
Status: Point in time view as at 31/01/2020.

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ANNEX XII

ANNUAL REPORT DOCUMENTS (ARTICLE 46(2))

PART I

Information to be contained in the annual report provided for in Article 86(1) of Regulation (EU) No 1306/2013

1. Administration of Chapter III of Title V of Regulation (EU) No 1306/2013

Information shall be provided concerning the administration of Chapter III of Title V of Regulation (EU) No 1306/2013, including changes to the organisations responsible for scrutinies and to the special department responsible for monitoring the application of that Regulation, as referred to in Article 85 thereof, and to the competences of those organisations.

2. Legislative changes

Information shall be provided regarding any national legislative changes relevant to the application of Chapter III of Title V of Regulation (EU) No 1306/2013 that have intervened since the previous annual report.

3. Amendments to the scrutiny programme

A description shall be provided of any amendments that were made to the scrutiny programme submitted to the Commission under Article 84(2) of Regulation (EU) No 1306/2013 since the date of submission of that programme.

4. Application of the scrutiny programme covered by this report

Information shall be provided on the application of the programme of scrutinies for the period ending on 30 June preceding the closing date for submission of the report, as referred to in Article 86(1) of Regulation (EU) No 1306/2013, including the following points, both in total and broken down by control body (where more than one control body carries out controls under that Regulation):

- (a) the number of undertakings scrutinised during the scrutiny period, in accordance with specimen form shown in Sheet A of Part II of this Annex;
- (b) the number of undertakings still in the course of being scrutinised, in accordance with specimen form shown in Sheet A of Part II of this Annex;
- (c) the number of undertakings that were not subject to scrutiny during the period in question as a result of the non-execution of some scrutinies, in accordance with specimen form shown in Sheet A of Part II of this Annex;
- (d) the reasons why the scrutinies referred to in point (c) were not carried out;
- (e) the breakdown, by amounts received by or paid to, and by measure, of the scrutinies referred to in points (a), (b), and (c), in accordance with the specimen form shown in Sheet B of Part II of this Annex;
- (f) the results of the scrutinies referred to in point (a), in accordance with specimen form shown in Sheet C of Part II of this Annex, including:
 - (i) the number of scrutinies for which irregularities were discovered, and the number of undertakings involved,

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- (ii) the nature of these irregularities,
- (iii) the measure concerned where an irregularity was discovered,
- (iv) the estimated financial consequence of each irregularity;
- (g) an indication of the average duration of scrutinies in person/days, indicating, where practicable, the time spent on planning, preparation, execution of controls, and reporting.

5. **Application of the scrutiny programmes preceding the one covered by this programme**

The report shall contain the results of the scrutinies carried out in respect of previous scrutiny periods, for which the results were not available at the time of the submission of the reports for those scrutiny periods, including for each previous scrutiny period, including:

- (a) the status of scrutinies communicated under point 4(b) and (c) in previous scrutiny reports, in accordance with the specimen form shown in Sheet D of Part II of this Annex;
- (b) the number of scrutinies through which irregularities were discovered, and the number of undertakings involved, in accordance with the specimen form shown in Sheet C of Part II of this Annex;
- (c) the nature of those irregularities, in accordance with the specimen form shown in Sheet C of Part II of this Annex;
- (d) the measure concerned where an irregularity was discovered, in accordance with the specimen form shown in Sheet C of Part II of this Annex;
- (e) the estimated financial consequence of each irregularity, in accordance with the specimen form shown in Sheet C of Part II of this Annex.

6. **Mutual assistance**

A summary of mutual assistance requests made and received under Chapter III of Title V of Regulation (EU) No 1306/2013.

7. **Resources**

Details on the resources available to carry out the scrutinies under Chapter III of Title V of Regulation (EU) No 1306/2013 shall be transmitted, including:

- (a) the number of staff, expressed in person/years, allocated to those scrutinies, per control body, and, where appropriate, per region;
- (b) training received by staff working on those scrutinies, with an indication of the proportion of the staff referred to in point (a) who have received such training, and the nature of the training itself; and,
- (c) computer equipment and tools at the disposal of staff working on those scrutinies.

8. **Difficulties in applying Chapter III of Title V of Regulation (EU) No 1306/2013**

Information shall be provided on any difficulties encountered in the application of Chapter III of Title V of Regulation (EU) No 1306/2013 and the measures taken to overcome them or proposals to that end.

