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#### COMMISSION IMPLEMENTING REGULATION (EU) 2017/1152

of 2 June 2017

setting out a methodology for determining the correlation parameters necessary for reflecting the change in the regulatory test procedure with regard to light commercial vehicles and amending Implementing Regulation (EU) No 293/2012

(Text with EEA relevance)

(OJ L 175, 7.7.2017, p. 644)

#### Amended by:

<u>B</u>

Official Journal

		No	page	date
<u>M1</u>	Commission Implementing Regulation (EU) 2018/1003 of 16 July 2018	L 180	16	17.7.2018
<u>M2</u>	Commission Implementing Regulation (EU) 2018/2042 of 18 December 2018	L 327	53	21.12.2018
<u>M3</u>	Commission Implementing Regulation (EU) 2019/1839 of 31 October 2019	L 282	1	4.11.2019

### Corrected by:

►<u>C1</u> Corrigendum, OJ L 197, 28.7.2017, p. 20 (2017/1152)

#### COMMISSION IMPLEMENTING REGULATION (EU) 2017/1152

#### of 2 June 2017

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(Text with EEA relevance)

#### Article 1

#### Subject matter

This Regulation provides for:

- (a) a methodology for the correlation of the  $CO_2$  emissions measured in accordance with Annex XXI to Regulation (EU) 2017/1151 with those determined in accordance with Annex XII to Regulation (EC) No 692/2008;
- (b) a procedure for applying the methodology referred to in point (a) for the purpose of determining each manufacturer's average specific emissions of CO<sub>2</sub>;
- (c) the amendments to Implementing Regulation (EU) No 293/2012 required for the purpose of adapting the monitoring of  $CO_2$  emissions data to reflect the change in emission values.

#### Article 2

#### **Definitions**

For the purposes of this Regulation, the following definitions shall apply:

- (1) 'NEDC CO<sub>2</sub> values' means the CO<sub>2</sub> emissions determined in accordance with Annex I and entered into the certificates of conformity;
- (2) 'Measured NEDC CO<sub>2</sub> values' means the CO<sub>2</sub> emissions (phases and combined) determined in accordance with Annex XII to Regulation (EC) No 692/2008 by way of physical vehicle tests;
- (3) 'WLTP CO<sub>2</sub> values' means the CO<sub>2</sub> emissions (combined) determined in accordance with the test procedure set out in Annex XXI to Regulation (EU) 2017/1151;
- (4) 'WLTP vehicle family' means a vehicle family as determined in accordance with point 5.0 of Annex XXI to Regulation (EU) 2017/1151;
- (5) 'Correlation tool' means the simulation model referred to in point 2 of Annex I.

#### Article 3

## Determination of average specific emissions of CO<sub>2</sub> for target compliance purposes in the period 2017 to 2020

1. For the calendar years 2017 to 2020 inclusive, the average specific emissions of a manufacturer shall be determined using the following  ${\rm CO_2}$  mass emissions (combined) values:

#### **▼**B

- (a) with regard to N1 light commercial vehicles type approved in accordance with Annex XXI to Regulation (EU) 2017/1151, the NEDC  $CO_2$  values;
- (b) with regard to existing types of N1 class I vehicles that have been type approved in accordance with Annex XII to Regulation (EC) No 692/2008, the measured NEDC CO<sub>2</sub> values until 31 August 2018 and the NEDC CO<sub>2</sub> values from 1 September 2018 to 31 December 2020;
- (c) with regard to existing types of N1 class II and III vehicles that have been type approved in accordance with Annex XII to Regulation (EC) No 692/2008, the measured NEDC CO<sub>2</sub> values until 31 August 2019 and the NEDC CO<sub>2</sub> values from 1 September 2019 to 31 December 2020;

#### **▼** M3

(d) with regard to end-of-series vehicles referred to in Article 27 of Directive 2007/46/EC, and to N1 vehicles with a reference mass between 2 380 kg and 2 610 kg for which the type-approvals granted pursuant to Regulation (EC) No 595/2009, in respect of their engines, have been extended in accordance with the fourth paragraph of Article 2 of that Regulation ('HDV-derived N1 vehicles'), the measured NEDC CO2 values, and, where available, NEDC CO<sub>2</sub> values.

#### **▼**B

Manufacturers responsible for more than 1 000 but fewer than 22 000 new light commercial vehicles registered in the Union in each of the calendar years 2017 to 2020 inclusive may use either the NEDC CO<sub>2</sub> values or the measured NEDC CO<sub>2</sub> values.

