

**Changes to legislation:** There are outstanding changes not yet made to Commission Delegated Regulation (EU) No 114/2013. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

## ANNEX I

### Standard format of the application for a derogation to be submitted by manufacturers of light commercial vehicles meeting the criteria of Article 11(1) of Regulation (EU) No 510/2011

The electronic version of the application shall be sent to the following e-mail address:

EC-CO2-LDV-IMPLEMENTATION@ec.europa.eu

1. **Name, address and contact person for the manufacturer or group of connected manufacturers**

Name of manufacturer	Postal address	Contact person name	Contact person e-mail	Contact person telephone number

2. **Name, address and contact person for the manufacturer's EU Representative (Only in case if a manufacturer is established outside the EU)**

Name of the manufacturer's EU representative	Postal address	Contact person name	Contact person e-mail	Contact person telephone number

3. **Eligibility criteria**

3.1. *Is the applicant part of a group of connected manufacturers?*

# YES (declaration set out in Annex II to be attached)

# NO

3.2. *Is the applicant part of a group of connected manufacturers but operates its own production facilities and design centre?*

# YES (declaration set out in Annex II to be attached; see point 3.3)

# NO (see points 3.4 and 3.5)

3.3. *Number of new light commercial vehicles registered in the Union if the application refers to an unconnected manufacturer or connected manufacturer that operates its own production facilities and own design centre*

3.3.1. Official figure in three calendar years preceding the date of application

Year			
Number of new EU registrations			

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- 3.3.2. Where the official figure referred to in point 3.3.1 is not available for the period referred to therein, an estimate based on verifiable data

Year			
Number of new EU registrations			

- 3.3.3. Where the figures in point 3.3.1 and 3.3.2 are not available for that period, the figures for the last calendar year for which such data is available

Year			
Number of new EU registrations			

- 3.4. *If the application refers to a group of connected manufacturers please provide the following*

Names of manufacturers	Postal Address	Contact person name	Contact person e-mail	Contact person telephone number

- 3.5. *Number of new light commercial vehicles registered in the Union of a group of connected manufacturers if the application refers to a group of connected manufacturers and the applicant does not operate its own production facilities and own design centre*

- 3.5.1. Official figure in three calendar years preceding the date of application

Year			
Number of new EU registrations			

- 3.5.2. Where the official figure referred to in point 3.5.1 is not available for the period referred to therein, an estimate based on verifiable data

Year			
Number of new EU registrations			

- 3.5.3. Where the figures in point 3.5.1 and 3.5.2 are not available for that period, the figure for the last calendar year for which such data is available

Year			
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Number of new EU registrations			
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**4. Requested duration of the derogation**

Number of calendar years (maximum 5)			
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**5. Proposal for a specific emissions target calculated as fleet average for the period of derogation or separate specific emissions targets in case of yearly reductions (in g CO<sub>2</sub>/km)**

Year				
Average specific emission target (g CO <sub>2</sub> /km)				

**6. Company specific information**

6.1. *Average specific emissions of CO<sub>2</sub> in 2010 if not included in Annex III (or if not available in the following calendar year closest to 2010)*

6.2. *Number of employees in the calendar year preceding the date of application*

6.3. *Size of the production facility in square meters in the calendar year preceding the application*

6.4. *Sales volumes for 5 years preceding the date of application*

Year				
Sales volumes				

6.5. *Yearly turnover for 5 years preceding the date of application*

Year				
Turnover				

**6.6. Characteristics of the market**

Information on planned products, not available on the market at the time of the application, should be provided in the confidential section of this application:

- (a) the vehicle characteristics;

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- (b) the names and price ranges of directly competing vehicles in the year preceding the date of application;
- (c) the price list of vehicles that are to be covered by the derogation in the calendar year preceding the date of application or in the closest year to the date of application.

6.7. *Brief description of the operational model of the production facility*

#### CONFIDENTIAL SECTION OF THE APPLICATION

6.8. *Net profit for 5 years preceding the date of application*

Year					
Net profit					

6.9. *Research and development spending on CO<sub>2</sub>-reducing technologies over 5 years preceding the date of application*

Year					
R&D spending					

6.10. *Net financial transfers to the parent company in case of connected undertakings during 5 years preceding the date of application*

Year					
Net transfers					

**7. Details of the light commercial vehicles to be launched on the Union market for which the applicant will be responsible**

7.1. *Characteristics of the market*

7.1.1. Vehicle characteristics,

7.1.2. Names and price ranges of directly competing vehicles in the year preceding the date of application,

7.1.3. Expected price list of vehicles to be covered by the derogation.

**8. Applicant's technological potential to reduce its specific emissions of CO<sub>2</sub>**

8.1. *List of CO<sub>2</sub> reducing technologies deployed in the applicant's fleet in 2010*

8.2. *Where the list referred to in point 8.1 is not available, the list for the following year closest to 2010*

