

## ANNEX IV

**[<sup>F1</sup>Information to be provided for opening a person holding account (Article 18)]****Textual Amendments**

- F1** Annex 4 heading substituted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(a)**

1. The information set out in Table III-I of Annex III. (The account ID and the alphanumeric identifier are unique within the registry system.)
2. Proof that the person requesting the account opening has an open bank account in a Member State of the European Economic Area [<sup>F2</sup>or the United Kingdom].

**Textual Amendments**

- F2** Words in [Annex 4](#) point 2 inserted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(b)**

3. Evidence to support the identity of the natural person requesting the account opening, which may be a copy of one of the following:
  - (a) an identity card issued by a State that is a member of the European Economic Area or the Organisation for Economic Cooperation and Development;
  - (b) a passport.
4. Evidence to support the address of the permanent residence of the natural person account holder, which may be a copy of one of the following:
  - (a) the identity document submitted under point 3, if it contains the address of the permanent residence;
  - (b) any other government-issued identity document that contains the address of permanent residence;
  - (c) if the country of permanent residence does not issue identity documents that contain the address of permanent residence, a statement from the local authorities confirming the nominee's permanent residence;
  - (d) any other document that is customarily accepted in the [<sup>F3</sup>United Kingdom] as evidence of the permanent residence of the nominee.

**Textual Amendments**

- F3** Words in [Annex 4](#) point 4(d) substituted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(c)**

5. The following documents in case of a legal person requesting the account opening:
  - (a) a copy of the instruments establishing the legal entity and a copy of a document proving the registration of the legal entity;

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*Changes to legislation: There are currently no known outstanding effects for the Commission Regulation (EU) No 389/2013, ANNEX IV. (See end of Document for details)*

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- (b) bank account details;
- (c) a confirmation of VAT registration;
- (d) information on the legal entity's beneficial owner as defined in [F<sup>4</sup>regulation 3 of the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017] including the type of ownership or control they are exercising;
- (e) list of directors;
- (f) a copy of the annual report or of the latest audited financial statements, or if no audited financial statements available, a copy of the financial statements stamped by the tax office or the financial director.

**Textual Amendments**

**F4** Words in [Annex 4](#) point 5(d) substituted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(d)**

- 6. Evidence to support the registered address of the legal person account holder, if this is not clear from the document submitted in accordance with point 5.
- 7. [F<sup>5</sup>Any criminal] record of the natural person requesting the account opening or if it is a legal person, of its directors.

**Textual Amendments**

**F5** Words in [Annex 4](#) point 7 substituted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(e)**

- 8. Any copy of a document submitted as evidence under this annex must be certified as a true copy by a notary public or other similar person specified by the national administrator. Regarding documents issued outside the [F<sup>6</sup>United Kingdom], the copy must be legalised. The date of the certification or legalisation must not be more than three months prior to the date of application.

**Textual Amendments**

**F6** Words in [Annex 4](#) point 8 substituted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(f)**

- 9. The [F<sup>7</sup>national administrator] may require that the documents submitted are accompanied with a certified translation into a language specified by [F<sup>8</sup>the national administrator].

**Textual Amendments**

**F7** Words in [Annex 4](#) point 9 substituted (1.5.2021) by [The Greenhouse Gas Emissions \(Kyoto Protocol Registry\) Regulations 2021 \(S.I. 2021/511\)](#), regs. 1, **10(4)(g)(i)**

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**Changes to legislation:** There are currently no known outstanding effects for the Commission Regulation (EU) No 389/2013, ANNEX IV. (See end of Document for details)

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**F8** Words in Annex 4 point 9 substituted (1.5.2021) by The Greenhouse Gas Emissions (Kyoto Protocol Registry) Regulations 2021 (S.I. 2021/511), regs. 1, **10(4)(g)(ii)**

10. Instead of obtaining paper documents, the [<sup>F9</sup>national administrator] may use electronic mechanisms to check the evidence to be submitted in accordance with this Annex.

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**Textual Amendments**

**F9** Words in Annex 4 point 10 substituted (1.5.2021) by The Greenhouse Gas Emissions (Kyoto Protocol Registry) Regulations 2021 (S.I. 2021/511), regs. 1, **10(4)(h)**

**Changes to legislation:**

There are currently no known outstanding effects for the Commission Regulation (EU) No 389/2013, ANNEX IV.