#### Article 4

#### Determination of average specific emissions based on WLTP CO<sub>2</sub> values

The WLTP CO<sub>2</sub> emissions (combined) or, where applicable, (weighted combined) specified in entry 49.4 of the certificate of conformity shall be monitored for all new registered vehicles starting from 1 January 2018.

#### **▼** M3

- With regard to HDV-derived N1 vehicles registered in 2020 for which CO<sub>2</sub> emission values have been determined in accordance with UNECE Regulation No 101 as referred to in Annex VIII to Regulation (EU) No 582/2011 in its version of 31 January 2014, the following shall apply:
- (a) Where an extension of the type approval pursuant to Regulation (EC) No 595/2009 has been granted by 31 December 2020 and a WLTP CO2 value has been determined in accordance with Regulation (EU) 2017/1151 in conjunction with Annex VIII to Regulation (EU) No 582/2011, that WLTP CO<sub>2</sub> value shall be attributed to the HDV-derived N1 vehicle registered in 2020, if the type, variant and version code of that vehicle is the same as that recorded in the type approval certificate of the type approval extension in question.

#### **▼**<u>M3</u>

The manufacturer shall by 28 February 2021 submit the following information to the Commission with regard to each vehicle covered by this point:

- (i) Vehicle identification number;
- (ii) Type, variant and version code;
- (iii) Type approval number, including the extension number;
- (iv) A copy of the type approval certificate.
- (b) Where the type approval pursuant to Regulation (EC) No 595/2009 is not extended by 31 December 2020, the following WLTP  $\rm CO_2$  value shall be attributed to each HDV-derived N1 vehicle concerned:

WLTP<sub>CO2</sub> = 
$$NEDC_{ind} \times \left(\frac{WLTP_{2020}}{NEDC_{2020}}\right)$$

Where,

NEDC<sub>ind</sub> is the measured NEDC CO<sub>2</sub> value in 2020 of the individual vehicle;

NEDC<sub>2020</sub> is the average specific emissions of CO<sub>2</sub> in 2020 of the manufacturer determined in accordance with this Regulation, calculated in accordance with the second indent of Article 4(3) of Regulation (EU) 2019/631, and without including CO<sub>2</sub> savings resulting from the application of Article 11 of that Regulation;

WLTP<sub>2020</sub> is the average specific emissions of CO<sub>2</sub> in 2020 of the manufacturer determined in accordance with Regulation (EU) 2017/1151 or in accordance with point (a) of this paragraph, calculated in accordance with the second indent of Article 4(3) of Regulation (EU) 2019/631, and without including CO<sub>2</sub> savings resulting from the application of Article 11 of that Regulation.

NEDC<sub>2020</sub> and WLTP<sub>2020</sub> shall only include those vehicles with a WLTP  $CO_2$  value determined in accordance with Regulation (EU) 2017/1151 or with point (a) of this paragraph.

The manufacturer shall by 28 February 2021 submit to the Commission a copy of the certificate of conformity of each vehicle covered by this point.

Where the manufacturer does not provide the information and documents referred to in points (a) and (b), the WLTP CO<sub>2</sub> value of the vehicles concerned shall be attributed as set out in paragraph 2(a) of this Article.

**▼**B

2.  $\blacktriangleright$  M3 With regard to end-of-series vehicles that have not been type approved in accordance with Commission Regulation (EU) 2017/1151 but are registered in 2020, the following WLTP  $CO_2$  values shall be attributed to each registered vehicle:

#### **▼**B

- (a) for complete N1 vehicles, the average specific WLTP CO<sub>2</sub> emissions value determined for the manufacturer in the respective calendar year;
- (b) for completed N1 vehicles, the average specific WLTP  ${\rm CO_2}$  emissions value of new completed vehicles that were registered in the respective calendar year, where the manufacturer is responsible for the base vehicles used for those completed vehicles.

#### **▼** M3

With regard to end-of-series vehicles registered in 2021 and 2022, the WLTP CO<sub>2</sub> values to be attributed to each of those vehicles shall be those determined in accordance with paragraph 1a(b) of this Article.

#### **▼**B

3. For each manufacturer, the average specific emissions calculated using WLTP CO<sub>2</sub> values shall be determined starting from 1 January 2019. With effect from 1 January 2021, those average specific emissions shall be used to determine the manufacturer's compliance with its specific emission target.

