

Commission Delegated Regulation (EU) No 231/2013 of 19 December 2012 supplementing Directive 2011/61/EU of the European Parliament and of the Council with regard to exemptions, general operating conditions, depositaries, leverage, transparency and supervision (Text with EEA relevance)

## CHAPTER III

### OPERATING CONDITIONS FOR AIFMs

#### SECTION I

#### *General principles*

F1 ...

#### *Article 26*

#### **Reporting obligations in respect of execution of subscription and redemption orders**

1 Where AIFMs have carried out a subscription or, where relevant, a redemption order from an investor, they shall promptly provide the investor, by means of a durable medium, with the essential information concerning the execution of that order or the acceptance of the subscription offer, as the case may be.

2 Paragraph 1 shall not apply where a third person is required to provide the investor with a confirmation concerning the execution of the order and where the confirmation contains the essential information.

AIFMs shall ensure that the third person complies with its obligations.

3 The essential information referred to in paragraphs 1 and 2 shall include the following information:

- a the identification of the AIFM;
- b the identification of the investor;
- c the date and time of receipt of the order;
- d the date of execution;
- e the identification of the AIF;
- f the gross value of the order including charges for subscription or the net amount after charges for redemptions.

4 AIFMs shall supply the investor, upon request, with information about the status of the order or the acceptance of the subscription offer, or both as the case may be.

**Changes to legislation:**

Commission Delegated Regulation (EU) No 231/2013, Article 26 is up to date with all changes known to be in force on or before 19 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- [Regulation revoked by 2023 c. 29 Sch. 1 Pt. 3](#)

**Changes and effects yet to be applied to the whole legislation item and associated provisions**

- [Art. 50\(a\) words substituted by S.I. 2024/705 Sch. 2 para. 15\(a\)](#)
- [Art. 50\(b\) words substituted by S.I. 2024/705 Sch. 2 para. 15\(b\)](#)
- [Art. 50\(c\) words substituted by S.I. 2024/705 Sch. 2 para. 15\(c\)](#)
- [Art. 50\(d\) words substituted by S.I. 2024/705 Sch. 2 para. 15\(d\)](#)
- [Art. 50\(e\) omitted by S.I. 2024/705 Sch. 2 para. 15\(e\)](#)