enter full name and address of importer or authorised representative.
- 4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
- 5. Only applies where the country from which the specimens are imported is not the country of origin.
- 6. Description must be as precise as possible.
- 9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
- 10. Enter III for species listed in Appendix III to CITES.
- 12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
- 13. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
- 14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.

# EUROPEAN UNION

2	1. lr	nporter	IMPORT NOTIFICATION	No		
			Council Regulation (EC) No 33 (EC) No 865/2006 on the prote flora by regulating trade there	ction of specie		
۰	2. N	lember State of import	3. Date of import			
nporte	4. C	Sountry of origin	5. Country of (re)-export			
r the i		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity		
COPY for the importer	A	Appendix III species)	9. Scientific name of species	·	10. CITES Appendix	
с С			11. Common name of species		12. EU Annex	
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	(g) 8. Quantity		
	В	Appendix III species)	9. Scientific name of species	·	10. CITES Appendix	
2			11. Common name of species		12. EU Annex	
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	t mass (kg) 8. Quantity		
	с	Appendix III species)	9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity		
	D	Appendix III species)	9. Scientific name of species	10. CITES Appendix		
			11. Common name of species	12. EU Annex		
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity		
	Е	Appendix III species)	9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
		6. Description of specimens (incl. source code and (re-)export document number for CITES	7. Net mass (kg)	8. Quantity		
	F	Appendix III species)	9. Scientific name of species		10. CITES Appendix	
			11. Common name of species		12. EU Annex	
	13.	For specimens above which are of species listed in Appendix III to CITES, I attach the necessary documents from the (re-)exporting country.	14. Official stamp of border cust	oms office:		
		Signature of importer or his authorised representative				

- 1. Enter full name and address of importer or authorised representative.
- 4. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated.
- 5. Only applies where the country from which the specimens are imported is not the country of origin.
- 6. Description must be as precise as possible.
- 9. The scientific name must be the name used in Annexes C or D to Regulation (EC) No 338/97.
- 10. Enter III for species listed in Appendix III to CITES.
- 12. Enter the letters (C or D) of the Annex to Regulation (EC) No 338/97 in which the species is listed.
- 13. The importer has to submit the signed original (form 1) and 'copy for the importer' (form 2), where appropriate together with CITES Appendix III documents from the (re-)exporting country to the customs office of introduction into the Union.
- 14. The customs office shall send the stamped original (form 1) to the management authority of his country and return the stamped 'copy for the importer' (form 2) to the importer or his authorised representative.

## ANNEX III

			TRAVELLING-EXHIBITION CERTIFICATE					
				Orig	inal			
CONVENTION ON INTERNATIONA ENDANGERED SPECIES OF WILD			1. Certificate No		2. Valid until			
<ol> <li>Owner of specimen(s) (name, per country of registration)</li> </ol>	manent addr	ess and	4. Issuing management a	uthority				
Signature	of owner							
5. Special conditions:								
a) Valid for multiple cross-bord Regulation (EC) No 338/97.			pecimens to be display	ed to the p	ublic in accordance with Art. 8 (3)			
b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation (EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority.								
c) This certificate is not valid u	c) This certificate is not valid unless accompanied by a continuation sheet.							
d) The certificate shall in no wa certified specimens, especia				ıres regardiı	ng restrictions or conditions for the			
This certificate is valid only if the tran Live Animal Regulations	sport conditic	ons conform to the Guide	lines for Transport of Live ,	Animals or, ir	n the case of air transport, to the IATA			
6. Country of import		7. Purpose of the trans	nsaction 8. Security stamp no					
Various		Q						
9. Scientific name (genus and sp common name of species	pecies) and	10. Description of spec	simen/s, including identifyir	ng marks or	numbers, age, sex			
11. Quantity	12. CITES	 Appendix	13. EU Annex		14. Source			
15. Country of origin	16. Permit	No and date	17. Exhibition registratio number	'n	18. Date of acquisition (if specimen originated in a Member State of the Union)			
19. This certificate is issued by:	1							
Place		Date		Signat	ure and official seal			
20. Additional conditions								
21. Customs endorsement (see Cor	ntinuation she	et)						