#### Article 5

#### Application of Article 12 of Regulation (EU) No 510/2011 – ecoinnovations

- 1. With effect from 1 January 2021, only  $\rm CO_2$  savings due to ecoinnovations, within the meaning of Article 12 of Regulation (EU) No 510/2011, that are not covered by the test procedure set out in Annex XXI to Regulation (EU) 2017/1151, shall be taken into account for the calculation of the average specific emissions of a manufacturer.
- 2. A manufacturer's total eco-innovation savings in the calendar years 2021, 2022 and 2023 shall be adjusted as follows:
- (a) in 2021: EI savings<sub>adjusted 2021</sub> = WLTP<sub>EI savings 2021</sub> · 1,9;
- (b) in 2022: EI savings<sub>adjusted 2022</sub> = WLTP<sub>EI savings 2022</sub> · 1,7;
- (c) in 2023: EI savings<sub>adjusted 2023</sub> = WLTP<sub>EI savings 2023</sub> · 1,5.

Where,

EI savings adjusted 20xx are the eco-innovation savings in the relevant year to be taken into account for the calculation of the average specific emissions;

WLTP<sub>EI savings 20xx</sub> are the eco-innovation savings in the relevant year determined in relation to the WLTP and recorded in the certificate of conformity.

From calendar year 2024 eco-innovation savings shall be taken into account for the calculation of the specific average emissions without adjustment.

#### Article 6

## Determination and correction of NEDC CO<sub>2</sub> values for the calculation of the specific average emissions

- 1. Starting from the calendar year 2017 until 2020 inclusive, the average specific  $CO_2$  emissions of a manufacturer shall be calculated using the NEDC  $CO_2$  values determined in accordance with point 3.2(b) of Annex I in the case of incomplete vehicles, or, in the case of complete or, where applicable, completed vehicles, in accordance with the procedure laid down in Section 4 of Annex I, unless Article 3(1)(b) or (c), or Article 3(2) applies.
- 2. Where for a WLTP vehicle family the deviation factor De, determined in accordance with point 3.2.8 of Annex I, exceeds the value 0,04, or in the presence of a verification factor '1' as determined in that point, the average specific NEDC CO<sub>2</sub> emissions of the manufacturer responsible for that vehicle family shall be multiplied by the following correction factor:

$$correction factor = 1 + \frac{\sum_{i=1}^{N} De_i \cdot r_i}{\sum_{i=1}^{N} \delta_{s,i} \cdot r_i}$$

Where,

 $De_i$  is the value determined in accordance with point 3.2.8 of Annex I;

- $r_i$  is the number of annual registrations of vehicles belonging to the respective WLTP vehicle family i concerned;
- $\delta_{3i}$  is equal to 0 if  $De_i$  is missing and equal to 1 otherwise;
- N is the number of WLTP vehicle families for which a manufacturer is responsible.

#### **▼**<u>M2</u>

#### Article 6a

#### Reporting of WLTP measurement results

- 1.  $\blacktriangleright$  M3 Manufacturers shall calculate the combined, or where applicable weighted combined CO<sub>2</sub> emissions, determined as M<sub>CO2</sub>, measured, for each light commercial vehicle registered in 2020 in accordance with the following:
- (a) For pure internal combustion engine vehicles:

the equation for calculating  $M_{\rm CO2-ind}$  set out in the second subparagraph of paragraph 3.2.3.2.4. of Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151, where the terms  $M_{\rm CO2-H}$  and  $M_{\rm CO2-L}$  shall, for the interpolation family concerned, be replaced by the values  $M_{\rm CO2,C,5}$  (combined) taken from the entries 2.5.1.1.3. (vehicle H) and 2.5.1.2.3. (vehicle L) of the EC type-approval certificate, as indicated in the model set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151;

#### **▼** M2

(b) For Not-Off-Vehicle Charging Hybrid Electric Vehicles (NOVC-HEV):

the equation:  $M_{CO2\text{-measured}} = M_{CO2\text{-L},C,5} + K_{ind} \times (M_{CO2\text{-H},C,5} - M_{CO2\text{-L},C,5})$ 

Where,

 $M_{CO2\text{-}L,C,5}$  is the value  $M_{CO2,C,5}$  (combined) for the interpolation family concerned, taken from entry 2.5.1.2.3 of the EC type-approval certificate, as indicated in the model set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151;

 $K_{ind}$  is the interpolation coefficient for the considered individual vehicle for the applicable WLTP test cycle as specified in paragraph 4.5.3 of Sub-Annex 8 to Annex XXI to Regulation (EU) 2017/1151;

 $M_{CO2\text{-}H,C.5}$  is the value  $M_{CO2,C.5}$  (combined) for the interpolation family concerned, taken from entry 2.5.1.1.3 of the EC type-approval certificate, as indicated in the model set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151.

(c) For Off-Vehicle Charging Hybrid Electric Vehicles (OVC-HEV):

the equation:  $M_{CO2\text{-measured}} = M_{CO2\text{-L},C,5} + K_{ind} \times (M_{CO2\text{-H},C,5} - M_{CO2\text{-L},C,5})$ 

Where.

