

ANNEX V **U.K.****CONFORMITY ASSESSMENT PROCEDURES FOR SUBSYSTEMS AND SAFETY COMPONENTS: MODULE F: CONFORMITY TO TYPE BASED ON SUBSYSTEM OR SAFETY COMPONENT VERIFICATION**

1. Conformity to type based on subsystem or safety component verification is the part of a conformity assessment procedure whereby the manufacturer fulfils the obligations laid down in points 2, 5.1 and 6, and ensures and declares on his sole responsibility that the subsystems or safety components concerned, which have been subject to the provisions of point 3, are in conformity with the type described in [F1the type] examination certificate and satisfy the requirements of this Regulation that apply to them.

Textual Amendments

F1 Words in Annex 5 Point 1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(a)**; 2020 c. 1, Sch. 5 para. 1(1)

2. Manufacturing **U.K.**

The manufacturer shall take all measures necessary so that the manufacturing process and its monitoring ensure conformity of the manufactured subsystems or safety components with the approved type described in [F2the type] examination certificate and with the requirements of this Regulation that apply to them.

Textual Amendments

F2 Words in Annex 5 Point 2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(b)**; 2020 c. 1, Sch. 5 para. 1(1)

3. Verification **U.K.**

- 3.1. The manufacturer shall lodge an application for subsystem or safety component verification with [F3the approved body] of his choice. **U.K.**

The application shall include:

- (a) the name and address of the manufacturer and, if the application is lodged by the authorised representative, his name and address as well;
- (b) a written declaration that the same application has not been lodged with any [F4other approved body];
- (c) all relevant information for the subsystems or safety components approved under module B;
- (d) the technical documentation of the approved type and a copy of the [F5type examination] certificate(s);
- (e) details of the premises where the subsystem or the safety component can be examined.

Changes to legislation: There are currently no known outstanding effects for the Regulation (EU) 2016/424 of the European Parliament and of the Council, ANNEX V. (See end of Document for details)

Textual Amendments

- F4** Words in Annex 5 Point 3.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(c)(i)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)
- F5** Words in Annex 5 Point 3.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(c)(i)(cc)**; 2020 c. 1, Sch. 5 para. 1(1)

Textual Amendments

- F3** Words in Annex 5 Point 3.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(c)(i)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

- 3.2. [^{F6}The approved body] shall carry out appropriate examinations and tests, or have them carried out, in order to check the conformity of the subsystems or safety components with the approved type described in the [^{F7}type examination] certificate and with the appropriate requirements of this Regulation. **U.K.**

The examinations and tests to check the conformity of the subsystems or safety components with the appropriate requirements shall be carried out, at the choice of the manufacturer, either by examination and testing of every subsystem or safety component as specified in point 4 or by examination and testing of the subsystems or safety components on a statistical basis as specified in point 5.

Textual Amendments

- F6** Words in Annex 5 Point 3.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(c)(ii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)
- F7** Words in Annex 5 Point 3.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(c)(ii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

4. Verification of conformity by examination and testing of every subsystem or safety component **U.K.**
- 4.1. All subsystems or safety components shall be individually examined and appropriate tests set out in the [^{F8}relevant designated standards], shall be carried out in order to verify conformity with the approved type described in the [^{F9}type examination] certificate and with the appropriate requirements of this Regulation. **U.K.**

In the absence of such [^{F10}a designated standard, the approved] body concerned shall decide on the appropriate tests to be carried out.

Textual Amendments

- F10** Words in Annex 5 Point 4.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(d)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)

Textual Amendments

- F8** Words in Annex 5 Point 4.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(d)(i)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation: There are currently no known outstanding effects for the Regulation (EU) 2016/424 of the European Parliament and of the Council, ANNEX V. (See end of Document for details)

F9 Words in Annex 5 Point 4.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(d)(i)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

- 4.2. [F11The approved body] shall issue a certificate of conformity in respect of the examinations and tests carried out, and shall affix its identification number to each approved subsystem or safety component or have it affixed under its responsibility. **U.K.**

The manufacturer shall keep the certificates of conformity available for inspection by the [F12Secretary of State] for 30 years after the subsystem or safety component has been placed on the market.

Textual Amendments

F12 Words in Annex 5 Point 4.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(d)(iii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

Textual Amendments

F11 Words in Annex 5 Point 4.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(d)(iii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

5. Statistical verification of conformity **U.K.**
- 5.1. The manufacturer shall take all measures necessary so that the manufacturing process and its monitoring ensure the homogeneity of each lot produced, and shall present his subsystem or safety component for verification in the form of homogeneous lots.
- 5.2. A random sample shall be taken from each lot. All the subsystems or safety components in the sample shall be individually examined and appropriate tests set out in the [F13relevant designated standards] and/or equivalent tests set out in other relevant technical specifications, shall be carried out in order to verify their conformity with the approved type described in the [F14type examination] certificate and with the applicable requirements of this Regulation and to determine whether the lot is accepted or rejected. In the absence of such a [F15designated standard], the [F16approved body] concerned shall decide on the appropriate tests to be carried out.

