

Commission Delegated Regulation (EU) 2016/1237 of 18 May 2016 supplementing Regulation (EU) No 1308/2013 of the European Parliament and of the Council with regard to the rules for applying the system of import and export licences and supplementing Regulation (EU) No 1306/2013 of the European Parliament and of the Council with regard to the rules on the release and forfeit of securities lodged for such licences, amending Commission Regulations (EC) No 2535/2001, (EC) No 1342/2003, (EC) No 2336/2003, (EC) No 951/2006, (EC) No 341/2007 and (EC) No 382/2008 and repealing Commission Regulations (EC) No 2390/98, (EC) No 1345/2005, (EC) No 376/2008 and (EC) No 507/2008 (Text with EEA relevance)

## CHAPTER I

### GENERAL PROVISIONS

#### *Article 4*

#### **Security**

- 1 Licences are subject to a security, except in the cases provided for in the Annex.
- 2 When submitting an application for a licence, the applicant shall lodge a security, which shall be available at the licence issuing authority not later than 13.00 hours Brussels time on the day the application is submitted.
- 3 No security shall be required where the security comes to a threshold of EUR 100 or less.  
For that purpose, the amount of the security shall be calculated as comprising all the quantities resulting from obligations covered by the same logistic operation.
- 4 No security shall be required where the applicant is either:
  - a a public body responsible for executing the duties of a public authority; or
  - b a private body executing duties referred to in point (a) under a Member State's supervision.
- 5 The security lodged in respect of a quantity for which a licence has not been issued shall be released forthwith.

**Changes to legislation:**

There are outstanding changes not yet made to Commission Delegated Regulation (EU) 2016/1237. Any changes that have already been made to the legislation appear in the content and are referenced with annotations.

[View outstanding changes](#)

**Changes and effects yet to be applied to :**

- Art. 4(2) word substituted by [S.I. 2019/1409 Sch. 1 para. 4\(2\)](#)

**Changes and effects yet to be applied to the whole legislation item and associated provisions**

- Signature words omitted by [S.I. 2019/1409 Sch. 1 para. 13](#)
- Annex Pt. 1 s. B omitted by [S.I. 2019/1409 Sch. 1 para. 14\(a\)](#)
- Annex Pt. 1 s. E omitted by [S.I. 2019/1409 Sch. 1 para. 14\(a\)](#)
- Annex Pt. 1 s. F omitted by [S.I. 2019/1409 Sch. 1 para. 14\(a\)](#)
- Annex Pt. 2 s. B omitted by [S.I. 2019/1409 Sch. 1 para. 14\(b\)](#)
- Art. 1(b) words substituted by [S.I. 2019/1409 Sch. 1 para. 1](#)
- Art. 2(1)(b) omitted by [S.I. 2019/1409 Sch. 1 para. 2\(2\)\(c\)](#)
- Art. 2(1)(c) words omitted by [S.I. 2019/1409 Sch. 1 para. 2\(2\)\(a\)](#)
- Art. 2(1)(d) omitted by [S.I. 2019/1409 Sch. 1 para. 2\(2\)\(c\)](#)
- Art. 2(1)(e) words omitted by [S.I. 2019/1409 Sch. 1 para. 2\(2\)\(b\)](#)
- Art. 2(1)(f) omitted by [S.I. 2019/1409 Sch. 1 para. 2\(2\)\(c\)](#)
- Art. 2(2)(b) words substituted by [S.I. 2019/1409 Sch. 1 para. 2\(3\)\(a\)\(i\)](#)
- Art. 2(2)(b) words substituted by [S.I. 2019/1409 Sch. 1 para. 2\(3\)\(a\)\(ii\)](#)
- Art. 2(2)(c) words substituted by [S.I. 2019/1409 Sch. 1 para. 2\(3\)\(b\)\(i\)](#)
- Art. 2(2)(c)(iii) words substituted by [S.I. 2019/1409 Sch. 1 para. 2\(3\)\(b\)\(ii\)](#)
- Art. 3(1)(b) words substituted by [S.I. 2019/1409 Sch. 1 para. 3\(2\)\(a\)](#)
- Art. 3(1)(d) words omitted by [S.I. 2019/1409 Sch. 1 para. 3\(2\)\(b\)](#)
- Art. 3(1)(e) words substituted by [S.I. 2019/1409 Sch. 1 para. 3\(2\)\(c\)](#)
- Art. 4(4)(b) words substituted by [S.I. 2019/1409 Sch. 1 para. 4\(3\)](#)
- Art. 5(2)(c) word substituted by [S.I. 2019/1409 Sch. 1 para. 5\(2\)\(a\)](#)
- Art. 5(2)(c) words substituted by [S.I. 2019/1409 Sch. 1 para. 5\(2\)\(b\)](#)