- 1. A unique number should be generated by the issuing management authority for the certificate.
- The date of expiry of the document may not be more than three years after the date of issuance. Where the travelling exhibition originates from a third country the expiry date shall be no later than that indicated on the equivalent certificate from that country.
- 3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate. Absence of the signature of the owner renders the certificate invalid.
- 4. The name, address and country of the issuing management authority should already be pre-printed on the form.
- 5. This block has been pre-printed to indicate the validity of the certificate for multiple cross-border movements of the specimen with its exhibition for exhibition purposes only, allowing the specimens to be displayed to the public in accordance with Article 8(3) of Regulation (EC) No 338/97 and to clarify that the certificate is not to be collected but is to remain with the specimen/owner. This block also can be used to justify the omission of certain information.
- 6. This block has been pre-printed to indicate that cross-border movement is permitted to any country accepting this certificate as a matter of national law.
- 7. This block has been pre-printed with the code Q for circuses and travelling exhibitions.
- 8. Where appropriate, indicate the number of the security stamp affixed in block 19.
- 9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
- 11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
- 12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of issuance of the certificate.
- 13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of issuance of the certificate.
- 14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof

- C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
- F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
- U Source unknown (must be justified)
- O Pre-Convention (may be used in conjunction with any other code).
- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity or artificially propagated. Where this is a third country, box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 17. This block must contain the exhibition registration number.
- 18. Enter the date of acquisition only for specimens which were acquired in or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
- 19. To be completed by the official who issues the certificate. A certificate may only be issued by the management authority of the country where an exhibition is based and only when the owner of the exhibition has registered full details of the specimen with that management authority. In the case of an exhibition originating in a third country, a certificate may only be issued by the management authority of the country of first destination. The name of the issuing official must be written in full. The seal, signature and, where appropriate, security stamp number, should be clearly legible.
- This block may be used to refer to national legislation or additional special conditions placed on the cross-border movement by the issuing management authority.
- 21. This block has been pre-printed to refer to the attached continuation sheet, which should indicate all cross-border movements.

Subject to point 5, upon expiration, this document must be returned to the issuing management authority.

The holder or his authorised representative shall surrender the original of this certificate (form 1) — and, where applicable, the travelling exhibition certificate issued by a third country — for verification purposes and submit the accompanying continuation sheet or (where the certificate is issued on the basis of an equivalent certificate from a third country) the two continuation sheets and copies thereof to a customs office designated in accordance with Article 12(1) of Regulation (EC) No 338/97. The customs office shall, after completing the continuation sheet or sheets, return the original of this certificate (form 1), the original certificate issued by a third country (where applicable) — and the continuation sheet or sheets — to the holder or to his authorised representative and forward an endorsed copy of the continuation sheet of the certificate issued by Member State's management authority to the relevant management authority in accordance with Article 45 of Regulation (EC) No 865/2006.

CTTA EUROPEA	N UNION		TRAVELL	.ING-EXHIBI	TION CERTIFICATE		
			Сор	y for the Iss	uing Authority		
CONVENTION ON INTERNATIONA ENDANGERED SPECIES OF WILD			1. Certificate No		2. Valid until		
<ol> <li>Owner of specimen(s) (name, per country of registration)</li> </ol>	manent addr	ess and	4. Issuing Management A	uthority			
Signature	of owner						
<ul> <li>5. Special conditions:</li> <li>a) Valid for multiple cross-border movements and allowing the specimens to be displayed to the public in accordance with Art. 8 (3) Regulation (EC) No 338/97. Owner to retain original form.</li> <li>b) The specimen(s) covered by this certificate may not be sold or otherwise transferred, in compliance with the provisions of Regulation</li> </ul>							
(EC) No 338/97, in any State other than the State in which the exhibition is based and registered. This certificate is non-transferable. If the specimen(s) dies, is stolen, destroyed, lost, sold or otherwise transferred, this certificate must be immediately returned by the owner to the issuing Management Authority.							
c) This certificate is not valid u	inless accor	npanied by a continua	tion sheet.				
<ul> <li>d) The certificate shall in no wa certified specimens, especia</li> </ul>				ıres regardir	ng restrictions or conditions for the		
				Animals or, ir	the case of air transport, to the IATA		
6. Country of import		7. Purpose of the trans	nsaction 8. Security stamp no				
Various		a					
9. Scientific name (genus and specie and common name of species	əs)	10. Description of spec	becimen/s, including identifying marks or numbers, age, sex				
11. Quantity	12. CITES	Appendix	13. EU Annex		14. Source		
15. Country of origin	16. Permit	No and date	17. Exhibition registration number	n	<ol> <li>Date of acquisition (if specimen originated in a Member State of the Union)</li> </ol>		
19. This certificate is issued by:							
Place	Date		Signati	ure and official seal			
20. Additional conditions							
21. Customs endorsement (see Continuation sheet)							

