

Commission Implementing Regulation (EU) No 402/2013 of 30 April 2013 on the common safety method for risk evaluation and assessment and repealing Regulation (EC) No 352/2009 (Text with EEA relevance)

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ANNEX I

1. GENERAL PRINCIPLES APPLICABLE TO THE RISK MANAGEMENT PROCESS
  - 1.1. General principles and obligations
    - 1.1.1. The risk management process shall start from a definition of...
    - 1.1.2. The risk management process shall include appropriate quality assurance activities...
    - 1.1.3. The proposer in charge of the risk management process shall...
    - 1.1.4. The actors who already have in place methods or tools...
    - 1.1.5. Without prejudice to civil liability in accordance with the legal...
    - 1.1.6. The first step of the risk management process shall be...
    - 1.1.7. Evaluation of the correct application of the risk management process...
  - 1.2. Interfaces management
    - 1.2.1. For each interface relevant to the system under assessment and...
    - 1.2.2. If, in order to fulfil a safety requirement, an actor...
    - 1.2.3. For the system under assessment, any actor who discovers that...
    - 1.2.4. The actor implementing the safety measure shall then inform all...

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- 1.2.5. When agreement cannot be reached between two or more actors...
- 1.2.6. When a requirement in a notified national rule cannot be...
- 1.2.7. Independently from the definition of the system under assessment, the...

## 2. DESCRIPTION OF THE RISK ASSESSMENT PROCESS

- 2.1. General description
  - 2.1.1. The risk assessment process is the overall iterative process that...
  - 2.1.2. The system definition shall address at least the following issues:...
  - 2.1.3. A hazard identification shall be carried out on the defined...
  - 2.1.4. The risk acceptability of the system under assessment shall be...
  - 2.1.5. The proposer shall demonstrate in the risk evaluation that the...
  - 2.1.6. The application of these risk acceptance principles shall identify possible...
  - 2.1.7. The iterative risk assessment process is considered to be completed...
- 2.2. Hazard identification
  - 2.2.1. The proposer shall systematically identify, using wide-ranging expertise from a...
  - 2.2.2. To focus the risk assessment efforts upon the most important...
  - 2.2.3. As a criterion, risks resulting from hazards may be classified...
  - 2.2.4. During the hazard identification, safety measures may be identified. They...
  - 2.2.5. The hazard identification only needs to be carried out at...
  - 2.2.6. Whenever a code of practice or a reference system is...
- 2.3. Use of codes of practice and risk evaluation
  - 2.3.1. The proposer, with the support of other involved actors, shall...
  - 2.3.2. The codes of practice shall satisfy at least the following...
  - 2.3.3. Where compliance with TSIs is required by Directive 2008/57/EC and...
  - 2.3.4. National rules notified in accordance with Article 8 of Directive...
  - 2.3.5. If one or more hazards are controlled by codes of...
  - 2.3.6. Where an alternative approach is not fully compliant with a...
  - 2.3.7. If the risk for a particular hazard cannot be made...
  - 2.3.8. When all hazards are controlled by codes of practice, the...
- 2.4. Use of reference system and risk evaluation
  - 2.4.1. The proposer, with the support of other involved actors, shall...
  - 2.4.2. A reference system shall satisfy at least the following requirements:...
  - 2.4.3. If a reference system fulfils the requirements listed in point...
  - 2.4.4. If the system under assessment deviates from the reference system,...
  - 2.4.5. If at least the same safety level as the reference...
- 2.5. Explicit risk estimation and evaluation
  - 2.5.1. If the hazards are not covered by one of the...
  - 2.5.2. The acceptability of the estimated risks shall be evaluated using...
  - 2.5.3. If the risk associated with one hazard or a combination...
  - 2.5.4. The proposer shall not be obliged to perform additional explicit...
  - 2.5.5. Where hazards arise as a result of failures of functions...
  - 2.5.6. Without prejudice to points 2.5.1 and 2.5.4, the harmonised design...
  - 2.5.7. The risk associated with the failures of functions of technical...
  - 2.5.8. The following specific definitions shall apply in reference to the...
  - 2.5.9. Where the failure of a function of the technical system...
  - 2.5.10. Without prejudice to either the procedure specified in Article 8...
  - 2.5.11. Where a technical system is developed on the basis of...
  - 2.5.12. The explicit risk estimation and evaluation shall satisfy at least...