 $M_{CO2\text{-L,C,5}}, M_{CO2\text{-H,C,5}}$ 

are, for the interpolation family concerned, determined in accordance with the formula set out in paragraph 4.1.3.1 of Sub-Annex 8 to Annex XXI to Regulation (EU) 2017/1151, where the term  $M_{i,CDj}$  shall be replaced by the value  $M_{CO2,CD}$  (combined) taken from the entry 2.5.3.2 for vehicle H and L, as applicable, of the EC type-approval certificate, and the term  $M_{i,CS}$  shall be replaced by the value  $M_{CO2,C,5}$  (combined) taken from entry 2.5.3.1 of the EC type-approval certificate for vehicle H, L, or M, as applicable;

Kind

is the interpolation coefficient for the considered individual vehicle for the applicable WLTP test cycle as defined in paragraph 4.5.3 of Sub-Annex 8 to Annex XXI to Regulation (EU) 2017/1151. ◀

Where the combined  $\mathrm{CO}_2$  emissions of the individual vehicle are determined by reference to vehicle H only, the manufacturers shall provide the  $\mathrm{M}_{\mathrm{CO2,C,5}}$  value taken from the entry 2.5.1.1.3 (vehicle H) of the EC type approval certificate.

The manufacturer shall submit those  $\mathrm{CO}_2$  emission values, together with the  $\mathrm{M}_{\mathrm{CO2,C,5}}$  values used for the calculation, to the Commission at the latest three months following receipt of the notification by the Commission of the provisional data for 2020 by uploading that data on the manufacturer's account in the Business Data Repository of the European Environment Agency.

#### **▼** M3

- Where more than one measurement is recorded in entries 2.5.1.1.3., 2.5.1.2.3., 2.5.3.1., or 2.5.3.2. of an EC type-approval certificate, the M<sub>CO2,C,5</sub> or M<sub>CO2,CD</sub> values referred to in paragraph 1 shall for the purpose of this provision be determined as follows:
- (a) in the case of one measurement: the combined value recorded for Test 1;
- (b) in the case of two measurements: the average of the two combined values recorded for Tests 1 and 2;
- (c) in the case of three measurements: the average of the three combined values recorded for Tests 1, 2 and 3.

#### **▼** M2

Where the data referred to in paragraph 1 is not submitted within the deadline indicated, the Commission shall take the value recorded in entry 2.5.1.2.3 of the EC type-approval certificate and consider that value as the combined CO<sub>2</sub> emissions for the purposes of paragraph 1 for all new registered vehicles in the interpolation family for which the type approval certificate was issued, and where applicable for those families where only vehicle H measurements are available.

#### **▼**B

#### Article 7

#### Amendments to Regulation (EU) No 293/2012

Regulation (EU) No 293/2012 is amended as follows:

- (1) in Article 4, the following paragraph 10 is added:
  - With regard to end-of-series vehicles registered in 2020 or in 2021, the WLTP CO<sub>2</sub> values to be attributed to those vehicles for the purpose of the calculation of the average specific emissions shall be those determined in accordance with Article 4(2) of Commission Implementing Regulation (EU) 2017/1152 (\*).
  - (\*) Commission Implementing Regulation (EU) 2017/1152 of 2 June 2017 setting out a methodology for determining the correlation parameters necessary for reflecting the change in the regulatory test procedure with regard to light commercial vehicles and amending Commission Implementing Regulation (EU) No 293/2012 (OJ L 175, 7.7.2017, p. 664).';
- (2) Article 6 is replaced by the following:

#### 'Article 6

#### Preparation of data by Member States

When completing the detailed monitoring data, Member States shall include:

(a) for each vehicle equipped with innovative technologies, the specific emissions of CO<sub>2</sub> without taking into account the CO<sub>2</sub> emission reduction through innovative technologies approved in accordance with Article 12 of Regulation (EC) No 510/2011;

(b) for each vehicle, the deviation factor and the verification factor determined in accordance with point 3.2.8 of Annex I to Implementing Regulation (EU) 2017/1152.

Notwithstanding the detailed data referred to in Part A of Annex II to Regulation (EU) No 510/2011, a Member State shall, with regard to the data monitored until 31 December 2017, in addition to the already required parameters in that Part, report only the deviation factor and the verification factor referred to in point (b) of this Article. From 1 January 2018 all detailed data specified in Part A of Annex II to Regulation (EU) No 510/2011 shall be monitored and reported in the formats set out in Part C of Annex II to Regulation (EU) no 510/2011.';

- (3) Article 7 is deleted;
- (4) Article 10 is amended as follows:
  - (a) in paragraph 1, the last subparagraph is deleted;
  - (b) paragraphs 3 and 4 are deleted;
- (5) Article 10b is replaced by the following:

'Article 10b

#### Preparation of the provisional dataset

1. The provisional dataset to be notified to a manufacturer in accordance with the second subparagraph of Article 8(4) of Regulation (EU) No 510/2011 shall include the records which, on the basis of the manufacturer's name and the vehicle identification number, can be attributed to that manufacturer.