Т

	EUROPEAN UNION				TION CERTIFICATE		
CONVENTION ON INTERNATIONA ENDANGERED SPECIES OF WILD			Application				
<ol> <li>Owner of specimen(s) (name, per country of registration)</li> </ol>	rmanent addr	ress and	4. Issuing Management Authority				
Signature of owner							
6. Country of import 7. Purpose of the trans			saction	8. Security	stamp no		
Various Q							
<ol> <li>Scientific name (genus and speci and common name of species</li> </ol>	10. Description of spe	cimen/s, including identifyir	ng marks or	numbers, age, sex			
11. Quantity	12. CITES	Appendix	13. EU Annex		14. Source		
15. Country of origin	16. Permit	No and date	17. Exhibition registratic number	<ol> <li>Date of acquisition         <ul> <li>(if specimen originated in a Member State of the Union)</li> </ul> </li> </ol>			
19. I hereby apply for the certificate	indicated ab	oove.					
Remarks			particulars provided are	to the best	y evidence and declare that all the of my knowledge and belief correct. I tificate for the above specimens was		
		Name of Applicant					
Live animals will be transported Guidelines for the Transport and Pre Animals or, in the case of air transp ubbliched by the Intermetional Air Tr	paration for port, the Live	Shipment of Live Wild Animals Regulations					
published by the International Air Tra	ansport Asso	ciation (IATA)	Place and date				

- 3. Complete the full name, permanent address and country of the owner of the specimen covered by the certificate (not of an agent). Absence of the signature of the owner renders the certificate invalid.
- 8. Where appropriate, indicate the number of the security stamp affixed in block 19.
- 9. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 10. Describe, as precisely as possible, the specimen covered by the certificate, including identifying marks (tags, rings, unique markings, etc.) sufficient to permit the authorities of the country into which the exhibition enters to verify that the certificate corresponds to the specimen covered. The sex and age, at the time of the issuance of the certificate, should be recorded, where possible.
- 11. Indicate the total number of specimens. In the case of live animals it should normally be one. If more than one specimen, state 'see attached inventory'.
- 12. Enter the number of the Appendix to the Convention (I, II or III) in which the species is listed at the time of application.
- 13. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the time of application.
- 14. Use the codes below to indicate the source. This certificate may not be used for specimens with source code W, R, F or U unless they were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 became applicable to them and the code O is also used.
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
  - U Source unknown (must be justified)
  - O Pre-Convention (may be used in conjunction with any other code).
- 15/16. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated. Where this is a third country (i.e. a non-EU country), box 16 must contain details of the relevant permit. Where specimens originating in a Member State of the Union are exported from another, only the name of the Member State of origin must be mentioned in box 15.
- 17. This block must contain the exhibition registration number.

- 18. Enter the date of acquisition only for specimens which were acquired in, or were introduced into, the Union before the provisions relating to species listed in Appendices I, II or III to the Convention or Annex C to Regulation (EEC) No 3626/82 or Annexes A, B and C to Regulation (EC) No 338/97 applied to them.
- 19. Provide as many details as possible and justify any omissions to the information required above.

