

**Corrigendum to Regulation (EU) No 1073/2013 of the European Central Bank of 18 October 2013 concerning statistics on the assets and liabilities of investment funds (ECB/2013/38)**

*(Official Journal of the European Union L 297 of 7 November 2013)*

On page 80, Annex I, Part 3 – Reporting tables, Table 1 ‘Stocks – Data required to be provided on a quarterly basis’ is replaced as follows:



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On pages 82 and 83, Annex I, Part 3 – Reporting tables, Table 3 'Revaluation adjustments or transactions – Data required to be provided on a quarterly basis' and Table 4, 'Data required to be provided on a monthly basis' are replaced as follows:

Table 3  
Revaluation adjustments or transactions  
Data required to be provided on a quarterly basis

	A. Domestic								B. Euro area other than domestic						C. Rest of the World			D. Total
	MFIs	Non-MFIs - Total							MFIs	Non-MFIs - Total					of which non-euro area Member States	of which US	of which Japan	
		General gov't (S.13)	Total	Non-MMF investment funds	Other financial intermediaries + financial auxiliaries + captive financial institutions and money lenders (S.125+ S.126+ S.127)	Insurance corporations + pension funds (S.128+ S.129)	Non-financial corporations (S.11)	Households + non-profit institutions serving households (S.14+S.15)		General gov't (S.13)	Total	Non-MMF investment funds	Other financial intermediaries + financial auxiliaries + captive financial institutions and money lenders (S.125+ S.126+ S.127)	Insurance corporations + pension funds (S.128+ S.129)				
<b>ASSETS</b>																		
<b>1 Deposit and loan claims</b>																		
up to 1 year																		
over 1 year																		
<b>2 Debt securities</b>																		
<b>2e. Euro</b>																		
up to 1 year																		
over 1 year and up to 2 years																		
over 2 years																		
<b>2x. Foreign currencies</b>																		
up to 1 year																		
over 1 year and up to 2 years																		
over 2 years																		
<b>2t. Total currencies</b>																		
up to 1 year	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM
over 1 year and up to 2 years	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM
over 2 years	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM
<b>3 Equity and investment fund shares/units</b>	MINI-MUM		MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM		MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM
of which listed shares	MINI-MUM		MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM		MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM	MINI-MUM
of which investment fund shares/units	MINI-MUM	MINI-MUM							MINI-MUM	MINI-MUM								
<b>4 Financial derivatives</b>																		
<b>5 Non-financial assets (including fixed assets)</b>																		MINI-MUM (e) MINI-MUM (e)
<b>6 Remaining assets</b>																		
<b>LIABILITIES</b>																		
<b>7 Loans and deposits received</b>																		
up to 1 year																		
over 1 year																		
<b>8 IF shares/units (f)</b>																		MINI-MUM
<b>9 Financial derivatives</b>																		MINI-MUM (e)
<b>10 Remaining liabilities</b>																		

IFs report: (i) the black cells marked 'MINIMUM'; (ii) the grey cells marked 'MINIMUM' for securities that are not collected on an s-b-s basis; and (iii) if the relevant NCB collects s-b-s information on transactions directly, the information requested in Table 2 for those securities that are collected on an s-b-s basis. The NCBs may extend these requirements to: (i) the black cells that do not contain the word 'MINIMUM'; and (ii) the grey cells that do not contain the word 'MINIMUM' for those securities that are not collected on an s-b-s basis.

(f) If the reporting agent is not in a position to directly identify the residency and sector of the holder, it reports the relevant data on the basis of available information. In the case of bearer shares, the information may be collected from MFIs or OFIs (as specified in Article 2(2) of this Regulation and paragraph 3 in Part 2 of this annex).  
(e) The NCBs may exempt IFs from reporting this item, if the quarterly stocks listed in Table 1 represent less than 5% of IF shares/units issued.

Table 4  
Data required to be provided on a monthly basis

	A. Domestic				B. Euro area other than domestic				C. Rest of the World	D. Total
	MFIs	Non-MFIs - Total			MFIs	Non-MFIs - Total				
		General gov't (S.13)	Total	Other residents		Non-MFIs - Total	Other residents	Non-MFIs - Total		
LIABILITIES										
8 IF shares/units (1)										
Issuance of IF shares/units										
Redemption of IF shares/units										

(1) Stocks: revaluation adjustments or transactions