The central register referred to in the first subparagraph of Article 8(4) of Regulation (EU) No 510/2011 shall not include any data on vehicle identification numbers.

- 2. The processing of the vehicle identification numbers shall not include the processing of any personal data that could be linked to those numbers or any other data that could permit the linking of vehicle identification numbers with personal data.'
- (6) Annex I is replaced by the text in Annex II to this Regulation.

#### Article 8

#### Entry into force

This Regulation shall enter into force on the twentieth day following that of its publication in the Official Journal of the European Union.

**▼**C1

Points 4 and 5 of Article 7 shall apply from 1 January 2018.

**▼**<u>B</u>

This Regulation shall be binding in its entirety and directly applicable in all Member States.

#### ANNEX I

#### 1. INTRODUCTION

This Annex sets out the methodology for determining the NEDC CO<sub>2</sub> value of individual N1 vehicles.

## 2. DETERMINATION OF THE NEDC ${\rm CO_2}$ VALUE FOR THE WLTP INTERPOLATION FAMILY

#### 2.1. Correlation tool

The type approval authority shall ensure that the NEDC  $CO_2$  values to be used as reference for the purpose of Section 3 are determined by way of simulations in accordance with the provisions set out in this Annex.

The Commission shall provide a simulation tool for that purpose (hereinafter the 'correlation tool') in the form of downloadable, executable, software. ▶ M3 With regard to Not-Off-Vehicle Charging Hybrid Electric Vehicles (NOVC-HEV) and Off-Vehicle Charging Hybrid Electric Vehicles (OVC-HEV), the NEDC CO₂ values to be used as a reference for the purpose of Section 3 shall be determined by way of physical vehicle tests instead of correlation tool simulations. The physical measurements shall be performed in accordance with the relevant provisions referring to physical vehicle tests set out in this Annex. The input data for the physical vehicle tests shall be determined and submitted to the type-approval authority or, where applicable, technical service, in accordance with point 2.4. ◀

#### 2.1.1. Access to the correlation tool

The correlation tool shall be installed on a computer of the type approval authority or, where applicable, the technical service, following the instructions provided on the following website:

[http://ec.europa.eu/clima/policies/transport/vehicles/cars/documentation en.htm]

The type approval authority shall ensure that the correlation tool is operated in accordance with the requirements of this Regulation and the user instructions set out in the user manual (1).

Support to the approval authorities and technical services using the correlation tool for the purpose of this Regulation shall be provided by the Commission on request. Requests for support shall be addressed to the following functional mailbox (<sup>2</sup>):

co2mpas@jrc.ec.europa.eu

The correlation tool shall be accessible to other users, however, support shall only be provided to those users within the limits of available resources.

### 2.1.2. Designation of correlation tool users

Member States shall inform the Commission of the respective contact points responsible for executing the correlation tool runs at the approval authority and, where applicable, at the technical services. Only one contact point per authority or service shall be appointed. The information provided to the Commission shall include the following (the name of the organisation, the name of the person responsible, the postal address, the email address and the telephone number). This information shall be sent to the following functional mailbox (3):

<sup>(1)</sup> https://co2mpas.io/

<sup>(2)</sup> From 1 August 2017 JRC-CO2MPAS@ec.europa.eu. Any up-dates of the mailbox address will be made available on the website

<sup>(3)</sup> Any up-dates of the mailbox address will be made available on the website.

#### EC-CO2-LDV-IMPLEMENTATION@ec.europa.eu

Electronic signing keys for the purpose of the execution of the correlation tool shall be provided only at the request of the contact point (¹). The Commission shall publish guidance on the procedure to follow for such requests.

#### 2.1.3. Annual up-date of the correlation tool

The performance of the correlation tool shall be continuously reviewed, taking into account information provided, in particular, by the contact persons referred to in point 2.1.2. Where appropriate, the Commission shall prepare a new version of the tool to be released annually on 1 September. The new version shall not affect the validity of results provided by previous versions.

The new version may be applied for the purpose of the procedure set out in Section 3 from the date of its release. With the agreement of the type approval authority or the technical service, the previous version of the correlation tool may, however, continue to be used during a maximum period of 2 months following the release of the new version.