8.3. *In case of applicant's planning to enter the Union market, the list referred to in point 8.1 should be provided for the first year of the derogation*

**9. Applicant's programme for the reduction of specific emissions of CO<sub>2</sub>**

9.1. *Timetable for deployment of CO<sub>2</sub> reducing technologies in the fleet*

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- 9.2. *Expected fleet average during the period of derogation*
  - 9.2.1. Union registrations of new light commercial vehicles per year during the period of derogation,
  - 9.2.2. Expected average mass of vehicles to be launched on the Union market, their power of the engines and information on power train configuration,
  - 9.2.3. Expected average specific CO<sub>2</sub> emissions of vehicles to be launched on the Union market.
- 9.3. *CO<sub>2</sub> reducing technologies to be deployed in the applicant's fleet under the programme for the reduction of specific emissions of CO<sub>2</sub>*
- 9.4. *The additional costs per vehicle version of the technologies to be deployed as part of the programme for the reduction of specific emissions of CO<sub>2</sub>*
- 9.5. *In the case of yearly targets, yearly improvement of specific CO<sub>2</sub> emissions of the vehicle versions for which CO<sub>2</sub> reducing technologies are introduced*

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**Changes and effects yet to be applied to :**

- Regulation revoked by [S.I. 2023/1394 art. 114\(1\)](#)
- Annex 1 word substituted by [S.I. 2021/1242 reg. 3\(3\)](#)
- Annex 1 point 6.1 words omitted by [S.I. 2019/550 reg. 31\(8\)\(g\)](#)
- Annex 1 words substituted by [S.I. 2019/550 reg. 31\(8\)\(a\)](#)
- Annex 1 point 2 words substituted by [S.I. 2019/550 reg. 31\(8\)\(b\)](#)
- Annex 1 point 3.3 words substituted by [S.I. 2019/550 reg. 31\(8\)\(c\)](#)
- Annex 1 point 3.3.1 words substituted by [S.I. 2019/550 reg. 31\(8\)\(d\)](#)
- Annex 1 point 3.3.2 words substituted by [S.I. 2019/550 reg. 31\(8\)\(d\)](#)
- Annex 1 point 3.3.3 words substituted by [S.I. 2019/550 reg. 31\(8\)\(d\)](#)
- Annex 1 point 3.5 words substituted by [S.I. 2019/550 reg. 31\(8\)\(e\)](#)
- Annex 1 point 3.5.1 words substituted by [S.I. 2019/550 reg. 31\(8\)\(f\)](#)
- Annex 1 point 3.5.2 words substituted by [S.I. 2019/550 reg. 31\(8\)\(f\)](#)
- Annex 1 point 3.5.3 words substituted by [S.I. 2019/550 reg. 31\(8\)\(f\)](#)
- Annex 1 point 7 words substituted by [S.I. 2019/550 reg. 31\(8\)\(h\)](#)
- Annex 1 point 8.3 words substituted by [S.I. 2019/550 reg. 31\(8\)\(i\)](#)
- Annex 1 point 9.2.1 words substituted by [S.I. 2019/550 reg. 31\(8\)\(j\)](#)
- Annex 1 point 9.2.2 words substituted by [S.I. 2019/550 reg. 31\(8\)\(j\)](#)
- Annex 1 point 9.2.3 words substituted by [S.I. 2019/550 reg. 31\(8\)\(j\)](#)
- Annex 1 point 2 words substituted by [S.I. 2021/898 reg. 3\(2\)\(a\)\(i\)](#)
- Annex 1 point 2 words substituted by [S.I. 2021/898 reg. 3\(2\)\(a\)\(ii\)](#)
- Annex 1 point 2 words substituted by [S.I. 2021/898 reg. 3\(2\)\(b\)](#)
- Annex 1 point 7 heading words substituted by [S.I. 2023/1394 art. 114\(3\)\(b\)\(i\)](#)
- Annex 1 point 9.2.2 words substituted by [S.I. 2023/1394 art. 114\(3\)\(b\)\(ii\)](#)
- Annex 1 point 9.2.3 words substituted by [S.I. 2023/1394 art. 114\(3\)\(b\)\(iii\)](#)
- Annex 1 point 2 words substituted in earlier amending provision [S.I. 2019/550, reg. 31\(8\)\(b\)](#) by [S.I. 2020/1418 reg. 2\(10\)](#)

**Changes and effects yet to be applied to the whole legislation item and associated provisions**

- Signature words omitted by [S.I. 2019/550 reg. 31\(7\)](#)
- Art. 4(b) words substituted by [S.I. 2019/550 reg. 31\(2\)](#)
- Art. 5(2)(f) words substituted by [S.I. 2023/1394 art. 114\(3\)\(a\)\(i\)](#)
- Art. 5(3)(b) words substituted by [S.I. 2023/1394 art. 114\(3\)\(a\)\(ii\)](#)
- Art. 5(5)(b) words substituted by [S.I. 2019/550 reg. 31\(3\)\(b\)](#)