ANNEX IV

	TRAVELLING-EXHIBITION CERTIFICATE											
	CONVENTION C INTERNATIONA ENDANGERED	L TRADE IN	PERSONAL	OWNER	SHIP CERTIF	FICATE						
• • • • •	WILD FAUNA A		CONTINUATION SHEET									
			Page		of	_						
1. Original certificate No	C		4. Issuing Management	Authority								
			-									
8. Security stamp No												
3. Owner of specimen(s	3. Owner of specimen(s) (name, permanent address and country of registration)											
Customs office of import	Date Signatur	e Official stamp	Customs office of (re)export	Date	Signature	Official stamp						
Customs office of import	Date Signatur	e Official stamp	Customs office of (re)export	Date	Signature	Official stamp						
Customs office of import	Date Signatur	e Official stamp	Customs office of (re)export	Date	Signature	Official stamp						
Customs office of import	Date Signatur	e Official stamp	Customs office of (re)export	Date	Signature	Official stamp						
				Duio	oignataro							
Customs office of import	Date Signatur	e Official stamp	Customs office of (re)export	Date	Signature	Official stamp						
Customs office of import	Date Signatur	e Official stamp	Customs office of (re)export	Date	Signature	Official stamp						

# ANNEX V

	EUH	30	PE	AN I	JNIC	DN						
1	1.	н	old	ər			CERTIFICATE					No
							Not for use outsid	de the	European U	nion		
							Certificate of I	egal a	cquisition			
							Certificate for	comm	ercial activities	6		
							Certificate for	moven	nent of live sp	ecimen		
_							Council Regulatio 865/2006 on the lating trade there	protec				
ORIGINAL	2. /	Αι	uth	orized	l loc	ation for live specimens of Annex A species	3. Issuing Manage	ment A	Nuthority			
_				riptio als)	n of	specimens (incl. marks, sex/date of birth for live	5. Net mass (kg)			6. Quantity		
							7. CITES Append	lix	8. EU Ann	ex	9. Sou	rce
							10. Country of orig	jin			•	
1							11. Permit No			12. Date of i	issue	
	16.	. :	Sci	entific	na na	me of species		13. N	Member State	of import		
	17.	. (	Cor	nmor	n na	me of species (if available)	14. Document No			15. Date of	issue	
	18.	. 1	lt is	here	by i	certified that the specimens described above:						
		â	a)		we	ere taken from the wild in accordance with the le	gislation in force in t	ne issu	ing Member S	State		
		ł	b)		ar	e abandoned or escaped specimens that were r	ecovered in accordar	ice wit	h the legislation	on in force in	the issuin	g Member State
		¢	c)		ar	e captive born-and-bred or artificially propagated	specimens					
		(	d)		we	ere acquired in or introduced into the Union in co	ompliance with the pr	ovision	s of Council I	Regulation (EC	C) No 338	/97
		(	e)		we	ere acquired in or introduced into the Union befo	re 1 June 1997 in ac	cordar	ice with Coun	cil Regulation	(EEC) No	3626/82
		f	f)		we	ere acquired in or introduced into the Union befo	re 1 January 1984 in	comp	liance with the	provisions of	f CITES	
		ç	g)			ere acquired in or introduced into the issuing M 26/82 or of CITES became applicable in this ter		he pro	visions of Re	gulations (EC)	) No 338/	97 or (EEC) No
	19.		Thi	s doc	ume	ent is issued for the purpose of :						
		â	a)			nfirming that a specimen to be (re-) exported has ecies in question	been acquired in ac	cordan	ce with the le	gislation in for	ce on the	protection of the
		ł	b)			empting for sale Annex A specimens from the pro 8/97	hibitions relating to co	ommer	cial activities l	sted in Article	8.1 of Re	gulation (EC) No
		(	c)			empting for display to the public without sale A ticle 8.1 of Regulation (EC) No 338/97	nnex A specimens fro	om the	prohibitions r	elating to con	nmercial a	ctivities listed in
		¢	d)		us	ing the specimens for the advancement of scienc	e/breeding or propaga	tion/re	search or edu	cation or other	r non-detri	mental purposes
		6	e)			thorising the movement within the Union of a liv rtificate	ve Annex A specime	n from	the location i	ndicated in th	ne import	permit or in any
	Ce	rti	ific	ate v	alid	only for holder named in box 1			Yes			No 🗖
	20.	. :	Spe	cial	cond	litions					_	
				١	lam	e of issuing official	Place and date			Signature	e and star	mp

- 1. Full name and address of the holder of the certificate, not of an agent.
- 2. Only to be completed in case the import permit for the specimens concerned prescribes the location at which they are to be kept, or where specimens that were taken from the wild in a Member State shall be required to be kept at an authorised address.