The version used as well as the operating system of the computer on which the correlation tool has been run by the type approval authority or technical service shall be indicated in the correlation tool output report.

Where the applicability of the new version requires the adjustment of any provisions set out in this Regulation, the release of the new version shall not take place until the Regulation has been amended accordingly.

#### 2.1.4. Ad-hoc adjustments of the correlation tool

Notwithstanding point 2.1.3, in case of serious malfunctioning of the correlation tool for the purpose of the procedure set out in Section 3, a new version of the tool shall be prepared and released as soon as possible following the detection of the malfunction. The new version shall apply from the date of its release and shall not affect the validity of results provided by previous versions.

Where the applicability of the new version requires the adjustment of any provisions set out in this Regulation, the release of the new version shall not take place until the Regulation has been amended accordingly.

## 2.2. Identification of the WLTP test results to be used for the purpose of defining the input data for the simulation model

The input data for the correlation tool simulations shall be taken from the relevant WLTP test results for vehicle H and, where applicable, vehicle L as defined in accordance with point 4.2.1.2 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151. Where more than one WLTP type approval test of vehicle H or L is performed in accordance with Table A6/2 of Annex XXI to that Regulation, the following test results shall be used for the purpose of determining the input data:

- (a) In the case two type approval tests are performed, the test results with the highest combined CO<sub>2</sub> emissions shall be used;
- (b) In the case three type approval tests are performed, the test results with the median combined CO<sub>2</sub> emissions shall be used.

<sup>(1)</sup> To be provided by the European Commission Joint Research Centre.

#### **▼** M2

#### 2.2a. WLTP test conditions

In order for the WLTP test to be considered relevant in accordance with point 2.2 of Annex I to this Regulation and for the purpose of determining the input data set out in point 2.4, the test conditions set out in Annex XXI to Regulation (EU) 2017/1151 shall apply with the following precisions:

#### **▼**<u>M3</u>

(a) The correction of the WLTP test results for CO<sub>2</sub> mass emissions in accordance with Appendix 2 to Sub-Annex 6 and Appendix 2 to Sub-Annex 8 to Annex XXI to Regulation (EU) 2017/1151 shall apply to all such test results, notwithstanding the provisions in paragraph 3.4.4(a) of Appendix 2 to Sub-Annex 6 and paragraph 1.1.4(a) of Appendix 2 to Sub-Annex 8 to Annex XXI to that Regulation;

#### **▼** M2

- (b) Without prejudice to the requirements of Regulation (EU) 2017/1151, if the test vehicle is fitted with technologies that influence its CO<sub>2</sub> performance, including but not limited to those referred to in entries 42 to 50 of the input data matrix set out in point 2.4, and which are intended to function during the test, those technologies shall be operating during the vehicle test, regardless of the test procedure applied, i.e. NEDC or WLTP;
- (c) If the test vehicle is equipped with automated transmissions the same driver-selectable mode shall be used, regardless of the test procedure applied. Where the best-case and worst-case modes are used for the WLTP test in accordance with point 1.2(c) of Appendix 6 to Sub-Annex 8 to Annex XXI to Regulation (EU) 2017/1151, the worst case mode shall be used as input to the correlation tool as well as for any NEDC physical test;
- (d) If the test vehicle is equipped with manual transmissions, the term n<sub>min\_drive\_set</sub> shall be as defined by the formula set out in point (k) (3) of paragraph 2 of Sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151.

With the approval of the approval authority or, where applicable, the technical service, the manufacturer may calculate the gear shifting points differently, provided that this is justified in view of the drivability of the vehicle, and that the additional power safety margin applied in accordance with point 3.4 of Sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151 does not exceed 20 %.

The conditions referred to in points (a) to (d) shall apply for the purpose of the correlation performed pursuant to this Regulation, and shall be without prejudice to the provisions laid down in Regulation (EU) 2017/1151 and to the type approvals granted pursuant to that Regulation.

#### 2.2b. Applicability of the WLTP test conditions

The precisions referred to in point 2.2a.(a) to (d) shall apply in accordance with the following:

(a) For new vehicle types, from the entry into force of this Regulation;

#### **▼** M2

(b) For existing vehicle types, manufacturers shall, with regard to those vehicle types that cover vehicles placed on the market in 2020, provide the approval authority with evidence on the basis of which the approval authority shall confirm whether the test conditions referred to in points (a) to (d) of point 2.2a. have been met in the WLTP approval tests.

The confirmation shall indicate the interpolation family identifier, and the confirmation with regard to each of the test conditions referred to in points (a) to (d). The approval authority shall issue the confirmation to the manufacturer and shall ensure that the confirmation is recorded and can be made available without delay at the request of the Commission.