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3. DEMONSTRATION OF COMPLIANCE WITH SAFETY REQUIREMENTS
  - 3.1. Prior to the safety acceptance of the change, fulfilment of...
  - 3.2. This demonstration shall be carried out by each of the...
  - 3.3. The approach chosen for demonstrating compliance with the safety requirements...
  - 3.4. Any inadequacy of safety measures expected to fulfil the safety...
4. HAZARD MANAGEMENT
  - 4.1. Hazard management process
    - 4.1.1. Hazard record(s) shall be created or updated (where they already...
    - 4.1.2. The hazard record shall include all hazards, together with all...
  - 4.2. Exchange of information
5. EVIDENCE FROM THE APPLICATION OF THE RISK MANAGEMENT PROCESS
  - 5.1. The risk management process used to assess the safety levels...
  - 5.2. The documentation produced by the proposer under point 5.1 shall...
  - 5.3. The assessment body shall establish its conclusion in a safety...

## Appendix

Risk management process and independent assessment

## ANNEX II

### CRITERIA FOR ACCREDITATION OR RECOGNITION OF THE ASSESSMENT BODY

1. The assessment body shall fulfil all requirements of the ISO/IEC...
2. By analogy to Article 28 of Directive 2008/57/EC concerning the...
3. The assessment body shall be accredited or recognised for assessing...
4. The assessment body may be accredited or recognised for one,...

## ANNEX III

### SAFETY ASSESSMENT REPORT OF THE ASSESSMENT BODY

The safety assessment report of the assessment body shall contain...  
identification of the assessment body; the independent assessment plan; the...

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- (1) OJ L 164, 30.4.2004, p. 44.
- (2) OJ L 108, 29.4.2009, p. 4.
- (3) OJ L 237, 24.8.1991, p. 25.
- (4) OJ L 191, 18.7.2008, p. 1.
- (5) OJ L 122, 11.5.2011, p. 22.
- (6) OJ L 218, 13.8.2008, p. 30.

### Changes to legislation:

There are outstanding changes not yet made to Commission Implementing Regulation (EU) No 402/2013. Any changes that have already been made to the legislation appear in the content and are referenced with annotations.

View outstanding changes

### Changes and effects yet to be applied to :

- Art. 1 omitted by [S.I. 2019/837 reg. 16\(2\)](#)
- Art. 1 omitted by [S.I. 2019/837 reg. 21\(2\)](#)
- Art. 2(1) words substituted by [S.I. 2019/837 reg. 16\(3\)\(a\)](#)
- Art. 2(1) words substituted by [S.I. 2019/837 reg. 21\(3\)\(a\)](#)
- Art. 2(3) words substituted by [S.I. 2019/837 reg. 16\(3\)\(b\)\(i\)](#)
- Art. 2(3) words substituted by [S.I. 2019/837 reg. 21\(3\)\(b\)\(i\)](#)
- Art. 2(4) word substituted by [S.I. 2019/837, reg. 16\(3\)\(c\)\(i\) \(as substituted\) by S.I. 2019/1310 reg. 7\(4\)\(a\)](#)
- Art. 2(4) word substituted by [S.I. 2019/837, reg. 21\(3\)\(c\)\(i\) \(as substituted\) by S.I. 2019/1310 reg. 7\(7\)\(a\)](#)
- Art. 2(4) words substituted by [S.I. 2019/837 reg. 16\(3\)\(c\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(3)(c) substituted immediately before IP completion day by [S.I. 2019/1310, regs. 1\(2\)\(c\), 7\(4\)\(a\)](#))
- Art. 2(4) words substituted by [S.I. 2019/837 reg. 21\(3\)\(c\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(3)(c) substituted immediately before IP completion day by [S.I. 2019/1310, regs. 1\(2\)\(c\), 7\(7\)\(a\)](#))
- Art. 2(4) words substituted by [S.I. 2019/837, reg. 16\(3\)\(c\)\(ii\) \(as substituted\) by S.I. 2019/1310 reg. 7\(4\)\(a\)](#)
- Art. 2(4) words substituted by [S.I. 2019/837, reg. 21\(3\)\(c\)\(ii\) \(as substituted\) by S.I. 2019/1310 reg. 7\(7\)\(a\)](#)
- Art. 2(5) omitted by [S.I. 2019/837 reg. 16\(3\)\(d\)](#)
- Art. 2(5) omitted by [S.I. 2019/837 reg. 21\(3\)\(d\)](#)
- Art. 2(6) omitted by [S.I. 2019/837 reg. 16\(3\)\(d\)](#)
- Art. 2(6) omitted by [S.I. 2019/837 reg. 21\(3\)\(d\)](#)
- Art. 3 substituted by [S.I. 2019/837 reg. 16\(4\)](#)
- Art. 3 substituted by [S.I. 2019/837 reg. 21\(4\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(i\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(ii\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(iii\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(iv\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 21\(4\) by S.I. 2019/1310 reg. 7\(7\)\(b\)\(i\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 21\(4\) by S.I. 2019/1310 reg. 7\(7\)\(b\)\(ii\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 21\(4\) by S.I. 2019/1310 reg. 7\(7\)\(b\)\(iii\)](#)
- Art. 3 words inserted in earlier amending provision [S.I. 2019/837, reg. 21\(4\) by S.I. 2019/1310 reg. 7\(7\)\(b\)\(iv\)](#)
- Art. 3 words omitted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(v\)](#)
- Art. 3 words omitted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(vi\)](#)
- Art. 3 words omitted in earlier amending provision [S.I. 2019/837, reg. 16\(4\) by S.I. 2019/1310 reg. 7\(4\)\(b\)\(viii\)](#)
- Art. 3 words omitted in earlier amending provision [S.I. 2019/837, reg. 21\(4\) by S.I. 2019/1310 reg. 7\(7\)\(b\)\(v\)](#)

