Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012 (Text with EEA relevance)

## F1...

## **Textual Amendments**

F1 Words in Signature omitted (31.12.2020) by virtue of The Markets in Financial Instruments (Amendment) (EU Exit) Regulations 2018 (S.I. 2018/1403), regs. 1(3), 35(5) (with savings in S.I. 2019/680, reg. 11); 2020 c. 1, Sch. 5 para. 1(1)

## Changes to legislation:

Regulation (EU) No 600/2014 of the European Parliament and of the Council is up to date with all changes known to be in force on or before 17 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

View outstanding changes

## Changes and effects yet to be applied to :

- Art. 1 para. 4a addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 1 para. 1 Point (g) addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 1(5) words omitted by S.I. 2018/1403 reg. 25(4)(c) (This amendment not applied to legislation.gov.uk. Reg. 23 omitted immediately before IP completion day by virtue of S.I. 2019/710, regs. 1(2), 16(10)(b))
- Art. 2 para. 1 Point 36a addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 2 para. 3 addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 2 para. 1 Point 22a addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 2 para. 1 Point 34 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 2 para. 1 Point 18 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 2 para. 1 Point 35 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 2 para. 1 Point 36 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 4 substituted by 2023 c. 29 Sch. 2 para. 3
- Art. 8-11 substituted by 2023 c. 29 Sch. 2 para. 7
- Art. 12(1) words inserted by 2023 c. 29 Sch. 2 para. 20
- Art. 13(1) words inserted by 2023 c. 29 Sch. 2 para. 21
- Art. 19 omitted by 2023 c. 29 Sch. 2 para. 22
- Art. 21 substituted by 2023 c. 29 Sch. 2 para. 11
- Art. 22 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
  Art. 22(1) words omitted by 2022 a. 20 Seb. 2 marg. 12
- Art. 22(1) words omitted by 2023 c. 29 Sch. 2 para. 12
- Art. 26 para. 1 Unnumbered Paragraph 3 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 26(3) words omitted by 2023 c. 29 Sch. 2 para. 23
- Art. 26(3) words revoked by 2023 c. 29 Sch. 2 para. 50(c)(i)
- Art. 27 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 30(2) words substituted by S.I. 2018/1403 reg. 30(3)(a) (This amendment not applied to legislation.gov.uk. Reg. 30(3)(a) substituted immediately before IP completion day by S.I. 2020/1385, regs. 1(4), 49(4))

| _ | Art. 31 substituted by 2023 c. 29 Sch. 2 para. 18<br>Art. 37 omitted by S.I. 2018/1403 reg. 31(3) (This amendment not applied to<br>legislation.gov.uk. Reg. 31(3) substituted immediately before IP completion day by<br>virtue of S.I. 2020/628, regs. 1(2), 7(3)(a)) |
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| - | Art. 38(2) omitted by S.I. 2018/1403 reg. 31(4)(b) (This amendment not applied to legislation.gov.uk. Reg. 31(4)(b) substituted immediately before IP completion day by virtue of S.I. 2020/628, regs. 1(2), 7(3)(b))   |
| - | Art. 38(3) word substituted by S.I. 2018/1403 reg. $31(4)(c)(ii)$ (This amendment not applied to legislation.gov.uk. Reg. $31(4)(c)$ substituted immediately before IP completion day by virtue of S.I. 2020/628, regs. $1(2)$ , $7(3)(c)$ )                            |
| _ | Art. $38(3)$ words substituted by S.I. $2018/1403$ reg. $31(4)(c)(i)$ (This amendment not applied to legislation.gov.uk. Reg. $31(4)(c)$ substituted immediately before IP completion day by virtue of S.I. $2020/628$ , regs. $1(2)$ , $7(3)(c)$ )                     |
| _ | Art. 40 para. 6 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| - | Art. 41 para. 6 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| - | Art. 46 para. 6c addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| _ | Art. 46 para. 6b addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| - | Art. 46 para. 6a addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| - | Art. 46 para. 2 Point (d) addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| - | Art. 46 para. 8 addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| _ | Art. 46 para. 5 Unnumbered Paragraph 3 replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| - | Art. 46 para. 4 Unnumbered Paragraph 5 replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| - | Art. 46 para. 7 replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| _ | Art. 46(2)(a) words inserted by S.I. 2018/1403 reg. 33(1)(b)(ii) (This amendment not applied to legislation.gov.uk. Reg. 33(1)(b)(ii) substituted immediately before IP completion day by S.I. 2019/710, regs. 1(2), 16(15)(a)(i))                                      |
| _ | Art. $46(2)(a)$ words inserted by S.I. 2018/1403, reg $33(1)(b)(ii)$ (as substituted) by S.I. 2019/710 reg. $16(15)(a)(i)$ (This amendment not applied to legislation.gov.uk. Reg. $16(15)(a)$ omitted (30.9.2020) by virtue of S.I. 2020/1055, regs. $1(2)$ , 13)      |
| _ | Art. 47 para. 6 addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| - | Art. 47 para. 5 addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| - | Art. 47 para. 2 Point (d) addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |

| -   | Art. 47 para. 2 Point (a) replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
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| -   | Art. 47 para. 2 Point (c) replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| -   | Art. 47 para. 1 replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| _   | Art. 47(1A)(a) words inserted by 2023 c. 29 Sch. 2 para. 24   |
| _   | Art. 49 replacement by EUR 2019/2033 Regulation (This amendment by the EU not   |
| _   | applied to legislation.gov.uk because it is brought into force after IP completion day.)<br>Art. 50 para. 5 Sentence 1 replacement by EUR 2019/2175 Regulation (This<br>amendment by the EU not applied to legislation.gov.uk because it is brought into<br>force after IP completion day.) |
| _   | Art. 50 para. 2 replacement by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP   |
| _   | completion day.)<br>Art. 50 para. 3 Sentence 1 replacement by EUR 2019/2175 Regulation (This<br>amendment by the EU not applied to legislation.gov.uk because it is brought into  |
|     | force after IP completion day.)<br>Art 50D(2)(b) words incerted by 2022 o 20 Seb 2 page 27(2)   |
| _   | Art. 50D(2)(b) words inserted by 2023 c. 29 Sch. 2 para. 27(3)<br>Art. 52 para. 13 addition by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion  |
| _   | day.)<br>Art. 52 para. 13 addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)   |
| _   | Art. 52 para. 14 addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| _   | Art. 54 para. 1 replacement by EUR 2019/2033 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
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| Cha | nges and effects yet to be applied to the whole legislation item and associated   |
|     | isions  |
| _   | Title IVa addition by EUR 2019/2175 Regulation (This amendment by the EU not  |
|     | applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| _   | Title VIa addition by EUR 2019/2175 Regulation (This amendment by the EU not  |
|     | applied to legislation.gov.uk because it is brought into force after IP completion day.)  |
| -   | Sch. 3 para. 31 revoked by 2023 c. 29 Sch. 2 para. 50(c)(ii)  |
| -   | Sch 3 para 32 revoked by 2023 c 29 Sch 2 para $50(c)(ii)$   |

- Sch. 3 para. 32 revoked by 2023 c. 29 Sch. 2 para. 50(c)(ii)
- Art. 2.1(12)(12A) substituted by 2023 c. 29 Sch. 2 para. 8
- Art. 2.1(17) words omitted by 2023 c. 29 Sch. 2 para. 19(a)
- Art. 3(4)(5) inserted by 2023 c. 29 Sch. 2 para. 2
- Art. 18-18b substituted for Art. 18 by 2023 c. 29 Sch. 2 para. 10
- Art. 28a inserted by 2023 c. 29 Sch. 2 para. 17
- Art. 46(2A) inserted by S.I. 2018/1403, reg. 33(1)(ba) (as inserted) by S.I. 2019/710 reg. 16(15)(a)(ii) (This amendment not applied to legislation.gov.uk. Reg. 16(15)(a) omitted (30.9.2020) by virtue of S.I. 2020/1055, regs. 1(2), 13)
- Art. 46(2A) omitted by 2021 c. 22 Sch. 10 para. 5(4)
- Art. 50C(2) words inserted by 2023 c. 29 Sch. 2 para. 26(2)
- Art. 50C(3) words inserted by 2023 c. 29 Sch. 2 para. 26(3)
- Art. 50C(4) words inserted by 2023 c. 29 Sch. 2 para. 26(4)
- Art. 50D(1) words substituted by 2023 c. 29 Sch. 2 para. 27(2)(a)

- Art. 50D(1) words substituted by 2023 c. 29 Sch. 2 para. 27(2)(b)
- Art. 50D(2A) inserted by 2023 c. 29 Sch. 2 para. 27(4)
- Art. 50D(3) words substituted by 2023 c. 29 Sch. 2 para. 27(5)
- Art. 54a addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)
- Art. 54b addition by EUR 2019/2175 Regulation (This amendment by the EU not applied to legislation.gov.uk because it is brought into force after IP completion day.)