Any movement, except for urgent veterinary treatment and provided the specimens are returned directly to their authorised location, from the location indicated shall then be subject to prior authorisation from the competent management authority (see box 19).

- 4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- 7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of issue of the certificate.
- 8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date of issue of the certificate.
- 9. Use one of the following codes to indicate the source:
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
  - I Confiscated or seized specimens (1)
  - O Pre-convention (<sup>1</sup>)
  - U Source unknown (must be justified)
- 10 to 12. The country of origin is the country where the specimens were taken form the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
- 16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.

<sup>(1)</sup> To be used only in conjunction with another source code.

# ▼<u>C1</u>

	EUF	ROF	PE	AN U	NION						
?	1.	Ho	lde	ər		CERTIFICATE					No
						Not for use outsid	le the	European U	nion		
						Certificate of le	egal ad	quisition			
						Certificate for	comme	ercial activities	6		
						Certificate for	moven	nent of live sp	ecimen		
						Council Regulatio 865/2006 on the regulating trade t	prote				
	2.	Au	thc	orised	location for live specimens of Annex A species	3. Issuing Manager	ment A	uthority			
				riptior als)	of specimens (incl. marks, sex/date of birth for live	5. Net mass (kg)			6. Quantity		
8						7. CITES Appendix	[	8. EU Anne:	x	9. Sourc	e
						10. Country of orig	in				
2						11. Permit No			12. Permit N	lo	
	16.	S	cie	ntific	name of species		13. N	lember State	of import		
Ī	17.	С	on	nmon	name of species (if available)	14. Document No			15. Date of	issue	
	10.		) ) )		by certified that the specimens described above: were taken from the wild in accordance with the leg are abandoned or escaped specimens that were re are captive born-and-bred or artificially propagated a were acquired in or introduced into the Union in con- were acquired in or introduced into the Union befor-	covered in accordan specimens mpliance with the pro	ce with ovision	n the legislations of Council F	on in force in Regulation (EC	C) No 338	s/97.
		f) g			were acquired in or introduced into the Union before were acquired in or introduced into the issuing Me 3626/82 or of CITES became applicable in this terri	mber State before t					'97 or (EEC) No
-	19.			doci	ument is issued for the purpose of : confirming that a specimen to be (re-) exported has species in question	been acquired in acc	ordan	ce with the leg	gislation in for	ce on the	protection of the
		b	)		exempting for sale Annex A specimens from the prof 338/97	nibitions relating to co	ommero	cial activities li	sted in Article	8.1 of Re	gulation (EC) No
		c	)		exempting for display to the public without sale An Article 8.1 of Regulation (EC) No 338/97	nex A specimens fro	m the	prohibitions r	elating to com	nmercial a	activities listed in
		d e			using the specimens for the advancement of science authorising the movement within the Union of a live certificate						
	Ce	rtif	lica	ate va	lid only for holder named in box 1			Yes			No 🗖
					onditions						
-					ome of insuing official	lince and data			Circut	o and sta	
				IN	ame of issuing official F	Place and date			Signature	e and sta	hih