Where the approval authority cannot confirm that one or more of the test conditions referred to have been complied with, the manufacturer shall ensure that a new WLTP test, or, where applicable, test series, in accordance with Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151 are performed under the supervision of an approval authority or, where applicable, technical service, applying the test conditions set out in point 2.2a.(a) to (d), for the interpolation family in question, including a new correlation in accordance with this Regulation.

The manufacturer may, in the case where only the test condition referred to in point 2.2a.(a) is not met, correct that value in the input matrix without the need for a new WLTP test.

The approval authority or, where applicable, the designated technical service shall record the results of the re-testing or correction and the correlation in accordance with paragraph 5 of Annex I, and the complete correlation file based on the re-testing in-put data shall be transmitted to the Commission in accordance with point 3.1.1.2 by 30 April 2021 at the latest.

#### **▼**B

### 2.3. Determination of the input data and conditions for the operation of the correlation tool

The test conditions referred to in Annex XII to Regulation (EC) No 692/2008 shall be taken into account in the correlation tool simulations, including the precisions provided for in points 2.3.1 to 2.3.8 of this Annex.

The physical vehicle measurements referred to in Section 3 shall be performed in accordance with the conditions referred to in that Regulation, with the precisions given in this Annex, and, where applicable, the input data defined in point 2.4.

#### 2.3.1. Determination of the NEDC vehicle inertia

2.3.1.1. NEDC reference mass of vehicle H, and where applicable of vehicle L, and of the representative vehicle of a road load matrix family in the case of completed vehicles

The NEDC reference mass of vehicles H and L of the WLTP interpolation family and vehicle R of the WLTP road load matrix family shall be determined as follows:

$$RM_{n,L} = (MRO_L - 75 + 100) [kg]$$

$$RM_{n,H} = (MRO_H - 75 + 100) [kg]$$

$$RM_{n,R} = (MRO_R - 75 + 100) [kg]$$

Where,

MRO is the mass in running order as defined in Article 3(g) of Regulation (EU) No 510/2011 for vehicle H, L and R respectively.

The reference mass to be used as input for the simulations and, where applicable, for a physical vehicle test, shall be the inertia value set out in Table 3 of Annex 4a to UN/ECE Regulation No 83 which is equivalent to the reference mass, RM, determined in accordance with this point and shall be referred to as  $TM_{n,L}$ ,  $TM_{n,H}$  and  $TM_{n,R}$ .

2.3.1.2. NEDC reference mass of the representative vehicle of a road load matrix family in the case of incomplete vehicles to be submitted to multi-stage type approval

In the case of incomplete N1 vehicles, the NEDC reference mass  $(RM_{n,MSV})$  of the representative vehicle in the road load matrix family shall be determined as follows:

$$RM_{n,MSV} = (MRO_{n,MSV} - 75 + 100) + DAM$$

Where,

MRO is as defined in point 2.3.1.1, and

DAM is as defined in Section 5 of Annex XII to Regulation (EC) No 692/2008.

The reference mass to be used as input to the simulations and, where applicable, for a physical vehicle test, shall be the inertia value set out in Table 3 of Annex 4a to UN/ECE Regulation No 83 which is equivalent to the reference mass RM, determined in accordance with this point and shall be referred to as  $TM_{n,R}$ 

#### 2.3.2. Determination of the pre-conditioning effect

In preparing the chassis-dynamometer for the execution of a type-approval test, the vehicle is pre-conditioned in order to reach similar conditions to those used in the coast-down test. The pre-conditioning procedure used in the WLTP test differs from that used for the purpose of NEDC so that, with equal road loads, the vehicle is considered subject to higher forces under the WLTP. That difference shall be set at 6 Newton and that value shall be used for the calculation of the NEDC road loads in accordance with point 2.3.8.

2.3.3. Ambient conditions referred to in point 3.1.1 of UN/ECE Regulation No 83

For the purpose of the correlation tool, the test cell temperature shall be set at 25 °C.

Also in the case of a physical vehicle measurement pursuant to Section 3, the test cell temperature shall be set at 25 °C. However, on request by the manufacturer, the test cell temperature may be set at a value between 20 to 25 °C for the physical measurement.

2.3.4. Determination of the initial battery state of charge

The initial battery state of charge shall be set to at least 99 % for the purpose of the correlation tool test. The same shall apply in the case of a physical vehicle test.