- Art. 3 words omitted in earlier amending provision S.I. 2019/837, reg. 21(4) by [S.I. 2019/1310 reg. 7\(7\)\(b\)\(vi\)](#)
- Art. 3 words omitted in earlier amending provision S.I. 2019/837, reg. 21(4) by [S.I. 2019/1310 reg. 7\(7\)\(b\)\(viii\)](#)
- Art. 3 words substituted in earlier amending provision S.I. 2019/837, reg. 16(4) by [S.I. 2019/1310 reg. 7\(4\)\(b\)\(vii\)](#)
- Art. 3 words substituted in earlier amending provision S.I. 2019/837, reg. 21(4) by [S.I. 2019/1310 reg. 7\(7\)\(b\)\(vii\)](#)
- Art. 4(1) words substituted by [S.I. 2019/837 reg. 16\(6\)](#)
- Art. 4(1) words substituted by [S.I. 2019/837 reg. 21\(6\)](#)
- Art. 6 words omitted by [S.I. 2019/837 reg. 16\(7\)\(j\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(7)(j) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(c)(ii))
- Art. 6 words omitted by [S.I. 2019/837 reg. 21\(7\)\(j\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(7)(j) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(c)(ii))
- Art. 6 words omitted by virtue of S.I. 2019/837, reg. 16(7)(j)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(c\)\(ii\)](#)
- Art. 6 words omitted by virtue of S.I. 2019/837, reg. 21(7)(j)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(c\)\(ii\)](#)
- Art. 6 words substituted by S.I. 2019/837, reg. 16(7)(j)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(c\)\(ii\)](#)
- Art. 6 words substituted by S.I. 2019/837, reg. 21(7)(j)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(c\)\(ii\)](#)
- Art. 6(1) words omitted by [S.I. 2019/837 reg. 16\(7\)\(a\)](#)
- Art. 6(1) words omitted by [S.I. 2019/837 reg. 21\(7\)\(a\)](#)
- Art. 6(4) word substituted by [S.I. 2019/837 reg. 16\(7\)\(d\)](#)
- Art. 6(4) word substituted by [S.I. 2019/837 reg. 21\(7\)\(d\)](#)
- Art. 7 words omitted by [S.I. 2019/837 reg. 16\(8\)\(a\)](#)
- Art. 7 words omitted by [S.I. 2019/837 reg. 21\(8\)\(a\)](#)
- Art. 7 words substituted by [S.I. 2019/837 reg. 16\(8\)\(b\)](#)
- Art. 7 words substituted by [S.I. 2019/837 reg. 21\(8\)\(b\)](#)
- Art. 8(1) words inserted by [S.I. 2019/837 reg. 21\(9\)\(a\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(9)(a) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(d)(i))
- Art. 8(1) words substituted by [S.I. 2019/837 reg. 16\(9\)\(a\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(9)(a) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(d)(i))
- Art. 8(1) words substituted by [S.I. 2019/837 reg. 21\(9\)\(a\)\(i\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(9)(a) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(d)(i))
- Art. 8(1) words substituted by S.I. 2019/837, reg. 16(9)(a)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(d\)\(i\)](#)
- Art. 8(1) words substituted by S.I. 2019/837, reg. 16(9)(a)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(d\)\(i\)](#)
- Art. 8(1) words substituted by S.I. 2019/837, reg. 21(9)(a)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(d\)\(i\)](#)
- Art. 8(1) words substituted by S.I. 2019/837, reg. 21(9)(a)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(d\)\(i\)](#)
- Art. 8(2) omitted by [S.I. 2019/837 reg. 21\(9\)\(b\)](#)
- Art. 8(2) words inserted by [S.I. 2019/837 reg. 16\(9\)\(b\)\(ii\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(9)(b)(ii) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(d)(ii))
- Art. 8(2) words substituted by [S.I. 2019/837 reg. 16\(9\)\(b\)\(i\)](#)
- Art. 8(2) words substituted by S.I. 2019/837, reg. 16(9)(b) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(d\)\(ii\)](#)
- Art. 9(2) omitted by [S.I. 2019/837 reg. 16\(10\)\(d\)](#)
- Art. 9(2) omitted by [S.I. 2019/837 reg. 21\(10\)\(d\)](#)

