

Regulation (EU) No 1073/2013 of the European Central Bank of 18 October 2013 concerning statistics on the assets and liabilities of investment funds (recast) (ECB/2013/38)

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ANNEX I

STATISTICAL REPORTING REQUIREMENTS

PART 1

General statistical reporting requirements

1. The actual reporting population must provide the following statistical information:...
2. The information to be provided to the relevant NCB on...
3. As far as it fulfils the conditions of the protection...

PART 2

Residency and economic sector of the holders of IF shares/units...

1. Reporting agents report, on a quarterly basis, data on the...
2. As regards registered shares/units, reporting agents report data on the...
3. As regards bearer shares/units, reporting agents report data on the...
4. NCBs may also derive the necessary information from the data...
5. If registered shares/units or bearer shares/units are issued for the...

Changes to legislation: There are outstanding changes not yet made to Regulation (EU) No 1073/2013 of the European Central Bank. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

PART 3

Reporting tables

Table 2 Required security-by-security information

1. Data for field 1 must be reported.
2. If the relevant NCB does not directly collect security-by-security information...
3. If the relevant NCB directly collects security-by-security information on transactions,...
4. The relevant NCB may also require reporting agents to report...
5. The relevant NCB may choose only to collect data for...

ANNEX II

DEFINITIONS

PART 1

Definitions of instrument categories

1. This table provides a detailed standard description of the instrument...
2. For some of the instrument categories, maturity breakdowns are required....
3. Financial claims can be distinguished by whether they are negotiable...

PART 2

Definitions of security-by-security attributes

PART 3

Definitions of sectors

ANNEX III

REVALUATION ADJUSTMENTS OR TRANSACTIONS

1. The actual reporting population must report revaluation adjustments or transactions...
2. 'Financial transactions' refers to those transactions that arise out of...
3. 'Price and exchange rate revaluations' refers to fluctuations in the...

ANNEX IV

MINIMUM STANDARDS TO BE APPLIED BY THE ACTUAL REPORTING POPULATION

Reporting agents must fulfil the following minimum standards to meet...

1. Minimum standards for transmission:

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2. Minimum standards for accuracy:
3. Minimum standards for compliance with concepts:
4. Minimum standards for revisions:

ANNEX V

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- (1) [OJ L 318, 27.11.1998, p. 8.](#)
- (2) [OJ L 211, 11.8.2007, p. 8.](#)
- (3) [OJ L 174, 26.6.2013, p. 1.](#)

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Changes and effects yet to be applied to :

- [Regulation revoked by S.I. 2021/1300 Sch. 1 para. 69](#)