

ANNUAL REPORT DOCUMENTS (ARTICLE 46(2))



Information to be contained in the annual report provided for in Article 86(1) of Regulation (EU) No 1306/2013

1. Administration of Chapter III of Title V of Regulation (EU) No 1306/2013 U.K.

Information shall be provided concerning the administration of Chapter III of Title V of Regulation (EU) No 1306/2013, including changes to the organisations responsible for scrutinies and to the special department responsible for monitoring the application of that Regulation, as referred to in Article 85 thereof, and to the competences of those organisations.

2. Legislative changes U.K.

Information shall be provided regarding any national legislative changes relevant to the application of Chapter III of Title V of Regulation (EU) No 1306/2013 that have intervened since the previous annual report.

3. Amendments to the scrutiny programme U.K.

A description shall be provided of any amendments that were made to the scrutiny programme submitted to the [^{F1}relevant accounting officer] under Article 84(2) of Regulation (EU) No 1306/2013 since the date of submission of that programme.

Textual Amendments

F1 Words in Annex 12 Pt. 1 para. 3 substituted (31.12.2020 in so far as it relates to common organisation of the markets and rural development measures) by The Common Agricultural Policy (Financing, Management and Monitoring Supplementary Provisions) (Miscellaneous Amendments) (EU Exit) Regulations 2019 (S.I. 2019/765), regs. 1, 4(38)(a)(i); 2020 c. 1, Sch. 5 para. 1(1)

4. Application of the scrutiny programme covered by this report U.K.

Information shall be provided on the application of the programme of scrutinies for the period ending on 30 June preceding the closing date for submission of the report, as referred to in Article 86(1) of Regulation (EU) No 1306/2013, including the following points, both in total and broken down by control body (where more than one control body carries out controls under that Regulation):

- (a) the number of undertakings scrutinised during the scrutiny period, in accordance with specimen form shown in Sheet A of Part II of this Annex;
- (b) the number of undertakings still in the course of being scrutinised, in accordance with specimen form shown in Sheet A of Part II of this Annex;
- (c) the number of undertakings that were not subject to scrutiny during the period in question as a result of the non-execution of some scrutinies, in accordance with specimen form shown in Sheet A of Part II of this Annex;
- (d) the reasons why the scrutinies referred to in point (c) were not carried out;

- (e) the breakdown, by amounts received by or paid to, and by measure, of the scrutinies referred to in points (a), (b), and (c), in accordance with the specimen form shown in Sheet B of Part II of this Annex;
- (f) the results of the scrutinies referred to in point (a), in accordance with specimen form shown in Sheet C of Part II of this Annex, including:
 - (i) the number of scrutinies for which irregularities were discovered, and the number of undertakings involved,
 - (ii) the nature of these irregularities,
 - (iii) the measure concerned where an irregularity was discovered,
 - (iv) the estimated financial consequence of each irregularity;
- (g) an indication of the average duration of scrutinies in person/days, indicating, where practicable, the time spent on planning, preparation, execution of controls, and reporting.

5. Application of the scrutiny programmes preceding the one covered by this programme U.K.

The report shall contain the results of the scrutinies carried out in respect of previous scrutiny periods, for which the results were not available at the time of the submission of the reports for those scrutiny periods, including for each previous scrutiny period, including:

- (a) the status of scrutinies communicated under point 4(b) and (c) in previous scrutiny reports, in accordance with the specimen form shown in Sheet D of Part II of this Annex;
- (b) the number of scrutinies through which irregularities were discovered, and the number of undertakings involved, in accordance with the specimen form shown in Sheet C of Part II of this Annex;
- (c) the nature of those irregularities, in accordance with the specimen form shown in Sheet C of Part II of this Annex;
- (d) the measure concerned where an irregularity was discovered, in accordance with the specimen form shown in Sheet C of Part II of this Annex;
- (e) the estimated financial consequence of each irregularity, in accordance with the specimen form shown in Sheet C of Part II of this Annex.
- 6. F²...

Textual Amendments

- F2 Annex 12 Pt. 1 para. 6 omitted (31.12.2020 in so far as it relates to common organisation of the markets and rural development measures) by virtue of The Common Agricultural Policy (Financing, Management and Monitoring Supplementary Provisions) (Miscellaneous Amendments) (EU Exit) Regulations 2019 (S.I. 2019/765), regs. 1, 4(38)(a)(ii); 2020 c. 1, Sch. 5 para. 1(1)
- 7. **Resources** U.K.

Details on the resources available to carry out the scrutinies under Chapter III of Title V of Regulation (EU) No 1306/2013 shall be transmitted, including:

- (a) the number of staff, expressed in person/years, allocated to those scrutinies, per control body, and, where appropriate, per region;
- (b) training received by staff working on those scrutinies, with an indication of the proportion of the staff referred to in point (a) who have received such training, and the nature of the training itself; and,
- (c) computer equipment and tools at the disposal of staff working on those scrutinies.
- 8. Difficulties in applying Chapter III of Title V of Regulation (EU) No 1306/2013 U.K.