- Art. 10(1) words substituted by S.I. 2019/837 reg. 16(11)(a)
- Art. 10(1) words substituted by S.I. 2019/837 reg. 21(11)(a)
- Art. 10(3) omitted by S.I. 2019/837 reg. 21(11)(c)
- Art. 12-14 omitted by S.I. 2019/837 reg. 16(12)
- Art. 12-14 omitted by S.I. 2019/837 reg. 21(12)
- Art. 15(3) word substituted by S.I. 2019/837 reg. 16(13) (This amendment not applied to legislation.gov.uk. Reg. 16(13) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(e))
- Art. 15(3) word substituted by S.I. 2019/837 reg. 21(13) (This amendment not applied to legislation.gov.uk. Reg. 21(13) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(e))
- Art. 15(3) word substituted by S.I. 2019/837, reg. 16(13)(a) (as substituted) by S.I. 2019/1310 reg. 7(4)(e)
- Art. 15(3) word substituted by S.I. 2019/837, reg. 21(13)(a) (as substituted) by S.I. 2019/1310 reg. 7(7)(e)
- Art. 15(4) words substituted by S.I. 2019/837, reg. 16(13)(b)(i) (as substituted) by S.I. 2019/1310 reg. 7(4)(e)
- Art. 15(4) words substituted by S.I. 2019/837, reg. 16(13)(b)(ii) (as substituted) by S.I. 2019/1310 reg. 7(4)(e)
- Art. 15(4) words substituted by S.I. 2019/837, reg. 21(13)(b)(i) (as substituted) by S.I. 2019/1310 reg. 7(7)(e)
- Art. 15(4) words substituted by S.I. 2019/837, reg. 21(13)(b)(ii) (as substituted) by S.I. 2019/1310 reg. 7(7)(e)
- Art. 17(1) words substituted by S.I. 2019/837 reg. 16(14)(a)
- Art. 17(1) words substituted by S.I. 2019/837 reg. 21(14)(a)
- Art. 17(2) words substituted by S.I. 2019/837 reg. 16(14)(b)
- Art. 17(2) words substituted by S.I. 2019/837 reg. 21(14)(b)
- Art. 17(3) word substituted by S.I. 2019/837 reg. 16(14)(c)(i)
- Art. 17(3) word substituted by S.I. 2019/837 reg. 21(14)(c)(i)
- Art. 17(3) words omitted by S.I. 2019/837 reg. 21(14)(c)(ii)
- Art. 17(3) words substituted by S.I. 2019/837 reg. 16(14)(c)(ii)
- Art. 17(4) omitted by S.I. 2019/837 reg. 21(14)(d)
- Art. 17(4) words substituted by S.I. 2019/837 reg. 16(14)(d)
- Art. 18(1) words substituted by S.I. 2019/837 reg. 16(15)(a)
- Art. 18(1) words substituted by S.I. 2019/837 reg. 21(15)(a)
- Art. 18(2) omitted by S.I. 2019/837 reg. 16(15)(b)
- Art. 18(2)-(6) omitted by S.I. 2019/837 reg. 21(15)(b)
- Art. 18(3) words omitted by S.I. 2019/837 reg. 16(15)(c)(ii)
- Art. 18(3) words substituted by S.I. 2019/837 reg. 16(15)(c)(i)
- Art. 18(4) omitted by S.I. 2019/837 reg. 16(15)(d)
- Art. 18(5) omitted by S.I. 2019/837 reg. 16(15)(d)
- Art. 18(6) omitted by S.I. 2019/837 reg. 16(15)(d)
- Art. 19 omitted by S.I. 2019/837 reg. 16(16)
- Art. 19 omitted by S.I. 2019/837 reg. 21(16)
- Art. 20 words omitted by S.I. 2019/837 reg. 16(17)
- Art. 20 words omitted by S.I. 2019/837 reg. 21(17)

