Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Commission Regulation (EU) 2015/340 of 20 February 2015 laying down technical requirements and administrative procedures relating to air traffic controllers' licences and certificates pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council, amending Commission Implementing Regulation (EU) No 923/2012 and repealing Commission Regulation (EU) No 805/2011 (Text with EEA relevance)

COMMISSION REGULATION (EU) 2015/340

of 20 February 2015

laying down technical requirements and administrative procedures relating to air traffic controllers' licences and certificates pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council, amending Commission Implementing Regulation (EU) No 923/2012 and repealing Commission Regulation (EU) No 805/2011

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EC) No 216/2008 of the European Parliament and of the Council of 20 February 2008 on common rules in the field of civil aviation and establishing a European Aviation Safety Agency, and repealing Council Directive 91/670/EEC, Regulation (EC) No 1592/2002 and Directive 2004/36/EC⁽¹⁾, and in particular Articles 8c(10) and 10(5) thereof,

Whereas:

- (1) Air traffic controllers and persons and organisations involved in their training, testing, checking and medical examination and assessment must comply with the relevant essential requirements set out in Annex Vb to Regulation (EC) No 216/2008. In particular, they are to be certified or licensed once they have demonstrated compliance with the essential requirements.
- (2) The European licence has proved to be an effective way of recognising and certifying the competence of air traffic controllers, who as a profession play a unique role in the operation of safe air traffic control. The Union-wide competence standard has reduced fragmentation in this field and thus contributed to more efficient organisation of work in the current context of increased regional collaboration between air navigation service providers. Maintaining and improving the common licensing scheme for air traffic controllers working in the Union is an important part of the European air traffic control system. To this aim, technical requirements and administrative procedures related to air traffic controllers' licences and certificates, reflecting the state of the art in this domain, should now be laid down.
- (3) The provision of air navigation services requires highly skilled personnel and in particular air traffic controllers, whose competence is demonstrated by a licence, issued

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on the basis of the detailed requirements set out in this Regulation. The rating on a licence should indicate the type of air traffic service an air traffic controller is competent to provide. The endorsements on the licence should reflect both the specific skills of the controller and the authorisation given by the competent authorities to provide services for a particular sector, group of sectors and/or working positions.

- (4) The authorities performing supervision and verification of compliance under this Regulation should be sufficiently independent from air traffic controllers when issuing licences or extending the validity of the endorsements, when suspending or revoking licences, ratings, endorsements or certificates in cases where the conditions for their issue are no longer met. Those authorities should also be sufficiently independent from air navigation service providers and training organisations. They should remain capable of performing their tasks effectively. The competent authority or authorities charged with the responsibility set out in this Regulation may be the body or bodies designated or established in accordance with Article 4 of Regulation (EC) No 549/2004 of the European Parliament and of the Council⁽²⁾. The European Aviation Safety Agency (hereinafter the 'Agency') should act as the competent authority for issuing and renewing certificates held by air traffic controller training organisations located outside the territory of the Member States and, where relevant, their personnel. As such, it should meet the same requirements.
- (5) In light of the particular characteristics of air traffic in the Union, common competence standards for air traffic controllers employed by air navigation service providers should be introduced and effectively applied, ensuring air traffic management and air navigation services (ATM/ANS) to the public.
- (6) Member States should have the possibility to apply this Regulation to their military personnel providing services to the public, as referred to in Article 1(2)(c) of Regulation (EC) No 216/2008.
- (7) Poor communication is often a significant contributing factor in incidents and accidents. Therefore, detailed language proficiency requirements for air traffic controllers should be laid down. Those requirements are based on the requirements adopted by the International Civil Aviation Organization (ICAO) and provide a means of enforcing these internationally accepted standards. The principles of non-discrimination, transparency and proportionality are upheld with regard to language proficiency requirements in order to encourage free movement of workers, while ensuring safety. The validity of language proficiency endorsement should be proportionate to the proficiency level as determined in this Regulation.
- (8) Common rules for issuing and maintaining licences for air traffic controllers are essential to increase Member States' confidence in each other's systems. To ensure the highest level of safety, uniform requirements for the training, qualifications and competence of air traffic controllers should therefore be introduced. This also serves to ensure the provision of safe, high-quality air traffic control services and it contributes to the recognition of licences throughout the Union, thereby increasing freedom of movement and improving the availability of air traffic controllers.

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- (9) The European Organisation for the Safety of Air Navigation (Eurocontrol) has set appropriate standards for initial training, set out in the Specification for the ATCO Common Core Content Initial Training. In order to reflect the scientific and technical progress and to facilitate a uniform approach to initial training, which is the key element for ensuring mobility among air traffic controllers, these standards should now be set out in Union law. Requirements should also be established for unit and continuation training, taking into account the applicable essential requirements as specified in Article 8c of Regulation (EC) No 216/2008. In the absence of European training requirements, Member States may continue to rely on training standards developed by ICAO.
- (10) In consultation with a group of experts, Eurocontrol has developed requirements for medical assessment of air traffic controllers, which have already been used by Member States together with ICAO Annex 1. Those requirements should now be transposed into Union law in order to ensure their uniform application in all Member States.
- (11) In order to ensure that Member States fulfil their safety responsibilities and obligations in a correct and structured manner by means of an administration and management system operated by competent authorities and organisations acting on their behalf, in line with ICAO State Safety Programme, this Regulation should stipulate the requirement to be applied by the competent authorities.
- (12) The certification of training organisations is one of the essential factors contributing to the quality of air traffic controller training and thus to the safe provision of air traffic control. The requirements for training organisations should therefore be strengthened. It should be possible to certify training according to the type of training, as a package of training services or as a package of training and air navigation services, without losing sight of the particular characteristics of the training offered by each organisation.
- (13) The general conditions for obtaining a licence, insofar as they relate to age and medical requirements, should not affect the holders of existing licences. In order to preserve existing licence privileges and provide smooth transition for all licence holders and for the competent authorities, licences and medical certificates issued by Member States in accordance with Directive 2006/23/EC of the European Parliament and of the Council⁽³⁾ and with Commission Regulation (EU) No 805/2011⁽⁴⁾ should be considered as having been issued in accordance with this Regulation.
- (14) For the sake of consistency, the definition of psychoactive substance in Commission Implementing Regulation (EU) No 923/2012⁽⁵⁾ should be amended.
- (15) While this Regulation builds on previous achievements and EU regulatory requirements, for the sake of clarity, Regulation (EU) No 805/2011 should be repealed.
- (16) In accordance with Articles 17(2)(b) and 19(1) of Regulation (EC) No 216/2008, the Commission has been assisted by the Agency when preparing the measures provided for in this Regulation.
- (17) The measures provided for in this Regulation are in accordance with the opinion of the Committee established by Article 65 of Regulation (EC) No 216/2008,

HAS ADOPTED THIS REGULATION:

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Article 1

Subject matter and scope

- 1 This Regulation lays down detailed rules for:
 - a the conditions for issuing, suspending and revoking air traffic controllers and student air traffic controllers' licences, associated ratings and endorsements, and the privileges and responsibilities of those holding them;
 - b the conditions for issuing, limiting, suspending and revoking air traffic controllers and student air traffic controllers' medical certificates, and the privileges and responsibilities of those holding them;
 - c the certification of aero-medical examiners and aero-medical centres for air traffic controllers and student air traffic controllers;
 - d the certification of air traffic controller training organisations;
 - e the conditions for validating revalidating, renewing and using such licences, ratings, endorsements and certificates.
- 2 This Regulation shall apply to:
 - a student air traffic controllers and air traffic controllers exercising their functions within the scope of Regulation (EC) No 216/2008;
 - b persons and organisations involved in the licensing, training, testing, checking and medical examination and assessment of applicants in accordance with this Regulation.

Article 2

Compliance with requirements and procedures

- 1 The student air traffic controllers, the air traffic controllers and the persons involved in the licensing, training, testing, checking and medical examination and assessment of applicants referred to in Article 1(2)(a) and (b) shall be qualified and licensed in accordance with the provisions of Annexes I, III and IV by the competent authority referred to in Article 6.
- The organisations referred to in Article 1(2)(b) shall be qualified in accordance with the technical requirements and administrative procedures laid down in Annexes I, III and IV and shall be certified by the competent authority referred to in Article 6.
- 3 The medical certification of the persons referred to in Article 1(2)(a) and (b) shall be compliant with the technical requirements and administrative procedures laid down in Annexes III and IV.
- Air traffic controllers employed by air navigation service providers providing air traffic services in the airspace of the territory to which the Treaty applies and having their principal place of operations and their registered office, if any, located outside the territory subject to the provisions of the Treaty, shall be deemed to have been licenced in accordance with paragraph 1, where they meet both of the following conditions:
 - a they hold an air traffic controller licence issued by a third country in accordance with Annex 1 to the Chicago Convention;
 - b they have demonstrated to the competent authority referred to in Article 6 that they have received training and successfully passed examinations and assessments equivalent to those required by Part ATCO, Subpart D, Sections 1-4, set out in Annex I.

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The tasks and functions assigned to the air traffic controllers referred to in the first subparagraph shall not exceed the privileges of the licence issued by the third country.

- 5 Practical instructors and assessors employed by a training organisation located outside the territory of the Member States shall be deemed to have been qualified in accordance with paragraph 1, where they meet both of the following conditions:
 - a they hold an air traffic controller licence issued by a third country in accordance with Annex I of the Chicago Convention with a rating and, if applicable, rating endorsement corresponding to the one for which they are authorised to instruct or assess;
 - b they have demonstrated to the competent authority referred to in Article 6 that they have received training and successfully passed examinations and assessments equivalent to those required by Part ATCO, Subpart D, Section 5, set out in Annex I.

The privileges referred to in the first subparagraph shall be specified in a certificate issued by a third country and shall be limited to provide instruction and assessment for training organisations located outside the territory of the Member States.

Article 3

Provision of air traffic control services

- 1 Air traffic control services shall only be provided by air traffic controllers qualified and licensed in accordance with this Regulation.
- Subject to Article 1(3) of Regulation (EC) No 216/2008, Member States shall, as far as practicable, ensure that services provided or made available by military personnel to the public referred to in Article 1(2)(c) of that Regulation offer a level of safety that is at least equivalent to the level required by the essential requirements as defined in Annex Vb to that Regulation.
- 3 Member States may apply this Regulation to their military personnel providing services to the public.

Article 4

Definitions

For the purposes of this Regulation, the following definitions shall apply:

- (1) 'abnormal situation' means circumstances, including degraded situations, which are neither routinely nor commonly experienced and for which an air traffic controller has not developed automatic skills;
- (2) 'acceptable means of compliance (AMC)' means non-binding standards adopted by the Agency to illustrate means by which to establish compliance with Regulation (EC) No 216/2008 and its implementing rules;
- (3) 'air traffic control (ATC) service' means a service provided for the purpose of:
 - (a) preventing collisions:
 - between aircraft, and
 - in the manoeuvring area between aircraft and obstructions; and
 - (b) expediting and maintaining an orderly flow of air traffic;

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- (4) 'air traffic control (ATC) unit' means a generic term meaning variously, area control centre, approach control unit or aerodrome control tower;
- (5) 'alternative means of compliance' means an alternative to an existing AMC or a new means to establish compliance with Regulation (EC) No 216/2008 and its implementing rules for which no associated AMC have been adopted by the Agency;
- (6) 'assessment' means an evaluation of the practical skills leading to the issue of the licence, rating and/or endorsement(s) and their revalidation and/or renewal, including behaviour and the practical application of knowledge and understanding being demonstrated by the person being assessed;
- (7) 'assessor endorsement' means the authorisation entered on and forming part of the licence, indicating the competence of the holder to assess the practical skills of student air traffic controller and air traffic controller;
- (8) 'critical incident stress' means the manifestation of unusual and/or extreme emotional, physical and/or behavioural reactions in an individual following an unexpected event, an accident, an incident or serious incident;
- (9) 'emergency situation' means a serious and dangerous situation requiring immediate actions;
- (10) 'examination' means a formalised test evaluating the person's knowledge and understanding;
- (11) 'guidance material (GM)' means non-binding material developed by the Agency that helps to illustrate the meaning of a requirement or specification and is used to support the interpretation of Regulation (EC) No 216/2008, its implementing rules and AMC;
- (12) 'ICAO location indicator' means the four-letter code group formulated in accordance with the rules prescribed by ICAO in its manual 'DOC 7910' in its latest updated version and assigned to the location of an aeronautical fixed station;
- (13) 'language proficiency endorsement' means the statement entered on and forming part of a licence, indicating the language proficiency of the holder;
- (14) 'licence' means a document issued and endorsed in accordance with this Regulation and entitling its lawful holder to exercise the privileges of the ratings and endorsements contained therein;
- (15) 'on-the-job training instruction' means the phase of unit training during which previously acquired job-related routines and skills are integrated in practice under the supervision of a qualified on-the-job training instructor in a live traffic situation;
- (16) 'on-the-job training instructor (OJTI) endorsement' means the authorisation entered on and forming part of a licence, indicating the competence of the holder to give onthe-job training instruction and instruction on synthetic training devices;
- (17) 'part-task trainer (PTT)' means a synthetic training device to provide training for specific and selected operational tasks without requiring the learner to practise all of the tasks which are normally associated with a fully operational environment;
- (18) 'performance objective' means a clear and unambiguous statement of the performance expected of the person undertaking the training, the conditions under which the performance takes place and the standards that the person undertaking training should meet;

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- (19) 'provisional inability' means a temporary state in which the licence holder is prevented from exercising the privileges of the licence when ratings, endorsements and his/her medical certificate are valid;
- (20) 'psychoactive substance' means alcohol, opioids, cannabinoids, sedatives and hypnotics, cocaine, other psychostimulants, hallucinogens, and volatile solvents, whereas caffeine and tobacco are excluded;
- 'rating endorsement' means the authorisation entered on and forming part of a licence, indicating the specific conditions, privileges or limitations pertaining to the relevant rating;
- 'renewal' means the administrative act taken after a rating, endorsement or certificate has expired that renew the privileges of the rating, endorsement or certificate for a further specified period subject to the fulfilment of specified requirements;
- (23) 'revalidation' means the administrative act taken within the period of validity of a rating, endorsement or certificate that allows the holder to continue to exercise the privileges of a rating, endorsement or certificate for a further specified period subject to the fulfilment of specified requirements;
- (24) 'sector' means a part of a control area and/or part of a flight information region or upper region;
- (25) 'simulator' means a synthetic training device that presents the important features of the real operational environment and reproduces the operational conditions under which the person undertaking training can practice real-time tasks directly;
- (26) 'synthetic training device' means any type of device by which operational conditions are simulated, including simulators and part-task trainers;
- 'synthetic training device instructor (STDI) endorsement' means the authorisation entered on and forming part of a licence, indicating the competence of the holder to give instruction on synthetic training devices;
- 'training course' means theoretical and/or practical instruction developed within a structured framework and delivered within a defined duration;
- (29) 'training organisation' means an organisation which has been certified by the competent authority to provide one or more types of training;
- (30) 'unit endorsement' means the authorisation entered on and forming part of a licence, indicating the ICAO location indicator and the sector, group of sectors or working positions where the licence holder is competent to work;
- (31) 'validation' means a process by which, through the successful completion of a unit endorsement course associated to a rating or a rating endorsement, the holder may start exercising the privileges of that rating or rating endorsement.

Article 5

Competent authority

1 Member States shall nominate or establish one or more competent authority(ies) with allocated responsibilities for the certification and oversight of persons and organisations subject to this Regulation.

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- Within a functional airspace block or in the case of cross-border service provision the competent authorities shall be designated by agreement of the Member States concerned.
- If a Member State nominates or establishes more than one competent authority, the areas of competence of each competent authority shall be clearly defined in terms of responsibilities and geographical area, where appropriate. Coordination shall be established between those authorities to ensure effective oversight of all persons and organisations subject to this Regulation within their respective remits.
- 4 The competent authority(ies) shall be independent from air navigation service providers and training organisations. This independence shall be achieved through adequate separation, at least at functional level, of the competent authorities on the one hand and air navigation service providers and the training organisations on the other hand. The competent authorities shall exercise their powers impartially and transparently.

The first subparagraph also applies to the Agency, where it acts as a competent authority pursuant to Article 6(2)(b) and (3)(a)(ii).

- Member States shall ensure that the competent authorities have the necessary capability to conduct the certification and oversight activities covered by their certification and oversight programmes, including sufficient resources to fulfil the requirements of Annex II (Part ATCO.AR). In particular, Member States shall use the assessments produced by the competent authorities in accordance with point ATCO.AR.A.005(a) of Annex II in order to demonstrate their capability.
- 6 Member States shall ensure that, with respect to the personnel of the competent authorities that carry out the oversight and certification activities under this Regulation, there is no direct or indirect conflict of interest, in particular relating to family or financial interests of the personnel concerned.
- The competent authority(ies) nominated or established by a Member State for the purposes of Commission Regulation (EU) No 805/2011 shall be deemed to remain the competent authority for the purposes of this Regulation, unless otherwise determined by the Member State concerned. In the latter case, Member States shall notify the Agency of the name(s) and address(es) of the competent authority(ies) that they nominate or establish in application of this Article, as well as any changes thereto.

Article 6

Competent authority for the purposes of Annexes I, III and IV

- 1 For the purpose of Annex I, the competent authority shall be the authority(ies) nominated or established by the Member State to whom the person applies for the issue of a licence.
- 2 For the purpose of Annex III and for the oversight of the requirements of Annex I regarding air navigation service providers, the competent authority shall be:
 - a the authority nominated or established by the Member State as its competent authority for oversight where the applicant has its principal place of operation or its registered office, if any, unless otherwise provided for in bilateral or multilateral agreements between Member States or their competent authorities;
 - b the Agency, if the applicant has its principle place of operation or its registered office, if any, outside the territory of the Member States.
- For the purpose of Annex IV, the competent authority shall be:

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- a for aero-medical centres:
 - (i) the authority designated by the Member State in which the aero-medical centre has its principal place of business;
 - (ii) the Agency, when the aero-medical centre is located in a third country;
- b for aero-medical examiners:
 - (i) the authority designated by the Member State in which the aero-medical examiner has his or her principal place of practice;
 - (ii) if the principal place of practice of an aero-medical examiner is located in a third country, the authority designated by the Member State to which the applicant aero-medical examiner applies for the issue of the certificate.

Article 7

Transitional provisions

- 1 Licences, ratings and endorsements issued in accordance with the relevant provisions of national legislation based on Directive 2006/23/EC and licences, ratings and endorsements issued in accordance with Regulation (EU) No 805/2011 shall be deemed to have been issued in accordance with this Regulation.
- 2 The Area Control Procedural (ACP) rating with the Oceanic Control (OCN) rating endorsement issued on the basis of national rules based on Article 31(1) of Regulation (EU) No 805/2011 shall be deemed to have been issued in accordance with this Regulation.
- Medical certificates and certificates for training organisations, aero-medical examiners and aero-medical centres, approvals of unit competence schemes and training plans issued in accordance with the relevant provisions of national legislation based on Directive 2006/23/EC in accordance with Regulation (EU) No 805/2011 shall be deemed to have been issued in accordance with this Regulation.

Article 8

Replacement of licences, adaptations of privileges, training courses and unit competence schemes

- 1 Member States shall replace the licences referred to in Article 7(1) with licences complying with the format laid down in Appendix 1 of Annex II to this Regulation by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest.
- Member States shall replace the certificates for air traffic controller training organisations referred to in Article 7(3) with certificates complying with the format laid down in Appendix 2 of Annex II to this Regulation by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest.
- Member States shall replace the certificates for aero-medical examiners and the certificates for aero-medical centres referred to in Article 7(3) with certificates complying with the format laid down in Appendices 3 and 4 of Annex II to this Regulation by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest.

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- The competent authorities shall convert the privileges of examiners and assessors for initial training pursuant to Article 20 of Commission Regulation (EU) No 805/2011 and of competence examiners and competence assessors for unit and continuation training approved by the competent authority pursuant to Article 24 of Regulation (EU) No 805/2011 into the privileges of an assessor endorsement pursuant to this Regulation, if appropriate, by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest.
- The competent authorities may convert the privileges for national simulator or synthetic training device instructors into privileges for a synthetic training device instructor endorsement according to this Regulation, if appropriate, by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest
- Air navigation service providers shall adapt their unit competence schemes to comply with the requirements of this Regulation by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest.
- Air traffic controller training organisations shall adapt their training plans to comply with the requirements of this Regulation by 31 December 2015, or 31 December 2016, when the Member State makes use of the derogation in Article 11(2), at the latest.
- 8 Certificates of completion of training courses that started prior to the application of this Regulation in accordance with Regulation (EU) No 805/2011 shall be accepted for the purpose of the issue of the relevant licences, ratings and endorsements in accordance with this Regulation provided that the training and the assessment have been completed by 30 June 2016, or 30 June 2017, when the Member State makes use of the derogation in Article 11(2), at the latest.

Article 9

Amendment to Commission Implementing Regulation (EU) No 923/2012

In Article 2 of Commission Implementing Regulation (EU) No 923/2012, point 104 is replaced by the following:

104. "psychoactive substance" means alcohol, opioids, cannabinoids, sedatives and hypnotics, cocaine, other psychostimulants, hallucinogens, and volatile solvents, whereas caffeine and tobacco are excluded;

Article 10

Repeal

Commission Regulation (EU) No 805/2011 is repealed.

Article 11

Entry into force and application

1 This Regulation shall enter into force on the twentieth day following that of its publication in the *Official Journal of the European Union*.

It shall apply from 30 June 2015.

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By way of derogation from paragraph 1, Member States may decide not to apply Annexes I to IV, in whole or in part, before 31 December 2016.

When a Member State makes use of this possibility, it shall notify the Commission and the Agency by 1 July 2015 at the latest. This notification shall describe the scope of the derogation(s) as well as the programme for implementation containing actions envisaged and related timing. In that case, the relevant provisions of Commission Regulation (EU) No 805/2011 shall continue to apply.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, 20 February 2015.

For the Commission

The President

Jean-Claude JUNCKER

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

ANNEX I

PART ATCO

REQUIREMENTS FOR THE LICENSING OF AIR TRAFFIC CONTROLLERS

SUBPAR**GENERAL REQUIREMENTS**

Α

ATCO.ASModpe

This Part, set out in this Annex, establishes the requirements for the issue, revocation and suspension of student air traffic controller licences and air traffic controller licences, their associated ratings and endorsements, and the conditions of their validity and use.

ATCO.A. Application for the issue of licences, ratings and endorsements

- (a) An application for the issue of licences, ratings and endorsements shall be submitted to the competent authority in accordance with the procedure established by that authority.
- (b) An application for the issue of further ratings or endorsements, for the revalidation or renewal of endorsements and for the reissue of the licence shall be submitted to the competent authority which issued that licence.
- (c) The licence shall remain the property of the person to whom it is issued, unless it is revoked by the competent authority. The licence holder shall sign the licence.
- (d) The licence shall specify all relevant information related to the privileges that are granted by the licence and shall comply with the requirements in Appendix 1 of Annex II.

ATCO.A.D10hange of licences

- (a) If the licence holder is to exercise the privileges of the licence in a Member State for which the competent authority is not the one that issued the licence, the licence holder shall submit an application to exchange his/her licence for a licence issued by the competent authority of the Member State where the privileges are to be exercised in accordance with the procedure established by this authority, except where otherwise foreseen in agreements concluded among the Member States. For this purpose, the authorities involved shall share all the relevant information needed to carry out the licence exchange according to the procedures referred to in ATCO.AR.B.001(c).
- (b) For the purposes of the exchange and for exercising the privileges of the licence in a Member State other than that in which the licence was issued, the licence holder must fulfil the language proficiency requirements referred to in ATCO.B.030 established by the respective Member State.
- (c) The new licence shall include ratings, rating endorsements, licence endorsements and all valid unit endorsements in the licence, including the date of their first issue and expiry, if applicable.
- (d) Following the receipt of the new licence, the licence holder shall submit an application referred to in ATCO.A.005 together with his/her air traffic controller licence in order to get new ratings, rating endorsements, licence endorsements or unit endorsements.

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(e) Following the exchange, the previously issued licence shall be returned to the authority that issued it.

ATCO.AD16rcise of the privileges of licences and provisional inability

- (a) The exercise of the privileges granted by a licence shall be dependent on the validity of the ratings, endorsements and of the medical certificate.
- (b) Licence holders shall not exercise the privileges of their licence when having doubts of being able to safely exercise the privileges of the licence and shall in such cases immediately notify the relevant air navigation service provider of the provisional inability to exercise the privileges of their licence.
- (c) Air navigation service providers may declare the provisional inability of the licence holder if they become aware of any doubt concerning the ability of the licence holder to safely exercise the privileges of the licence.
- (d) Air navigation service providers shall develop and implement objective, transparent and non-discriminatory procedures to enable licence holders declaring provisional inability to exercise the privileges of their licence in accordance with point (b), to declare the provisional inability of the licence holder in accordance with point (c), to manage the operational impact of provisional inability cases and to inform the competent authority as defined in that procedure.
- (e) The procedures referred to in point (d) shall be included in the unit competence scheme according to ATCO.B.025(a)(13).

ATCO.A M20 ocation and suspension of licences, ratings and endorsements

- (a) Licences, ratings and endorsements may be suspended or revoked by the competent authority according to ATCO.AR.D.005 when the licence holder does not comply with the requirements of this Part.
- (b) When the licence holder has his/her licence revoked, he/she shall immediately return the licence to the competent authority according to the administrative procedures established by that authority.
- (c) With the issue of the air traffic controller licence the student air traffic controller licence is revoked and shall be returned to the competent authority which is issuing the air traffic controller licence.

SUBPAR**TICENCES, RATINGS AND ENDORSEMENTS**B

ATCO.B.901dent air traffic controller licence

- (a) Holders of a student air traffic controller licence shall be authorised to provide air traffic control services in accordance with the rating(s) and rating endorsement(s) contained in their licence under the supervision of an on-the-job training instructor and to undertake training for rating endorsement(s).
- (b) Applicants for the issue of a student air traffic controller licence shall:
 - (1) be at least 18 years old;
 - (2) have successfully completed initial training at a training organisation satisfying the requirements laid down in Annex III (Part ATCO.OR) relevant

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to the rating, and if applicable, to the rating endorsement, as set out in Part ATCO, Subpart D, Section 2;

- (3) hold a valid medical certificate;
- (4) have demonstrated an adequate level of language proficiency in accordance with the requirements set out in ATCO.B.030.
- (c) The student air traffic controller licence shall contain the language endorsement(s) and at least one rating and, if applicable, one rating endorsement.
- (d) The holder of a student air traffic controller licence who has not started exercising the privileges of that licence within one year from the date of its issue or has interrupted exercising those privileges for a period of more than one year may only start or continue unit training in that rating after an assessment of his/her previous competence, conducted by a training organisation satisfying the requirements laid down in Annex III (Part ATCO.OR) and certified to provide initial training relevant to the rating, as to whether he/she continues to satisfy the requirements relevant to that rating, and after satisfying any training requirements resulting from this assessment.

ATCO.B.405 traffic controller licence

- (a) Holders of an air traffic controller licence shall be authorised to provide air traffic control services in accordance with the ratings and rating endorsements of their licence, and to exercise the privileges of the endorsements contained therein.
- (b) The privileges of an air traffic controller licence shall include the privileges of a student air traffic controller licence as set out in ATCO.B.001(a).
- (c) Applicants for the first issue of an air traffic controller licence shall:
 - (1) hold a student air traffic controller licence;
 - have completed a unit endorsement course and successfully passed the appropriate examinations and assessments in accordance with the requirements set out in Part ATCO, Subpart D, Section 3;
 - (3) hold a valid medical certificate;
 - (4) have demonstrated an adequate level of language proficiency in accordance with the requirements set out in ATCO.B.030.
- (d) The air traffic controller licence shall be validated by the inclusion of one or more ratings and the relevant rating, unit and language proficiency endorsements for which the training was successful.
- (e) The holder of an air traffic controller licence who has not started exercising the privileges of any rating within one year from the date of its issue may only start unit training in that rating after an assessment of his/her previous competence, conducted by a training organisation satisfying the requirements laid down in Annex III (Part ATCO.OR) and certified to provide initial training relevant to the rating, as to whether he/she continues to satisfy the requirements relevant to that rating, and after satisfying any training requirements resulting from this assessment.