Textual Amendments

F13 Words in Annex 5 Point 5.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(i)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

F14 Words in Annex 5 Point 5.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(i)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

F15 Words in Annex 5 Point 5.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(i)(cc)**; 2020 c. 1, Sch. 5 para. 1(1)

F16 Words in Annex 5 Point 5.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(i)(dd)**; 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation: There are currently no known outstanding effects for the Regulation (EU) 2016/424 of the European Parliament and of the Council, ANNEX V. (See end of Document for details)

- 5.3. If a lot is accepted, all the subsystems or safety components of the lot shall be considered approved, except for those subsystems or safety components from the sample that have been found not to satisfy the tests. **U.K.**

[^{F17}The approved body] shall issue a certificate of conformity in respect to the examinations and tests carried out, and shall affix its identification number to each approved subsystem or safety component or have it affixed under its responsibility.

Textual Amendments

- F17** Words in Annex 5 Point 5.3 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(ii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

The manufacturer shall keep the certificates of conformity at the disposal of the [^{F18}Secretary of State] for 30 years after the subsystem or the safety component has been placed on the market.

Textual Amendments

- F18** Words in Annex 5 Point 5.3 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(ii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

- 5.4. If a lot is rejected, the [^{F19}approved body] or the [^{F20}Secretary of State] shall take appropriate measures to prevent the placing on the market of that lot. In the event of the frequent rejection of lots, the [^{F19}approved body] may suspend the statistical verification and take appropriate measures.

Textual Amendments

- F19** Words in Annex 5 Point 5.4 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(iii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)
- F20** Words in Annex 5 Point 5.4 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(e)(iii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

6. [^{F21}UK marking and declaration] of conformity **U.K.**

- 6.1. The manufacturer shall affix the [^{F22}UK marking] and, under the responsibility of the [^{F23}approved body] referred to in point 3, the latter's identification number to each individual subsystem or safety component that is in conformity with the approved type described in [^{F24}the type examination] certificate and satisfies the applicable requirements of this Regulation.

Textual Amendments

- F22** Words in Annex 5 Point 6.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(ii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation: There are currently no known outstanding effects for the Regulation (EU) 2016/424 of the European Parliament and of the Council, ANNEX V. (See end of Document for details)

F23 Words in Annex 5 Point 6.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(ii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

F24 Words in Annex 5 Point 6.1 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(ii)(cc)**; 2020 c. 1, Sch. 5 para. 1(1)

- 6.2. The manufacturer shall draw up a written [^{F25}declaration] of conformity for each subsystem or safety component model and keep it at the disposal of the [^{F26}Secretary of State] for 30 years after the subsystem or the safety component has been placed on the market. The [^{F25}declaration] of conformity shall identify the subsystem or safety component model for which it has been drawn up. **U.K.**

[^{F27}If the approved body] referred to in point 3 agrees and under its responsibility, the manufacturer may also affix the [^{F28}approved body's] identification number to the subsystems or safety components.

Textual Amendments

F27 Words in Annex 5 Point 6.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(iii)(cc)**; 2020 c. 1, Sch. 5 para. 1(1)

F28 Words in Annex 5 Point 6.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(iii)(dd)**; 2020 c. 1, Sch. 5 para. 1(1)

Textual Amendments

F25 Word in Annex 5 Point 6.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(iii)(aa)**; 2020 c. 1, Sch. 5 para. 1(1)

F26 Words in Annex 5 Point 6.2 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(iii)(bb)**; 2020 c. 1, Sch. 5 para. 1(1)

Textual Amendments

F21 Words in Annex 5 Point 6 heading substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(f)(i)**; 2020 c. 1, **Sch. 5 para. 1(1)**

7. [^{F29}If the approved body] agrees and under its responsibility, the manufacturer may affix the [^{F30}approved body's] identification number to the subsystems or safety components during the manufacturing process.

Textual Amendments

F29 Words in Annex 5 Point 7 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(g)(i)**; 2020 c. 1, Sch. 5 para. 1(1)

F30 Words in Annex 5 Point 7 substituted (31.12.2020) by [The Cableway Installations \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/1347\)](#), regs. 1, **55(g)(ii)**; 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation: There are currently no known outstanding effects for the Regulation (EU) 2016/424 of the European Parliament and of the Council, ANNEX V. (See end of Document for details)

8. Authorised representative **U.K.**

The manufacturer's obligations may be fulfilled by his authorised representative, on his behalf and under his responsibility, provided that they are specified in the mandate. An authorised representative may not fulfil the obligations set out in points 2 and 5.1.

Changes to legislation:

There are currently no known outstanding effects for the Regulation (EU) 2016/424 of the European Parliament and of the Council, ANNEX V.