#### **Changes and effects yet to be applied to the whole legislation item and associated provisions**

- Sch. 10 para. 31 word substituted in earlier amending provision S.I. 2019/837, Sch. by S.I. 2019/1310 reg. 7(8)(a)
- Annex 1 point 2.5.10 omitted by S.I. 2019/837 reg. 16(18)(h)
- Annex 1 point 2.5.10 omitted by S.I. 2019/837 reg. 21(18)(h)
- Annex 1 point 2.5.2-2.5.8 word omitted by S.I. 2019/837 reg. 16(18)(f)
- Annex 1 point 2.5.5-2.5.8 word omitted by S.I. 2019/837 reg. 21(18)(f)

- Annex 1 point 2.3.3 word omitted by virtue of S.I. 2019/837, reg. 16(18)(c)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(iii\)](#)
- Annex 1 point 1.2.1 word substituted by S.I. 2019/837, reg. 16(18)(bb) (as inserted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(ii\)](#)
- Annex 1 point 2.3.3 word substituted by S.I. 2019/837, reg. 16(18)(c)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(iii\)](#)
- Annex 1 point 1.2.1 word substituted by S.I. 2019/837, reg. 21(18)(bb) (as inserted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(ii\)](#)
- Annex 1 point 2.3.3 word substituted by S.I. 2019/837, reg. 21(18)(c)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(iii\)](#)
- Annex 1 point 2.3.3 word substituted by S.I. 2019/837, reg. 21(18)(c)(iii) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(iii\)](#)
- Annex 1 point 2.3.3 word substituted by S.I. 2019/837, reg. 16(18)(c)(iii) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(iii\)](#)
- Annex 1 point 2.3.3 words omitted by [S.I. 2019/837 reg. 16\(18\)\(c\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(18)(c) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(g)(iii))
- Annex 1 point 2.5.6 words omitted by [S.I. 2019/837 reg. 16\(18\)\(g\)\(i\)](#)
- Annex 1 point 2.5.6 words omitted by [S.I. 2019/837 reg. 16\(18\)\(g\)\(ii\)](#)
- Annex 1 point 2.5.11 words omitted by [S.I. 2019/837 reg. 16\(18\)\(i\)\(iii\)](#)
- Annex 1 point 2.3.3 words omitted by [S.I. 2019/837 reg. 21\(18\)\(c\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(18)(c) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(g)(iii))
- Annex 1 point 2.5.6 words omitted by [S.I. 2019/837 reg. 21\(18\)\(g\)\(i\)](#)
- Annex 1 point 2.5.6 words omitted by [S.I. 2019/837 reg. 21\(18\)\(g\)\(ii\)](#)
- Annex 1 point 2.5.11 words omitted by [S.I. 2019/837 reg. 21\(18\)\(i\)\(iii\)](#)
- Annex 1 point 2.3.4 words omitted by virtue of S.I. 2019/837, reg. 16(18)(cc) (as inserted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(iv\)](#)
- Annex 1 point 2.3.3 words omitted by virtue of S.I. 2019/837, reg. 21(18)(c)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(iii\)](#)
- Annex 1 point 2.3.4 words omitted by virtue of S.I. 2019/837, reg. 21(18)(cc) (as inserted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(iv\)](#)
- Annex 1 point 1.1.4(a) words substituted by [S.I. 2019/837 reg. 16\(18\)\(a\)](#)
- Annex 1 point 1.1.5 words substituted by [S.I. 2019/837 reg. 16\(18\)\(b\)](#)
- Annex 1 point 2.4.2(a) words substituted by [S.I. 2019/837 reg. 16\(18\)\(d\)](#)
- Annex 1 point 2.5.2 words substituted by [S.I. 2019/837 reg. 16\(18\)\(e\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(18)(e) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(g)(v))
- Annex 1 point 2.5.11 words substituted by [S.I. 2019/837 reg. 16\(18\)\(i\)\(i\)](#)
- Annex 1 point 2.5.11 words substituted by [S.I. 2019/837 reg. 16\(18\)\(i\)\(ii\)](#)
- Annex 1 point 1.1.4(a) words substituted by [S.I. 2019/837 reg. 21\(18\)\(a\)](#)
- Annex 1 point 1.1.5 words substituted by [S.I. 2019/837 reg. 21\(18\)\(b\)](#)
- Annex 1 point 2.4.2(a) words substituted by [S.I. 2019/837 reg. 21\(18\)\(d\)](#)
- Annex 1 point 2.5.2 words substituted by [S.I. 2019/837 reg. 21\(18\)\(e\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(18)(e) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(g)(v))
- Annex 1 point 2.5.11 words substituted by [S.I. 2019/837 reg. 21\(18\)\(i\)\(i\)](#)
- Annex 1 point 2.5.11 words substituted by [S.I. 2019/837 reg. 21\(18\)\(i\)\(ii\)](#)
- Annex 1 point 1.1.4(b) words substituted by S.I. 2019/837, reg. 16(18)(aa)(i) (as inserted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(i\)](#)
- Annex 1 point 1.1.4(b) words substituted by S.I. 2019/837, reg. 16(18)(aa)(ii) (as inserted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(i\)](#)
- Annex 1 point 1.2.6 words substituted by S.I. 2019/837, reg. 16(18)(bc) (as inserted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(ii\)](#)
- Annex 1 point 2.5.2 words substituted by S.I. 2019/837, reg. 16(18)(e)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(v\)](#)
- Annex 1 point 2.5.2 words substituted by S.I. 2019/837, reg. 16(18)(e)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(v\)](#)