ATCO.B.Alotraffic controller ratings

(a) Licences shall contain one or more of the following ratings in order to indicate the type of service which the licence holder is authorised to provide:

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- (1) the Aerodrome Control Visual (ADV) rating, indicating that the licence holder is competent to provide an air traffic control service to aerodrome traffic at an aerodrome that has no published instrument approach or departure procedures;
- (2) the Aerodrome Control Instrument (ADI) rating, indicating that the licence holder is competent to provide an air traffic control service to aerodrome traffic at an aerodrome that has published instrument approach or departure procedures and shall be accompanied by at least one of the rating endorsements described in ATCO.B.015(a);
- (3) the Approach Control Procedural (APP) rating, indicating that the licence holder is competent to provide an air traffic control service to arriving, departing or transiting aircraft without the use of surveillance equipment;
- (4) the Approach Control Surveillance (APS) rating, indicating that the licence holder is competent to provide an air traffic control service to arriving, departing or transiting aircraft with the use of surveillance equipment;
- (5) the Area Control Procedural (ACP) rating, indicating that the licence holder is competent to provide an air traffic control service to aircraft without the use of surveillance equipment;
- (6) the Area Control Surveillance (ACS) rating, indicating that the licence holder is competent to provide an air traffic control service to aircraft with the use of surveillance equipment.
- (b) The holder of a rating who has interrupted exercising the privileges associated with that rating for a period of four or more immediately preceding consecutive years may only start unit training in that rating after assessment of previous competence, conducted by a training organisation satisfying the requirements laid down in Annex III (Part ATCO.OR) and certified to provide training relevant to the rating, as to whether the person concerned continues to satisfy the conditions of that rating, and after satisfying any training requirements resulting from this assessment.

ATCO.B.Rasing endorsements

- (a) The Aerodrome Control Instrument (ADI) rating shall bear at least one of the following endorsements:
 - (1) the Air Control (AIR) endorsement, indicating that the licence holder is competent to provide air control to traffic flying in the vicinity of an aerodrome and on the runway;
 - (2) the Ground Movement Control (GMC) endorsement, indicating that the licence holder is competent to provide ground movement control;
 - (3) the Tower Control (TWR) endorsement, indicating that the licence holder is competent to provide aerodrome control service. The TWR endorsement includes the privileges of the AIR and GMC endorsements;
 - (4) the Ground Movement Surveillance (GMS) endorsement, granted in addition to the Ground Movement Control endorsement or Tower Control endorsement, indicating that the licence holder is competent to provide ground movement control with the help of aerodrome surface movement guidance systems;

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- (5) the Aerodrome Radar Control (RAD) endorsement, granted in addition to the Air Control endorsement or Tower Control endorsement, indicating that the licence holder is competent to provide aerodrome control with the help of surveillance radar equipment.
- (b) The Approach Control Surveillance (APS) rating may bear one or more of the following endorsements:
 - (1) the Precision Approach Radar (PAR) endorsement, indicating that the licence holder is competent to provide ground-controlled precision approaches with the use of precision approach radar equipment to aircraft on the final approach to the runway;
 - (2) the Surveillance Radar Approach (SRA) endorsement, indicating that the licence holder is competent to provide ground-controlled non-precision approaches with the use of surveillance equipment to aircraft on the final approach to the runway;
 - (3) the Terminal Control (TCL) endorsement, indicating that the licence holder is competent to provide air traffic control services with the use of any surveillance equipment to aircraft operating in a specified terminal area and/ or adjacent sectors.
- (c) The Area Control Procedural (ACP) rating may bear the Oceanic Control (OCN) endorsement, indicating that the holder of the licence is competent to provide air traffic control services to aircraft operating in an Oceanic Control Area.
- (d) The Area Control Surveillance (ACS) rating may bear one of the following endorsements:
 - (1) the Terminal Control (TCL) endorsement, indicating that the licence holder is competent to provide air traffic control services with the use of any surveillance equipment to aircraft operating in a specified terminal area and/ or adjacent sectors;
 - the Oceanic Control (OCN) endorsement, indicating that the licence holder is competent to provide air traffic control services to aircraft operating in an Oceanic Control Area.

ATCO.B.U20t endorsements

- (a) The unit endorsement shall authorise the licence holder to provide air traffic control services for a specific sector, group of sectors and/or working positions under the responsibility of an air traffic services unit.
- (b) Applicants for a unit endorsement shall have successfully completed a unit endorsement course in accordance with the requirements set out in Part ATCO, Subpart D, Section 3.
- (c) Applicants for a unit endorsement following an exchange of a licence referred to in ATCO.A.010 shall, in addition to the requirements set out in point (b), meet the requirements of ATCO.D.060(f).
- (d) For air traffic controllers providing air traffic control services to aircraft carrying out flight tests, the competent authority may, in addition to the requirements set out in point (b), set out additional requirements to be met.

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- (e) Unit endorsements shall be valid for a period defined in the unit competence scheme. This period shall not exceed three years.
- (f) The validity period of unit endorsements for initial issue and renewal shall start not later than 30 days from the date on which the assessment has been successfully completed.
- (g) Unit endorsements shall be revalidated if:
 - (1) the applicant has been exercising the privileges of the licence for a minimum number of hours as defined in the unit competence scheme;
 - (2) the applicant has undertaken refresher training within the validity period of the unit endorsement according to the unit competence scheme;
 - (3) the applicant's competence has been assessed in accordance with the unit competence scheme not earlier than three months prior to the expiry date of the unit endorsement.
- (h) Unit endorsements shall be revalidated, provided that the requirements set out in point (g) are met, within the 3-month period immediately preceding their expiry date. In such cases the validity period shall be counted from that expiry date.
- (i) If the unit endorsement is revalidated before the period provided for in point (h), its validity period shall start not later than 30 days from the date on which the assessment has been successfully completed, provided that the requirements in point (g)(1) and (2) are also met.
- (j) If the validity of a unit endorsement expires, the licence holder shall successfully complete the unit endorsement course in accordance with the requirements set out in Part ATCO, Subpart D, Section 3 in order to renew the endorsement.

ATCO.B.U25t competence scheme

- (a) Unit competence schemes shall be established by the air navigation service provider and approved by the competent authority. It shall include at least the following elements:
 - (1) the validity of the unit endorsement in accordance with ATCO.B.020(e);
 - (2) the maximum continuous period when the privileges of a unit endorsement are not exercised during its validity. This period shall not exceed 90 calendar days;
 - (3) the minimum number of hours for exercising the privileges of the unit endorsement within a defined period of time, which shall not exceed 12 months, for the purpose of ATCO.B.020(g)(1). For on-the-job training instructors exercising the privileges of the OJTI endorsement the time spent instructing shall be counted for the maximum of 50 % of the hours required for revalidation of the unit endorsement.
 - (4) procedures for the cases where the licence holder does not meet the requirements set out in point (a)(2) and (3);
 - processes for assessing competence, including assessment of the refresher training subjects according to ATCO.D.080(b);

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- (6) processes for the examination of theoretical knowledge and understanding necessary to exercise privileges of the ratings and endorsements;
- (7) processes to identify the topics and subtopics, objectives and training methods for continuation training;
- (8) the minimum duration and frequency of the refresher training;
- (9) processes for the examination of theoretical knowledge and/or the assessment of practical skills acquired during conversion training, including pass marks for examinations;
- (10) processes in case of failure of an examination or assessment, including the appeal processes;
- (11) training personnel qualifications, roles and responsibilities;
- procedure to ensure that practical instructors have practised instructional techniques in the procedures in which it is intended to provide instruction in accordance with ATCO.C.010(b)(3) and ATCO.C.030(b)(3);
- procedures for the declaration and the management of cases of provisional inability to exercise the privileges of a licence, as well as for informing the competent authority in accordance with ATCO.A.015(d);
- identification of records to be kept specific to continuation training and assessments, in accordance with ATCO.AR.B.015;
- process and reasons for reviewing and amending the unit competence scheme and its submission to the competent authority. The review of the unit competence scheme shall take place at least once every three years.
- (b) In order to comply with the requirement set out in point (a)(3), air navigation service providers shall keep records of the hours, during which each licence holder exercises the privileges of his/her unit endorsement working in sectors, group of sectors and/ or working positions in the ATC unit and shall provide that data to the competent authorities and to the licence holder upon request.
- (c) When establishing the procedures referred to in point (a)(4) and (13) air navigation service providers shall ensure that mechanisms are applied to guarantee fair treatment of licence holders where the validity of their endorsements cannot be extended.

ATCO.B.Danguage proficiency endorsement

- (a) Air traffic controllers and student air traffic controllers shall not exercise the privileges of their licences unless they have a valid language proficiency endorsement in English and, if applicable, in the language(s) imposed by the Member State for reasons of safety at the ATC unit as published in the Aeronautical Information Publications. The language proficiency endorsement shall indicate the language(s), the level(s) of proficiency and the expiry date(s).
- (b) The language proficiency level shall be determined in accordance with the rating scale set out in Appendix 1 of Annex I.
- (c) The applicant for any language proficiency endorsement shall demonstrate, in accordance with the rating scale referred to in point (b), at least an operational level (level four) of language proficiency.

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To do so, the applicant shall:

- (1) communicate effectively in voice only (telephone/radiotelephone) and in face-to-face situations;
- (2) communicate on common, concrete and work-related topics with accuracy and clarity;
- (3) use appropriate communicative strategies to exchange messages and to recognise and resolve misunderstandings in a general or work-related context;
- (4) handle successfully and with relative ease the linguistic challenges presented by a complication or unexpected turn of events that occur within the context of a routine work situation or communicative task with which they are otherwise familiar; and
- (5) use a dialect or accent which is intelligible to the aeronautical community.
- (d) Notwithstanding point (c), extended level (level five) of the language proficiency rating scale set out in Appendix 1 of Annex I may be required by the air navigation service provider, where the operational circumstances of the particular rating or endorsement warrant a higher level of language proficiency for imperative reasons of safety. Such a requirement shall be non-discriminatory, proportionate, transparent, and objectively justified by the air navigation service provider wishing to apply the higher level of proficiency and shall be approved by the competent authority.
- (e) Language proficiency shall be demonstrated by a certificate attesting the result of the assessment.

ATCO.B.V35dity of language proficiency endorsement

- (a) The validity of the language proficiency endorsement, depending on the level determined in accordance with Appendix 1 of Annex I, shall be:
 - (1) for operational level (level four), three years from the date of assessment; or
 - (2) for extended level (level five), six years from the date of assessment;
 - (3) for expert level (level six):
 - (i) nine years from the date of assessment, for the English language;
 - (ii) unlimited, for any other language(s) referred to in ATCO.B.030(a).
- (b) The validity period of the language proficiency endorsements for initial issue and renewal shall start not later than 30 days from the date on which the language proficiency assessment has been successfully completed.
- (c) Language proficiency endorsements shall be revalidated following successful completion of the language proficiency assessment taking place within three months immediately preceding their expiry date. In such cases the new validity period shall be counted from that expiry date.
- (d) If the language proficiency endorsement is revalidated before the period provided for in point (c), its validity period shall start not later than 30 days from the date on which the language proficiency assessment has been successfully completed.

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(e) When the validity of a language proficiency endorsement expires, the licence holder shall successfully complete a language proficiency assessment in order to have his/her endorsement renewed.

ATCO.B.449essment of language proficiency

- (a) The demonstration of language proficiency shall be done through a method of assessment approved by the competent authority, which shall contain:
 - (1) the process by which an assessment is done;
 - (2) the qualification of the assessors;
 - (3) the appeals procedure.
- (b) Language assessment bodies shall comply with the requirements established by the competent authorities according to ATCO.AR.A.010.

ATCO.B.D45 guage training

- (a) Air navigation service providers shall make available language training to maintain the required level of language proficiency of air traffic controllers to:
 - (1) holders of language proficiency endorsement at operational level (level four);
 - (2) licence holders without the opportunity to apply their skills on a regular basis in order to maintain their language skills.
- (b) Language training may also be made available in the form of continuous training.

SUBPAR**requirements for instructors and assessors** C

SECTION 1

Instructors

ATCO.C.DB&oretical instructors

- (a) Theoretical training shall only be carried out by appropriately qualified instructors.
- (b) A theoretical instructor is appropriately qualified if he/she:
 - (1) holds an air traffic controller licence and/or holds a professional qualification appropriate to the subject being taught and/or has demonstrated adequate knowledge and experience to the training organisation;
 - (2) has demonstrated instructional skills to the training organisation.

ATCO.CIDDactical instructors

A person shall only carry out practical training when he/she holds an air traffic controller licence with an on-the-job training instructor (OJTI) endorsement or a synthetic training device instructor (STDI) endorsement.

ATCO.C. On the training instructor (OJTI) privileges

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (a) Holders of an OJTI endorsement are authorised to provide practical training and supervision on operational working positions for which a valid unit endorsement is held and on synthetic training devices in the ratings held.
- (b) Holders of an OJTI endorsement shall only exercise the privileges of the endorsement if they have:
 - (1) exercised for at least two years the privilege of the rating they will instruct in;
 - (2) exercised for an immediately preceding period of at least six months the privilege of the valid unit endorsement, in which instruction will be given;
 - practised instructional skills in those procedures in which it is intended to provide instruction.
- (c) The period of two years referred to in point (b)(1) can be shortened to not less than one year by the competent authority when requested by the training organisation.

ATCO.C.Application for on-the-job training instructor endorsement

Applicants for the issue of an OJTI endorsement shall:

- (a) hold an air traffic controller licence with a valid unit endorsement;
- (b) have exercised the privileges of an air traffic controller licence for a period of at least two years immediately preceding the application. This period can be shortened to not less than one year by the competent authority when requested by the training organisation; and
- (c) within the year preceding the application, have successfully completed a practical instructional techniques course during which the required knowledge and pedagogical skills are taught and have been appropriately assessed.

ATCO.C.W2Ddity of on-the-job training instructor endorsement

- (a) The OJTI endorsement shall be valid for a period of three years.
- (b) The OJTI endorsement may be revalidated by successfully completing refresher training on practical instructional skills during its validity period, provided that the requirements of ATCO.C.015(a) and (b) are met.
- (c) If the OJTI endorsement has expired, it may be renewed by:
 - (1) receiving refresher training on practical instructional skills; and
 - (2) successfully passing a practical instructor competence assessment;
 - within the year preceding the application for renewal, provided that the requirements of ATCO.C.015(a) and (b) are met.
- (d) In the case of first issue and renewal the period of validity of the OJTI endorsement shall start not later than 30 days from the date on which the assessment has been successfully completed.
- (e) If the requirements of ATCO.C.015(a) and (b) are not met the OJTI endorsement may be exchanged for an STDI endorsement, provided that compliance with the requirements of ATCO.C.040(b) and (c) is ensured.

ATCO.C.D25 porary OJTI authorisation

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (a) When compliance with the requirements provided for in ATCO.C.010(b)(2) is not possible, the competent authority may grant temporary OJTI authorisation based on a safety analysis presented by the air navigation service provider.
- (b) The temporary OJTI authorisation referred to in point (a) may be issued to holders of a valid OJTI endorsement issued in accordance with ATCO.C.015.
- (c) The temporary OJTI authorisation referred to in point (a) shall be limited to the instruction necessary to cover exceptional situations and its validity shall not exceed one year or the expiration of the validity of the OJTI endorsement issued in accordance with ATCO.C.015, whichever occurs sooner.

ATCO.CS/30thetic training device instructor (STDI) privileges

- (a) Holders of an STDI endorsement are authorised to provide practical training on synthetic training devices:
 - (1) for subjects of practical nature during initial training;
 - (2) for unit training other than OJT; and
 - (3) for continuation training.

Where the STDI is providing pre-OJT, he/she shall hold or have held the relevant unit endorsement.

- (b) Holders of an STDI endorsement shall only exercise the privileges of the endorsement if they have:
 - (1) at least two years' experience in the rating they will instruct in;
 - (2) demonstrated knowledge of current operational practices;
 - practised instructional techniques in those procedures in which it is intended to provide instruction.
- (c) Notwithstanding point (b)(1)
 - (1) for the purpose of basic training any rating held is appropriate;
 - (2) for the purpose of rating training, training may be provided for specific and selected operational tasks by an STDI holding a rating that is relevant for that specific and selected operational task.

ATCO.C.A35 lication for synthetic training device instructor endorsement

Applicants for the issue of an STDI endorsement shall:

- (a) have exercised the privileges of an air traffic controller licence in any rating for at least two years; and
- (b) within the year preceding the application have successfully completed a practical instructional techniques course during which the required knowledge and pedagogical skills are taught using theoretical and practical methods and have been appropriately assessed.

ATCO.C.Walldity of synthetic training device instructor endorsement

(a) The STDI endorsement shall be valid for a period of three years.

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- (b) The STDI endorsement may be revalidated by successfully completing refresher training on practical instructional skills and on current operational practices during its validity period.
- (c) If the STDI endorsement has expired, it may be renewed by:
 - (1) receiving refresher training on practical instructional skills and on current operational practices; and
 - (2) successfully passing a practical instructor competence assessment; within the year preceding the application for renewal.
- (d) In the case of first issue and renewal the period of validity of the STDI endorsement shall start not later than 30 days from the date on which the assessment has been successfully completed.

SECTION 2

Assessors

ATCO.CAMSessor privileges

- (a) A person shall only carry out assessments when he/she holds an assessor endorsement.
- (b) Holders of an assessor endorsement are authorised to carry out assessments:
 - during initial training for the issue of a student air traffic controller licence or for the issue of a new rating and/or rating endorsement, if applicable;
 - of previous competence for the purpose of ATCO.B.001(d) and ATCO.B.010(b);
 - of student air traffic controllers for the issue of a unit endorsement and rating endorsements, if applicable;
 - of air traffic controllers for the issue of a unit endorsement and rating endorsements, if applicable, as well as for revalidation and renewal of a unit endorsement;
 - of applicant practical instructors or applicant assessors when compliance with the requirements of point (d)(2) to (4) is ensured.
- (c) Holders of an assessor endorsement shall only exercise the privileges of the endorsement if they have:
 - (1) at least two years' experience in the rating and rating endorsement(s) they will assess in; and
 - (2) demonstrated knowledge of current operational practices.
- (d) In addition to the requirements set out in point (c), holders of an assessor endorsement shall only exercise the privileges of the endorsement:
 - (1) for assessments leading to the issue, revalidation and renewal of a unit endorsement, if they also hold the unit endorsement associated with the assessment for an immediately preceding period of at least one year;

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- (2) for assessing the competence of an applicant for the issue or renewal of an STDI endorsement, if they hold an STDI or OJTI endorsement and have exercised the privileges of that endorsement for at least three years;
- (3) for assessing the competence of an applicant for the issue or renewal of an OJTI endorsement, if they hold an OJTI endorsement and have exercised the privileges of that endorsement for at least three years;
- (4) for assessing the competence of an applicant for the issue or renewal of an assessor endorsement, if they have exercised the privileges of the assessor endorsement for at least three years.
- (e) When assessing for the purpose of issue and renewal of a unit endorsement, and for ensuring supervision on the operational working position, the assessor shall also hold an OJTI endorsement, or an OJTI holding the valid unit endorsement associated with the assessment shall be present.

ATCO.C.V& dted interests

Assessors shall not conduct assessments whenever their objectivity may be affected.

ATCO.C.A.5.5 lication for assessor endorsement

Applicants for the issue of an assessor endorsement shall:

- (a) have exercised the privileges of an air traffic controller licence for at least two years; and
- (b) within the year preceding the application have successfully completed an assessor course during which the required knowledge and skills are taught using theoretical and practical methods, and have been appropriately assessed.

ATCO.C.W. didity of assessor endorsement

- (a) The assessor endorsement shall be valid for a period of three years.
- (b) The assessor endorsement may be revalidated by successfully completing refresher training on assessment skills and on current operational practices during its validity period.
- (c) If the assessor endorsement has expired, it may be renewed by:
 - (1) receiving refresher training on assessment skills and on current operational practices; and
 - (2) successfully passing an assessor competence assessment;

within the year preceding the application for renewal.

(d) In the case of first issue and renewal the period of validity of the assessor endorsement shall start not later than 30 days from the date on which the assessment has been successfully completed.

ATCO.C.Defaporary assessor authorisation

(a) When the requirement provided for in ATCO.C.045 (d)(1) cannot be met, the competent authority may authorise holders of an assessor endorsement issued in accordance with ATCO.C.055 to carry out assessments referred to in ATCO.C.045(b)

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- (3) and (4) to cover exceptional situations or to ensure the independence of the assessment, provided that the requirements set out in points (b) and (c) are met.
- (b) For the purpose of covering exceptional situations the holder of the assessor endorsement shall also hold a unit endorsement with the associated rating and, if applicable, rating endorsement, relevant to the assessment for an immediately preceding period of at least one year. The authorisation shall be limited to the assessments necessary to cover exceptional situations and shall not exceed one year or the validity of the assessor endorsement issued in accordance with ATCO.C.055, whichever occurs sooner.
- (c) For the purpose of ensuring the independence of the assessment for reasons of recurrent nature the holder of the assessor endorsement shall also hold a unit endorsement with the associated rating and, if applicable, rating endorsement, relevant to the assessment for an immediately preceding period of at least one year. The validity of the authorisation shall be determined by the competent authority but shall not exceed the validity of the assessor endorsement issued in accordance with ATCO.C.055.
- (d) For issuing a temporary assessor authorisation for the reasons referred to in points (b) and (c) the competent authority may require a safety analysis to be presented by the air navigation service provider.

SUBPAR**HIR TRAFFIC CONTROLLER TRAINING** D

SECTION 1

General requirements

ATCO.D. Dolectives of air traffic controller training

Air traffic controller training shall cover the entirety of theoretical courses, practical exercises, including simulation, and on-the-job training required in order to acquire and maintain the skills to deliver safe, orderly and expeditious air traffic control services.

ATCO.D.D05es of air traffic controller training

- (a) Air traffic controller training shall consist of the following types:
 - (1) initial training, leading to the issue of a student air traffic controller licence or to the issue of an additional rating and, if applicable, rating endorsement, providing:

(i)
'basic
training'

theoretical and practical training designed to impart fundamental knowledge and practical skills related to basic operational procedures;

(ii)
'rating
training'

theoretical and practical training designed to impart knowledge and practical skills related to a specific rating and, if applicable, to rating endorsement:

(2) unit training, leading to the issue of an air traffic controller licence, the issue of a rating endorsement, the validation of rating(s) or rating endorsement(s)

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and/or the issue or renewal of a unit endorsement. It comprises the following phases:

- (i) transitional training phase, designed primarily to impart knowledge and understanding of site-specific operational procedures and task-specific aspects; and
- (ii) on-the-job training phase, which is the final phase of unit training during which previously acquired job-related routines and skills are integrated in practice under the supervision of a qualified onthe-job training instructor in a live traffic situation.
- (iii) In addition to points (i) and (ii), for unit endorsement(s) that require the handling of complex and dense traffic situations, a pre-on-the-job training phase is required to enhance the previously acquired rating routines and skills and to prepare for live traffic situations which may be encountered in that unit;
- (3) continuation training, designed to maintain the validity of the endorsements of the licence, consisting of:
 - (i) refresher training;
 - (ii) conversion training, when relevant.
- (b) In addition to the types of training referred to in point (a), air traffic controllers may undertake the following types:
 - (1) practical instructors' training, leading to the issue, revalidation or renewal of an OJTI or STDI endorsement;
 - (2) assessor training, leading to the issue, revalidation or renewal of an assessor endorsement.

SECTION 2

Initial training requirements

ATCO.D D Imposition of initial training

- (a) Initial training, intended for an applicant for a student air traffic controller licence or for the issue of an additional rating and/or, if applicable, rating endorsement, shall consist of:
 - (1) basic training, comprising all the subjects, topics and subtopics contained in Appendix 2 of Annex I; and
 - rating training, comprising the subjects, topics and subtopics of at least one of the following:
 - (i) Aerodrome Control Visual Rating ADV, defined in Appendix 3 of Annex I;
 - (ii) Aerodrome Control Instrument Rating for Tower ADI (TWR), defined in Appendix 4 of Annex I;

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- (iii) Approach Control Procedural Rating APP, defined in Appendix 5 of Annex I;
- (iv) Area Control Procedural Rating ACP, defined in Appendix 6 of Annex I;
- (v) Approach Control Surveillance Rating APS, defined in Appendix 7 of Annex I;
- (vi) Area Control Surveillance Rating ACS, defined in Appendix 8 of Annex I.
- (b) Training intended for an additional rating shall consist of the subjects, topics and subtopics applicable to at least one of the ratings established in point (a)(2).
- (c) Training intended for the reactivation of a rating following a not successful assessment of previous competence according to ATCO.B.010(b) shall be tailored according to the result of that assessment.
- (d) Training intended for a rating endorsement other than ATCO.B.015(a)(3) shall consist of subjects, topics and subtopics developed by the training organisation and approved as part of the training course.
- (e) Basic and/or rating training may be complemented with subjects, topics and subtopics that are additional or specific to the Functional Airspace Block (FAB) or to the national environment.

ATCO.DInitial training plan

An initial training plan shall be established by the training organisation and approved by the competent authority. It shall contain at least:

- (a) the composition of the initial training course provided according to ATCO.D.010;
- (b) the structure of the initial training provided according to ATCO.D.020(b);
- (c) the process for the conduct of the initial training course(s);
- (d) the training methods;
- (e) minimum and maximum duration of the initial training course(s);
- (f) with regard to ATCO.D.010(b), process for adapting the initial training course(s) to take due account of a successfully completed basic training course;
- (g) processes for examinations and assessments according to ATCO.D.025 and ATCO.D.035, as well as performance objectives according to ATCO.D.030 and ATCO.D.040;
- (h) training personnel qualifications, roles and responsibilities;
- (i) process for early termination of training;
- (j) the appeal process;
- (k) identification of records to be kept specific to initial training;

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(l) process and reasons for reviewing and amending the initial training plan and its submission to the competent authority. The review of the initial training plan shall take place at least once every three years.

ATCO.DID26ic and rating training courses

- (a) Basic and rating training shall be provided as separate or integrated courses.
- (b) Basic and rating training courses or an integrated initial training course shall be developed and provided by training organisations and approved by the competent authority.
- (c) When initial training is provided as an integrated course, a clear distinction shall be made between the examinations and assessments for:
 - (1) basic training; and
 - (2) each rating training.
- (d) The successful completion of initial training, or of rating training for the issue of an additional rating, shall be demonstrated by a certificate issued by the training organisation.
- (e) The successful completion of basic training shall be demonstrated by a certificate issued by the training organisation upon request of the applicant.

ATCO.DB25ic training examinations and assessment

- (a) Basic training courses shall include theoretical examination(s) and assessment(s).
- (b) A pass in theoretical examination(s) shall be awarded to an applicant achieving a minimum of 75 % of the marks allocated to that examination.
- (c) Assessment(s) of performance objectives as listed in ATCO.D.030 shall be conducted on a part-task trainer or a simulator.
- (d) A pass in assessment(s) shall be awarded to an applicant who consistently demonstrates the required performance as listed in ATCO.D.030 and shows the behaviour required for safe operations within the air traffic control service.

ATCO.D. Dagic training performance objectives

Assessment(s) shall include evaluation of the following performance objectives:

- (a) checking and using the working position equipment;
- (b) developing and maintaining situational awareness by monitoring traffic and identifying aircraft when applicable;
- (c) monitoring and updating flight data display(s);
- (d) maintaining a continuous listening watch on the appropriate frequency;
- (e) issuing appropriate clearances, instructions and information to traffic;
- (f) using approved phraseology;
- (g) communicating effectively;
- (h) applying separation;

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- (i) applying coordination as necessary;
- (j) applying the prescribed procedures for the simulated airspace;
- (k) detecting potential conflicts between aircraft;
- (l) appreciating priority of actions;
- (m) choosing appropriate separation methods.

ATCO.DMating training examinations and assessment

- (a) Rating training courses shall include theoretical examination(s) and assessment(s).
- (b) A pass in theoretical examination(s) shall be awarded to an applicant achieving a minimum of 75 % of the marks allocated to that examination.
- (c) Assessment(s) shall be based on the rating training performance objectives described in ATCO.D.040.
- (d) Assessment(s) shall be conducted on a simulator.
- (e) A pass in assessment(s) shall be awarded to an applicant who consistently demonstrates the required performance described in ATCO.D.040 and shows the behaviour required for safe operations within the air traffic control service.