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9. Suggestions for improvement

Where appropriate, suggestions shall be made for the improvement, either of the application of Chapter III of Title V of Regulation (EU) No 1306/2013, or of that Chapter itself.

PART II SHEET ASCRUTINY REPORT FOR THE PERIOD(Article 86(1) of Regulation (EU) No 1306/2013)

TOTAL:		CONTROL BODY:	
1. (A) The total number of undertakings to be scrutinised:		(B) The number of undertakings to be scrutinised:	
2. (A) The total number of undertakings scrutinised:		(B) The number of undertakings scrutinised:	
3. (A) The total number of undertakings in the course of scrutiny:		(B) The number of undertakings in the course of scrutiny:	
4. (A) The total number of undertakings not yet scrutinised:		(B) The number of undertakings not yet scrutinised:	
CONTROL BODY:		CONTROL BODY:	
1. (C) The number of undertakings to be scrutinised:		(D) The number of undertakings to be scrutinised:	
2. (C) The number of undertakings scrutinised:		(D) The number of undertakings scrutinised:	
3. (C) The number of undertakings in the course of scrutiny:		(D) The number of undertakings in the course of scrutiny:	
4. (C) The number of undertakings not yet scrutinised:		(D) The number of undertakings not yet scrutinised:	

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Notes on boxes: Where applicable, additional boxes e.g. (E), (F) etc. are to be added
SHEET BSCRUTINY REPORT FOR THE PERIOD ...(Article 86(1) of Regulation (EU) No 1306/2013)

REPORT OF SCRUTINIES IN RELATION TO EAGF BUDGET HEADINGS IN RESPECT OF THE EAGF FINANCIAL YEAR ...

Scrutiny programme ...

B(1) EAGF Budget Article or Item No.	B(2) Total value of expenditure relating to undertakings selected for scrutiny...(EUR)	B(3) Scrutinised undertakings		B(4) Undertakings in the course of scrutiny		B(5) Undertakings not scrutinised	
		(i) expenditure actually scrutinised (EUR)	(ii) total expenditure relating to those undertakings (EUR)	(i) total expenditure relating to those undertakings (EUR)	(ii) total expenditure relating to those undertakings (EUR)	(i) total expenditure relating to those undertakings (EUR)	(ii) total expenditure relating to those undertakings (EUR)
Totals:							

SHEET CSCRUTINY REPORT FOR THE PERIOD ...(Article 86(1) of Regulation (EU) No 1306/2013)

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POTENTIAL IRREGULARITIES DISCOVERED IN RELATION TO EAGF
BUDGET HEADINGS IN RESPECT OF THE EAGF FINANCIAL YEAR ...

Scrutiny programme ...

C(1)EAGF Budget Article or Item No.	C(2)Number of potential irregularities discovered	C(3)Number of payments concerned	C(4)Number of undertakings concerned	C(5)Estimated value of potential irregularities	C(6)Description and nature of each potential irregularity discovered, the reference number(s) of the undertaking(s) concerned and the OLAF reference number(s) (IMS notification numbers)
Totals:					

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SHEET DSCRUTINY REPORT FOR THE PERIOD ... (Article 86(1) of Regulation (EU) No 1306/2013)

EXECUTION OF SCRUTINIES RELATING TO PRECEDING SCRUTINY PROGRAMMES; SCRUTINY PROGRAMME ...

D(1) Number of undertakings declared in previous report as in the course of scrutiny:		D(2) Number of undertakings in D(1) for which scrutinies have been completed:		D(3) Number of undertakings in D(1) for which scrutinies are still in course			
D(4) Value of transactions concerned in D(1):		D(5) Value of transactions concerned in D(2):		D(6) Value of transactions concerned in D(3):			
D(7) Number of undertakings for which scrutinies in previous report were declared as not started:		D(8) Number of undertakings in D(7) for which scrutinies have been completed:		D(9) Number of undertakings in D(7) for which scrutinies are still in course:		D(10) Number of undertakings in D(7) for which scrutinies have not been started:	
D(11) Value of transactions concerned in D(7):		D(12) Value of transactions concerned in D(8):		D(13) Value of transactions concerned in D(9):		D(14) Value of transactions concerned in D(10):	

Status:

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