- Annex 1 point 2.5.5 words substituted by S.I. 2019/837, reg. 16(18)(ff) (as inserted) by [S.I. 2019/1310 reg. 7\(4\)\(g\)\(iv\)](#)
- Annex 1 point 1.1.4(b) words substituted by S.I. 2019/837, reg. 21(18)(aa)(i) (as inserted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(i\)](#)
- Annex 1 point 1.1.4(b) words substituted by S.I. 2019/837, reg. 21(18)(aa)(ii) (as inserted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(i\)](#)
- Annex 1 point 1.2.6 words substituted by S.I. 2019/837, reg. 21(18)(bc) (as inserted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(ii\)](#)
- Annex 1 point 2.5.2 words substituted by S.I. 2019/837, reg. 21(18)(e)(i) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(v\)](#)
- Annex 1 point 2.5.2 words substituted by S.I. 2019/837, reg. 21(18)(e)(ii) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(v\)](#)
- Annex 1 point 2.5.5 words substituted by S.I. 2019/837, reg. 21(18)(ff) (as inserted) by [S.I. 2019/1310 reg. 7\(7\)\(g\)\(vi\)](#)
- Annex 2 para. 2 word substituted by [S.I. 2019/837 reg. 16\(19\)](#)
- Annex 2 para. 2 words omitted by [S.I. 2019/837 reg. 21\(19\)](#)
- Art. 2(3)(a) omitted by [S.I. 2019/837 reg. 16\(3\)\(b\)\(ii\)](#)
- Art. 2(3)(a) omitted by [S.I. 2019/837 reg. 21\(3\)\(b\)\(ii\)](#)
- Art. 2(3)(b) words omitted by [S.I. 2019/837 reg. 16\(3\)\(b\)\(iii\)](#)
- Art. 2(3)(b) words omitted by [S.I. 2019/837 reg. 21\(3\)\(b\)\(iii\)](#)
- Art. 3A inserted by [S.I. 2019/837 reg. 16\(5\)](#)
- Art. 3A inserted by [S.I. 2019/837 reg. 21\(5\)](#)
- Art. 3A(1)(a) words inserted by [2020 c. 29 Sch. 4 para. 21\(a\)](#)
- Art. 3A(3A) inserted by [2020 c. 29 Sch. 4 para. 21\(b\)](#)
- Art. 3A(5) word inserted by [2020 c. 29 Sch. 4 para. 21\(c\)\(i\)](#)
- Art. 3A(5) words inserted by [2020 c. 29 Sch. 4 para. 21\(c\)\(ii\)](#)
- Art. 6(3)(a) words substituted by [S.I. 2019/837 reg. 16\(7\)\(b\)](#)
- Art. 6(3)(a) words substituted by [S.I. 2019/837 reg. 21\(7\)\(b\)](#)