ATCO.DINAting training performance objectives

- (a) Rating training performance objectives and performance objective tasks shall be defined for each rating training course.
- (b) Rating training performance objectives shall require an applicant to:
 - (1) demonstrate the ability to manage air traffic in a manner that ensures safe, orderly and expeditious services; and
 - (2) handle complex and dense traffic situations.
- (c) In addition to point (b), rating training performance objectives for the Aerodrome Control Visual (ADV) and Aerodrome Control Instrument (ADI) rating shall ensure that applicants:
 - (1) manage the workload and provide air traffic services within a defined aerodrome area of responsibility; and
 - (2) apply aerodrome control techniques and operational procedures to aerodrome traffic.
- (d) In addition to point (b), rating training performance objectives for the Approach Control Procedural rating shall ensure that applicants:
 - (1) manage the workload and provide air traffic services within a defined approach control area of responsibility; and
 - apply procedural approach control, planning techniques and operational procedures to arriving, holding, departing and transiting traffic.
- (e) In addition to point (b), rating training performance objectives for the Approach Control Surveillance rating shall ensure that applicants:

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- (1) manage the workload and provide air traffic services within a defined approach control area of responsibility; and
- apply approach surveillance control, planning techniques and operational procedures to arriving, holding, departing and transiting traffic.
- (f) In addition to point (b), rating training performance objectives for the Area Control Procedural rating shall ensure that applicants:
 - (1) manage the workload and provide air traffic services within a defined area control area of responsibility; and
 - (2) apply procedural area control, planning techniques and operational procedures to area traffic.
- (g) In addition to point (b), rating training performance objectives for the Area Control Surveillance rating shall ensure that applicants:
 - (1) manage the workload and provide air traffic services within a defined area control area of responsibility; and
 - (2) apply area surveillance control, planning techniques and operational procedures to area traffic.

SECTION 3

Unit training requirements

ATCO.DØ45 position of unit training

- (a) Unit training shall consist of training course(s) for each unit endorsement established at the ATC unit as defined in the unit training plan.
- (b) The unit endorsement course(s) shall be developed and provided by training organisations according to ATCO.D.060 and approved by the competent authority.
- (c) Unit training shall include training in:
 - (1) operational procedures;
 - (2) task-specific aspects;
 - (3) abnormal and emergency situations; and
 - (4) human factors.

ATCO.DID5@requisites of unit training

Unit training may only be started by persons who are holders of:

- (a) a student air traffic controller licence with the appropriate rating and, if applicable, rating endorsement; or
- (b) an air traffic controller licence with the appropriate rating and, if applicable, rating endorsement;

provided that the requirements set out in ATCO.B.001(d) and ATCO.B.010(b) are met.

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ATCO.DIO55t training plan

- (a) A unit training plan shall be established by the training organisation for each ATC unit and shall be approved by the competent authority.
- (b) The unit training plan shall contain at least:
 - (1) ratings and endorsements for which the training is conducted;
 - (2) the structure of the unit training;
 - (3) the list of unit endorsement course(s) according to ATCO.D.060;
 - (4) the process for the conduct of a unit endorsement course;
 - (5) the training methods;
 - (6) the minimum duration of the unit endorsement course(s);
 - (7) process for adapting the unit endorsement course(s) to take due account of the acquired ratings and/or rating endorsements and experience of applicants, when relevant;
 - (8) processes for demonstrating theoretical knowledge and understanding according to ATCO.D.065, including the number, frequency and type of, as well as pass marks for examinations, which shall be a minimum of 75 % of the marks allocated to these examinations;
 - (9) processes for the assessment according to ATCO.D.070, including the number and frequency of assessments;
 - (10) training personnel qualifications, roles and responsibilities;
 - (11) process for early termination of training;
 - (12) the appeal process;
 - (13) identification of records to be kept specific to the unit training;
 - a list of identified abnormal and emergency situations specific for each unit endorsement;
 - process and reasons for reviewing and amending the unit training plan and its submission to the competent authority. The review of the unit training plan shall take place at least once every three years.

ATCO.DI060t endorsement course

- (a) A unit endorsement course shall be the combination of the relevant unit training phases for the issue or renewal of a unit endorsement in the licence. Each course shall contain:
 - (1) a transitional training phase;
 - (2) an on-the-job training phase.

A pre-on-the-job training phase shall be included, if required, according to ATCO.D.005(a)(2).

(b) The unit training phases referred to in paragraph (a) shall be provided separately or in an integrated manner.

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- (c) Unit endorsement courses shall define the syllabus and the performance objectives in accordance with ATCO.D.045(c) and shall be conducted in accordance with the unit training plan.
- (d) Unit endorsement courses that include training for rating endorsement(s) according to ATCO.B.015 shall be supplemented with additional training that allows for the acquisition of the concerned rating endorsement skills.
- (e) Training intended for a rating endorsement other than ATCO.B.015(a)(3) shall consist of subjects, subject objectives, topics and subtopics developed by the training organisation and approved as part of the training course.
- (f) Unit endorsement courses undertaken following an exchange of a licence shall be adapted to include elements of initial training that are specific to the Functional Airspace Block or to the national environment.

ATCO.DID66nonstration of theoretical knowledge and understanding

Theoretical knowledge and understanding shall be demonstrated by examinations.

ATCO.D.A. Suessments during unit endorsement courses

- (a) The applicant's assessment shall be conducted in the operational environment under normal operational conditions at least once at the end of the on-the-job training.
- (b) When the unit endorsement course contains a pre-on-the-job training phase, the applicant's skills shall be assessed on a synthetic training device at least at the end of this phase.
- (c) Notwithstanding point (a), a synthetic training device may be used during a unit endorsement assessment to demonstrate the application of trained procedures not encountered in the operational environment during the assessment.

SECTION 4

Continuation training requirements

ATCO.DØ75tinuation training

Continuation training shall consist of refresher and conversion training courses and shall be provided according to the requirements contained in the unit competence scheme according to ATCO.B.025.

ATCO.DIN8fresher training

- (a) Refresher training course(s) shall be developed and provided by training organisations and approved by the competent authority.
- (b) Refresher training shall be designed to review, reinforce or enhance the existing knowledge and skills of air traffic controllers to provide a safe, orderly and expeditious flow of air traffic and shall contain at least:
 - (1) standard practices and procedures training, using approved phraseology and effective communication;
 - abnormal and emergency situations training, using approved phraseology and effective communication; and

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- (3) human factors training.
- (c) A syllabus for the refresher training course shall be defined, and where a subject refreshes skills of air traffic controllers, performance objectives shall also be developed.

ATCO.DØ85version training

- (a) Conversion training course(s) shall be developed and provided by training organisations and approved by the competent authority.
- (b) Conversion training shall be designed to provide knowledge and skills appropriate to a change in the operational environment and shall be provided by training organisations when the safety assessment of the change concludes the need for such training.
- (c) Conversion training courses shall include the determination of:
 - (1) the appropriate training method for and duration of the course, taking into account the nature and extent of the change; and
 - (2) the examination and/or assessment methods for the conversion training.
- (d) Conversion training shall be provided before air traffic controllers exercise the privileges of their licence in the changed operational environment.

SECTION 5

Training of instructors and assessors

ATCO.D.Dedining of practical instructors

- (a) Training of practical instructors shall be developed and provided by training organisations and shall consist of:
 - (1) a practical instructional techniques course for OJTI and/or STDI, including an assessment;
 - (2) a refresher training course on practical instructional skills;
 - (3) a method(s) for assessing the competence of practical instructors.
- (b) The training courses and assessment methods referred to in point (a) shall be approved by the competent authority.

ATCO.D.Defining of assessors

- (a) Training of assessors shall be developed and provided by training organisations and shall consist of:
 - (1) an assessor training course, including an assessment;
 - (2) a refresher training course on assessment skills;
 - (3) a method(s) for assessing the competence of assessors.
- (b) The training courses and the assessment method referred to in point (a) shall be approved by the competent authority.

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Appendix 1 of Annex I

LANGUAGE PROFICIENCY RATING SCALE — REQUIREMENTS FOR PROFICIENCY IN LANGUAGES

Language proficiency rating scale: expert, extended and operational levels

Level	Pronunciat	ioSattructureR	e Nvaab ulary	Fluency	Comprehenslonteractions	
	a dialect and/or accent intelligible to the aeronautica community	grammatics structures and sentence patterns alare determined by language functions appropriate to the task				
Expert 6	Pronunciation stress, rhythm and intonation, though possibly influenced by the first language or regional variation, almost never interfere with ease of understanding	basic and complex grammatical structures and sentence patterns are consistently well controlled.	sufficient to	Able to speak at length with a natural, effortless eflow. Varies speech flow for stylistic effect, e.g. to emphasise a point. Uses appropriate discourse markers and connectors spontaneous	includes comprehensi of linguistic and cultural subtleties.	with ease in nearly all situations. Is sensitive to verbal and non- verbal
Extended 5	Pronunciation stress, rhythm and intonation, though influenced by the first language or regional variation,	rBasic grammatical structures and sentence patterns are consistently well controlled. Complex	Vocabulary range and accuracy are sufficient to communicate effectively on common, concrete,	Able to speak at length with relative ease on efamiliar topics, but may not vary speech flow as a	Comprehens is accurate on common, concrete, and work- related topics and mostly accurate	immediate, appropriate, and informative. Manages the speaker/ listener

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	rarely interfere with ease of understandin	which sometimes interfere with meaning.	and work-related topics. Paraphrases consistently and successfully. Vocabulary is sometimes idiomatic.	connectors.	when the speaker is confronted with a linguistic or situational complication or an unexpected turn of events. Is able to comprehend a range of speech varieties (dialect and/or accent) or registers.	relationship effectively.
Operational 4	Pronunciation stress, rhythm and intonation are influenced by the first language or regional variation but only sometimes interfere with ease of understanding	grammatical structures and sentence patterns are used creatively and are usually well controlled. Errors may occur, particularly	accuracy are usually sufficient to communicate effectively on common, concrete, and work- related topics. Can often paraphrase successfully when lacking	There may be occasional loss of fluency on transition from rehearsed or formulaic speech to spontaneous interaction, but this does not prevent effective	Comprehens is mostly accurate on common, concrete, and work-related topics when the accent or variety used is sufficiently intelligible for an international community of users. When the speaker is confronted with a linguistic or situational complication or an unexpected turn of events, comprehensimay be slower or require	are usually immediate, appropriate, and informative. Initiates and maintains exchanges even when dealing with an unexpected turn of events. Deals adequately with apparent misunderstandings by checking, confirming, or clarifying.

ANNEX I
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			are not distracting.	clarification strategies.	
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Language proficiency rating scale: pre-operational, elementary and pre-elementary levels

Level	a dialect and/or accent intelligible to the aeronautics community	grammatic structures and sentence patterns alare determined by language functions appropriate to the task	e	•	•	i Properties
Pre- operational 3	Pronunciation stress, rhythm and intonation are influenced by the first language or regional variation and frequently interfere with ease of understanding	grammatical structures and sentence patterns associated with predictable situations are not always well controlled. Errors frequently	accuracy are often sufficient to	Hesitations or slowness in language processing may prevent effective communicati Fillers are	Comprehens is often accurate on common, concrete, and work-related etopics when the accent or variety used is sufficiently intelligible for an international accommunity of users. May fail to understand a linguistic or situational complication or an unexpected turn of events.	are sometimes immediate, appropriate, and informative. Can initiate and maintain exchanges with reasonable ease on familiar topics and in predictable situations. Generally inadequate when
Elementary 2	Pronunciation stress, rhythm and intonation are heavily influenced	rishows only limited control of a few simple memorised grammatical	Limited vocabulary range consisting only of isolated	Can produce very short, isolated, memorised utterances	Comprehens is limited to isolated, memorised phrases when	time is slow, and often inappropriate. Interaction

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	by the first language or regional variation and usually interfere with ease of understanding	structures and sentence patterns.	words and memorised phrases.	with frequent pausing and a distracting use of fillers to search for expressions and to articulate less familiar words.	they are carefully and slowly articulated.	is limited to simple routine exchanges.
Pre- elementary 1	Performs at a level below the Elementary level.	Performs at a level below the Elementary level.	Performs at a level below the Elementary level.	Performs at a level below the Elementary level.	Performs at a level below the Elementary level.	Performs at a level below the Elementary level.

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Appendix 2 of Annex I

BASIC (Reference: Annex I — Part ATCO Subpart D, Section 2, ATCO.D.010(a)(1)) **TRAINING**

SUBJECINTRODUCTION TO THE COURSE

1:

TOPIC COURSE MANAGEMENT

INTRB

1 —

Subtopic Course introduction

INTRB

1.1 —

Subtopic Course administration

INTRB

1.2 —

Subtopic Study material and training documentation

INTRB

1.3 —

TOPIC INTRODUCTION TO THE ATC TRAINING COURSE

INTRB

2-

Subtopic Course content and organisation

INTRB

2.1 —

Subtopic Training ethos

INTRB

2.2 —

Subtopic Assessment process

INTRB

2.3 —

TOPIC INTRODUCTION TO THE ATCO'S FUTURE

INTRB

3 —

Subtopic Job prospect

INTRB

3.1 —

SUBJECTVIATION LAW

2:

TOPIC INTRODUCTION TO AVIATION LAW

LAWB

1 —

Subtopic Relevance of aviation law

LAWB

1.1 —

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TOPIC INTERNATIONAL ORGANISATIONS LAWB 2 — Subtopic ICAO LAWB 2.1 -Subtopic European and other agencies LAWB 2.2 — Subtopic Aviation associations LAWB 2.3 — TOPIC NATIONAL ORGANISATIONS LAWB 3 — Subtopic Purpose and function LAWB 3.1 — Subtopic National legislative procedures LAWB 3.2 — Subtopic Competent authority LAWB 3.3 — Subtopic National aviation associations LAWB 3.4 — ATS SAFETY MANAGEMENT TOPIC LAWB 4 — Subtopic Safety regulation **LAWB** 4.1 — Subtopic Safety management system LAWB 4.2 — **RULES AND REGULATIONS** TOPIC LAWB 5 — Subtopic Units of measurement LAWB

5.1 —

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Subtopic ATCO licensing/certification

LAWB

5.2 —

Subtopic Overview of ANS and ATS

LAWB

5.3 —

Subtopic Rules of the air

LAWB

5.4 —

Subtopic Airspace and ATS routes

LAWB

5.5 —

Subtopic Flight plan

LAWB

5.6 —

Subtopic Aerodromes

LAWB

5.7 —

Subtopic Holding procedures for IFR flights

LAWB

5.8 —

Subtopic Holding procedures for VFR flights

LAWB

5.9 —

SUBJECAIR TRAFFIC MANAGEMENT 3:

TOPIC AIR TRAFFIC MANAGEMENT

ATMB

1 —

Subtopic Application of units of measurement

ATMB

1.1 —

Subtopic Air traffic control (ATC) service

ATMB

1.2 —

Subtopic Flight information service (FIS)

ATMB

1.3 —

Subtopic Alerting service

 $ATM\bar{B}$

1.4 —

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```
Subtopic Air traffic advisory service
ATMB
1.5 —
Subtopic ATS system capacity and air traffic flow management
ATMB
1.6 -
Subtopic Airspace management (ASM)
ATMB
1.7 —
TOPIC
       ALTIMETRY AND LEVEL ALLOCATION
ATMB
2 —
Subtopic Altimetry
ATMB
2.1 -
Subtopic Transition level
ATMB
2.2 —
Subtopic Level allocation
ATMB
2.3 —
       RADIOTELEPHONY (RTF)
TOPIC
ATMB
3 —
Subtopic RTF general operating procedures
ATMB
3.1 —
       ATC CLEARANCES AND ATC INSTRUCTIONS
TOPIC
ATMB
4 —
Subtopic Type and content of ATC clearances
ATMB
4.1 —
Subtopic ATC instructions
ATMB
4.2 —
TOPIC
       COORDINATION
ATMB
Subtopic Principles, types and content of coordination
ATMB
5.1 —
```

```
Subtopic Necessity for coordination
ATMB
5.2 —
Subtopic Means of coordination
ATMB
5.3 -
TOPIC DATA DISPLAY
ATMB
6 —
Subtopic Data extraction
ATMB
6.1 —
Subtopic Data management
ATMB
6.2 -
TOPIC
       SEPARATIONS
ATMB
7 —
Subtopic Vertical separation and procedures
ATMB
7.1 —
Subtopic Horizontal separation and procedures
ATMB
7.2 —
Subtopic Visual separation
ATMB
7.3 —
Subtopic Aerodrome separation and procedures
ATMB
7.4 —
Subtopic Separation based on ATS surveillance systems
ATMB
7.5 —
Subtopic Wake turbulence separation
ATMB
7.6 —
TOPIC
       AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED
ATMB
        SAFETY NETS
8 —
Subtopic Airborne collision avoidance systems
ATMB
8.1 —
```

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Subtopic Ground-based safety nets **ATMB** 8.2 — TOPIC BASIC PRACTICAL SKILLS **ATMB** Subtopic Traffic management process **ATMB** 9.1 — Subtopic Basic practical skills applicable to all ratings **ATMB** 9.2 — Subtopic Basic practical skills applicable to aerodrome **ATMB** 9.3 — Subtopic Basic practical skills applicable to surveillance **ATMB** 9.4 — **SUBJECMETEOROLOGY** TOPIC INTRODUCTION TO METEOROLOGY **METB** Subtopic Application of units of measurement **METB** 1.1 — Subtopic Aviation and meteorology **METB** 1.2 — Subtopic Organisation of meteorological service **METB** 1.3 — TOPIC **ATMOSPHERE METB** Subtopic Composition and structure **METB** 2.1 — Subtopic Standard atmosphere **METB**

2.2 —

```
Subtopic Heat and temperature
METB
2.3 —
Subtopic Water in the atmosphere
METB
2.4 - 
Subtopic Air pressure
METB
2.5 —
TOPIC
       ATMOSPHERIC CIRCULATION
METB
3 —
Subtopic General air circulation
METB
3.1 —
Subtopic Air masses and frontal systems
METB
3.2 —
Subtopic Mesoscale systems
METB
3.3 —
Subtopic Wind
METB
3.4 —
TOPIC
       METEOROLOGICAL PHENOMENA
METB
4 —
Subtopic Clouds
METB
4.1 —
Subtopic Types of precipitation
METB
4.2 —
Subtopic Visibility
METB
4.3 —
Subtopic Meteorological hazards
METB
4.4 —
       METEOROLOGICAL INFORMATION FOR AVIATION
TOPIC
METB
5 —
```

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```
Subtopic Messages and reports
METB
5.1 —
SUBJECTNAVIGATION
TOPIC INTRODUCTION TO NAVIGATION
NAVB
1 —
Subtopic Application of units of measurement
NAVB
1.1 —
Subtopic Purpose and use of navigation
NAVB
1.2 —
TOPIC
       THE EARTH
NAVB
2 —
Subtopic Place and movement of the Earth
NAVB
2.1 -
Subtopic System of coordinates, direction and distance
NAVB
2.2 —
Subtopic Magnetism
NAVB
2.3 —
       MAPS AND AERONAUTICAL CHARTS
TOPIC
NAVB
3 —
Subtopic Map making and projections
NAVB
3.1 —
Subtopic Maps and charts used in aviation
NAVB
3.2 —
TOPIC NAVIGATIONAL BASICS
NAVB
Subtopic Influence of wind
NAVB
```

4.1 —

7.1 —

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Subtopic Speed NAVB 4.2 — Subtopic Visual navigation NAVB 4.3 — Subtopic Navigational aspects of flight planning NAVB 4.4 — TOPIC INSTRUMENT NAVIGATION **NAVB** 5 — Subtopic Ground-based systems NAVB 5.1 — Subtopic Inertial navigation systems **NAVB** 5.2 — Subtopic Satellite-based systems NAVB 5.3 — Subtopic Instrument approach procedures NAVB 5.4 — **TOPIC** PERFORMANCE BASED NAVIGATION **NAVB** 6 — Subtopic Principles and benefits of area navigation **NAVB** 6.1 — Subtopic Introduction to PBN **NAVB** 6.2 — Subtopic PBN applications **NAVB** 6.3 -TOPIC **DEVELOPMENTS IN NAVIGATION NAVB** 7 — Subtopic Future developments NAVB

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SUBJEC%IRCRAFT

6:

TOPIC INTRODUCTION TO AIRCRAFT

ACFTB

1 —

Subtopic Application of units of measurement

ACFTB

1.1 —

Subtopic Aviation and aircraft

ACFTB

1.2 —

TOPIC PRINCIPLES OF FLIGHT

ACFTB

2 __

Subtopic Forces acting on aircraft

ACFTB

2.1 —

Subtopic Structural components and control of an aircraft

ACFTB

2.2 —

Subtopic Flight envelope

ACFTB

2.3 —

TOPIC AIRCRAFT CATEGORIES

ACFTB

3 —

Subtopic Aircraft categories

ACFTB

3.1 —

Subtopic Wake turbulence categories

ACFTB

3.2 —

Subtopic ICAO approach categories

ACFTB

3.3 —

Subtopic Environmental categories

ACFTB

3.4 —

TOPIC AIRCRAFT DATA

ACFTB

4 —

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Subtopic Recognition

ACFTB

4.1 —

Subtopic Performance data

ACFTB

4.2 —

TOPIC AIRCRAFT ENGINES

ACFTB

5 —

Subtopic Piston engines

ACFTB

5.1 —

Subtopic Jet engines

ACFTB

5.2 —

Subtopic Turboprop engines

ACFTB

5.3 —

Subtopic Aviation fuels

ACFTB

5.4 —

TOPIC AIRCRAFT SYSTEMS AND INSTRUMENTS

ACFTB

6 —

Subtopic Flight instruments

ACFTB

6.1 —

Subtopic Navigational instruments

ACFTB

6.2 —

Subtopic Engine instruments

ACFTB

6.3 —

Subtopic Aircraft systems

ACFTB

6.4 -

TOPIC FACTORS AFFECTING AIRCRAFT PERFORMANCE

ACFTB

7 —

Subtopic Take-off factors

ACFTB

7.1 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Climb factors ACFTB

7.2 —

Subtopic Cruise factors

ACFTB

7.3 —

Subtopic Descent and initial approach factors

ACFTB

7.4 —

Subtopic Final approach and landing factors

ACFTB

7.5 —

Subtopic Economic factors

ACFTB

7.6 -

Subtopic Environmental factors

ACFTB

7.7 —

SUBJECTUMAN FACTORS

7:

TOPIC INTRODUCTION TO HUMAN FACTORS

HUMB

1 __

Subtopic Learning techniques

HUMB

1.1 —

Subtopic Relevance of human factors for ATC

HUMB

1.2 —

Subtopic Human factors and ATC

HUMB

1.3 —

TOPIC HUMAN PERFORMANCE

HUMB

2 —

Subtopic Individual behaviour

HUMB

2.1 —

Subtopic Safety culture and professional conduct

HUMB

2.2 —

5 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Health and well-being **HUMB** 2.3 — Subtopic Teamwork **HUMB** 2.4 -Subtopic Basic needs of people at work **HUMB** 2.5 — Subtopic Stress **HUMB** 2.6 — TOPIC HUMAN ERROR **HUMB** 3 — Subtopic Dangers of error **HUMB** 3.1 — Subtopic Definition of human error **HUMB** 3.2 — Subtopic Classification of human error **HUMB** 3.3 — Subtopic Risk analysis and risk management **HUMB** 3.4 — **COMMUNICATION** TOPIC **HUMB** 4 — Subtopic Importance of good communications in ATC **HUMB** 4.1 — Subtopic Communication process **HUMB** 4.2 — Subtopic Communication modes **HUMB** 4.3 — TOPIC THE WORK ENVIRONMENT **HUMB**

3.4 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Ergonomics and the need for good design
HUMB
5.1 —
Subtopic Equipment and tools
HUMB
5.2 -
Subtopic Automation
HUMB
5.3 —
SUBJECT QUIPMENT AND SYSTEMS
TOPIC ATC EQUIPMENT
EQPSB
Subtopic Main types of ATC equipment
EQPSB
1.1 —
TOPIC RADIO
EQPSB
Subtopic Radio theory
EQPSB
2.1 -
Subtopic Direction finding
EQPSB
2.2 —
       COMMUNICATION EQUIPMENT
TOPIC
EQPSB
3 —
Subtopic Radio communications
EQPSB
3.1 -
Subtopic Voice communication between ATS units/positions
EQPSB
3.2 —
Subtopic Data link communications
EQPSB
3.3 —
Subtopic Airline communications
EQPSB
```

EQPSB 7.2—

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC INTRODUCTION TO SURVEILLANCE **EOPSB** 4 — Subtopic Surveillance concept in ATS **EOPSB** 4.1 -TOPIC RADAR **EQPSB** 5 — Subtopic Principles of radar **EQPSB** 5.1 — Subtopic Primary radar **EQPSB** 5.2 — Subtopic Secondary radar **EQPSB** 5.3 — Subtopic Use of radars **EQPSB** 5.4 — Subtopic Mode S **EQPSB** 5.5 — TOPIC AUTOMATIC DEPENDENT SURVEILLANCE **EQPSB** 6 — Subtopic Principles of automatic dependent surveillance **EQPSB** 6.1 — Subtopic Use of automatic dependent surveillance **EQPSB** 6.2 — TOPIC **MULTILATERATION EQPSB** 7 — Subtopic Principles of multilateration **EQPSB** 7.1 — Subtopic Use of multilateration

Status: Point in time view as at 31/12/2020.

```
TOPIC SURVEILLANCE DATA PROCESSING
EOPSB
8 —
Subtopic Surveillance data networking
EOPSB
8.1 —
Subtopic Working principles of surveillance data networking
EQPSB
8.2 —
TOPIC FUTURE EQUIPMENT
EQPSB
9 —
Subtopic New developments
EQPSB
9.1 —
TOPIC AUTOMATION IN ATS
EQPSB
10 —
Subtopic Principles of automation
EQPSB
10.1 —
Subtopic Aeronautical fixed telecommunication network (AFTN)
EOPSB
10.2 —
Subtopic On-line data interchange
EQPSB
10.3 —
Subtopic Systems used for the automatic dissemination of information
EQPSB
10.4 —
TOPIC
        WORKING POSITIONS
EQPSB
11 —
Subtopic Working position equipment
EQPSB
11.1 —
Subtopic Aerodrome control
EQPSB
11.2 —
Subtopic Approach control
EOPSB
11.3 —
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Area control EQPSB 11.4 —

SUBJECTROFESSIONAL ENVIRONMENT

9:

TOPIC FAMILIARISATION

PENB

1 —

Subtopic ATS and aerodrome facilities

PENB

1.1 —

TOPIC AIRSPACE USERS

PENB

2 —

Subtopic Civil aviation

PENB

2.1 —

Subtopic Military

PENB

2.2 —

Subtopic Expectations and requirements of pilots

PENB

2.3 —

TOPIC CUSTOMER RELATIONS

PENB

3 —

Subtopic Customer relations

PENB

3.1 —

TOPIC ENVIRONMENTAL PROTECTION

PENB

4 —

Subtopic Environmental protection

PENB

4.1 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 3 of Annex I

AERODIRON II PART ATCO Subpart D, Section 2, ATCO.D.010(a)(2)(i)) **CONTROL**

VISUAL

RATING

(ADV)

SUBJECINTRODUCTION TO THE COURSE

1:

TOPIC COURSE MANAGEMENT

INTR

1 —

Subtopic Course introduction

INTR

1.1 —

Subtopic Course administration

INTR

1.2 —

Subtopic Study material and training documentation

INTR

1.3 —

TOPIC INTRODUCTION TO THE ATC TRAINING COURSE

INTR

2 —

Subtopic Course content and organisation

INTR

2.1 —

Subtopic Training ethos

INTR

2.2 —

Subtopic Assessment process

INTR¹

23—

SUBJECTVIATION LAW

2:

TOPIC ATCO LICENSING/CERTIFICATE OF COMPETENCE

LAW

1 —

Subtopic Privileges and conditions

LAW

1.1 —

TOPIC RULES AND REGULATIONS

LAW

2 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Reports
LAW
2.1 —
Subtopic Airspace
LAW
2.2 -
TOPIC ATC SAFETY MANAGEMENT
LAW
3 —
Subtopic Feedback process
LAW
3.1 —
Subtopic Safety Investigation
LAW
3.2 —
SUBJECTIR TRAFFIC MANAGEMENT
TOPIC PROVISION OF SERVICES
ATM
Subtopic Aerodrome control service
ATM
1.1 —
Subtopic Flight information service (FIS)
ATM
1.2 —
Subtopic Alerting service (ALRS)
ATM
1.3 —
Subtopic ATS system capacity and air traffic flow management
ATM
1.4 —
TOPIC COMMUNICATION
ATM
Subtopic Effective communication
ATM
2.1 —
TOPIC ATC CLEARANCES AND ATC INSTRUCTIONS
ATM
3 —
```

Status: Point in time view as at 31/12/2020.