Information shall be provided on any difficulties encountered in the application of Chapter III of Title V of Regulation (EU) No 1306/2013 and the measures taken to overcome them or proposals to that end.

9. **Suggestions for improvement** U.K.

Where appropriate, suggestions shall be made for the improvement, either of the application of Chapter III of Title V of Regulation (EU) No 1306/2013, or of that Chapter itself.

PART II SHEET ASCRUTINY REPORT FOR THE PERIOD(Article 86(1) of Regulation (EU) No 1306/2013)

TOTAL			CONTR BODY:	ROL	
1. (A)	The total n undertakin	umber of gs to be scrutinised:	(B)	The number be scrutini	er of undertakings to sed:
2. (A)	The total n undertakin	umber of gs scrutinised:	(B)	The number scrutinised	er of undertakings
3. (A)	(A) The total number of undertakings in the course of scrutiny:		(B)	The number of undertakings in the course of scrutiny:	
4. (A)) The total number of undertakings not yet scrutinised:		(B)	The number not yet scr	er of undertakings utinised:
CONTROL BODY:		CONTR BODY:	ROL		

4

1. (C)	The number be scrutinis	er of undertakings to sed:	(D)	The number be scrutini	er of undertakings to sed:
2. (C)	The numbers scrutinised	er of undertakings	(D)	The number of undertakings scrutinised:	
3. (C)		er of undertakings in of scrutiny:	(D)		er of undertakings in of scrutiny:
4. (C)	The number of undertakings not yet scrutinised:		(D)	The number not yet scr	er of undertakings utinised:

Notes on boxes: Where applicable, additional boxes e.g. (E), (F) etc. are to be added SHEET BSCRUTINY REPORT FOR THE PERIOD ...(Article 86(1) of Regulation (EU) No 1306/2013)

REPORT OF SCRUTINIES IN RELATION TO [F3 CMO SUPPORT] BUDGET HEADINGS IN RESPECT OF THE [F3 CMO SUPPORT] FINANCIAL YEAR ...

Scrutiny pro B(1) [^{F3} CMO support] Budget Article or Item No.	gramme B(2)Total value of expenditure relating to undertakings selected for scrutiny(EUR)	B(3)Scrutinised undertakings	B(4)Und(5)talaihgstakings not in scrutinised the course of scrutiny			
		actually expe scrutinised(EUBA)t to those	ota(i)total(i)total expenditure ndétamenditelating to those ingelating:ndertakings(EUR) to e those ertalsing:(#kling):(EUR)			

			 		<u> </u>				
Totals	:				<u></u>		[
		I		I	<u> </u>	[<u> </u>	l	[

Textual Amendments

F3 Words in Annex 12 Pt. 2 substituted (31.12.2020 in so far as it relates to common organisation of the markets and rural development measures) by The Common Agricultural Policy (Financing, Management and Monitoring Supplementary Provisions) (Miscellaneous Amendments) (EU Exit) Regulations 2019 (S.I. 2019/765), regs. 1, 4(38)(b)(i) (as amended by S.I. 2020/1445, regs. 1(2)(b), 7(8)(a)); 2020 c. 1, Sch. 5 para. 1(1)

SHEET CSCRUTINY REPORT FOR THE PERIOD ...(Article 86(1) of Regulation (EU) No 1306/2013)

POTENTIAL IRREGULARITIES DISCOVERED IN RELATION TO [F4 CMO SUPPORT] BUDGET HEADINGS IN RESPECT OF THE [F4 CMO SUPPORT] FINANCIAL YEAR ...

Scrutiny programme ...

C(1)	C(2)Number	C(3)Number	C(4)Number		dC(6)Description
[^{F5} CMO	of potential	of	of	value of	and nature
support]	irregularities	payments	undertakings	potential	of each
Budget	discovered	concerned	concerned	irregularities	potential
Article or					irregularity
Item No.					discovered,
					the
					reference
					number(s)
					of the
					undertaking(s)
					concerned
					and the
					OLAF
					reference
					number(s)
					(IMS
					notification
					numbers)

Totals:			