- Art. 6(3)(b) words substituted by [S.I. 2019/837 reg. 16\(7\)\(c\)](#) (This amendment not applied to legislation.gov.uk. Reg. 16(7)(c) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(4)(c)(i))
- Art. 6(3)(b) words substituted by [S.I. 2019/837 reg. 21\(7\)\(c\)](#) (This amendment not applied to legislation.gov.uk. Reg. 21(7)(c) substituted immediately before IP completion day by S.I. 2019/1310, regs. 1(2)(c), 7(7)(c)(i))
- Art. 6(3)(b) words substituted by S.I. 2019/837, reg. 16(7)(c) (as substituted) by [S.I. 2019/1310 reg. 7\(4\)\(c\)\(i\)](#)
- Art. 6(3)(b) words substituted by S.I. 2019/837, reg. 21(7)(c) (as substituted) by [S.I. 2019/1310 reg. 7\(7\)\(c\)\(i\)](#)
- Art. 6(4)(a) words omitted by [S.I. 2019/837 reg. 16\(7\)\(e\)](#)
- Art. 6(4)(a) words omitted by [S.I. 2019/837 reg. 21\(7\)\(e\)](#)
- Art. 6(4)(b) words omitted by [S.I. 2019/837 reg. 16\(7\)\(e\)](#)
- Art. 6(4)(b) words omitted by [S.I. 2019/837 reg. 21\(7\)\(e\)](#)
- Art. 6(4)(c) words omitted by [S.I. 2019/837 reg. 16\(7\)\(f\)](#)
- Art. 6(4)(c) words omitted by [S.I. 2019/837 reg. 21\(7\)\(f\)](#)
- Art. 6(4)(d) words omitted by [S.I. 2019/837 reg. 16\(7\)\(g\)](#)
- Art. 6(4)(d) words omitted by [S.I. 2019/837 reg. 21\(7\)\(g\)](#)
- Art. 6(4)(e) words omitted by [S.I. 2019/837 reg. 16\(7\)\(h\)](#)
- Art. 6(4)(e) words omitted by [S.I. 2019/837 reg. 21\(7\)\(h\)](#)
- Art. 6(4)(f) words omitted by [S.I. 2019/837 reg. 16\(7\)\(i\)](#)
- Art. 6(4)(f) words omitted by [S.I. 2019/837 reg. 21\(7\)\(i\)](#)
- Art. 9(1)(a) words inserted by [S.I. 2019/837 reg. 16\(10\)\(a\)](#)
- Art. 9(1)(a) words inserted by [S.I. 2019/837 reg. 21\(10\)\(a\)](#)
- Art. 9(1)(c) omitted by [S.I. 2019/837 reg. 21\(10\)\(b\)](#)
- Art. 9(1)(c) words omitted by [S.I. 2019/837 reg. 16\(10\)\(b\)](#)
- Art. 9(1)(d) words substituted by [S.I. 2019/837 reg. 16\(10\)\(c\)](#)
- Art. 9(1)(d) words substituted by [S.I. 2019/837 reg. 21\(10\)\(c\)](#)
- Art. 10(2)(a) words substituted by [S.I. 2019/837 reg. 16\(11\)\(b\)](#)
- Art. 10(2)(a) words substituted by [S.I. 2019/837 reg. 21\(11\)\(b\)](#)

– Art. 10(3)(a) words substituted by [S.I. 2019/837 reg. 16\(11\)\(c\)](#)