```
Subtopic ATC clearances
ATM
3.1 —
Subtopic ATC instructions
ATM
3.2 -
TOPIC COORDINATION
ATM
4 —
Subtopic Necessity for coordination
ATM
4.1 —
Subtopic Tools and methods for coordination
ATM
4.2 —
Subtopic Coordination procedures
ATM
4.3 —
TOPIC ALTIMETRY AND LEVEL ALLOCATION
ATM
5 —
Subtopic Altimetry
ATM
5.1 —
TOPIC SEPARATIONS
ATM
6 —
Subtopic Separation between departing aircraft
ATM
6.1 —
Subtopic Separation of landing aircraft and preceding landing or departing aircraft
ATM
6.2 —
Subtopic Time based wake turbulence longitudinal separation
ATM
6.3 - 
Subtopic Reduced separation minima
ATM
6.4 —
       AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED
TOPIC
ATM
        SAFETY NETS
7 —
```

```
Subtopic Airborne collision avoidance systems
ATM
7.1 -
Subtopic Ground-based safety nets
ATM
7.2 -
TOPIC DATA DISPLAY
ATM
8 —
Subtopic Data management
ATM
8.1 —
TOPIC OPERATIONAL ENVIRONMENT (SIMULATED)
ATM
9 —
Subtopic Integrity of the operational environment
ATM
9.1 —
Subtopic Verification of the currency of operational procedures
ATM
9.2 —
Subtopic Handover-takeover
ATM
9.3 —
TOPIC
       PROVISION OF AN AERODROME CONTROL SERVICE
ATM
10 —
Subtopic Responsibility for the provision
ATM
10.1 —
Subtopic Functions of aerodrome control tower
ATM
10.2 —
Subtopic Traffic management process
ATM
10.3 —
Subtopic Aeronautical ground lights
ATM
10.4 —
Subtopic Information to aircraft by aerodrome control tower
ATM
10.5 —
```

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

```
Subtopic Control of aerodrome traffic
ATM
10.6 -
Subtopic Control of traffic in the traffic circuit
ATM
10.7 -
Subtopic Runway in use
ATM
10.8 —
SUBJECMETEOROLOGY
TOPIC METEOROLOGICAL PHENOMENA
MET
Subtopic Meteorological phenomena
MET
1.1 —
TOPIC
       SOURCES OF METEOROLOGICAL DATA
MET
Subtopic Meteorological instruments
MET
2.1 -
Subtopic Other sources of meteorological data
MET
2.2 —
SUBJECTNAVIGATION
TOPIC MAPS AND AERONAUTICAL CHARTS
NAV
Subtopic Maps and charts
NAV
1.1 —
TOPIC INSTRUMENT NAVIGATION
NAV
Subtopic Navigational systems
NAV
2.1 —
Subtopic Stabilised approach
NAV
```

2.2 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

SUBJEC%IRCRAFT

6:

TOPIC AIRCRAFT INSTRUMENTS

ACFT

1 ___

Subtopic Aircraft instruments

ACFT

1.1 —

TOPIC AIRCRAFT CATEGORIES

ACFT

2 —

Subtopic Wake turbulence

ACFT

2.1 -

TOPIC FACTORS AFFECTING AIRCRAFT PERFORMANCE

ACFT

3 —

Subtopic Take-off factors

ACFT

3.1 —

Subtopic Climb factors

ACFT

3.2 —

Subtopic Final approach and landing factors

ACFT

3.3 —

Subtopic Economic factors

ACFT

3.4 —

Subtopic Environmental factors

ACFT

3.5 —

TOPIC AIRCRAFT DATA

ACFT

4 —

Subtopic Recognition of aircraft types

ACFT

4.1 —

Subtopic Performance data

ACFT

4.2 —

SUBJECTUMAN FACTORS

7:

Status: Point in time view as at 31/12/2020.

```
TOPIC PSYCHOLOGICAL FACTORS
HUM
1 —
Subtopic Cognitive
HUM
1.1 —
TOPIC MEDICAL AND PHYSIOLOGICAL FACTORS
HUM
2 —
Subtopic Fatigue
HUM
2.1 —
Subtopic Fitness
HUM
2.2 —
TOPIC SOCIAL AND ORGANISATIONAL FACTORS
HUM
3 —
Subtopic Team resource management (TRM)
HUM
3.1 —
Subtopic Teamwork and team roles
HUM
3.2 —
Subtopic Responsible behaviour
HUM
3.3 —
      STRESS
TOPIC
HUM
4 —
Subtopic Stress
HUM
4.1 —
Subtopic Stress management
HUM
4.2 —
TOPIC HUMAN ERROR
HUM
5 —
Subtopic Human error
HUM
5.1 —
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Violation of rules HUM 5.2 — TOPIC COLLABORATIVE WORK HUM 6 — Subtopic Communication **HUM** 6.1 — Subtopic Collaborative work within the same area of responsibility HUM 6.2 — Subtopic Collaborative work between different areas of responsibility HUM 6.3 -Subtopic Controller/pilot cooperation HUM 6.4 — SUBJECTQUIPMENT AND SYSTEMS TOPIC VOICE COMMUNICATIONS **EQPS** Subtopic Radio communications **EQPS** 1.1 — Subtopic Other voice communications **EQPS** 1.2 — TOPIC AUTOMATION IN ATS **EQPS** Subtopic Aeronautical fixed telecommunication network (AFTN) **EQPS** 2.1 — Subtopic Automatic data interchange **EQPS** 2.2 -TOPIC CONTROLLER WORKING POSITION **EQPS** 3 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Operation and monitoring of equipment
EQPS
3.1 —
Subtopic Situation displays and information systems
EOPS
3.2 -
Subtopic Flight data systems
EQPS
3.3 —
TOPIC FUTURE EQUIPMENT
EQPS
4 —
Subtopic New developments
EQPS
4.1 —
TOPIC
       EQUIPMENT AND SYSTEMS LIMITATIONS AND DEGRADATION
EQPS
5 —
Subtopic Reaction to limitations
EOPS
5.1 —
Subtopic Communication equipment degradation
5.2 —
Subtopic Navigational equipment degradation
EQPS
5.3 —
SUBJECTROFESSIONAL ENVIRONMENT
9:
TOPIC FAMILIARISATION
PEN
Subtopic Study visit to aerodrome
PEN
1.1 —
TOPIC AIRSPACE USERS
PEN
2 —
Subtopic Contributors to civil ATS operations
PEN
2.1 -
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Contributors to military ATS operations **PEN** 2.2 — TOPIC CUSTOMER RELATIONS **PEN** 3 — Subtopic Provision of services and user requirements PEN 3.1 — TOPIC ENVIRONMENTAL PROTECTION **PEN** 4 — Subtopic Environmental protection **PEN** 4.1 — SUBJECTBNORMAL AND EMERGENCY SITUATIONS 10: TOPIC ABNORMAL AND EMERGENCY SITUATIONS (ABES) **ABES** Subtopic Overview of ABES **ABES** 1.1 — TOPIC SKILLS IMPROVEMENT **ABES** Subtopic Communication effectiveness **ABES** 2.1 -Subtopic Avoidance of mental overload **ABES** 2.2 -Subtopic Air/ground cooperation **ABES** 2.3 — TOPIC PROCEDURES FOR ABNORMAL AND EMERGENCY SITUATIONS **ABES** Subtopic Application of procedures for ABES **ABES** 3.1 —

AGA 3.1 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Radio failure
ABES
3.2 —
Subtopic Unlawful interference and aircraft bomb threat
3.3 —
Subtopic Strayed or unidentified aircraft
ABES
3.4 —
Subtopic Runway incursion
ABES
3.5 —
SUBJECAERODROMES
TOPIC AERODROME DATA, LAYOUT AND COORDINATION
AGA
1 —
Subtopic Definitions
AGA
1.1 —
Subtopic Coordination
AGA
1.2 —
TOPIC MOVEMENT AREA
AGA
2 —
Subtopic Movement area
AGA
2.1 -
Subtopic Manoeuvring area
AGA
2.2 —
Subtopic Runways
AGA
2.3 —
TOPIC OBSTACLES
AGA
Subtopic Obstacle-free airspace around aerodromes
```

ANNEX I Document Generated: 2024-08-08

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TOPIC MISCELLANEOUS EQUIPMENT

AGA

4 —

Subtopic Location

AGA

4.1 —

1.1 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 4 of Annex I

AEROD RICHMEence: Annex I — PART ATCO Subpart D, Section 2, ATCO.D.010(a)(2)(ii)) **CONTROL INSTRUMENT RATING FOR** TOWER — **ADI** (TWR) SUBJECINTRODUCTION TO THE COURSE 1: TOPIC COURSE MANAGEMENT **INTR** 1 — Subtopic Course introduction INTR 1.1 — Subtopic Course administration INTR 1.2 -Subtopic Study material and training documentation **INTR** 13-TOPIC INTRODUCTION TO THE ATC TRAINING COURSE **INTR** Subtopic Course content and organisation INTR 2.1 — Subtopic Training ethos **INTR** 22— Subtopic Assessment process **INTR** 2.3 — SUBJECTVIATION LAW 2: TOPIC ATCO LICENSING/CERTIFICATE OF COMPETENCE LAW 1 — Subtopic Privileges and conditions LAW

2.1 -

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC RULES AND REGULATIONS LAW 2 — Subtopic Reports LAW 2.1 -Subtopic Airspace LAW 2.2 — TOPIC ATC SAFETY MANAGEMENT LAW 3 — Subtopic Feedback process LAW 3.1 — Subtopic Safety Investigation LAW 3.2 — SUBJECTIR TRAFFIC MANAGEMENT TOPIC PROVISION OF SERVICES **ATM** Subtopic Aerodrome control service ATM 1.1 — Subtopic Flight information service (FIS) ATM 1.2 — Subtopic Alerting service (ALRS) **ATM** 1.3 — Subtopic ATS system capacity and air traffic flow management ATM 1.4 — TOPIC COMMUNICATION **ATM** Subtopic Effective communication **ATM**

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC ATC CLEARANCES AND ATC INSTRUCTIONS **ATM** 3 — Subtopic ATC clearances ATM 3.1 — Subtopic ATC instructions ATM 3.2 — TOPIC COORDINATION **ATM** 4 — Subtopic Necessity for coordination ATM 4.1 — Subtopic Tools and methods for coordination **ATM** 4.2 — Subtopic Coordination procedures ATM 4.3 — ALTIMETRY AND LEVEL ALLOCATION TOPIC **ATM** 5 — Subtopic Altimetry ATM 5.1 — Subtopic Terrain clearance ATM 5.2 — **SEPARATIONS** TOPIC **ATM** 6 — Subtopic Separation between departing aircraft **ATM** 6.1 -Subtopic Separation of departing aircraft from arriving aircraft **ATM** 6.2 -Subtopic Separation of landing aircraft and preceding landing or departing aircraft ATM 6.3 —

```
Subtopic Time-based wake turbulence longitudinal separation
ATM
6.4 —
Subtopic Reduced separation minima
ATM
6.5 -
TOPIC
       AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED
        SAFETY NETS
ATM
7 —
Subtopic Airborne collision avoidance systems
ATM
7.1 —
Subtopic Ground-based safety nets
ATM
7.2 —
TOPIC DATA DISPLAY
ATM
8 —
Subtopic Data management
ATM
8.1 —
TOPIC
       OPERATIONAL ENVIRONMENT (SIMULATED)
ATM
9 —
Subtopic Integrity of the operational environment
ATM
9.1 —
Subtopic Verification of the currency of operational procedures
ATM
9.2 —
Subtopic Handover-takeover
ATM
9.3 —
       PROVISION OF AN AERODROME CONTROL SERVICE
TOPIC
ATM
10 —
Subtopic Responsibility for the provision
ATM
10.1 —
Subtopic Functions of aerodrome control tower
ATM
10.2 —
```

Status: Point in time view as at 31/12/2020.

```
Subtopic Traffic management process
ATM
10.3 —
Subtopic Aeronautical ground lights
ATM
10.4 -
Subtopic Information to aircraft by aerodrome control tower
ATM
10.5 —
Subtopic Control of aerodrome traffic
ATM
10.6 —
Subtopic Control of traffic in the traffic circuit
10.7 —
Subtopic Runway in use
ATM
10.8 —
TOPIC PROVISION OF AERODROME CONTROL — INSTRUMENT
ATM
11 —
Subtopic Low visibility operations and special VFR
ATM
11.1 —
Subtopic Departing traffic
ATM
11.2 —
Subtopic Arriving traffic
ATM
11.3 —
Subtopic Aerodrome control service with advanced system support
ATM
11.4 —
SUBJECMETEOROLOGY
TOPIC METEOROLOGICAL PHENOMENA
MET
Subtopic Meteorological phenomena
MET
1.1 —
```

1.1 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC SOURCES OF METEOROLOGICAL DATA **MET** 2 — Subtopic Meteorological instruments **MET** 2.1 -Subtopic Other sources of meteorological data **MET** 2.2 — **SUBJECTNAVIGATION** TOPIC MAPS AND AERONAUTICAL CHARTS NAV Subtopic Maps and charts NAV 1.1 — TOPIC INSTRUMENT NAVIGATION **NAV** Subtopic Navigational systems NAV 2.1 — Subtopic Stabilised approach NAV 2.2 — Subtopic Instrument departures and arrivals NAV 2.3 — Subtopic Satellite-based systems NAV 2.4 — Subtopic PBN applications NAV 2.5 — **SUBJEC% IRCRAFT** TOPIC AIRCRAFT INSTRUMENTS **ACFT** Subtopic Aircraft instruments **ACFT**

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC AIRCRAFT CATEGORIES **ACFT** 2 — Subtopic Wake turbulence **ACFT** 2.1 -Subtopic Application of ICAO approach categories **ACFT** 2.2 — TOPIC FACTORS AFFECTING AIRCRAFT PERFORMANCE **ACFT** 3 — Subtopic Take-off factors **ACFT** 3.1 — Subtopic Climb factors **ACFT** 3.2 — Subtopic Final approach and landing factors **ACFT** 3.3 — Subtopic Economic factors **ACFT** 3.4 — Subtopic Environmental factors **ACFT** 3.5 — AIRCRAFT DATA TOPIC **ACFT** 4 — Subtopic Recognition of aircraft types **ACFT** 4.1 — Subtopic Performance data **ACFT** 4.2 — SUBJECTUMAN FACTORS TOPIC PSYCHOLOGICAL FACTORS HUM 1 —

```
Subtopic Cognitive
HUM
1.1 —
TOPIC MEDICAL AND PHYSIOLOGICAL FACTORS
HUM
2 —
Subtopic Fatigue
HUM
2.1 —
Subtopic Fitness
HUM
2.2 —
TOPIC
       SOCIAL AND ORGANISATIONAL FACTORS
HUM
3 —
Subtopic Team resource management (TRM)
HUM
3.1 —
Subtopic Teamwork and team roles
HUM
3.2 —
Subtopic Responsible behaviour
HUM
3.3 —
TOPIC
       STRESS
HUM
4 —
Subtopic Stress
HUM
4.1 —
Subtopic Stress management
HUM
4.2 —
TOPIC HUMAN ERROR
HUM
5 —
Subtopic Human error
HUM
5.1 —
Subtopic Violation of rules
HUM
5.2 —
```

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC COLLABORATIVE WORK **HUM** 6 — Subtopic Communication HUM 6.1 -Subtopic Collaborative work within the same area of responsibility **HUM** 6.2 -Subtopic Collaborative work between different areas of responsibility HUM 6.3 — Subtopic Controller/pilot cooperation HUM 6.4 — SUBJECTQUIPMENT AND SYSTEMS TOPIC VOICE COMMUNICATIONS **EQPS** Subtopic Radio communications **EQPS** 1.1 — Subtopic Other voice communications **EQPS** 1.2 — **AUTOMATION IN ATS** TOPIC **EQPS** Subtopic Aeronautical fixed telecommunication network (AFTN) **EQPS** 2.1 -Subtopic Automatic data interchange **EQPS** 2.2 — TOPIC CONTROLLER WORKING POSITION **EQPS** 3 — Subtopic Operation and monitoring of equipment **EQPS** 3.1 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Situation displays and information systems

```
EQPS
3.2 —
Subtopic Flight data systems
EOPS
3.3 -
TOPIC FUTURE EQUIPMENT
EQPS
4 —
Subtopic New developments
EQPS
4.1 —
TOPIC
       EQUIPMENT AND SYSTEMS LIMITATIONS AND DEGRADATION
EQPS
5 —
Subtopic Reaction to limitations
EQPS
5.1 —
Subtopic Communication equipment degradation
EQPS
5.2 —
Subtopic Navigational equipment degradation
EOPS
5.3 —
SUBJECTROFESSIONAL ENVIRONMENT
9:
TOPIC FAMILIARISATION
PEN
1 —
Subtopic Study visit to aerodrome
PEN
1.1 —
TOPIC AIRSPACE USERS
PEN
2 —
Subtopic Contributors to civil ATS operations
PEN
2.1 —
Subtopic Contributors to military ATS operations
PEN
2.2 —
```

ABES 3.2 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC CUSTOMER RELATIONS **PEN** 3 — Subtopic Provision of services and user requirements **PEN** 3.1 — TOPIC ENVIRONMENTAL PROTECTION **PEN** 4 — Subtopic Environmental protection **PEN** 4.1 — SUBJECTBNORMAL AND EMERGENCY SITUATIONS TOPIC ABNORMAL AND EMERGENCY SITUATIONS (ABES) **ABES** 1 — Subtopic Overview of ABES **ABES** 1.1 — TOPIC SKILLS IMPROVEMENT **ABES** Subtopic Communication effectiveness **ABES** 2.1 — Subtopic Avoidance of mental overload **ABES** 2.2 — Subtopic Air/ground cooperation **ABES** 2.3 — TOPIC PROCEDURES FOR ABNORMAL AND EMERGENCY SITUATIONS **ABES** 3 — Subtopic Application of procedures for ABES **ABES** 3.1 — Subtopic Radio failure

4 —

Document Generated: 2024-08-08

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Unlawful interference and aircraft bomb threat **ABES** 3.3 — Subtopic Strayed or unidentified aircraft 3.4 — Subtopic Runway incursion **ABES** 3.5 — **SUBJEC**AERODROMES 11: TOPIC AERODROME DATA, LAYOUT AND COORDINATION **AGA** Subtopic Definitions AGA 1.1 — **Subtopic Coordination AGA** 1.2 — TOPIC MOVEMENT AREA **AGA** Subtopic Movement area **AGA** 2.1 — Subtopic Manoeuvring area AGA 2.2 — Subtopic Runways **AGA** 2.3 — TOPIC OBSTACLES **AGA** Subtopic Obstacle-free airspace around aerodromes AGA 3.1 — TOPIC MISCELLANEOUS EQUIPMENT **AGA**

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Location AGA 4.1 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 5 of Annex I

APPROARHerence: Annex I — PART ATCO Subpart D, Section 2, ATCO.D.010(a)(2)(iii))
CONTROL
PROCEDURAL
RATING
(APP)

SUBJECINTRODUCTION TO THE COURSE

1.

TOPIC COURSE MANAGEMENT

INTR

1 —

Subtopic Course introduction

INTR

1.1 —

Subtopic Course administration

INTR

1.2 —

Subtopic Study material and training documentation

INTR

1.3 —

TOPIC INTRODUCTION TO THE ATC TRAINING COURSE

INTR

2 —

Subtopic Course content and organisation

INTR

2.1 —

Subtopic Training ethos

INTR

2.2 —

Subtopic Assessment process

INTR¹

23—

SUBJECTVIATION LAW

2:

TOPIC ATCO LICENSING/CERTIFICATE OF COMPETENCE

LAW

1 —

Subtopic Privileges and conditions

LAW

1.1 —

TOPIC RULES AND REGULATIONS

LAW

2 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Reports
LAW
2.1 —
Subtopic Airspace
LAW
2.2 -
TOPIC ATC SAFETY MANAGEMENT
LAW
3 —
Subtopic Feedback process
LAW
3.1 —
Subtopic Safety Investigation
LAW
3.2 —
SUBJECAIR TRAFFIC MANAGEMENT
TOPIC PROVISION OF SERVICES
ATM
Subtopic Air traffic control (ATC) service
ATM
1.1 —
Subtopic Flight information service (FIS)
ATM
1.2 —
Subtopic Alerting service (ALRS)
ATM
1.3 —
Subtopic ATS system capacity and air traffic flow management
ATM
1.4 —
Subtopic Airspace management (ASM)
ATM
1.5 —
TOPIC COMMUNICATION
ATM
Subtopic Effective communication
ATM
2.1 -
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC ATC CLEARANCES AND ATC INSTRUCTIONS

ATM

3 —

Subtopic ATC clearances

ATM

3.1 —

Subtopic ATC instructions

ATM

3.2 —

TOPIC COORDINATION

ATM

4 —

Subtopic Necessity for coordination

ATM

4.1 —

Subtopic Tools and methods for coordination

ATM

4.2 —

Subtopic Coordination procedures

ATM

4.3 —

TOPIC ALTIMETRY AND LEVEL ALLOCATION

ATM

5 —

Subtopic Altimetry

ATM

5.1 —

Subtopic Terrain clearance

ATM

5.2 —

TOPIC SEPARATIONS

ATM

6 —

Subtopic Vertical separation

ATM

6.1 -

Subtopic Horizontal separation

ATM

6.2 -

Subtopic Delegation of separation

ATM

6.3 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED **ATM SAFETY NETS** 7 — Subtopic Airborne collision avoidance systems ATM 7.1 -TOPIC DATA DISPLAY **ATM** 8 — Subtopic Data management ATM 8.1 — TOPIC OPERATIONAL ENVIRONMENT (SIMULATED) **ATM** 9 — Subtopic Integrity of the operational environment ATM 9.1 — Subtopic Verification of the currency of operational procedures **ATM** 9.2 — Subtopic Handover-takeover ATM 9.3 — TOPIC PROVISION OF CONTROL SERVICE ATM 10 — Subtopic Responsibility and processing of information ATM 10.1 — Subtopic Approach control ATM 10.2 — Subtopic Traffic management process **ATM** 10.3 — Subtopic Handling traffic **ATM** 10.4 — TOPIC HOLDING **ATM** 11 —

NAV 2.4 — Document Generated: 2024-08-08

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic General holding procedures **ATM** 11.1 -Subtopic Approaching aircraft **ATM** 11.2 -**SUBJECMETEOROLOGY** TOPIC METEOROLOGICAL PHENOMENA **MET** Subtopic Meteorological phenomena **MET** 1.1 — TOPIC SOURCES OF METEOROLOGICAL DATA **MET** 2 — Subtopic Sources of meteorological information **MET** 2.1 — **SUBJECTNAVIGATION** 5: TOPIC MAPS AND AERONAUTICAL CHARTS **NAV** 1 — Subtopic Maps and charts **NAV** 1.1 — TOPIC INSTRUMENT NAVIGATION NAV Subtopic Navigational systems **NAV** 2.1 -Subtopic Stabilised approach **NAV** 2.2 -Subtopic Instrument departures and arrivals NAV 2.3 — Subtopic Navigational assistance

Status: Point in time view as at 31/12/2020.

```
Subtopic Satellite-based systems
NAV
2.5 —
Subtopic PBN applications
NAV
2.6 -
SUBJEC%IRCRAFT
TOPIC AIRCRAFT INSTRUMENTS
ACFT
1 —
Subtopic Aircraft instruments
ACFT
1.1 —
TOPIC AIRCRAFT CATEGORIES
ACFT
2 —
Subtopic Wake turbulence
ACFT
2.1 -
Subtopic Application of ICAO approach categories
ACFT
2.2 —
       FACTORS AFFECTING AIRCRAFT PERFORMANCE
TOPIC
ACFT
3 —
Subtopic Climb factors
ACFT
3.1 —
Subtopic Cruise factors
ACFT
3.2 -
Subtopic Descent and initial approach factors
ACFT
3.3 —
Subtopic Final approach and landing factors
ACFT
3.4 —
Subtopic Economic factors
ACFT
3.5 —
```

4 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Environmental factors
ACFT
3.6 —
TOPIC AIRCRAFT DATA
ACFT
Subtopic Performance data
ACFT
4.1 —
SUBJECTUMAN FACTORS
TOPIC PSYCHOLOGICAL FACTORS
HUM
1 —
Subtopic Cognitive
HUM
1.1 —
TOPIC
      MEDICAL AND PHYSIOLOGICAL FACTORS
HUM
2 —
Subtopic Fatigue
HUM
2.1 —
Subtopic Fitness
HUM
2.2 —
       SOCIAL AND ORGANISATIONAL FACTORS
TOPIC
HUM
3 —
Subtopic Team resource management (TRM)
HUM
3.1 —
Subtopic Teamwork and team roles
HUM
3.2 —
Subtopic Responsible behaviour
HUM
3.3 —
TOPIC STRESS
HUM
```

Status: Point in time view as at 31/12/2020.

```
Subtopic Stress
HUM
4.1 -
Subtopic Stress management
HUM
4.2 -
TOPIC HUMAN ERROR
HUM
5 —
Subtopic Human error
HUM
5.1 —
Subtopic Violation of rules
HUM
5.2 —
TOPIC COLLABORATIVE WORK
HUM
6 —
Subtopic Communication
HUM
6.1 —
Subtopic Collaborative work within the same area of responsibility
HUM
6.2 -
Subtopic Collaborative work between different areas of responsibility
HUM
6.3 —
Subtopic Controller/pilot cooperation
HUM
6.4 —
SUBJECTQUIPMENT AND SYSTEMS
TOPIC VOICE COMMUNICATIONS
EQPS
1 —
Subtopic Radio communications
EQPS
1.1 —
Subtopic Other voice communications
EQPS
1.2 —
```

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC AUTOMATION IN ATS **EOPS** 2 — Subtopic Aeronautical fixed telecommunication network (AFTN) **EOPS** 2.1 -Subtopic Automatic data interchange **EQPS** 2.2 — TOPIC CONTROLLER WORKING POSITION **EQPS** 3 — Subtopic Operation and monitoring of equipment **EOPS** 3.1 — Subtopic Situation displays and information systems **EQPS** 3.2 — Subtopic Flight data systems **EOPS** 3.3 — TOPIC FUTURE EQUIPMENT **EQPS** 4 — Subtopic New developments **EQPS** 4.1 — EQUIPMENT AND SYSTEMS LIMITATIONS AND DEGRADATION TOPIC **EQPS** 5 — Subtopic Reaction to limitations **EQPS** 5.1 — Subtopic Communication equipment degradation **EQPS** 5.2 — Subtopic Navigational equipment degradation **EQPS** 5.3 —

SUBJECTROFESSIONAL ENVIRONMENT

Status: Point in time view as at 31/12/2020.

```
TOPIC FAMILIARISATION
PEN
1 —
Subtopic Study visit to approach control unit
PEN
1.1 —
TOPIC AIRSPACE USERS
PEN
2 —
Subtopic Contributors to civil ATS operations
PEN
2.1 —
Subtopic Contributors to military ATS operations
PEN
2.2 —
TOPIC CUSTOMER RELATIONS
PEN
3 —
Subtopic Provision of services and user requirements
PEN
3.1 —
TOPIC ENVIRONMENTAL PROTECTION
PEN
4 —
Subtopic Environmental protection
PEN
4.1 —
SUBJECTBNORMAL AND EMERGENCY SITUATIONS
10:
TOPIC
       ABNORMAL AND EMERGENCY SITUATIONS (ABES)
ABES
Subtopic Overview of ABES
ABEŚ
1.1 —
TOPIC
      SKILLS IMPROVEMENT
ABES
Subtopic Communication effectiveness
ABES
2.1 -
```