▼<u>C1</u>

		AN U	NION						
3 1.	Appli	cant		CERTIFICATE					No
				Not for use outsid			nion		
				Certificate of le	-	•			
				Certificate for o					
				Certificate for r Council Regulation 865/2006 on the regulating trade th	n (EC) prote	No 338/97 a	and Commiss		
5 2	Autho	orised	location for live specimens of Annex A species	3. Issuing Manager					
4.	Desc anima		of specimens (incl. marks, sex/date of birth for live	5. Net mass (kg)			6. Quantity		
				7. CITES Appendix		8. EU Anne	x	9. Sourc	e
				10. Country of origi	n				
3				11. Permit No			12. Date of	issue	
16	. Scie	entific	name of species		13. N	lember State	of import		
17	. Con	nmon	name of species (if available)	14. Document No			15. Date of	issue	
18	. I he	reby o	certify that the specimens described above:						
	a)		were taken from the wild in accordance with the leg	islation in force in th	e issu	ing Member S	State		
	b)		are abandoned or escaped specimens that were re-	covered in accordance	ce wit	n the legislatio	on in force in	the issuin	g Member State
	c)		are captive born-and-bred or artificially propagated s	specimens					
	d)		were acquired in or introduced into the Union in cor	mpliance with the pro	vision	s of Council F	Regulation (EC	C) No 338	/97.
	e)		were acquired in or introduced into the Union before	e 1 June 1997 in acc	cordan	ice with Coun	cil Regulation	(EEC) No	3626/82.
	f)		were acquired in or introduced into the Union before	e 1 January 1984 in	compl	iance with the	e provisions of	f CITES	
	g)		were acquired in or introduced into the issuing Me 3626/82 or of CITES became applicable in this terri		ne pro	visions of Re	gulations (EC)	) No 338/	97 or (EEC) No
19	. Ire	quest	a document for the purpose of :						
	a)		confirming that a specimen to be (re-) exported has species in question	been acquired in acc	ordan	ce with the leg	gislation in for	ce on the	protection of the
	b)		exempting for sale Annex A specimens from the proh 338/97	nibitions relating to co	mmer	cial activities li	sted in Article	8.1 of Re	gulation (EC) No
	c)		exempting for display to the public without sale Ann Article 8.1 of Regulation (EC) No 338/97	nex A specimens fro	m the	prohibitions r	elating to con	nmercial a	ctivities listed in
	d)		using the specimens for the advancement of science,	/breeding or propagat	tion/re	search or edu	cation or other	r non-detri	mental purposes
	e)		authorising the movement within the Union of a live certificate	e Annex A specimen	from	the location i	ndicated in th	ne import	permit or in any
20	. Ren	narks		the particula	rs pro clare	vided are to that an appli	the best of n cation for a	ny knowle	declare that all edge and belief a for the above
			Name of applicant	Signature			Place	and date	

- 1. Full name and address of the applicant for the certificate, not of an agent.
- To be completed only on the application form in the case of live specimens of Annex A species other than captive bred or artificially propagated specimens.
- 4. Description must be as precise as possible and include a three-letter code in accordance with Annex VII to Regulation (EC) No 865/2006 laying down detailed rules concerning the implementation of Council Regulation (EC) No 338/97 on the protection of species of wild fauna and flora by regulating trade therein.
- 5/6. Use the units of quantity and/or net mass in accordance with those contained in Annex VII to Regulation (EC) No 865/2006.
- 7. Enter the number of the CITES Appendix (I, II or III) in which the species is listed at the date of application.
- 8. Enter the letter of the Annex to Regulation (EC) No 338/97 (A, B or C) in which the species is listed at the date application.
- 9. Use one of the following codes to indicate the source:
  - W Specimens taken from the wild
  - R Specimens of animals reared in a controlled environment, taken as eggs or juveniles from the wild, where they would otherwise have had a very low probability of surviving to adulthood
  - D Annex A animals bred in captivity for commercial purposes in operations included in the Register of the CITES Secretariat, in accordance with Resolution Conf. 12.10 (Rev. CoP15), and Annex A plants artificially propagated for commercial purposes in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - A Annex A plants artificially propagated for non-commercial purposes and Annexes B and C plants artificially propagated in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - C Animals bred in captivity in accordance with Chapter XIII of Regulation (EC) No 865/2006, as well as parts and derivatives thereof
  - F Animals born in captivity, but for which the criteria of Chapter XIII of Regulation (EC) No 865/2006 are not met, as well as parts and derivatives thereof
  - I Confiscated or seized specimens (1)
  - O Pre-convention (1)
  - U Source unknown (must be justified)
- 10 to 12. The country of origin is the country where the specimens were taken from the wild, born and bred in captivity, or artificially propagated.
- 13 to 15. The Member State of import is, where applicable, the Member State having issued the import permit for the specimens concerned.
- 16. The scientific name must be in accordance with the standard references for nomenclature referred to in Annex VIII to Regulation (EC) No 865/2006.
- 18. Provide as many details as possible and justify any omissions to the information required above.

 $<sup>\</sup>left( ^{1}\right)$  To be used only in conjunction with another source code.

ANNEX VI

1. Contents:         2. From (full name and address):         3. Registration No:	
2. From (full name and address):	
2. From (full name and address):	
3. Registration No:	
4. To (full name and address):	
5. Registration No: Label No:	
This part to be returned to the management aut Registration No of sender	
Registration No of recipient	
Contents:	
Label No:	