

## ANNEX I

**RECOGNITION OF BREED SOCIETIES AND BREEDING OPERATIONS AND APPROVAL OF BREEDING PROGRAMMES REFERRED TO IN CHAPTER II**

## PART 3

**Additional requirements for breed societies establishing or maintaining breeding books for purebred breeding animals of the equine species**

1. In addition to the identification requirements set out in point 1(f) of Part 2, purebred breeding animals of the equine species shall only be entered in a breeding book if they are identified by a covering certificate and, where required by the breeding programme, as 'foal at foot'.

By way of derogation from the first subparagraph, [F1the appropriate authority] or, if it so decides, its competent authority may authorise a breed society to enter purebred breeding animals of the equine species in the breeding book maintained by that breed society where those animals are identified by any other appropriate method that provides at least the same degree of certainty as a covering certificate such as parentage control based on DNA analysis or analysis of their blood groups, provided that that authorisation is in accordance with the principles established by the breed society which maintains the breeding book of the origin of that breed.

**Textual Amendments**

- F1** Words in [Annex 1 Pt. 3 Point 1](#) substituted (31.12.2020) by [The Animal Breeding \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/117\)](#), regs. 1, **15(4)(a)**; 2020 c. 1, Sch. 5 para. 1(1)

2. In addition to the requirements set out in Part 2, breeding programmes approved in accordance with Articles 8(3), and, where applicable, Article 12, carried out on purebred breeding animals of a breed of the equine species shall contain:
  - (a) where applicable, the conditions for entering in the main section of the breeding book purebred breeding animals belonging to another breed or to a specific stallion line or mare family within that other breed;
  - (b) where that breeding programme prohibits or limits the use of one or more reproduction techniques or the use of purebred breeding animals for one or more reproduction techniques as referred to in Article 21(2), information on that prohibition or limitation;
  - (c) rules with regard to the issuing of covering certificates, to the use of other appropriate methods as referred to in paragraph 1, and, where required by the breeding programme, to the identification as 'foal at foot'.
3. The following specific requirements shall apply to purebred breeding animals of the equine species, in addition to those set out in Parts 1 and 2:
  - (a) Where a breed society declares to the competent authority that the breeding book it has established is the breeding book of the origin of the breed covered by its breeding programme, that breed society shall:

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- (i) have in its possession a historical record of the establishment of that breeding book and have made the principles of that breeding programme publicly available;
  - (ii) demonstrate that there is, at the time of the application referred to in Article 4(1), no other known breed society or breeding body which is recognised in the [F<sup>2</sup>United Kingdom] or in a third country, which has established a breeding book for the same breed and which is carrying out a breeding programme on that breed based on the principles referred to in point (i);
  - (iii) cooperate closely with the breed societies referred to in point (b), and in particular inform, in a transparent and timely manner, those breed societies of any changes to the principles referred to in point (i);
  - (iv) have, where necessary, established non-discriminatory rules as regards its activities with respect to breeding books established for the same breed by breeding bodies that are not included in the list provided for in Article 34.
- (b) Where a breed society declares to the competent authority that the breeding book it has established is a filial breeding book of the breed covered by its breeding programme, that breed society shall:
- (i) incorporate into its own breeding programme the principles established by the breed society referred to in point (a) that maintains the breeding book of the origin of the same breed;
  - (ii) make the information regarding the use of the principles referred to in point (i) and their source publicly available;
  - (iii) have mechanisms in place to ensure the necessary adjustments of the rules set out in its breeding programme, referred to in Article 8(3), and, where applicable, Article 12, to the changes made to those principles by the breed society referred to in point (a) of this paragraph that maintains the breeding book of the origin of the breed.

#### Textual Amendments

**F2** Words in Annex 1 Pt. 3 Point 3(a)(ii) substituted (31.12.2020) by [The Animal Breeding \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/117\)](#), regs. 1, **15(4)(b)** (as substituted by [S.I. 2020/1388](#), regs. 1(2)(a), 28(14)(b)(i)); 2020 c. 1, Sch. 5 para. 1(1)

4. The following derogations shall apply to the requirements for the recognition of breed societies of purebred breeding animals of the equine species:
- (a) F<sup>3</sup> ...
  - (b) By way of derogation from point 3(a) of this Part, where the principles of the breeding programme are established exclusively by an international organisation operating at a global level and where there is [F<sup>4</sup>no breed society or breeding body in the United Kingdom or a third country] that maintains the breeding book of the origin of that breed, [F<sup>5</sup>a competent authority in the United Kingdom] may recognise breed societies maintaining a filial breeding book for that breed, provided that they lay down the objectives and criteria referred to in point 1(h) of Part 2 in accordance with the principles established by that international organisation and that those principles are:

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- (i) made available by that breed society to the competent authority referred to in Article 4(3) for verification purposes;
  - (ii) incorporated in the breeding programme of that breed society.
- (c) By way of derogation from point 3(b) of this Part, a breed society maintaining a filial breeding book may establish additional classes according to merits, provided that the purebred breeding animals of the equine species which are entered in the classes in the main section of the breeding book of the origin of the breed or of other filial breeding books of the breed may be entered in the corresponding classes of the main section of that filial breeding book.

#### Textual Amendments

- F3** Annex 1 Pt. 3 Point 4(a) omitted (31.12.2020) by virtue of The Animal Breeding (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/117), regs. 1, **15(4)(c)(i)**; 2020 c. 1, Sch. 5 para. 1(1)
- F4** Words in Annex 1 Pt. 3 Point 4(b) substituted (31.12.2020) by The Animal Breeding (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/117), regs. 1, **15(4)(c)(ii)(aa)** (as amended by S.I. 2020/1388, regs. 1(2)(a), 28(14)(b)(ii)); 2020 c. 1, Sch. 5 para. 1(1)
- F5** Words in Annex 1 Pt. 3 Point 4(b) substituted (31.12.2020) by The Animal Breeding (Amendment) (EU Exit) Regulations 2019 (S.I. 2019/117), regs. 1, **15(4)(c)(ii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

**Changes to legislation:**

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