2.1 -

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Avoidance of mental overload **ABES** 2.2 — Subtopic Air/ground cooperation **ABES** 2.3 -TOPIC PROCEDURES FOR ABNORMAL AND EMERGENCY SITUATIONS **ABES** 3 — Subtopic Application of procedures for ABES **ABES** 3.1 — Subtopic Radio failure ABES 3.2 — Subtopic Unlawful interference and aircraft bomb threat **ABES** 3.3 — Subtopic Strayed or unidentified aircraft **ABES** 3.4 — **Subtopic Diversions ABES** 3.5 — **SUBJEC**AERODROMES 11: AERODROME DATA, LAYOUT AND COORDINATION TOPIC **AGA** 1 — Subtopic Definitions AGA 1.1 — **Subtopic Coordination** AGA 1.2 — TOPIC MOVEMENT AREA **AGA** Subtopic Movement area **AGA**

4.1 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Manoeuvring area AGA 2.2 — Subtopic Runways **AGA** 2.3 -TOPIC OBSTACLES AGA 3 — Subtopic Obstacle-free airspace around aerodromes AGA 3.1 — TOPIC MISCELLANEOUS EQUIPMENT AGA 4 — Subtopic Location AGA

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 6 of Annex I

AREA (Reference: Annex I — PART ATCO Subpart D, Section 2, ATCO.D.010(a)(2)(iv))
CONTROL
PROCEDURAL
RATING
(ACP)

SUBJECINTRODUCTION TO THE COURSE

1:

TOPIC COURSE MANAGEMENT

INTR

1 —

Subtopic Course introduction

INTR

1.1 —

Subtopic Course administration

INTR

1.2 —

Subtopic Study material and training documentation

INTR

1.3 —

TOPIC INTRODUCTION TO THE ATC TRAINING COURSE

INTR

2 —

Subtopic Course content and organisation

INTR

2.1 —

Subtopic Training ethos

INTR

2.2 —

Subtopic Assessment process

INTR¹

23—

SUBJECTVIATION LAW

2:

TOPIC ATCO LICENSING/CERTIFICATE OF COMPETENCE

LAW

1 —

Subtopic Privileges and conditions

LAW

1.1 —

TOPIC RULES AND REGULATIONS

LAW

2 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Reports
LAW
2.1 —
Subtopic Airspace
LAW
2.2 -
TOPIC ATC SAFETY MANAGEMENT
LAW
3 —
Subtopic Feedback process
LAW
3.1 —
Subtopic Safety Investigation
LAW
3.2 —
SUBJECAIR TRAFFIC MANAGEMENT
TOPIC PROVISION OF SERVICES
ATM
Subtopic Air traffic control (ATC) service
ATM
1.1 —
Subtopic Flight information service (FIS)
ATM
1.2 —
Subtopic Alerting service (ALRS)
ATM
1.3 —
Subtopic ATS system capacity and air traffic flow management
ATM
1.4 —
Subtopic Airspace management (ASM)
ATM
1.5 —
TOPIC COMMUNICATION
ATM
Subtopic Effective communication
ATM
2.1 -
```

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC ATC CLEARANCES AND ATC INSTRUCTIONS **ATM** 3 — Subtopic ATC clearances ATM 3.1 -Subtopic ATC instructions ATM 3.2 — TOPIC COORDINATION **ATM** 4 — Subtopic Necessity for coordination ATM 4.1 — Subtopic Tools and methods for coordination **ATM** 4.2 — Subtopic Coordination procedures ATM 4.3 — TOPIC ALTIMETRY AND LEVEL ALLOCATION **ATM** 5 — Subtopic Altimetry ATM 5.1 — Subtopic Terrain clearance ATM 5.2 — **SEPARATIONS** TOPIC **ATM** 6 — Subtopic Vertical separation **ATM** 6.1 -Subtopic Horizontal separation ATM 6.2 -AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED TOPIC

SAFETY NETS

ATM 7 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Airborne collision avoidance systems
ATM
7.1 —
TOPIC DATA DISPLAY
ATM
8 —
Subtopic Data management
ATM
8.1 —
TOPIC OPERATIONAL ENVIRONMENT (SIMULATED)
ATM
9 —
Subtopic Integrity of the operational environment
ATM
9.1 —
Subtopic Verification of the currency of operational procedures
ATM
9.2 —
Subtopic Handover-takeover
ATM
9.3 —
       PROVISION OF CONTROL SERVICE
TOPIC
ATM
10 —
Subtopic Responsibility and processing of information
ATM
10.1 —
Subtopic Area control
ATM
10.2 —
Subtopic Traffic management process
ATM
10.3 —
Subtopic Handling traffic
ATM
10.4 —
TOPIC HOLDING
ATM
11 —
Subtopic General holding procedures
ATM
11.1 —
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Holding aircraft

ATM

11.2 —

SUBJECMETEOROLOGY

4:

TOPIC METEOROLOGICAL PHENOMENA

MET

1 —

Subtopic Meteorological phenomena

MET

1.1 —

TOPIC SOURCES OF METEOROLOGICAL DATA

MET

2 —

Subtopic Sources of meteorological information

MET

2.1 —

SUBJECTNAVIGATION

5:

TOPIC MAPS AND AERONAUTICAL CHARTS

NAV

1 —

Subtopic Maps and charts

NAV

1.1 —

TOPIC INSTRUMENT NAVIGATION

NAV

2 —

Subtopic Navigational systems

NAV

2.1 —

Subtopic Navigational assistance

NAV

2.2 —

Subtopic PBN applications

NAV

2.3 —

SUBJEC%IRCRAFT

6.

TOPIC AIRCRAFT INSTRUMENTS

ACFT

1 —

Status: Point in time view as at 31/12/2020.

```
Subtopic Aircraft instruments
ACFT
1.1 —
TOPIC AIRCRAFT CATEGORIES
ACFT
2 —
Subtopic Wake turbulence
ACFT
2.1 —
TOPIC FACTORS AFFECTING AIRCRAFT PERFORMANCE
ACFT
3 —
Subtopic Climb factors
ACFT
3.1 —
Subtopic Cruise factors
ACFT
3.2 —
Subtopic Descent factors
ACFT
3.3 —
Subtopic Economic factors
ACFT
3.4 —
Subtopic Environmental factors
ACFT
3.5 —
       AIRCRAFT DATA
TOPIC
ACFT
4 —
Subtopic Performance data
ACFT
4.1 —
SUBJECHUMAN FACTORS
TOPIC PSYCHOLOGICAL FACTORS
HUM
1 —
Subtopic Cognitive
HUM
1.1 —
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC MEDICAL AND PHYSIOLOGICAL FACTORS **HUM** 2 — Subtopic Fatigue HUM 2.1 -Subtopic Fitness HUM 2.2 — TOPIC SOCIAL AND ORGANISATIONAL FACTORS HUM 3 — Subtopic Team resource management (TRM) HUM 3.1 — Subtopic Teamwork and team roles HUM 3.2 — Subtopic Responsible behaviour HUM 3.3 — TOPIC STRESS HUM 4 — **Subtopic Stress** HUM 4.1 — Subtopic Stress management HUM 4.2 — TOPIC HUMAN ERROR HUM 5 — Subtopic Human error HUM 5.1 — Subtopic Violation of rules HUM 5.2 —

TOPIC COLLABORATIVE WORK

HUM 6—

Status: Point in time view as at 31/12/2020.

```
Subtopic Communication
HUM
6.1 -
Subtopic Collaborative work within the same area of responsibility
HUM
6.2 -
Subtopic Collaborative work between different areas of responsibility
HUM
6.3 —
Subtopic Controller/pilot cooperation
HUM
6.4 - 
SUBJECTQUIPMENT AND SYSTEMS
TOPIC VOICE COMMUNICATIONS
EQPS
1 —
Subtopic Radio communications
EOPS
1.1 —
Subtopic Other voice communications
EQPS
1.2 —
       AUTOMATION IN ATS
TOPIC
EQPS
Subtopic Aeronautical fixed telecommunication network (AFTN)
EQPS
2.1 —
Subtopic Automatic data interchange
EQPS
2.2 —
TOPIC
       CONTROLLER WORKING POSITION
EQPS
3 —
Subtopic Operation and monitoring of equipment
EQPS
3.1 —
Subtopic Situation displays and information systems
EQPS
3.2 —
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Flight data systems **EQPS** 3.3 — TOPIC FUTURE EQUIPMENT **EQPS** 4 — Subtopic New developments **EQPS** 4.1 — TOPIC EQUIPMENT AND SYSTEMS LIMITATIONS AND DEGRADATION **EQPS** 5 — Subtopic Reaction to limitations **EQPS** 5.1 — Subtopic Communication equipment degradation **EQPS** 5.2 — Subtopic Navigational equipment degradation **EOPS** 5.3 — SUBJECTROFESSIONAL ENVIRONMENT TOPIC FAMILIARISATION **PEN** 1 — Subtopic Study visit to area control centre **PEN** 1.1 — TOPIC AIRSPACE USERS **PEN** 2 — Subtopic Contributors to civil ATS operations **PEN** 2.1 — Subtopic Contributors to military ATS operations **PEN** 2.2 — TOPIC CUSTOMER RELATIONS **PEN** 3 —

3.3 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Provision of services and user requirements **PEN** 3.1 — TOPIC ENVIRONMENTAL PROTECTION **PEN** 4 — Subtopic Environmental protection PEN 4.1 — SUBJECTBNORMAL AND EMERGENCY SITUATIONS TOPIC ABNORMAL AND EMERGENCY SITUATIONS (ABES) **ABES** Subtopic Overview of ABES **ABES** 1.1 — TOPIC SKILLS IMPROVEMENT **ABES** Subtopic Communication effectiveness **ABES** 2.1 -Subtopic Avoidance of mental overload **ABES** 2.2 — Subtopic Air/ground cooperation **ABES** 2.3 — PROCEDURES FOR ABNORMAL AND EMERGENCY SITUATIONS TOPIC **ABES** Subtopic Application of procedures for ABES **ABES** 3.1 — Subtopic Radio failure **ABES** 3.2 — Subtopic Unlawful interference and aircraft bomb threat **ABES**

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Strayed or unidentified aircraft ABES

3.4 —

Subtopic Diversions ABES

3.5 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 7 of Annex I

APPROARHerence: Annex I — PART ATCO Subpart D, Section 2, ATCO.D.010(a)(2)(v))
CONTROL
SURVEILLANCE
RATING
(APS)

SUBJECINTRODUCTION TO THE COURSE

1.

TOPIC COURSE MANAGEMENT

INTR

1 —

Subtopic Course introduction

INTR

1.1 —

Subtopic Course administration

INTR

1.2 —

Subtopic Study material and training documentation

INTR

1.3 —

TOPIC INTRODUCTION TO THE ATC TRAINING COURSE

INTR

2 —

Subtopic Course content and organisation

INTR

2.1 —

Subtopic Training ethos

INTR

2.2 —

Subtopic Assessment process

INTR¹

23—

SUBJECTVIATION LAW

2:

TOPIC ATCO LICENSING/CERTIFICATE OF COMPETENCE

LAW

1 —

Subtopic Privileges and conditions

LAW

1.1 —

TOPIC RULES AND REGULATIONS

LAW

2 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Reports LAW 2.1 — Subtopic Airspace LAW 2.2 -TOPIC ATC SAFETY MANAGEMENT LAW 3 — Subtopic Feedback process LAW 3.1 — Subtopic Safety Investigation LAW 3.2 — SUBJECAIR TRAFFIC MANAGEMENT TOPIC PROVISION OF SERVICES **ATM** Subtopic Air traffic control (ATC) service ATM 1.1 — Subtopic Flight information service (FIS) ATM 1.2 — Subtopic Alerting service (ALRS) ATM 1.3 — Subtopic ATS system capacity and air traffic flow management **ATM** 1.4 — Subtopic Airspace management (ASM) ATM 1.5 — TOPIC COMMUNICATION **ATM** Subtopic Effective communication **ATM** 2.1 -

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC ATC CLEARANCES AND ATC INSTRUCTIONS **ATM** 3 — Subtopic ATC clearances ATM 3.1 — Subtopic ATC instructions ATM 3.2 — TOPIC COORDINATION **ATM** 4 — Subtopic Necessity for coordination ATM 4.1 — Subtopic Tools and methods for coordination **ATM** 4.2 — Subtopic Coordination procedures ATM 4.3 — ALTIMETRY AND LEVEL ALLOCATION TOPIC **ATM** 5 — Subtopic Altimetry ATM 5.1 — Subtopic Terrain clearance ATM 5.2 — **SEPARATIONS** TOPIC **ATM** 6 — Subtopic Vertical separation **ATM** 6.1 -Subtopic Longitudinal separation in a surveillance environment **ATM** 6.2 -Subtopic Delegation of separation ATM 6.3 —

```
Subtopic Wake turbulence distance-based separation
ATM
6.4 —
Subtopic Separation based on ATS surveillance systems
ATM
6.5 -
TOPIC
       AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED
        SAFETY NETS
ATM
7 —
Subtopic Airborne collision avoidance systems
ATM
7.1 —
Subtopic Ground-based safety nets
ATM
7.2 —
TOPIC DATA DISPLAY
ATM
8 —
Subtopic Data management
ATM
8.1 —
TOPIC
       OPERATIONAL ENVIRONMENT (SIMULATED)
ATM
9 —
Subtopic Integrity of the operational environment
ATM
9.1 —
Subtopic Verification of the currency of operational procedures
ATM
9.2 —
Subtopic Handover-takeover
ATM
9.3 —
TOPIC PROVISION OF CONTROL SERVICE
ATM
10 —
Subtopic Responsibility and processing of information
ATM
10.1 —
Subtopic ATS surveillance service
ATM
10.2 —
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Traffic management process ATM 10.3 — Subtopic Handling traffic ATM 10.4 — Subtopic Control service with advanced system support ATM 10.5 — TOPIC HOLDING **ATM** 11 — Subtopic General holding procedures ATM 11.1 — Subtopic Approaching aircraft ATM 11.2 — Subtopic Holding in a surveillance environment ATM 11.3 — TOPIC IDENTIFICATION ATM 12 — Subtopic Establishment of identification ATM 12.1 — Subtopic Maintenance of identification ATM 12.2 — Subtopic Loss of identity ATM 12.3 — **Subtopic Position Information ATM** 12.4 — Subtopic Transfer of identity **ATM** 12.5 — **SUBJECMETEOROLOGY**

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC METEOROLOGICAL PHENOMENA

MET

1 —

Subtopic Meteorological phenomena

MET

1.1 —

TOPIC SOURCES OF METEOROLOGICAL DATA

MET

2 —

Subtopic Sources of meteorological information

MET

2.1 —

SUBJECTNAVIGATION

5.

TOPIC MAPS AND AERONAUTICAL CHARTS

NAV

1 ___

Subtopic Maps and charts

NAV

1.1 —

TOPIC INSTRUMENT NAVIGATION

NAV

2 ___

Subtopic Navigational systems

NAV

2.1 —

Subtopic Stabilised approach

NAV

2.2 —

Subtopic Instrument departures and arrivals

NAV

2.3 —

Subtopic Navigational assistance

NAV

2.4 —

Subtopic Satellite-based systems

NAV

2.5 —

Subtopic PBN applications

NAV

2.6 -

SUBJEC%IRCRAFT

6:

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC AIRCRAFT INSTRUMENTS **ACFT** 1 — Subtopic Aircraft instruments **ACFT** 1.1 — TOPIC AIRCRAFT CATEGORIES **ACFT** 2 — Subtopic Wake turbulence **ACFT** 2.1 — Subtopic Application of ICAO approach categories **ACFT** 2.2 — TOPIC FACTORS AFFECTING AIRCRAFT PERFORMANCE **ACFT** 3 — Subtopic Climb factors **ACFT** 3.1 — Subtopic Cruise factors **ACFT** 3.2 — Subtopic Descent and initial approach factors **ACFT** 3.3 — Subtopic Final approach and landing factors **ACFT** 3.4 — Subtopic Economic factors **ACFT** 3.5 — Subtopic Environmental factors **ACFT** 3.6 — AIRCRAFT DATA TOPIC **ACFT** Subtopic Performance data **ACFT** 4.1 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

SUBJECTUMAN FACTORS

7:

TOPIC PSYCHOLOGICAL FACTORS

HUM

1 __

Subtopic Cognitive

HUM

1.1 —

TOPIC MEDICAL AND PHYSIOLOGICAL FACTORS

HUM

2 —

Subtopic Fatigue

HUM

2.1 —

Subtopic Fitness

HUM

2.2 —

TOPIC SOCIAL AND ORGANISATIONAL FACTORS

HUM

3 —

Subtopic Team resource management (TRM)

HUM

3.1 —

Subtopic Teamwork and team roles

HUM

3.2 —

Subtopic Responsible behaviour

HUM

3.3 —

TOPIC STRESS

HUM

4 —

Subtopic Stress

HUM

4.1 —

Subtopic Stress management

HUM

4.2 —

TOPIC HUMAN ERROR

HUM

5 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

```
Subtopic Human error
HUM
5.1 -
Subtopic Violation of rules
HUM
5.2 -
TOPIC COLLABORATIVE WORK
HUM
6 —
Subtopic Communication
HUM
6.1 -
Subtopic Collaborative work within the same area of responsibility
HUM
6.2 -
Subtopic Collaborative work between different areas of responsibility
HUM
6.3 —
Subtopic Controller/pilot cooperation
HUM
6.4 —
SUBJECTQUIPMENT AND SYSTEMS
TOPIC VOICE COMMUNICATIONS
EQPS
1 —
Subtopic Radio communications
EQPS
1.1 —
Subtopic Other voice communications
EQPS
1.2 —
TOPIC AUTOMATION IN ATS
EQPS
2 —
Subtopic Aeronautical fixed telecommunication network (AFTN)
EQPS
2.1 —
Subtopic Automatic data interchange
EQPS
2.2 —
```

5.5 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC CONTROLLER WORKING POSITION **EOPS** 3 — Subtopic Operation and monitoring of equipment **EOPS** 3.1 — Subtopic Situation displays and information systems **EQPS** 3.2 — Subtopic Flight data systems **EQPS** 3.3 — Subtopic Use of ATS surveillance system **EQPS** 3.4 — Subtopic Advanced systems **EQPS** 3.5 — TOPIC FUTURE EQUIPMENT **EQPS** 4 — Subtopic New developments **EOPS** 4.1 — **TOPIC** EQUIPMENT AND SYSTEMS LIMITATIONS AND DEGRADATION **EQPS** 5 — Subtopic Reaction to limitations **EQPS** 5.1 — Subtopic Communication equipment degradation **EQPS** 5.2 — Subtopic Navigational equipment degradation **EQPS** 5.3 — Subtopic Surveillance equipment degradation **EQPS** 5.4 — Subtopic ATC processing system degradation **EOPS**

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

${\bf SUBJEC \red{T} ROFESSIONAL\ ENVIRONMENT}$

9:

TOPIC FAMILIARISATION

PEN

1 __

Subtopic Study visit to approach control unit

PEN

1.1 —

TOPIC AIRSPACE USERS

PEN

2 __

Subtopic Contributors to civil ATS operations

PEN

2.1 —

Subtopic Contributors to military ATS operations

PEN

2.2 —

TOPIC CUSTOMER RELATIONS

PEN

3 —

Subtopic Provision of services and user requirements

PEN

3.1 —

TOPIC ENVIRONMENTAL PROTECTION

PEN

4 —

Subtopic Environmental protection

PEN

4.1 —

SUBJECTBNORMAL AND EMERGENCY SITUATIONS

10:

TOPIC ABNORMAL AND EMERGENCY SITUATIONS (ABES)

ABES

1 —

Subtopic Overview of ABES

ABES

1.1 -

TOPIC SKILLS IMPROVEMENT

ABES

2 —

Subtopic Communication effectiveness

ABES

2.1 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Avoidance of mental overload **ABES** 2.2 — Subtopic Air/ground cooperation **ABES** 2.3 -TOPIC PROCEDURES FOR ABNORMAL AND EMERGENCY SITUATIONS **ABES** 3 — Subtopic Application of procedures for ABES **ABES** 3.1 — Subtopic Radio failure ABES 3.2 — Subtopic Unlawful interference and aircraft bomb threat **ABES** 3.3 — Subtopic Strayed or unidentified aircraft **ABES** 3.4 — **Subtopic Diversions ABES** 3.5 — Subtopic Transponder failure **ABES** 3.6 — **SUBJECTERODROMES** 11: TOPIC AERODROME DATA, LAYOUT AND COORDINATION **AGA Subtopic Definitions** AGA 1.1 — Subtopic Coordination AGA 1.2 — TOPIC MOVEMENT AREA **AGA** 2 —

4.1 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Movement area AGA 2.1 — Subtopic Manoeuvring area **AGA** 2.2 -Subtopic Runways **AGA** 2.3 — TOPIC OBSTACLES AGA 3 — Subtopic Obstacle-free airspace around aerodromes AGA 3.1 — TOPIC MISCELLANEOUS EQUIPMENT **AGA** 4 — Subtopic Location AGA

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 8 of Annex I

AREA (Reference: Annex I — PART ATCO Subpart D, Section 2, ATCO.D.010(a)(2)(vi))
CONTROL
SURVEILLANCE
RATING
(ACS)

SUBJECINTRODUCTION TO THE COURSE

1:

TOPIC COURSE MANAGEMENT

INTR

1 —

Subtopic Course introduction

INTR

1.1 —

Subtopic Course administration

INTR

1.2 —

Subtopic Study material and training documentation

INTR

1.3 —

TOPIC INTRODUCTION TO THE ATC TRAINING COURSE

INTR

2 —

Subtopic Course content and organisation

INTR

2.1 —

Subtopic Training ethos

INTR

2.2 —

Subtopic Assessment process

INTR¹

23—

SUBJECTVIATION LAW

2:

TOPIC ATCO LICENSING/CERTIFICATE OF COMPETENCE

LAW

1 —

Subtopic Privileges and conditions

LAW

1.1 —

TOPIC RULES AND REGULATIONS

LAW

2 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

```
Subtopic Reports
LAW
2.1 —
Subtopic Airspace
LAW
2.2 -
TOPIC ATC SAFETY MANAGEMENT
LAW
3 —
Subtopic Feedback process
LAW
3.1 —
Subtopic Safety Investigation
LAW
3.2 —
SUBJECAIR TRAFFIC MANAGEMENT
TOPIC PROVISION OF SERVICES
ATM
Subtopic Air traffic control (ATC) service
ATM
1.1 —
Subtopic Flight information service (FIS)
ATM
1.2 —
Subtopic Alerting service (ALRS)
ATM
1.3 —
Subtopic ATS system capacity and air traffic flow management
ATM
1.4 —
Subtopic Airspace management (ASM)
ATM
1.5 —
TOPIC COMMUNICATION
ATM
Subtopic Effective communication
ATM
2.1 -
```

ATM 6 —

ATM 6.1 —

ATM 6.2 —

ATM 6.3 —

Subtopic Vertical separation

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC ATC CLEARANCES AND ATC INSTRUCTIONS **ATM** 3 — Subtopic ATC clearances ATM 3.1 — Subtopic ATC instructions ATM 3.2 — TOPIC COORDINATION **ATM** 4 — Subtopic Necessity for coordination ATM 4.1 — Subtopic Tools and methods for coordination **ATM** 4.2 — Subtopic Coordination procedures ATM 4.3 — ALTIMETRY AND LEVEL ALLOCATION TOPIC **ATM** 5 — Subtopic Altimetry ATM 5.1 — Subtopic Terrain clearance ATM 5.2 — **SEPARATIONS** TOPIC

Subtopic Longitudinal separation in a surveillance environment

Subtopic Wake turbulence distance-based separation

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

```
Subtopic Separation based on ATS surveillance systems
ATM
6.4 —
       AIRBORNE COLLISION AVOIDANCE SYSTEMS AND GROUND-BASED
TOPIC
        SAFETY NETS
ATM
7 —
Subtopic Airborne collision avoidance systems
ATM
7.1 —
Subtopic Ground-based safety nets
ATM
7.2 —
TOPIC DATA DISPLAY
ATM
8 —
Subtopic Data management
ATM
8.1 —
TOPIC OPERATIONAL ENVIRONMENT (SIMULATED)
ATM
9 —
Subtopic Integrity of the operational environment
ATM
9.1 —
Subtopic Verification of the currency of operational procedures
ATM
9.2 —
Subtopic Handover-takeover
ATM
9.3 —
       PROVISION OF CONTROL SERVICE
TOPIC
ATM
10 —
Subtopic Responsibility and processing of information
ATM
10.1 —
Subtopic ATS surveillance service
ATM
10.2 —
Subtopic Traffic management process
ATM
10.3 —
```

Subtopic Handling traffic

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

```
ATM
10.4 —
Subtopic Control service with advanced system support
ATM
10.5 —
TOPIC HOLDING
ATM
11 —
Subtopic General holding procedures
ATM
11.1 —
Subtopic Holding aircraft
ATM
11.2 —
Subtopic Holding in a surveillance environment
ATM
11.3 —
TOPIC IDENTIFICATION
ATM
12 —
Subtopic Establishment of identification
ATM
12.1 —
Subtopic Maintenance of identification
ATM
12.2 —
Subtopic Loss of identity
ATM
12.3 —
Subtopic Position Information
ATM
12.4 —
Subtopic Transfer of identity
ATM
12.5 —
SUBJECMETEOROLOGY
TOPIC METEOROLOGICAL PHENOMENA
MET
```

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

```
Subtopic Meteorological phenomena
MET
1.1 —
TOPIC SOURCES OF METEOROLOGICAL DATA
MET
2 —
Subtopic Sources of meteorological information
MET
2.1 —
SUBJECTNAVIGATION
TOPIC MAPS AND AERONAUTICAL CHARTS
NAV
1 —
Subtopic Maps and charts
NAV
1.1 —
TOPIC INSTRUMENT NAVIGATION
NAV
Subtopic Navigational systems
NAV
2.1 —
Subtopic Navigational assistance
NAV
2.2 —
Subtopic PBN applications
NAV
2.3 —
SUBJEC%IRCRAFT
6:
TOPIC AIRCRAFT INSTRUMENTS
ACFT
Subtopic Aircraft instruments
ACFT
1.1 —
TOPIC AIRCRAFT CATEGORIES
ACFT
Subtopic Wake turbulence
ACFT
```

2.1 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC FACTORS AFFECTING AIRCRAFT PERFORMANCE

ACFT

3 —

Subtopic Climb factors

ACFT

3.1 —

Subtopic Cruise factors

ACFT

3.2 —

Subtopic Descent factors

ACFT

3.3 —

Subtopic Economic factors

ACFT

3.4 —

Subtopic Environmental factors

ACFT

3.5 —

TOPIC AIRCRAFT DATA

ACFT

4 —

Subtopic Performance data

ACFT

4.1 —

SUBJECHUMAN FACTORS

7:

TOPIC PSYCHOLOGICAL FACTORS

HUM

1 —

Subtopic Cognitive

HUM

1.1 —

TOPIC MEDICAL AND PHYSIOLOGICAL FACTORS

HUM

2 —

Subtopic Fatigue

HUM

2.1 —

Subtopic Fitness

HUM

2.2 —

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC SOCIAL AND ORGANISATIONAL FACTORS **HUM** 3 — Subtopic Team resource management (TRM) HUM 3.1 — Subtopic Teamwork and team roles **HUM** 3.2 — Subtopic Responsible behaviour HUM 3.3 — TOPIC STRESS HUM 4 — **Subtopic Stress** HUM 4.1 — Subtopic Stress management HUM 4.2 — TOPIC HUMAN ERROR HUM 5 — Subtopic Human error HUM 5.1 — Subtopic Violation of rules HUM 5.2 — COLLABORATIVE WORK TOPIC HUM 6 — Subtopic Communication HUM 6.1 -Subtopic Collaborative work within the same area of responsibility HUM 6.2 — Subtopic Collaborative work between different areas of responsibility HUM 6.3 —

3.5 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Controller/pilot cooperation **HUM** 6.4 — SUBJECTQUIPMENT AND SYSTEMS TOPIC VOICE COMMUNICATIONS **EQPS** 1 — Subtopic Radio communications **EQPS** 1.1 — Subtopic Other voice communications **EQPS** 1.2 — TOPIC AUTOMATION IN ATS **EQPS** Subtopic Aeronautical fixed telecommunication network (AFTN) **EOPS** 2.1 -Subtopic Automatic data interchange **EQPS** 2.2 — CONTROLLER WORKING POSITION TOPIC **EQPS** Subtopic Operation and monitoring of equipment **EQPS** 3.1 — Subtopic Situation displays and information systems **EQPS** 3.2 -Subtopic Flight data systems **EQPS** 3.3 — Subtopic Use of ATS surveillance system **EQPS** 3.4 — Subtopic Advanced systems **EQPS**

Status: Point in time view as at 31/12/2020.