Textual Amendments

- F4 Words in Annex 12 Pt. 2 substituted (31.12.2020 in so far as it relates to common organisation of the markets and rural development measures) by The Common Agricultural Policy (Financing, Management and Monitoring Supplementary Provisions) (Miscellaneous Amendments) (EU Exit) Regulations 2019 (S.I. 2019/765), regs. 1, 4(38)(b)(ii)(aa) (as amended by S.I. 2020/1445, regs. 1(2)(b), 7(8)(a)); 2020 c. 1, Sch. 5 para. 1(1)
- F5 Words in Annex 12 Pt. 2 substituted (31.12.2020 in so far as it relates to common organisation of the markets and rural development measures) by The Common Agricultural Policy (Financing, Management and Monitoring Supplementary Provisions) (Miscellaneous Amendments) (EU Exit) Regulations 2019 (S.I. 2019/765), regs. 1, 4(38)(b)(ii)(bb) (as amended by S.I. 2020/1445, regs. 1(2)(b), 7(8)(b)); 2020 c. 1, Sch. 5 para. 1(1)

SHEET DSCRUTINY REPORT FOR THE PERIOD ...(Article 86(1) of Regulation (EU) No 1306/2013)

EXECUTION OF SCRUTINIES RELATING TO PRECEDING SCRUTINY PROGRAMMES; SCRUTINY PROGRAMME ...

D(1)	D(2)	D(3)		
Number	Number	Number		
of	of	of		

undertakings declared in previous report as in the course of scrutiny:	undertakings in D(1) for which scrutinies have been completed:	undertakings in D(1) for which scrutinies are still in course	
D(4)	D(5)	D(6)	
Value of	Value of	Value of	
transactions	transactions	transactions	
concerned	concerned	concerned	
in D(1):	in D(2):	in D(3):	
D(7) Number of undertakings for which scrutinies in previous report were declared as not started:	D(8) Number of undertakings in D(7) for which scrutinies have been completed:	D(9) Number of undertakings in D(7) for which scrutinies are still in course:	D(10) Number of undertakings in D(7) for which scrutinies have not been started:
D(11)	D(12)	D(13)	D(14)
Value of	Value of	Value of	Value of
transactions	transactions	transactions	transactions
concerned	concerned	concerned	concerned
in D(7):	in D(8):	in D(9):	in D(10):

Changes to legislation:

There are outstanding changes not yet made to Commission Implementing Regulation (EU) No 908/2014. Any changes that have already been made to the legislation appear in the content and are referenced with annotations.

View outstanding changes

Changes and effects yet to be applied to :

- regulations amended by S.R. 2021/40 reg. 9(1)

Changes and effects yet to be applied to the whole legislation item and associated provisions

- Annex 13 para. 2B inserted by S.S.I. 2023/150 reg. 7(7)
- Annex 13 para. 2A inserted by S.I. 2023/124 reg. 7(5)
- Art. 4(1)(i) omitted by S.I. 2021/400 reg. 5(2)(a)
- Art. 4(1)(ii) words omitted by S.I. 2021/400 reg. 5(2)(b)
- Art. 11(5A) inserted by S.I. 2023/124 reg. 7(2)
- Art. 11(5B) inserted by S.S.I. 2023/150 reg. 7(2)
- Art. 12(3) inserted by S.I. 2023/124 reg. 7(3)
- Art. 12(4) inserted by S.S.I. 2023/150 reg. 7(3)
- Art. 16(1)(b) words omitted by S.I. 2019/765 reg. 4(10)(a)(ii) (This amendment not applied to legislation.gov.uk. Reg. 4(10) substituted immediately before IP completion day by S.I. 2019/1405, regs. 1(2), 3(3))
- Art. 16(1)(b) words substituted by S.I. 2019/765 reg. 4(10)(a)(i) (This amendment not applied to legislation.gov.uk. Reg. 4(10) substituted immediately before IP completion day by S.I. 2019/1405, regs. 1(2), 3(3))
- Art. 16(3) inserted by S.I. 2023/124 reg. 7(3)
- Art. 16(4) inserted by S.S.I. 2023/150 reg. 7(4)
- Art. 17(2A) inserted by S.I. 2023/124 reg. 7(4)
- Art. 17(2B) inserted by S.S.I. 2023/150 reg. 7(5)
- Art. 18(3) inserted by S.I. 2023/124 reg. 7(3)
- Art. 18(4) inserted by S.S.I. 2023/150 reg. 7(6)
- Art. 47(1)(a) word substituted by S.I. 2023/816 reg. 7(3)(c)
- Art. 47(1)(b) word substituted by S.I. 2023/816 reg. 7(3)(d)
- Art. 47(1)(c) words substituted by S.I. 2023/816 reg. 7(3)(e)
- Art. 64(a) words substituted by S.I. 2019/765 reg. 4(35)(a)(i) (This amendment not applied to legislation.gov.uk. Reg. 4(35) substituted immediately before IP completion day by S.I. 2020/1445, reg. 7(5))
- Art. 64(d) words substituted by S.I. 2019/765 reg. 4(35)(a)(ii) (This amendment not applied to legislation.gov.uk. Reg. 4(35) substituted immediately before IP completion day by S.I. 2020/1445, reg. 7(5))