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```
TOPIC FUTURE EQUIPMENT
EOPS
4 —
Subtopic New developments
EOPS
4.1 -
TOPIC EQUIPMENT AND SYSTEMS LIMITATIONS AND DEGRADATION
EQPS
5 —
Subtopic Reaction to limitations
EQPS
5.1 —
Subtopic Communication equipment degradation
5.2 —
Subtopic Navigational equipment degradation
EQPS
5.3 —
Subtopic Surveillance equipment degradation
EOPS
5.4 —
Subtopic ATC processing system degradation
5.5 —
SUBJECTROFESSIONAL ENVIRONMENT
9:
TOPIC FAMILIARISATION
PEN
1 —
Subtopic Study visit to area control centre
PEN
1.1 —
TOPIC AIRSPACE USERS
PEN
2 —
Subtopic Contributors to civil ATS operations
PEN
2.1 —
Subtopic Contributors to military ATS operations
PEN
2.2 —
```

ABES 3.2 —

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

TOPIC CUSTOMER RELATIONS **PEN** 3 — Subtopic Provision of services and user requirements **PEN** 3.1 — TOPIC ENVIRONMENTAL PROTECTION **PEN** 4 — Subtopic Environmental protection **PEN** 4.1 — SUBJECTBNORMAL AND EMERGENCY SITUATIONS TOPIC ABNORMAL AND EMERGENCY SITUATIONS (ABES) **ABES** 1 — Subtopic Overview of ABES **ABES** 1.1 — TOPIC SKILLS IMPROVEMENT **ABES** Subtopic Communication effectiveness **ABES** 2.1 — Subtopic Avoidance of mental overload **ABES** 2.2 — Subtopic Air/ground cooperation **ABES** 2.3 — TOPIC PROCEDURES FOR ABNORMAL AND EMERGENCY SITUATIONS **ABES** Subtopic Application of procedures for ABES **ABES** 3.1 — Subtopic Radio failure

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Subtopic Unlawful interference and aircraft bomb threat

ABES

3.3 —

Subtopic Strayed or unidentified aircraft

ABES

3.4 -

Subtopic Diversions

ABES

3.5 —

Subtopic Transponder failure

ABES

3.6 —

ANNEX II

PART ATCO.AR

REQUIREMENTS FOR COMPETENT AUTHORITIES

SUBPAR**GENERAL REQUIREMENTS**

Α

ATCO.ARcap@01

This Part, set out in this Annex, establishes the administrative requirements applicable to the competent authorities with responsibility for the issue, maintenance, suspension or revocation of licences, ratings, endorsements and medical certificates for air traffic controllers and certification and oversight of training organisations and aero-medical centres.

ATCO.AReAsonfiel

- (a) Competent authorities shall produce and update every two years an assessment of the human resources needed to perform their oversight functions, based on the analysis of the processes required by this Regulation and their application.
- (b) Personnel authorised by the competent authority to carry out certification and/or oversight tasks shall be empowered to perform as a minimum the following tasks:
 - (1) examine documents, including licences, certificates, records, data, procedures and any other material relevant to the execution of the required task;
 - (2) take copies of or extracts from such records, data, procedures and other material;
 - (3) ask for an explanation;
 - (4) enter relevant premises and operating sites;
 - (5) perform audits and inspections, including unannounced inspections;

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- (6) take or initiate enforcement measures as appropriate.
- (c) The competent authority may authorise its personnel to conduct assessments leading to the issue, revalidation and renewal of a unit endorsement provided that they meet the requirements set out in ATCO.C.045, with the exception of point (d)(1). Familiarity with the current operational practices and procedures of the unit, where the assessment is taking place, shall however be ensured.

ATCO.A Rask@ loff the competent authorities

- (a) The tasks of the competent authorities shall include:
- (1) the issue, suspension and revocation of licences, ratings, endorsements and of medical certificates;
- (2) the issue of temporary OJTI authorisations according to ATCO.C.025;
- the issue of temporary assessor authorisations according to ATCO.C.065;
- (4) the revalidation and renewal of endorsements;
- (5) the revalidation, renewal and limitation of medical certificates following referral by the AME or AeMC;
- (6) the issue, revalidation, renewal, suspension, revocation, limitation and change of aeromedical examiner certificates;
- (7) the issue, suspension, revocation and limitation of training organisation certificates and of the certificates of aero-medical centres;
- (8) the approval of training courses, plans and unit competence schemes, as well as assessment methods;
- (9) the approval of the assessment method for the demonstration of language proficiency and the establishment of requirements applicable to language assessment bodies according to ATCO.B.040;
- the approval of the need for the extended level (level five) language proficiency in accordance with ATCO.B.030(d);
- (11) the monitoring of training organisations, including their training courses and plans;
- (12) the approval and monitoring of the unit competence schemes;
- (13) the establishment of appropriate appeal procedures and notification mechanisms;
- facilitating the recognition and exchange of licences, including the transfer of the records of air traffic controllers and return of the old licence to the issuing competent authority according to ATCO.A.010;
- (15) facilitating the recognition of training organisation certificates and course approvals.

ATCO.ARIeans 5 f compliance

(a) The Agency shall develop Acceptable Means of Compliance (AMC) that may be used to establish compliance with Regulation (EC) No 216/2008 and its implementing rules. When AMC are complied with, the related requirements of the implementing rules are met.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (b) Alternative means of compliance may be used to establish compliance with the implementing rules.
- (c) The competent authority shall establish a system to consistently evaluate that all alternative means of compliance used by itself or by organisations and persons under its oversight allow the establishment of compliance with Regulation (EC) No 216/2008 and its implementing rules.
- (d) The competent authority shall evaluate all alternative means of compliance proposed by an organisation in accordance with ATCO.OR.B.005 by analysing the documentation provided and, if considered necessary, conducting an inspection of the organisation.

When the competent authority finds that the alternative means of compliance are in accordance with the implementing rules, it shall without undue delay:

- (1) notify the applicant that the alternative means of compliance may be implemented and, if applicable, amend the approval or certificate of the applicant accordingly;
- (2) notify the Agency of their content, including copies of all relevant documentation; and
- inform other Member States about alternative means of compliance that were accepted.
- (e) When the competent authority itself uses alternative means of compliance to achieve compliance with Regulation (EC) No 216/2008 and its implementing rules it shall:
 - (1) make them available to all organisations and persons under its oversight; and
 - (2) notify the Agency without undue delay.

The competent authority shall provide the Agency with a full description of the alternative means of compliance, including any revisions to procedures that may be relevant, as well as an assessment demonstrating that the implementing rules are met.

ATCO.ARnfo.020 tion to the Agency

- (a) The competent authority shall without undue delay notify the Agency in case of any significant problems with the implementation of Regulation (EC) No 216/2008 and this Regulation.
- (b) The competent authority shall provide the Agency with safety-significant information stemming from the occurrence reports it has received.

ATCO.ARman@25ate reaction to a safety problem

- (a) Without prejudice to Regulation (EU) No 376/2014 of the European Parliament and of the Council⁽⁶⁾, the competent authority shall implement a system to appropriately collect, analyse and disseminate safety information.
- (b) The Agency shall implement a system to appropriately analyse any relevant safety information received and without undue delay provide to Member States and the Commission any information, including recommendations or corrective actions to be taken, necessary for them to react in a timely manner to a safety problem involving products, parts, appliances, persons or organisations subject to Regulation (EC) No 216/2008 and its implementing rules.

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- (c) Upon receiving the information referred to in points (a) and (b), the competent authority shall take adequate measures to address the safety problem.
- (d) Measures taken in accordance with point (c) shall immediately be notified to all persons or organisations which need to comply with them under Regulation (EC) No 216/2008 and its implementing rules. The competent authority shall also notify those measures to the Agency and, when combined action is required, to the other Member States concerned.

SUBPAR**M**ANAGEMENT

ATCO.ARIBO002ement system

- (a) The competent authority shall establish and maintain a management system, including as a minimum:
 - (1) documented policies and procedures to describe its organisation, means and methods to achieve compliance with Regulation (EC) No 216/2008 and this Regulation. The procedures shall be kept up to date and serve as the basic working documents within that competent authority for all related tasks;
 - a sufficient number of personnel, including licensing and certification inspectors, to perform its tasks and discharge its responsibilities. Such personnel shall be qualified to perform their allocated tasks and have the necessary knowledge, experience, initial, on-the-job and recurrent training to ensure continuing competence. A system shall be in place to plan the availability of personnel in order to ensure the proper completion of all related tasks;
 - (3) adequate facilities and office accommodation to perform the allocated tasks;
 - a function to monitor compliance of the management system with the relevant requirements and adequacy of the procedures, including the establishment of an internal audit process and a safety risk management process. Compliance monitoring shall include a feedback system of audit findings to the senior management of the competent authority to ensure implementation of corrective actions as necessary; and
 - a person or group of persons ultimately responsible to the senior management of the competent authority for the compliance monitoring function.
- (b) The competent authority shall, for each field of activity included in the management system, appoint one or more persons with the overall responsibility for the management of the relevant task(s).
- (c) The competent authority shall establish procedures for the participation in the exchange of all necessary information and assistance with other competent authorities concerned, including information exchange on all findings raised and follow-up actions taken as a result of oversight of persons and organisations exercising activities in the territory of a Member State, but certified by the competent authority of another Member State or the Agency.
- (d) A copy of the procedures related to the management system and their amendments shall be made available to the Agency for the purpose of standardisation.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

ATCO.ARIB.005 on of tasks to qualified entities

- (a) If the competent authority allocates tasks related to the initial certification or continuous oversight of persons or organisations subject to Regulation (EC) No 216/2008 and its implementing rules, they shall only be allocated to qualified entities. When allocating tasks, the competent authority shall ensure that it has:
 - (1) a system in place to initially and continuously assess that the qualified entity complies with Annex V to Regulation (EC) No 216/2008.

This system and the results of the assessments shall be documented;

- established a documented agreement with a qualified entity, approved by both parties at the appropriate management level, which clearly defines:
 - (i) the tasks to be performed;
 - (ii) the declarations, reports and records to be provided;
 - (iii) the technical conditions to be met in performing such tasks;
 - (iv) the related liability coverage; and
 - (v) the protection given to information acquired in carrying out such tasks.
- (b) The competent authority shall ensure that the internal audit process and a safety risk management process required by ATCO.AR.B.001(a)(4) cover all certification or oversight tasks performed on its behalf.

ATCO.ARIBAD40s to the management system

- (a) The competent authority shall have a system in place to identify changes that affect its capability to perform its tasks and discharge its responsibilities as defined in Regulation (EC) No 216/2008 and this Regulation. It shall enable it to take action, as appropriate, to ensure that the management system remains adequate and effective.
- (b) The competent authority shall update its management system to reflect any change to Regulation (EC) No 216/2008 and this Regulation in a timely manner in order to ensure effective implementation.
- (c) The competent authority shall notify the Agency of changes affecting its capability to perform its tasks and discharge its responsibilities as defined in Regulation (EC) No 216/2008 and this Regulation.

ATCO.ARaBaltt5keeping

- (a) Competent authorities shall maintain a list of all organisation certificates and personnel licences and certificates they issue.
- (b) The competent authority shall establish a system of record keeping providing for adequate storage, accessibility and reliable traceability of:
 - (1) the management system's documented policies and procedures;
 - (2) training, qualification and authorisation of its personnel;
 - the allocation of tasks, covering the elements required by ATCO.AR.B.005 as well as the details of tasks allocated;

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (4) certification processes and continuing oversight of certified organisations;
- (5) details of courses provided by training organisations;
- (6) processes for the issue of licences, ratings, endorsements and certificates, and for the continuing oversight of the holders of those licences, ratings, endorsements and certificates;
- (7) continuing oversight of persons and organisations exercising activities within the territory of the Member State, but certified by the competent authority of another Member State, as agreed between these authorities;
- (8) findings, corrective actions and date of action closure;
- (9) enforcement measures taken;
- (10) safety information and follow-up measures;
- the use of flexibility provisions in accordance with Article 14 of Regulation (EC) No 216/2008; and
- the evaluation and notification to the Agency of alternative means of compliance proposed by organisations and the assessment of alternative means of compliance used by the competent authority itself.
- (c) Records shall be kept for a minimum period of 5 years and with regard to personnel licences for a minimum period of 10 years after the expiry of the last endorsement on the licence, subject to applicable data protection law.

SUBPAR**OVERSIGHT AND ENFORCEMENT**

ATCO.AR. @ Offth

- (a) The competent authority shall verify:
 - (1) compliance with requirements applicable to organisations or persons prior to the issue of an organisation certificate or personnel licence, certificate, rating or endorsement, as applicable;
 - (2) the continued compliance with the applicable requirements and the conditions attached to the training organisation's certificate, as well as the applicable requirements for training courses, plans and schemes it has approved and requirements applicable to personnel;
 - implementation of appropriate safety measures mandated by the competent authority as defined in ATCO.AR.A.025(c) and (d).
- (b) This verification shall:
 - (1) be supported by documentation specifically intended to provide guidance to the personnel responsible for safety oversight in order to perform their functions;
 - provide persons and organisations concerned with the results of the safety oversight activity;

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (3) be based on audits and inspections including, as appropriate, unannounced inspections; and
- (4) provide the competent authority with the evidence needed in case further action is required, including the measures foreseen in ATCO.AR.C.010 and ATCO.AR.E.015.
- (c) The scope of oversight shall be determined on the basis of the scope and results of past oversight activities and safety priorities.
- (d) Without prejudice to the competencies of the Member States, the scope and results of oversight of activities performed in the territory of a Member State by persons or organisations established or residing in another Member State shall be determined on the basis of the safety priorities, as well as past oversight activities.
- (e) Where the activity of a person or organisation involves more than one Member State, the competent authority responsible for the oversight according to points (a) to (c) may agree to specific alternative oversight arrangements with the other competent authority(ies). Any person or organisation subject to such agreement shall be informed of its existence and of its scope.

ATCO.AR. @. Objet programme

- (a) The competent authority shall establish and maintain an oversight programme covering the oversight activities required by ATCO.AR.C.001.
- (b) For organisations certified by the competent authority the oversight programme shall be developed taking into account the specific nature of the organisation, the complexity of its activities and past certification and/or oversight activities. It shall include within each oversight planning cycle:
 - (1) audits and inspections, if needed, including unannounced inspections as appropriate; and
 - (2) meetings convened between the management of the training organisation and the competent authority to ensure that both remain informed of significant issues
- (c) For organisations certified by the competent authority an oversight planning cycle not exceeding 24 months shall be applied.

The oversight planning cycle may be reduced if there is evidence that the safety performance of the organisation has decreased.

The oversight planning cycle may be extended to a maximum of 36 months if the competent authority has established that during the previous 24 months:

- (1) the organisation has demonstrated an effective identification of aviation safety hazards and management of associated risks; and
- the organisation has continuously demonstrated under ATCO.OR.B.015 that it has full control over all changes; and
- (3) no level 1 findings have been issued; and
- (4) all corrective actions have been implemented within the time period accepted or extended by the competent authority as defined in ATCO.AR.E.015.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

The oversight planning cycle may be further extended to a maximum of 48 months if, in addition to the above, the organisation has established, and the competent authority has approved, an effective continuous reporting system to the competent authority on the safety performance and regulatory compliance of the organisation itself.

- (d) The oversight programme for training organisations shall include the monitoring of training standards including the sampling of training delivery if appropriate.
- (e) For persons holding a licence, rating or endorsement issued by the competent authority the oversight programme shall include inspections, including unannounced inspections, if appropriate.

ATCO.ARindongs and enforcement measures for personnel

- (a) If during oversight or by any other means evidence is found by the competent authority responsible for the oversight in accordance with ATCO.AR.C.001 that shows non-compliance with the applicable requirements by a person holding a licence issued in accordance with this Regulation, the competent authority shall raise a finding, record it and communicate it in writing to the licence holder, as well as communicate the finding to the employing organisation, if applicable.
- (b) When the competent authority that raised the finding is the competent authority responsible for the issuing of the licence:
 - (1) it may suspend or revoke the licence, rating or endorsement, as applicable, when a safety issue has been identified; and
 - (2) it shall take any further enforcement measures necessary to prevent the continuation of the non-compliance.
- (c) When the competent authority that raised the finding is not the competent authority responsible for the issuing of the licence, it shall inform the competent authority that issued the licence. In this case, the competent authority that issued the licence shall take action in accordance with point (b) and inform the competent authority that raised the finding.

SUBPAR**TSSUE**, *REVALIDATION*, *RENEWAL*, *SUSPENSIONAND REVOCATION OF LICENCES*, *RATINGS AND ENDORSEMENTS*

ATCO.ARrbc@dure for the issue, revalidation and renewal of licences, ratings, endorsements and authorisations

- (a) The competent authority shall establish procedures for the application, issue and exchange of licences, issue of ratings and endorsements, as well as the revalidation and renewal of endorsements. These procedures may include:
 - (1) the issue of temporary OJTI authorisation and temporary assessor authorisation; and
 - (2) if applicable, the authorisation for assessors to revalidate and renew unit endorsements in which case assessors shall submit all records, reports and any other information to the competent authority as defined in such procedures.
- (b) Upon receiving an application and, if relevant, any supporting documentation, the competent authority shall verify the application completeness and whether the applicant meets the requirements set out in Annex I.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (c) If the applicant meets the applicable requirements, the competent authority shall issue, revalidate or renew, when appropriate, the relevant licence, rating(s) and endorsement(s) using the format for licences established in Appendix 1 of Annex II. The temporary OJTI authorisation referred to in ATCO.C.025 and the temporary assessor authorisation referred to in ATCO.C.065 shall be issued as a separate document wherein the privileges of the holder as well as the validity of the authorisation shall be specified.
- (d) For the purpose of reducing unnecessary administrative burden, the competent authority may establish procedures for establishing a unique date of validity for several endorsements. In any case, the validity periods of the endorsements concerned shall not be extended.
- (e) The competent authority shall replace the air traffic controller licence if necessary for administrative reasons and when point (XIIa) of the licence is completed and no further space remains. The date of the first issue of the ratings and rating endorsements shall be transferred to the new licence.

ATCO.ARaDollation and suspension of licences, ratings and endorsements

- (a) For the purpose of ATCO.A.020 the competent authority shall establish administrative procedures for the suspension and revocation of licences, ratings and endorsements.
- (b) The competent authority may suspend the licence in the case of provisional inability not being terminated according to the procedures referred to in ATCO.A.015(e).
- (c) The competent authority shall suspend or revoke a licence, rating or endorsement in accordance with ATCO.AR.C.010 in particular in the following circumstances:
 - (1) exercising the privileges of the licence when the licence holder no longer complies with the applicable requirements of this Regulation;
 - obtaining a student air traffic controller or an air traffic controller licence, rating, endorsement or certificate by falsification of submitted documentary evidence;
 - (3) falsification of the licence or certificate records;
 - (4) exercising the privileges of the licence, rating(s) or endorsement(s) under the influence of psychoactive substances.
- (d) In cases of suspension or revocation of licences, ratings and endorsements, the competent authority shall inform the licence holder in writing of this decision and of their right of appeal in accordance with the procedures established in ATCO.AR.A.010(a)(14). The suspension or revocation of the assessor endorsement should be notified to the relevant air navigation service provider as well.
- (e) The competent authority shall also suspend or revoke a licence, rating or endorsement upon written request of the licence holder.

SUBPAR**TERTIFICATION PROCEDURE FOR AIR TRAFFIC CONTROLLER**E TRAINING ORGANISATIONS AND APPROVAL OF TRAINING COURSES

ATCO.AR philoation and certification procedure for training organisations

(a) Upon receiving an application for the issue of a training organisation certificate, the competent authority shall verify the training organisation's compliance with the requirements set out in Annex III.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (b) If the applicant training organisation fulfils the applicable requirements, the competent authority shall issue a certificate using the format established in Appendix 2 of Annex II.
- (c) To enable an organisation to implement changes without prior competent authority's approval in accordance with ATCO.OR.B.015 and ATCO.AR.E.010(c), the competent authority shall approve the procedure submitted by the training organisation defining the scope of such changes and describing how such changes will be managed and notified.

ATCO.ARpp005al of training courses and training plans

- (a) The competent authority shall approve training courses and training plans developed in accordance with the requirements laid down in ATCO.OR.D.001.
- (b) Following an exchange of a licence according to ATCO.A.010 the competent authority shall approve or reject the unit endorsement course established in accordance with ATCO.B.020(b) and (c) not later than six weeks after presentation of the application for the approval of the course, and ensure that the principles of non-discrimination and proportionality are respected.

ATCO.ARHandos to the training organisations

(a) Upon receiving an application for a change that requires prior approval in accordance with ATCO.OR.B.015, the competent authority shall verify the training organisation's compliance with the requirements set out in Annex III before the issue of the approval.

The competent authority shall approve the conditions under which the organisation may operate during the change, unless the competent authority determines that the change cannot be implemented.

After having verified that the training organisation complies with the applicable requirements, the competent authority shall approve the change.

- (b) Without prejudice to any additional enforcement measures in accordance with ATCO.AR.E.015, when the organisation implements changes requiring prior approval without having received the competent authority's approval as defined in point (a), the competent authority shall take immediate and adequate action.
- (c) For changes not requiring prior approval, the competent authority shall approve a procedure developed by the training organisation in accordance with ATCO.OR.B.015 defining the scope of such changes and its management and notification mechanism. In the continuous oversight process the competent authority shall assess the information provided in the notification to verify whether actions taken comply with the approved procedures and applicable requirements.

ATCO.ARifid0115s and corrective actions

- (a) The competent authority shall have a system to analyse findings for their safety significance.
- (b) A level 1 finding shall be issued by the competent authority when any significant non-compliance is detected with the applicable requirements of Regulation (EC) No 216/2008 and this Regulation, with the training organisation's procedures and manuals, with the type(s) of training and/or service(s) provided or certificate(s) which lowers or seriously endangers safety and/or results in a significant degradation of the training provided.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

A level 1 finding shall include, but shall not be limited to:

- (1) failure to give the competent authority access to the training organisation's facilities as defined in ATCO.OR.B.025 during normal operating hours and after two written requests;
- obtaining or maintaining the validity of the training organisation certificate by falsification of submitted documentary evidence;
- evidence of malpractice or fraudulent use of the training organisation certificate; and
- (4) the lack of an accountable manager.
- (c) A level 2 finding shall be issued by the competent authority when any non-compliance is detected with the applicable requirements of Regulation (EC) No 216/2008 and this Regulation, with the training organisation's procedures and manuals, with the type(s) of training and/or service(s) provided or certificate(s) which could lower or endanger safety and/or could result in a degradation of the training provided.
- (d) When a finding is detected during oversight or by any other means, the competent authority shall, without prejudice to any additional action required by Regulation (EC) No 216/2008 and this Regulation, communicate the finding to the training organisation in writing and request corrective action to address the non-compliance(s) identified.
 - (1) In the case of level 1 findings the competent authority shall take immediate and appropriate action to prohibit or limit activities, and if appropriate, it shall take action to revoke the certificate or to limit or suspend it in whole or in part, depending upon the extent of the finding, until successful corrective action has been taken by the training organisation.
 - (2) In the case of level 2 findings the competent authority shall:
 - (i) grant the training organisation a corrective action implementation period included in an action plan appropriate to the nature of the finding; and
 - (ii) assess the corrective action and implementation plan proposed by the training organisation and, if the assessment concludes that they are sufficient to address the non-compliance(s), accept these.
 - Where a training organisation fails to submit an acceptable corrective action plan, or to perform the corrective action within the time period accepted or extended by the competent authority, the finding shall be raised to a level 1 finding, and action shall be taken as laid down in point (d)(1).
- (e) The competent authority shall record all findings it has raised and, where applicable, the enforcement measures it has applied, as well as all corrective actions and the date of action closure for findings.

SUBPAR**SPECIFIC REQUIREMENTS RELATING TO AERO-MEDICAL** F **CERTIFICATION**

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

SECTION 1

General requirements

ATCO.AReF.00n edical centres and aero-medical certification

By way of derogation from Subparts A, B and C, with regard to aero-medical centres (AeMCs) and aero-medical certification, the competent authority shall apply the following provisions of Annex VI to Commission Regulation (EU) No 1178/2011 (the Aircrew Regulation)⁽⁷⁾, with the exclusion of all references to general medical practitioners (GMPs):

- Subpart ARA.GEN,
- Subpart ARA.AeMC,
- ARA.MED.120 Medical assessors,
- ARA.MED.125 Referral to the licensing authority,
- ARA.MED.150 Record keeping,
- ARA.MED.200 Procedure for the issue, revalidation, renewal or change of an AME certificate,
- ARA.MED.245 Continuing oversight,
- ARA.MED.250 Limitation, suspension or revocation of an AME certificate,
- ARA.MED.255 Enforcement measures,
- ARA.MED.315 Review of examination reports, and
- ARA.MED.325 Review procedure.

SECTION 2

Documentation

ATCO.ARIEdi051 certificate

The medical certificate shall conform to the following specifications:

- (a) Content:
 - (1) State in which the ATCO licence has been issued or applied for (I);
 - (2) Class of medical certificate (II);
 - (3) Certificate number commencing with the UN country code of the state in which the ATCO licence has been issued or applied for and followed by a code of numbers and/or letters in Arabic numerals and Latin script (III);
 - (4) Name of the holder (IV);
 - (5) Nationality of the holder (VI);
 - (6) Date of birth of the holder (XIV);
 - (7) Signature of the holder (VII);
 - (8) Limitation(s) (XIII);
 - (9) Expiry date of the class 3 medical certificate (IX);
 - (10) Date of examination;

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (11) Date of last electrocardiogram;
- (12) Date of last audiogram;
- (13) Date of issue and signature of AME or medical assessor that issued the medical certificate (X);
- (14) Seal or stamp.
- (b) Material: The paper or other material used shall prevent or readily show any alterations or erasures. Any entries or deletions to the form shall be clearly authorised by the competent authority.
- (c) Language: Medical certificates shall be written in the national language(s) and in English and in such a language that the competent authority deems appropriate.
- (d) All dates on the medical certificate shall be written in a dd/mm/yyyy format.

ATCO.ARMEl@ertificate

After having verified that the AME is in compliance with the applicable requirements, the competent authority shall issue, revalidate, renew or change the AME certificate using the form established in Appendix 3 of Annex II.

ATCO.AReMC5certificate

After having verified that the AeMC is in compliance with the applicable requirements, the competent authority shall issue or change the AeMC certificate, using the form established in Appendix 4 of Annex II.

ATCO.ARefr.020 edical forms

The competent authority shall provide AMEs and AeMCs with the forms to be used for:

- (a) the application form for a medical certificate; and
- (b) the examination report form for class 3 applicants.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 1 of Annex II

Format AIR TRAFFIC CONTROLLER LICENCE for licence

The air traffic controller licence issued in accordance with this Regulation shall conform to the following specifications:

- (a) Content. The item number shall always be printed in association with the item heading. Items I to XI are the 'permanent' items, and items XII to XIV are the 'variable' items which may appear on a separate or detachable part of the main form as prescribed below. Any separate or detachable part shall be clearly identifiable as part of the licence.
 - 1. Permanent items:
 - (I) State of licence issue;
 - (II) title of licence;
 - (III) serial number of the licence with the United Nations (UN) country code of the State of licence issue and followed by '(Student) ATCO Licence' and a code of numbers and/or letters in Arabic numerals and in Latin script;
 - (IV) name of holder in full (in Latin script, even if the script of the national language(s) is other than Latin);
 - (IVa) date of birth;
 - (V) holder's address, if required by the competent authority;
 - (VI) nationality of holder;
 - (VII) signature of holder;
 - (VIII) competent authority;
 - (IX) certification of validity and authorisation for the privileges granted, including the dates when they were first issued;
 - (X) signature of officer issuing the licence and the date of such issue;
 - (XI) seal or stamp of the competent authority.
 - 2. Variable items:
 - (XII) ratings and endorsements with expiry dates;
 - (XIII) remarks: language proficiency endorsements; and
 - (XIV) any other details required by the competent authority.
- (b) The licence shall be accompanied by a valid medical certificate, except when only STDI privileges are exercised.
- (c) Material. First quality paper and/or other suitable material, including plastic cards, shall be used to prevent or readily show any alterations or erasures. Any entries or deletions in the form will be clearly authorised by the competent authority.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

(d) Language. Licences shall be written in English and, if required by Member States, in national language(s) and other languages as deemed appropriate.

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Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Competent authority's name and logo

(English and any language(s) determined by the competent authority)

Requirements (1)

EUROPEAN UNION

(English only)

'European Union' to be deleted for non-EU Member States.

(STUDENT) AIR TRAFFIC CONTROLLER LICENCE

The size of each page shall be one-eighth A4.

[English and any language(s) determined by the competent authority]

Issued in accordance with Commission Regulation (EU) 2015/340

This licence complies with the ICAO Standards

[English and any language(s) determined by the competent authority]

EASA Form 152 - Issue 1

The pages referring to the instructions on how the (Student) ATCO Licence has to be filled in are intended for use by the competent authority or the assessor specifically authorised to revalidate or renew the unit endorsements. Initial issues of ratings, rating endorsements, language endorsements, instructor and assessor endorsement will always be entered by the competent authority. Revalidation or renewal of unit endorsements will be entered by the competent authority or by the authorised assessors.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

I	State of issue:	Requirements:
II	Title of licence:	
Ш	Serial number of the licence:	The serial number of the licence will always start with the UN country code of the State of the licence issue followed by '(Student) ATCO Licence'.
IV	Name of the holder in full:	
IVa	Date of birth:	Standard date format is to be used, i.e. day/month/year in full (e.g., 31.01.2010)
XIV	Place of birth:	
v	Holder's address, if desired by the competent authority: Street, town, area, postal code	
VI	Nationality of holder:	Indicated by the UN country code of the State
VII	Signature of holder:	
VIII	Competent Authority:	
х	Signature of officer issuing the licence and date of issue	
ΧI	Seal or stamp of issuing competent authority	

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Validity of privileges:			Requirements:
The holder is entitled to exerc rating(s) and rating endorsement	cise the privileges of (s), when validated:	the following	English and any language(s) determined by the competent authority.
Rating(s)	Date of first issue	-	The date of first issue of a rating and/or rating endorsement shall be the date of successful completion of the training relevant to that rating and/or rating endorsement.
		-	
Rating endorsement(s)	Date of first issue		
.,		_	
		_	

ANNEX II

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XIIa Ratings and endorsements with expiry dates

The holder is entitled to exercise the privileges of the following rating(s) and rating endorsement(s) at the air traffic service unit(s) for which current unit endorsement(s) is (are) held as detailed below, only if the holder has a valid medical certificate:

Unit (ICAO indicator) (*)	Sector/ Position (*)	Rating/ Endorsement	Expiry date (*)	Signature/stamp of the authority or licence number and signature of the assessor

(*) Not applicable for Student ATCO Licence.

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XIIb	The	Other endorsements: The holder is entitled to exercise the privileges of the following endorsement(s)			Requirements: N/A
		OJTI/STDI/Assessor endorsement	Expiry date		

XIII	Remarks: Language proficiency endorsement(s):	Language proficiency endorsement(s), level and expiry date shall be included.
	[language(s)/level/expiry date]	All additional licensing information to be entered here.

Abbreviations

Air traffic controller ratings		Requirements: N/A
ADV	Aerodrome Control Visual	

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

	1	
ADI	Aerodrome Control Instrument	
APP	Approach Control Procedural	
APS	Approach Control Surveillance	
ACP	Area Control Procedural	
ACS	Area Control Surveillance	
Rating endorsements	,	
AIR	Air Control	
GMC	Ground Movement Control	
TWR	Tower Control	
GMS	Ground Movement Surveillance	
RAD	Aerodrome Radar Control	
PAR	Precision Approach Radar	
SRA	Surveillance Radar Approach	
TCL	Terminal Control	
OCN	Oceanic Control	
Licence endorsements		
OJTI	On-the-job training instructor	
STDI	Synthetic training device instructor	
Assessor	Assessor	

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$Appendix\ 2\ of\ Annex\ II$ certificate for air traffic controller training organisations (atco tos)

European Union (1)

Competent authority

AIR TRAFFIC CONTROLLERS TRAINING ORGANISATION CERTIFICATE

[CERTIFICATE NUMBER/REFERENCE]

Pursuant to Commission Regulation (EU) 2015/340 and subject to the conditions specified below, the [competent authority] hereby certifies

[NAME OF THE TRAINING ORGANISATION]

[ADDRESS OF THE TRAINING ORGANISATION]

as a Part ATCO.OR certified training organisation with the privilege to provide Part ATCO training, as listed in the attached training approval.

Terms of approval and privileges:

This certificate is limited to the privileges and the scope of providing training as listed in the attached training approval.

This certificate is valid whilst the certified organisation remains in compliance with Part ATCO.OR, Part ATCO and other applicable regulations.

Subject to compliance with the foregoing terms of approval and privileges, this certificate shall remain valid unless the certificate has been surrendered, superseded, limited, suspended or revoked.

Date of issue:

Signed:

[Competent authority]

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^{(1) &#}x27;European Union' to be deleted for non-EU Member States.

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AIR TRAFFIC CONTROLLERS TRAINING ORGANISATION CERTIFICATE TRAINING APPROVAL

Attachment to ATCO TO certificate number:

[CERTIFICATE NUMBER/REFERENCE]
[NAME OF THE TRAINING ORGANISATION]

has obtained the privileges to provide and conduct the following training in accordance with Part ATCO:

TYPE(S) OF TRAINING			
Type of training	Course	Rating endorsements (2)	Remarks (3)
ATCO Initial training	☐ Basic training	n/a	
	Rating training (⁴)		
ATCO Unit training	(°)		
ATCO Continuation training	☐ ATCO Refresher training	n/a	
	ATCO Conversion training (⁶)	n/a	
☐ Practical instructor training	n/a	n/a	
		n/a	
☐ Assessor training	n/a	n/a	
		n/a	

This training course approval is valid as long as:

- (a) the ATCO TO certificate has not been surrendered, superseded, limited, suspended or revoked; and
- (b) all operations are conducted in compliance with Part ATCO.OR, Part ATCO, other applicable regulations, and, when relevant, with the procedures in the organisation's documentation as required by Part ATCO.OR.

Date of issue:

Signed: [Competent authority] For the Member State/EASA

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⁽²⁾ The competent authority shall specify the rating endorsements according to ATCO.B.015 for which the training is provided, if appropriate.

 ⁽f) while even increasaly.
 (f) The competent authority shall specify the ratings according ATCO.B.010 for which the training is provided.
 (f) The competent authority shall specify the unit endorsement(s) for which the training is provided.

⁽⁶⁾ Not generic training; provided on an ad hoc basis following a specific approval by the competent authority.

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 3 of Annex II CERTIFICATE FOR AERO-MEDICAL EXAMINERS (AMEs) (1)

European Union (2)

Competent authority

AERO-MEDICAL EXAMINER CERTIFICATE

CERTIFICATE [NUMBER/REFERENCE]:

Pursuant to Commission Regulation (EU) 2015/340 and subject to the conditions specified below, the [competent authority] hereby certifies

[NAME OF THE AERO-MEDICAL EXAMINER]

[ADDRESS OF THE AERO-MEDICAL EXAMINER]

as aero-medical examiner

CONDITIONS:

- 1. This certificate is limited to the privileges specified in the attachment to this AME certificate;
- 2. This certificate requires compliance with the implementing rules and procedures specified in Part MED and/or ATCO.MED as appropriate.
- 3. This certificate shall remain valid for a period of three years until [xx/yy/zzzz (3)] subject to compliance with the requirements of Part MED and/or Part ATCO.MED as appropriate unless it has been surrendered, superseded, suspended or revoked.

Date of issue:	Signature: [Competent authority]

EASA Form 148 — Issue 1.
'European Union' to be deleted for non-EU Member States.
Expiry date: day/month/year.

ANNEX II Document Generated: 2024-08-08

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

CERTIFICATE FOR AERO-MEDICAL EXAMINERS (AMEs)

Attachment to AME certificate number:

PRIVILEGES AND SCOPE

[Name and academic title of the aero-medical examiner] has obtained the privilege(s) to undertake aero-medical examinations and assessments for the issuance of medical certificates as stated in the table below and to issue these medical certificates for:

LAPL	[yes/date]
Class 2	[yes/date]
Class 1 revalidation/renewal	[yes/date]/[no]
Class 3 revalidation/renewal	[yes/date]/[no]
Date of issue:	Signature: [Competent authority]

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Appendix 4 of Annex II CERTIFICATE FOR AERO-MEDICAL CENTRES (AeMCs) (1)

European Union (2)

Competent authority

AERO-MEDICAL CENTRE CERTIFICATE

REFERENCE:

Pursuant to Commission Regulation (EU) 2015/340 and subject to the conditions specified below, the [competent authority] hereby certifies

[NAME OF THE ORGANISATION]

[ADDRESS OF THE ORGANISATION]

as a Part ORA certified aero-medical centre with the privileges and the scope of activities as listed in the attached terms of approval.

CONDITIONS:

- (1) This certificate is limited to the scope of approval section of the approved organisation manual;
- (2) This certificate requires compliance with the procedures specified in the organisation documentation as required by Part ORA.
- (3) This certificate shall remain valid subject to compliance with the requirements of Part ORA unless it has been surrendered, superseded, suspended or revoked.

Date of issue:	Signature: [Competent authority]

⁽¹⁾ EASA Form 146 — Issue 1.

^{(2) &#}x27;European Union' to be deleted for non-EU Member States.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

ANNEX III

PART ATCO.OR

REQUIREMENTS FOR AIR TRAFFIC CONTROLLER TRAINING ORGANISATIONS AND AERO-MEDICAL CENTRES

SUBPAR**GENERAL REQUIREMENTS**

A

ATCO.OScap001

This Part, set out in this Annex, establishes the requirements applicable to air traffic controller training organisations and aero-medical centres in order to obtain and maintain a certificate in accordance with Regulation (EC) No 216/2008 and this Regulation.

SUBPAR**requirements for air traffic controller training** B **organisations**

ATCO.ORph.100ation for a training organisation certificate

- (a) Applications for a training organisation certificate shall be submitted to the competent authority in due time to allow the competent authority to evaluate the application. The application shall be submitted in accordance with the procedure established by that authority.
- (b) Applicants for an initial certificate shall demonstrate to the competent authority how they will comply with the requirements established in Regulation (EC) No 216/2008 and in this Regulation.
- (c) An application for a training organisation certificate shall include the following information:
 - (1) the applicant's name and address;
 - the address(es) of the place(s) of operation (including, where relevant, the list of ATC units) if different from the applicant's address in point (a);
 - (3) the names and contact details of:
 - (i) the accountable manager;
 - (ii) the head of the training organisation, if different from point (i);
 - (iii) the person(s) nominated by the training organisation as the focal point(s) for communication with the competent authority;
 - (4) date of intended start of activity or change;
 - a list of types of training to be provided and at least one training course from each type of training that is intended to be provided;
 - (6) the declaration of compliance with the applicable requirements shall be signed by the accountable manager, stating the training organisation's compliance with the requirements at all times;
 - (7) the management system processes; and

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

(8) the date of application.

ATCO.ORIEn1095 f compliance

- (a) Alternative means of compliance to the AMC adopted by the Agency may be used by an organisation to establish compliance with Regulation (EC) No 216/2008 and with this Regulation.
- (b) When an organisation wishes to use an alternative means of compliance, it shall, prior to implementing it, provide the competent authority with a full description of the alternative means of compliance. The description shall include any revisions to manuals or procedures that may be relevant, as well as an assessment demonstrating compliance with Regulation (EC) No 216/2008 and its implementing rules.
- (c) The organisation may implement these alternative means of compliance subject to prior approval by the competent authority and upon receipt of the notification as prescribed in ATCO.AR.A.015(d).

ATCO.OReBuild of approval and privileges of a training organisation certificate

- (a) Training organisations shall comply with the scope and privileges defined in the terms of approval attached to the organisation's certificate.
- (b) In order to ensure that the applicable requirements in Subpart D of Annex I (Part ATCO) are fulfilled, the privilege to provide unit and continuation training shall only be granted to training organisations which:
 - (1) hold a certificate for the provision of the air traffic control service; or
 - (2) have concluded a specific agreement with the ATC provider.

ATCO.ORHA.016s to the training organisation

- (a) Changes to the organisation that affect the certificate or the terms of approval of the training organisation or any relevant element of the training organisation's management systems shall require prior approval by the competent authority.
- (b) Training organisations shall agree with their competent authority on the changes that require prior approval in addition to those specified in point (a).
- (c) For any changes requiring prior approval in accordance with points (a) and (b), the training organisation shall apply for and obtain an approval issued by the competent authority. The application shall be submitted before any such change takes place in order to enable the competent authority to determine continued compliance with this Regulation and to amend, if necessary, the training organisation certificate and related terms of approval attached to it.

Training organisations shall provide the competent authority with all relevant documentation.

The change shall only be implemented upon receipt of formal approval by the competent authority in accordance with ATCO.AR.E.010.

Training organisations shall operate under the conditions prescribed by the competent authority during such changes, as applicable.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (d) Changes to the elements referred to in point (a) due to unforeseen circumstances shall be notified to the competent authority without delay in order to obtain approval as necessary.
- (e) All changes not requiring prior approval shall be managed and notified to the competent authority as defined in the procedure approved by the competent authority in accordance with ATCO.AR.E.010.
- (f) Training organisations shall notify the competent authority when they cease their activities.

ATCO.ORom.@20ed validity

- (a) A training organisation's certification shall remain valid subject to the certificate not being surrendered or revoked and subject to the training organisation remaining in compliance with the requirements of Regulation (EC) 216/2008 and this Regulation, taking into account the provisions related to the handling of findings in accordance with ATCO.OR.B.030.
- (b) The certificate shall be returned to the competent authority without delay upon its revocation or the cease of all activities.

ATCO.ORcBe0250 training organisations' facilities and data

Training organisations and applicants for training organisation certificates shall grant access to any person authorised by or acting on behalf of the competent authority to the relevant premises in order to examine the required records, data, procedures and any other material pertinent to the execution of the tasks of the competent authority.

ATCO.ORind030s

After receipt of notification of findings issued by the competent authority in accordance with ATCO.AR.E.015, the training organisation shall:

- (a) identify the root cause of the finding;
- (b) define a corrective action plan; and
- (c) demonstrate the corrective action implementation to the satisfaction of the competent authority within the period agreed with that authority as defined in ATCO.AR.E.015.

ATCO.ORd Bale 35 ate reaction to a safety problem

The training organisation shall implement any safety measures mandated by the competent authority in accordance with ATCO.AR.C.001(a)(3) for the training organisation activities.

ATCO.OQd&1040ence reporting

- (a) Training organisations providing on-the-job training shall report to the competent authority, and to any other organisation required by the State of the operator to be informed, any accident, serious incident and occurrence as defined in Regulation (EU) No 996/2010 of the European Parliament and of the Council (8) and Regulation (EU) No 376/2014, resulting from their training activity.
- (b) Reports shall be made as soon as practicable, but in any case within 72 hours of the training organisation identifying the condition to which the report relates, unless exceptional circumstances prevent this.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (c) Where relevant, training organisations shall produce a follow-up report to provide details of actions it intends to take to prevent similar occurrences in the future, as soon as these actions have been identified.
- (d) Without prejudice to Regulation (EU) No 996/2010 and Regulation (EU) No 376/2014, the reports referred to in points (a), (b) and (c) shall be made in a form and manner established by the competent authority and contain all pertinent information about the condition known to the training organisation.

SUBPAR**M***Anagement of Air Traffic Controller Training* C *organisations*

ATCO.ORIGN@dement system of training organisations

Training organisations shall establish, implement and maintain a management system that includes:

- (a) clearly defined lines of responsibility and accountability throughout the organisation, including direct safety accountability of the accountable manager;
- (b) a description of the overall principles of the organisation with regard to safety, referred to as the safety policy;
- (c) the identification of aviation safety hazards entailed by the activities of the training organisation, their evaluation and the management of associated risks, including actions to mitigate the risk and verify their effectiveness;
- (d) maintaining personnel trained and competent to perform their tasks;
- (e) documentation of all management system key processes, including a process for making personnel aware of their responsibilities and the procedure for amending this documentation;
- (f) a function to monitor compliance of the organisation with the relevant requirements. Compliance monitoring shall include a feedback system of findings to the accountable manager to ensure effective implementation of corrective actions as necessary;
- (g) the management system shall be proportionate to the size of the organisation and its activities, taking into account the hazards and associated risks inherent in those activities.

ATCO.ORomanated activities

- (a) Training organisations shall ensure that when contracting or purchasing any parts of their activities, the contracted or purchased activity or part of activity conform to the applicable requirements.
- (b) When a training organisation contracts any part of its activity to an organisation that is not itself certified in accordance with this Regulation to carry out such activity, the contracted organisation shall work under the terms of approval contained in the certificate issued to the contracting training organisation. The contracting training organisation shall ensure that the competent authority is given access to the contracted organisation to determine continued compliance with the applicable requirements.

ATCO.ORecson nel requirements

(a) Training organisations shall appoint an accountable manager.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (b) A person or persons shall be nominated by the training organisation with the responsibility for training. Such person or persons shall be ultimately responsible to the accountable manager.
- (c) Training organisations shall have sufficient qualified personnel for the planned tasks and activities to be performed in accordance with the applicable requirements.
- (d) Training organisations shall maintain a record of theoretical instructors with their relevant professional qualifications, adequate knowledge and experience and their demonstration, instructional techniques assessment and subjects they are entitled to teach.
- (e) Training organisations shall establish a procedure to maintain competence of the theoretical instructors.
- (f) Training organisations shall ensure that practical instructors and assessors successfully complete refresher training in order to revalidate the respective endorsement.
- (g) Training organisations shall maintain a record of persons qualified for assessing practical instructors' competence and assessors' competence, in accordance with ATCO.C.045, with their relevant endorsements.

ATCO.ORaciloti5s and equipment

- (a) Training organisations shall have facilities allowing the performance and management of all planned tasks and activities in accordance with this Regulation.
- (b) The training organisation shall ensure that the synthetic training devices comply with the applicable specifications and requirements appropriate to the task.
- (c) During on-the-job training instruction, the training organisation shall ensure that the instructor has exactly the same information as the person undertaking OJT and the means to intervene immediately.

ATCO.OReCo0210keeping

- (a) Training organisations shall retain detailed records of persons undertaking or having undertaken training to show that all requirements of the training courses have been met
- (b) Training organisations shall establish and maintain a system for recording the professional qualifications and instructional techniques assessments of instructors and assessors, as well as the subjects they are entitled to teach, where appropriate.
- (c) The records required in points (a) and (b) shall be retained for a minimum period of five years subject to the applicable national data protection law:
 - (1) after the person undertaking training has completed the course; and
 - (2) after the instructor or assessor ceases to perform a function for the training organisation, as applicable.
- (d) The archiving process including the format of the records shall be specified in the training organisation's management system.
- (e) Records shall be stored in a secure manner.

ATCO.ORufidi25 and insurances

Status: Point in time view as at 31/12/2020.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Training organisations shall demonstrate that sufficient funding is available to conduct the training according to this Regulation and that the activities have sufficient insurance cover in accordance with the nature of the training provided and all activities can be carried out in accordance with this Regulation.

SUBPAR**REQUIREMENTS FOR TRAINING COURSES AND TRAINING PLANS** D

ATCO.OReQuillet ments for training courses and training plans

Training organisations shall develop:

- (a) training plans and training courses associated to the type(s) of training provided in accordance with the requirements set out in Annex I (Part ATCO), Subpart D;
- (b) subjects, subject objectives, topics and subtopics for rating endorsements in accordance with the requirements laid down in Annex I (Part ATCO);
- (c) methods of assessments in accordance with ATCO.D.090(a)(3) and ATCO.D.095(a) (3).

ATCO.ORx201105ation and assessment results and certificates

- (a) The training organisation shall make available to the applicant his/her results of examinations and assessments and, upon applicant's request, issue a certificate with his/her result of examinations and assessments.
- (b) Upon successful completion of initial training, or of rating training for the issue of an additional rating, the training organisation shall issue a certificate.
- (c) A certificate of completion of the basic training shall only be issued upon request of the applicant if all subjects, topics and subtopics contained in Appendix 2 of Annex I have been completed and the applicant has successfully passed the associated examinations and assessments.

SUBPAR**REQUIREMENTS FOR AERO-MEDICAL CENTRES** E

ATCO.OReEc001edical centres

Aero-medical centres (AeMCs) shall apply the provisions of Subparts ORA.GEN and ORA.AeMC of Annex VII to Commission Regulation (EU) No 290/2012⁽⁹⁾, with:

- (a) all references to class 1 to be replaced with class 3; and
- (b) all references to Part MED to be replaced with Part ATCO.MED.

ANNEX IV

PART ATCO.MED

MEDICAL REQUIREMENTS FOR AIR TRAFFIC CONTROLLERS

SUBPAR**GENERAL REQUIREMENTS**

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

SECTION 1

General

ATCO.MEDn authority

For the purpose of this Part, the competent authority shall be:

- (a) for aero-medical centres (AeMCs):
 - (1) the authority designated by the Member State where the AeMC has its principal place of business;
 - (2) the Agency, when the AeMC is located in a third country.
- (b) for aero-medical examiners (AMEs):
 - (1) the authority designated by the Member State where the AMEs have their principal place of practice;
 - if the principal place of practice of an AME is located in a third country, the authority designated by the Member State to which the AME applies for the issue of the certificate.

ATCO.MScDp4.005

This Part, set out in this Annex, establishes the requirements for:

- (a) the issue, validity, revalidation and renewal of the medical certificate required for exercising the privileges of an air traffic controller licence or of a student air traffic controller licence with the exception of synthetic training device instructor; and
- (b) the certification of AMEs to issue class 3 medical certificates.

ATCO.MDDinito10s

For the purpose of this Part, the following definitions apply:

- (a) 'Accredited medical conclusion' means the conclusion reached by one or more medical experts acceptable to the licensing authority, on the basis of objective and non-discriminatory criteria, for the purposes of the case concerned, in consultation with operational experts or other experts as necessary and including an operational risk assessment;
- (b) 'Aero-medical assessment' means the conclusion on the medical fitness of an applicant based on the evaluation of the applicant's medical history and aero-medical examinations as required in this Part and further examinations and medical tests as necessary;
- (c) 'Aero-medical examination' means inspection, palpation, percussion, auscultation or any other means of investigation especially for determining the medical fitness to exercise the privileges of the licence;
- (d) 'Eye specialist' means an ophthalmologist or a vision care specialist qualified in optometry and trained to recognise pathological conditions;
- (e) 'Investigation' means the assessment of a suspected pathological condition of an applicant by means of examinations and tests to verify the presence or absence of a medical condition;

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (f) 'Licensing authority' means the competent authority of the Member State that issued the licence, or to which a person applies for the issue of a licence, or, when a person has not yet applied for the issue of a licence, the competent authority in accordance with this Part;
- (g) 'Limitation' means a condition placed on the medical certificate that shall be complied with whilst exercising the privileges of the licence;
- (h) 'Refractive error' means the deviation from emmetropia measured in dioptres in the most ametropic meridian, measured by standard methods;
- (i) 'Significant' means a degree of a medical condition, the effect of which would prevent the safe exercise of the privileges of the licence.

ATCO.MMPdA: 0115 on fidentiality

All persons involved in aero-medical examination, aero-medical assessment and certification shall ensure that medical confidentiality is respected at all times.

ATCO.MDder & 2020 in medical fitness

- (a) Licence holders shall not exercise the privileges of their licence at any time when they:
 - are aware of any decrease in their medical fitness which might render them unable to safely exercise those privileges;
 - take or use any prescribed or non-prescribed medication which is likely to interfere with the safe exercise of the privileges of the licence;
 - receive any medical, surgical or other treatment that is likely to interfere with the safe exercise of the privileges of the licence.
- (b) In addition, holders of a class 3 medical certificate shall, without undue delay and before exercising the privileges of their licence, seek aero-medical advice when they:
 - (1) have undergone a surgical operation or invasive procedure;
 - (2) have commenced the regular use of any medication;
 - have suffered any significant personal injury involving any incapacity to exercise the privileges of the licence;
 - (4) have been suffering from any significant illness involving any incapacity to exercise the privileges of the licence;
 - (5) are pregnant;
 - (6) have been admitted to hospital or medical clinic;
 - (7) first require correcting lenses.

In these cases the AeMC or AME shall assess the medical fitness of the licence holder or student air traffic controller and decide whether they are fit to resume the exercise of their privileges.

ATCO.MEDiga025ns of AeMC and AME

(a) When conducting aero-medical examinations and assessments as required in this Part, the AeMC or AME shall:

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- ensure that communication with the applicant can be established without language barriers;
- (2) make the applicant aware of the consequences of providing incomplete, inaccurate or false statements on their medical history;
- (3) notify the licensing authority if the applicant provides incomplete, inaccurate or false statements on their medical history;
- (4) notify the licensing authority if the applicant withdraws the application for a medical certificate at any stage of the process.
- (b) After completion of the aero-medical examinations and assessments, the AeMC and AME shall:
 - (1) advise the applicant whether fit, unfit or referred to the licensing authority;
 - (2) inform the applicant of any limitation placed on the medical certificate; and
 - if the applicant has been assessed as unfit, inform him/her of his/her right of a review of the decision; and
 - (4) submit without delay to the licensing authority a signed, or electronically authenticated, report containing the detailed results of the aero-medical examination and assessment for the medical certificate and a copy of the application form, the examination form and the medical certificate; and
 - inform the applicant of their responsibility in the case of decrease in medical fitness as specified in ATCO.MED.A.020.
- (c) AeMCs and AMEs shall maintain records with details of aero-medical examinations and assessments performed in accordance with this Part and their results for a minimum period of 10 years, or for a period as determined by national legislation if this is longer.
- (d) AeMCs and AMEs shall submit to the medical assessor of the competent authority, upon request, all aero-medical records and reports, and any other relevant information when required for:
 - (1) medical certification;
 - (2) oversight functions.

SECTION 2

Requirements for medical certificates

ATCO.MMDdaca030ertificates

- (a) Applicants for and holders of an air traffic controller licence, or student air traffic controller licence, shall hold a class 3 medical certificate.
- (b) A licence holder shall not at any time hold more than one medical certificate issued in accordance with this Part.

ATCO.Manphacaston for a medical certificate

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (a) Applications for a medical certificate shall be made in a format established by the competent authority.
- (b) Applicants for a medical certificate shall provide the AeMC or AME with:
 - (1) proof of their identity;
 - (2) a signed declaration:
 - (i) of medical facts concerning their medical history;
 - (ii) as to whether they have previously applied for a medical certificate or have undergone an aero-medical examination for a medical certificate and, if so, by whom and with what result;
 - (iii) as to whether they have ever been assessed as unfit or had a medical certificate suspended or revoked.
- (c) When applying for a revalidation or renewal of the medical certificate, applicants shall present the most recent medical certificate to the AeMC or AME prior to the relevant aero-medical examinations.

ATCO.MISDLA. Medical certificates

- (a) A medical certificate shall only be issued, revalidated or renewed once the required aero-medical examinations and assessments have been completed and the applicant has been assessed as fit.
- (b) Initial issue:
 - Initial class 3 medical certificates shall be issued by an AeMC.
- (c) Revalidation and renewal:
 - Class 3 medical certificates shall be revalidated or renewed by an AeMC or an AME.
- (d) The AeMC or AME shall only issue, revalidate or renew a medical certificate if:
 - (1) the applicant has provided them with a complete medical history and, if required by the AeMC or AME, results of aero-medical examinations and tests conducted by the applicant's physician or any medical specialists; and
 - (2) the AeMC or AME has conducted the aero-medical assessment based on the aero-medical examinations and tests as required to verify that the applicant complies with all the relevant requirements of this Part.
- (e) The AME, AeMC or, in the case of referral, the licensing authority may require the applicant to undergo additional medical examinations and investigations when clinically indicated before the medical certificate is issued, revalidated or renewed.
- (f) The licensing authority may issue or reissue a medical certificate, as applicable, if:
 - (1) a case is referred;
 - it has identified that corrections to the information on the certificate are necessary, in which case the incorrect medical certificate shall be revoked.

ATCO.MYADIAAtty45evalidation and renewal of medical certificates

(a) Validity:

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (1) Class 3 medical certificates shall be valid for a period of 24 months.
- (2) The period of validity of class 3 medical certificates shall be reduced to 12 months for licence holders who have reached the age of 40. A medical certificate issued prior to reaching the age of 40 shall cease to be valid when the licence holder reaches the age of 41.
- (3) The validity period of a medical certificate, including any associated examination or special investigation, shall be:
 - (i) determined by the age of the applicant at the date when the aero-medical examination takes place; and
 - (ii) calculated from the date of the aero-medical examination in the case of initial issue and renewal, and from the expiry date of the previous medical certificate in the case of revalidation.

(b) Revalidation:

Aero-medical examinations and assessments for the revalidation of a medical certificate may be undertaken up to 45 days prior to the expiry date of the medical certificate.

- (c) Renewal:
- (1) If the holder of a medical certificate does not comply with point (b), a renewal aeromedical examination and assessment shall be required.
- (2) If the medical certificate has expired for:
 - (i) less than 2 years, a routine revalidation aero-medical examination shall be performed;
 - (ii) more than 2 years, the AeMC or AME shall only conduct the renewal aeromedical examination after assessment of the aero-medical records of the applicant;
 - (iii) more than 5 years, the aero-medical examination requirements for initial issue shall apply and the assessment shall be based on the revalidation requirements.

ATCO.MSilppanblon or revocation of a medical certificate

- (a) Upon revocation of the medical certificate, the holder shall immediately return the medical certificate to the licensing authority.
- (b) Upon suspension of the medical certificate, the holder shall return the medical certificate to the licensing authority on request of the authority.

ATCO.MRdJeAr@50

If an applicant for a class 3 medical certificate is referred to the licensing authority in accordance with ATCO MED.B.001, the AeMC or AME shall transfer the relevant medical documentation to the licensing authority.

SUBPAR**REQUIREMENTS FOR AIR TRAFFIC CONTROLLER MEDICAL**B **CERTIFICATES**

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

SECTION 1

General

ATCO.MED Battlins to medical certificates

- (a) Limitations to class 3 medical certificates:
 - (1) If the applicant does not fully comply with the requirements for a class 3 medical certificate but is considered to be not likely to jeopardise the safe exercise of the privileges of the licence, the AeMC or AME shall:
 - (i) refer the decision on fitness of the applicant to the licensing authority as indicated in this Subpart; or
 - (ii) in cases where a referral to the licensing authority is not indicated in this Subpart, evaluate whether the applicant is able to perform their duties safely when complying with one or more limitations endorsed on the medical certificate, and issue the medical certificate with limitation(s) as necessary.
 - (2) The AeMC or AME may revalidate or renew a medical certificate with the same limitation without referring the applicant to the licensing authority.
- (b) When assessing whether a limitation is necessary, particular consideration shall be given to:
 - (1) whether accredited medical conclusion indicates that in special circumstances the applicant's failure to meet any requirement, whether numerical or otherwise, is such that exercise of the privileges of the licence is not likely to jeopardise the safe exercise of the privileges of the licence;
 - (2) the applicant's experience relevant to the operation to be performed.
- (c) Operational limitations
 - (1) The competent authority, in conjunction with the air navigation service provider, shall determine the operational limitations applicable in the specific operational environment concerned.
 - (2) Appropriate operational limitations shall only be placed on the medical certificate by the licensing authority.
- (d) Any other limitation may be imposed on the holder of a medical certificate if required to ensure the safe exercise of the privileges of the licence.
- (e) Any limitation imposed on the holder of a medical certificate shall be specified therein.

SECTION 2

Medical requirements for class 3 medical certificates

ATCO.MGD.B:905

Applicants shall be free from any of the following that would entail a degree of functional incapacity which is likely to interfere with the safe performance of duties or could render the applicant likely to become suddenly unable to exercise the privileges of the licence safely:

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EU) 2015/340. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

- (1) abnormality, congenital or acquired;
- (2) active, latent, acute or chronic disease or disability;
- (3) wound, injury or sequelae from operation;
- (4) effect or side effect of any prescribed or non-prescribed therapeutic, diagnostic or preventive medication taken.

ATCO.MED dlo Mascular system

- (a) Examination:
- (1) A standard 12-lead resting electrocardiogram (ECG) and report shall be completed at the examination for the initial issue of a medical certificate and then:
 - (i) every 4 years until the age of 30;
 - (ii) at all revalidation or renewal examinations thereafter; and
 - (iii) when clinically indicated.
- (2) An extended cardiovascular assessment shall be completed:
 - (i) at the first revalidation or renewal examination after the age of 65;
 - (ii) every 4 years thereafter; and
 - (iii) when clinically indicated.
- (3) Estimation of serum lipids, including cholesterol, shall be required at the examination for the initial issue of a medical certificate, at the first examination after having reached the age of 40, and when clinically indicated.
- (b) Cardiovascular system General:
- (1) Applicants with any of the following conditions shall be assessed as unfit:
 - (i) aneurysm of the thoracic or supra-renal abdominal aorta before surgery;
 - (ii) significant functional or symptomatic abnormality of any of the heart valves;
 - (iii) heart or heart/lung transplantation.
- (2) Applicants with an established history or diagnosis of any of the following conditions shall be referred to the licensing authority before a fit assessment may be considered:
 - (i) peripheral arterial disease before or after surgery;
 - (ii) aneurysm of the thoracic or supra-renal abdominal aorta after surgery;
 - (iii) aneurysm of the infra-renal abdominal aorta before or after surgery;
 - (iv) functionally insignificant cardiac valvular abnormalities;
 - (v) after cardiac valve surgery;
 - (vi) abnormality of the pericardium, myocardium or endocardium;
 - (vii) congenital abnormality of the heart, before or after corrective surgery;
 - (viii) recurrent vasovagal syncope;

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- (ix) arterial or venous thrombosis;
- (x) pulmonary embolism;
- (xi) cardiovascular condition requiring systemic anticoagulant therapy.
- (c) Blood pressure:
- (1) Blood pressure shall be recorded at each examination.
- (2) The applicant's blood pressure shall be within normal limits.
- (3) Applicants shall be assessed as unfit when:
 - (i) they have symptomatic hypotension; or
 - (ii) when their blood pressure at examination consistently exceeds 160 mmHg systolic and/or 95 mmHg diastolic, with or without treatment.
- (4) The initiation of medication for the control of blood pressure shall require a period of temporary unfit assessment to establish the absence of significant side effects.
- (d) Coronary artery disease:
- (1) Applicants with any of the following conditions shall be assessed as unfit:
 - (i) symptomatic coronary artery disease;
 - (ii) symptoms of coronary artery disease controlled by medication.
- (2) Applicants with any of the following conditions shall be referred to the licensing authority and undergo cardiological evaluation to exclude myocardial ischaemia before a fit assessment may be considered:
 - (i) suspected myocardial ischaemia;
 - (ii) asymptomatic minor coronary artery disease requiring no anti-anginal treatment.
- (3) Applicants with a history or diagnosis of any of the following conditions shall be referred to the licensing authority and undergo a cardiological evaluation before a fit assessment may be considered:
 - (i) myocardial ischaemia;
 - (ii) myocardial infarction;
 - (iii) revascularisation and stenting for coronary artery disease.
- (e) Rhythm/Conduction disturbances:
- (1) Applicants for a class 3 medical certificate with any significant disturbance of cardiac conduction or rhythm, intermittent or established shall be referred to the licensing authority and undergo cardiological evaluation with satisfactory results before a fit assessment may be considered. These disturbances shall include any of the following:
 - (i) disturbance of supraventricular rhythm, including intermittent or established sinoatrial dysfunction, atrial fibrillation and/or flutter and asymptomatic sinus pauses;

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- (ii) complete left bundle branch block;
- (iii) Mobitz type 2 atrioventricular block;
- (iv) broad and/or narrow complex tachycardia;
- (v) ventricular pre-excitation;
- (vi) asymptomatic QT prolongation;
- (vii) Brugada pattern on electrocardiography.
- (2) Applicants with any of the conditions listed in points (i) to (viii) may be assessed as fit in the absence of any other abnormality and subject to satisfactory cardiological evaluation:
 - (i) incomplete bundle branch block;
 - (ii) complete right bundle branch block;
 - (iii) stable left axis deviation;
 - (iv) asymptomatic sinus bradycardia;
 - (v) asymptomatic sinus tachycardia;
 - (vi) asymptomatic isolated uniform supra-ventricular or ventricular ectopic complexes;
 - (vii) first degree atrioventricular block;
 - (viii) Mobitz type 1 atrioventricular block.
- (3) Applicants with a history of any of the following conditions shall be referred to the licensing authority and undergo cardiological evaluation with satisfactory results before a fit assessment may be considered:
 - (i) ablation therapy;
 - (ii) pacemaker implantation.
- (4) Applicants with any of the following conditions shall be assessed as unfit:
 - (i) symptomatic sinoatrial disease;
 - (ii) complete atrioventricular block;
 - (iii) symptomatic QT prolongation;
 - (iv) an automatic implantable defibrillating system;
 - (v) a ventricular anti-tachycardia pacemaker.

ATCO.MEdyBrat6ry system

- (a) Applicants with significant impairment of pulmonary function shall be referred to the licensing authority for the aero-medical assessment. A fit assessment may be considered once pulmonary function has recovered and is satisfactory.
- (b) Examination:

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Pulmonary function tests are required at the initial examination and on clinical indication.

- (c) Applicants with a history or established diagnosis of asthma requiring medication shall undergo a satisfactory respiratory evaluation. A fit assessment may be considered if the applicant is asymptomatic and treatment does not affect safety.
- (d) Applicants with a history or established diagnosis in any of the following shall be referred to the licensing authority and undergo respiratory evaluation with a satisfactory result before a fit assessment may be considered:
 - (1) active inflammatory disease of the respiratory system;
 - (2) active sarcoidosis;
 - (3) pneumothorax;
 - (4) sleep apnoea syndrome;
 - (5) major thoracic surgery;
 - (6) chronic obstructive pulmonary disease;
 - (7) lung transplantation.

ATCO.MDigeRtil/20system

- (a) Applicants with any sequelae of disease or surgical intervention in any part of the digestive tract or its adnexa likely to cause incapacitation, in particular any obstruction due to stricture or compression, shall be assessed as unfit.
- (b) Applicants shall be free from herniae that might give rise to incapacitating symptoms.
- (c) Applicants with disorders of the gastrointestinal system, including those in points (1) to (5) may be assessed as fit subject to a satisfactory gastroenterological evaluation after successful treatment or full recovery after surgery:
 - (1) recurrent dyspeptic disorder requiring medication;
 - (2) pancreatitis;
 - (3) symptomatic gallstones;
 - (4) an established diagnosis or history of chronic inflammatory bowel disease;
 - (5) after surgical operation on the digestive tract or its adnexa, including surgery involving total or partial excision or a diversion of any of these organs.

ATCO.MMPt2b025 and endocrine systems

- (a) Applicants with metabolic, nutritional or endocrine dysfunction may be assessed as fit subject to demonstrated stability of the condition and satisfactory aero-medical evaluation.
- (b) Diabetes mellitus:
 - (1) Applicants with diabetes mellitus requiring insulin shall be assessed as unfit.
 - (2) Applicants with diabetes mellitus requiring medication other than insulin for blood sugar control shall be referred to the licensing authority. A fit

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assessment may be considered if it can be demonstrated that blood sugar control has been achieved and is stable.

ATCO.MED.B.0300gy

- (a) Blood testing, if any, shall be determined by the AME or AeMC taking into account the medical history and following the physical examination.
- (b) Applicants with a haematological condition, such as:
 - (1) coagulation, haemorrhagic or thrombotic disorder;
 - (2) chronic leukaemia;
 - abnormal haemoglobin, including, but not limited to, anaemia, erythrocytosis or haemoglobinopathy;
 - (4) significant lymphatic enlargement;
 - (5) enlargement of the spleen;

shall be referred to the licensing authority. A fit assessment may be considered subject to satisfactory aero-medical evaluation.

(c) Applicants suffering from acute leukaemia shall be assessed as unfit.

ATCO.Mcch.Bo035nary system

- (a) Urinalysis shall form part of every aero-medical examination. The urine shall contain no abnormal element considered to be of pathological significance.
- (b) Applicants with any sequelae of disease or surgical procedures on the genitourinary system or its adnexa likely to cause incapacitation, in particular any obstruction due to stricture or compression, shall be assessed as unfit.
- (c) Applicants with a genitourinary disorder, such as:
 - (1) renal disease;
 - (2) one or more urinary calculi;

may be assessed as fit subject to satisfactory renal/urological evaluation.

- (d) Applicants who have undergone:
 - (1) a major surgical operation in the genitourinary system or its adnexa involving a total or partial excision or a diversion of its organs; or
 - (2) major urological surgery;

shall be referred to the licensing authority for an aero-medical assessment after full recovery before a fit assessment may be considered.

ATCO.MInDeBi040 disease

- (a) Applicants who are HIV positive shall be referred to the licensing authority and may be assessed as fit subject to satisfactory specialist evaluation and provided the licensing authority has sufficient evidence that the therapy does not compromise the safe exercise of the privileges of the licence.
- (b) Applicants diagnosed with or presenting symptoms of infectious disease such as:

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- (1) acute syphilis;
- (2) active tuberculosis;
- (3) infectious hepatitis;
- (4) tropical diseases;

shall be referred to the licensing authority for an aero-medical assessment. A fit assessment may be considered after full recovery and specialist evaluation provided the licensing authority has sufficient evidence that the therapy does not compromise the safe exercise of the privileges of the licence.

ATCO.M@Dxf&t045s and gynaecology

- (a) Applicants who have undergone a major gynaecological operation shall be assessed as unfit until full recovery.
- (b) Pregnancy:

In the case of pregnancy, if the AeMC or AME considers that the licence holder is fit to exercise her privileges, he/she shall limit the validity period of the medical certificate to the end of the 34th week of gestation. The licence holder shall undergo a revalidation aero-medical examination and assessment after full recovery following the end of the pregnancy.

ATCO.MMDsBu066keletal system

- (a) Applicants shall have satisfactory functional use of the musculoskeletal system to enable them to safely exercise the privileges of the licence.
- (b) Applicants with static or progressive musculoskeletal or rheumatologic conditions likely to interfere with the safe exercise of the licence privileges shall be referred to the licensing authority. A fit assessment may be considered after satisfactory specialist evaluation.

ATCO.MEsDcBia55y

- (a) Applicants with a mental or behavioural disorder due to alcohol or other use or misuse of psychoactive substances, including recreational substances with or without dependency, shall be assessed as unfit until after a period of documented sobriety or freedom from psychoactive substance use or misuse and subject to satisfactory psychiatric evaluation after successful treatment. Applicants shall be referred to the licensing authority.
- (b) Applicants with a psychiatric condition such as:
 - (1) mood disorder;
 - (2) neurotic disorder;
 - (3) personality disorder;
 - (4) mental or behavioural disorder;

shall undergo satisfactory psychiatric evaluation before a fit assessment may be considered. Applicants shall be referred to the licensing authority for the assessment of their medical fitness.

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- (c) Applicants with a history of a single or repeated acts of deliberate self-harm shall be assessed as unfit. Applicants shall be referred to the licensing authority and shall undergo satisfactory psychiatric evaluation before a fit assessment may be considered.
- (d) Applicants with an established history or clinical diagnosis of schizophrenia, schizotypal, delusional disorder or mania shall be assessed as unfit.

ATCO.MESDcBal6gy

- (a) Applicants who present with stress-related symptoms that are likely to interfere with their ability to exercise the privileges of the licence safely shall be referred to the licensing authority. A fit assessment may only be considered after a psychological and/or psychiatric evaluation has demonstrated that the applicant has recovered from stress-related symptoms.
- (b) A psychological evaluation may be required as part of, or complementary to, a specialist psychiatric or neurological examination.

ATCO.MED.B.1065

- (a) Applicants with an established history or clinical diagnosis of the following shall be assessed as unfit:
 - (1) epilepsy except in cases in point (b)(1) and (2);
 - (2) recurring episodes of disturbance of consciousness of uncertain cause;
 - (3) conditions with a high propensity for cerebral dysfunction.
- (b) Applicants with an established history or clinical diagnosis of the following conditions shall be referred to the licensing authority and undergo further evaluation before a fit assessment may be considered:
 - (1) epilepsy without recurrence after the age of 5;
 - (2) epilepsy without recurrence and off all treatment for more than 10 years;
 - (3) epileptiform EEG abnormalities and focal slow waves;
 - (4) progressive or non-progressive disease of the nervous system;
 - (5) a single episode of disturbances or loss of consciousness;
 - (6) brain injury;
 - (7) spinal or peripheral nerve injury;
 - (8) disorders of the nervous system due to vascular deficiencies including haemorrhagic and ischaemic events.

ATCO.MViBuBl@70tem

- (a) Examination:
 - (1) A comprehensive eye examination shall form part of the initial examination and be undertaken periodically depending on the refraction and the functional performance of the eye.
 - (2) A routine eye examination shall form part of all revalidation and renewal examinations.

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- (3) Applicants shall undergo tonometry at the first revalidation examination after the age of 40, on clinical indication and if indicated considering the family history.
- (4) Applicants shall supply the AeMC or AME with an ophthalmic examination report in cases where:
 - (i) the functional performance shows significant changes;
 - (ii) the distant visual standards can only be reached with corrective lenses.
- (5) Applicants with a high refractive error shall be referred to the licensing authority.
- (b) Distant visual acuity, with or without optimal correction, shall be 6/9 (0,7) or better in each eye separately, and visual acuity with both eyes shall be 6/6 (1,0) or better.
- (c) Initial applicants having monocular or functional monocular vision, including eye muscle balance problems, shall be assessed as unfit. At revalidation or renewal examinations the applicant may be assessed as fit provided that an ophthalmological examination is satisfactory. The applicant shall be referred to the licensing authority.
- (d) Initial applicants with acquired substandard vision in one eye shall be assessed as unfit. At revalidation or renewal examinations the applicant shall be referred to the licensing authority and may be assessed as fit provided that an ophthalmological examination is satisfactory.
- (e) Applicants shall be able to read an N5 chart or equivalent at 30 50 cm and an N14 chart or equivalent at 60 100 cm distance, if necessary with the aid of correction.
- (f) Applicants shall have normal fields of vision and normal binocular function.
- (g) Applicants who have undergone eye surgery shall be assessed as unfit until full recovery of the visual function. A fit assessment may be considered by the licensing authority subject to satisfactory ophthalmic evaluation.
- (h) Applicants with a clinical diagnosis of keratoconus shall be referred to the licensing authority and may be assessed as fit subject to a satisfactory examination by an ophthalmologist.
- (i) Applicants with diplopia shall be assessed as unfit.
- (j) Spectacles and contact lenses
 - (1) If satisfactory visual function for the rated duties is achieved only with the use of correction, the spectacles or contact lenses must provide optimal visual function, be well tolerated, and suitable for air traffic control purposes.
 - No more than one pair of spectacles, when worn during the exercise of licensed privileges, shall be used to meet the visual requirements at all distances.
 - (3) A spare set of similarly correcting spectacles shall be readily available when exercising the privileges of the licence(s).

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- (4) Contact lenses, when are worn during the exercise of licensed privileges, shall be mono-focal, non-tinted and not orthokeratological. Monovision contact lenses shall not be used.
- (5) Applicants with a large refractive error shall use contact lenses or high index spectacle lenses.

ATCO.MEdlob.075sion

Applicants shall be normal trichromates.

ATCO.MEIOrB.i080aryngology

- (a) Examination:
 - (1) A routine otorhinolaryngological examination shall form part of all initial, revalidation and renewal examinations.
 - (2) Hearing shall be tested at all examinations. The applicant shall understand correctly conversational speech when tested with each ear at a distance of 2 metres from and with his/her back turned towards the AME.
 - (3) Hearing shall be tested with pure tone audiometry at the initial examination and at subsequent revalidation or renewal examinations every 4 years until the age of 40 and every 2 years thereafter.
 - (4) Pure-tone audiometry:
 - (i) Applicants for a class 3 medical certificate shall not have a hearing loss of more than 35 dB at any of the frequencies 500, 1 000 or 2 000 Hz, or more than 50 dB at 3 000 Hz, in either ear separately.
 - (ii) Applicants who do not meet the hearing criteria above shall be referred to the licensing authority and undergo a specialist assessment before a fit assessment may be considered. Initial applicants shall undergo a speech discrimination test. Applicants for a revalidation or renewal of a class 3 medical certificate shall undergo a functional hearing test in the operational environment.
 - (5) Hearing aids:
 - (i) Initial examination: the need of hearing aids to comply with the hearing requirements entails unfitness.
 - (ii) Revalidation and renewal examinations: a fit assessment may be considered if the use of hearing aid(s) or of an appropriate prosthetic aid improves the hearing to achieve a normal standard as assessed by fully functional testing in the operational environment.
 - (iii) If a prosthetic aid is needed to achieve the normal hearing standard, a spare set of the equipment and accessories, such as batteries, shall be available when exercising the privileges of the licence.
- (b) Applicants with:
 - (1) an active chronic pathological process of the internal or middle ear;
 - (2) unhealed perforation or dysfunction of the tympanic membrane(s);

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- (3) disturbance of vestibular function;
- (4) significant malformation or significant chronic infection of the oral cavity or upper respiratory tract;
- (5) significant disorder of speech or voice reducing intelligibility;

shall be referred to the licensing authority and undergo further ORL examination and assessment to establish that the condition does not interfere with the safe exercise of the privileges of the licence.

ATCO.MDD rBat8fogy

Applicants shall have no established dermatological condition likely to interfere with the safe exercise of the privileges of the licence held.

ATCO.MED: H.090

- (a) After diagnosis of primary or secondary malignant disease, applicants shall be referred to the licensing authority and shall undergo satisfactory oncological evaluation before a fit assessment may be considered.
- (b) Applicants with an established history or clinical diagnosis of an intracerebral malignant tumour shall be assessed as unfit.

SUBPART*iero-medical examiners (ames)*

ATCO.MEdwileg01

- (a) In accordance with this Part, the privileges of an AME are to revalidate and renew class 3 medical certificates, and to conduct the relevant aero-medical examinations and assessments.
- (b) The scope of the privileges of the AME, and any condition thereof, shall be specified in the certificate.
- (c) Holders of an AME certificate shall not undertake aero-medical examinations and assessments in a Member State other than the Member State that issued their AME certificate, unless they have:
 - (1) been granted access by the host Member State to exercise their professional activities as a specialised doctor;
 - informed the competent authority of the host Member State of their intention to conduct aero-medical examinations and assessments and to issue medical certificates within the scope of their privileges as AME; and
 - (3) received a briefing from the competent authority of the host Member State.

ATCO.MED lication

- (a) The application for an AME certificate shall be submitted in accordance with the procedure established by the competent authority.
- (b) Applicants for an AME certificate shall provide the competent authority with:
 - (1) personal details and professional address;

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- documentation demonstrating that they comply with the requirements established in ATCO.MED.C.010, including the certificate of completion of the training courses in aviation medicine appropriate to the privileges they apply for;
- a written declaration that the AME will issue medical certificates on the basis of the requirements of this Part.
- (c) When the AME undertakes aero-medical examinations in more than one location, they shall provide the competent authority with relevant information regarding all practice locations and practice facilities.

ATCO.MRdq.dir@hoents for the issue of an AME certificate

Applicants for an AME certificate with the privileges for the revalidation and renewal of class 3 medical certificates shall:

- (a) be fully qualified and licensed for the practice of medicine and hold a Certificate of Completion, or have evidence of, specialist medical training;
- (b) have successfully completed basic and advanced training courses in aviation medicine, including specific modules for the aero-medical assessment of air traffic controllers and the specific environment in air traffic control;
- (c) demonstrate to the competent authority that they:
 - (1) have adequate facilities, procedures, documentation and functioning equipment suitable for aero-medical examinations; and
 - (2) have in place the necessary procedures and conditions to ensure medical confidentiality.

ATCO.MFIDi6i.04 & courses in aviation medicine

- (a) Training courses in aviation medicine shall be approved by the competent authority of the Member State where the training provider has its principal place of business. The training provider shall demonstrate that the course syllabus contains the learning objectives to acquire the necessary competencies and that the persons in charge of providing the training have adequate knowledge and experience.
- (b) Except in the case of refresher training, the courses shall be concluded by a written examination on the subjects included in the course content.
- (c) The training provider shall issue a certificate of completion to the applicants when they have obtained a pass in the examination.

ATCO.MEDa.6g0200 the AME certificate

- (a) AMEs shall notify the competent authority of the following circumstances which could affect their certificate:
 - (1) the AME is subject to disciplinary proceedings or investigation by a medical regulatory body;
 - there are any changes to the conditions on which the certificate was granted, including the content of the statements provided with the application;
 - (3) the requirements for the issue of an AME certificate are no longer met;

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- (4) there is a change to the aero-medical examiner's practice location(s) or correspondence address.
- (b) Failure to inform the competent authority shall result in the suspension or revocation of the privileges of the AME certificate, on the basis of the decision of the competent authority that suspends or revokes the certificate.

ATCO.MVallaCit()25f AME certificates

An AME certificate shall be issued for a period not exceeding 3 years. It shall be revalidated provided the holder:

- (a) continues to fulfil the general conditions required for medical practice and maintains registration as a medical practitioner;
- (b) has undertaken refresher training in aviation medicine and in the working environments of air traffic controllers within the last 3 years;
- (c) has performed at least 10 aero-medical examinations every year. This number of examinations may only be reduced by the competent authority in duly justified circumstances;
- (d) remains in compliance with the terms of their AME certificate; and
- (e) exercises the AME privileges in accordance with this Part.

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- (1) OJ L 79, 19.3.2008, p. 1.
- (2) Regulation (EC) No 549/2004 of the European Parliament and of the Council of 10 March 2004 laying down the framework for the creation of the single European sky (OJ L 96, 31.3.2004, p. 10).
- (3) Directive 2006/23/EC of the European Parliament and of the Council of 5 April 2006 on a Community air traffic controller licence (OJ L 114, 27.4.2006, p. 22).
- (4) Commission Regulation (EU) No 805/2011 of 10 August 2011 laying down detailed rules for air traffic controllers' licences and certain certificates pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (OJ L 206, 11.8.2011, p. 21).
- (5) Commission Implementing Regulation (EU) No 923/2012 of 26 September 2012 laying down the common rules of the air and operational provisions regarding services and procedures in air navigation and amending Implementing Regulation (EU) No 1035/2011 and Regulations (EC) No 1265/2007, (EC) No 1794/2006, (EC) No 730/2006, (EC) No 1033/2006 and (EU) No 255/2010 (OJ L 281, 13.10.2012, p. 1).
- (6) Regulation (EU) No 376/2014 of the European Parliament and of the Council of 3 April 2014 on the reporting, analysis and follow-up of occurrences in civil aviation, amending Regulation (EU) No 996/2010 of the European Parliament and of the Council and repealing Directive 2003/42/EC of the European Parliament and of the Council and Commission Regulations (EC) No 1321/2007 and (EC) No 1330/2007 (OJ L 122, 24.4.2014, p. 18–43).
- (7) Commission Regulation (EU) No 1178/2011 of 3 November 2011 laying down technical requirements and administrative procedures related to civil aviation aircrew pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (OJ L 311, 25.11.2011, p. 1).
- (8) Regulation (EU) No 996/2010 of the European Parliament and of the Council of 20 October 2010 on the investigation and prevention of accidents and incidents in civil aviation and repealing Directive 94/56/EC (OJ L 295, 12.11.2010, p. 35).
- (9) Commission Regulation (EU) No 290/2012 of 30 March 2012 amending Regulation (EU) No 1178/2011 laying down technical requirements and administrative procedures related to civil aviation aircrew pursuant to Regulation (EC) No 216/2008 of the European Parliament and of the Council (OJ L 100, 5.4.2012, p. 1).

Status:

Point in time view as at 31/12/2020.

Changes to legislation:

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