2.3.5. Determination of the difference in tyre pressure prescriptions

According to point 6.6.3 of Appendix 3 to Annex I to Regulation (EU) 2017/1151 the lowest recommended tyre pressure for the vehicle test mass shall be used during the coast down for the road load determination, while this is not specified in the NEDC. For the purpose of determining the tyre pressure to be taken into account for the purpose of calculating the NEDC road load in accordance with point 2.3.8, the tyre pressure shall, taking into account the different tyre pressure per vehicle axle, be the average between the two axles of the average between the minimum and maximum tyre pressure permitted for the selected tyres on each axle for the NEDC reference mass of the vehicle. The calculation shall be carried out for vehicle H, and where applicable, for vehicles L and R in accordance with the following formulae:

For vehicle H: 
$$P_{avg,H} = \left(\frac{P_{max,H} + P_{min,H}}{2}\right)$$

For vehicle L: 
$$P_{avg,L} = \left(\frac{P_{max,L} + P_{min,L}}{2}\right)$$

For vehicle R: 
$$P_{avg,R} = \left(\frac{P_{max,R} + P_{min,R}}{2}\right)$$

Where,

P<sub>max</sub>, is the average of the maximum tyre pressures of the selected tyres for the two axles;

 $P_{min}$ , is the average of the minimum tyre pressures of the selected tyres for the two axles.

The corresponding effect in terms of resistance applied to the vehicle shall be calculated using the following formulae for vehicles H, L and R:

For vehicle H: 
$$TP_H = \left(\frac{P_{avg,H}}{P_{min,H}}\right)^{-0.4}$$

For vehicle L: 
$$TP_L = \left(\frac{P_{avg,L}}{P_{min,L}}\right)^{-0.4}$$

For vehicle R: 
$$TP_R = \left(\frac{P_{avg,R}}{P_{min,R}}\right)^{-0.4}$$

#### 2.3.6. Determination of the tyre tread depth (TTD)

According to point 4.2.2.2 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151 the minimum tyre tread depth is 80 % for the WLTP test, while pursuant to point 4.2 of Appendix 7 to Annex 4a to UN/ECE Regulation No 83, the minimum allowed tyre tread depth for the purpose of the NEDC test is 50 % of the nominal value. That results in an average difference of 2 mm in tread depth between the two procedures. The corresponding effect in terms of the resistance applied to the vehicle shall be determined for the purpose of the NEDC road load calculation in point 2.3.8 in accordance with the following formulae for vehicles H, L and R:

For vehicle H: 
$$TTD_H = \left(2 \cdot \frac{0.1 \cdot RM_{n,H} \cdot 9.81}{1000}\right)$$

For vehicle L: 
$$TTD_L = \left(2 \cdot \frac{0.1 \cdot RM_{n,L} \cdot 9.81}{1000}\right)$$

For vehicle R: 
$$TTD_R = \left(2 \cdot \frac{0.1 \cdot RM_{n,R} \cdot 9.81}{1000}\right)$$

Where,

 $RM_{n,H}$ ,  $RM_{n,L}$  or  $RM_{n,R}$  are the reference masses of vehicle H, L and R determined in accordance with point 2.3.1.1.

### 2.3.7. Determination of the inertia of rotating parts

For the purpose of the correlation tool:

During the simulation of the WLTP test four rotating wheels shall be considered, while for the purpose of the NEDC tests only two rotating wheels shall be considered. The effect this has on the forces applied to the vehicle shall be taken into account in accordance with the formulae set out in point 2.3.8.1.1(a)(3).

The acceleration and deceleration forces in the correlation tool shall be calculated for the NEDC simulation by considering the inertia of only two rotating wheels.

For the purpose of a physical test:

During the WLTP coastdown setting, coastdown times shall be transferred to forces and vice versa by taking into account the applicable test mass plus the effect of rotational mass (3 % of the sum of the MRO and 25 kg). For the NEDC coastdown setting, coastdown times shall be transferred to forces and vice versa by neglecting the effect of rotational mass (only the NEDC vehicle inertia calculated in point 2.3.1 is used).

#### **▼**B

2.3.8. Determination of the NEDC road loads

#### **▼**M1

2.3.8.1. In the case of WLTP road loads being determined in accordance with paragraphs 1-4 and 6 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151

The NEDC road load coefficients for complete N1 vehicles shall be calculated in accordance with the formulae specified in point 2.3.8.1.1 (for vehicle H) and in point 2.3.8.1.2 (for vehicle L) and in accordance with the following points (a) and (b).

Unless otherwise specified, the formulae shall apply both in the case of simulations and in the case of physical vehicle tests.

The type approval authority, or where applicable, the technical service shall verify if the wind-tunnel facility referred to in paragraph 3.2.3.2.2.3 in Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151 is qualified to accurately determine the  $\Delta(\text{Cd}\times\text{Af})$  values. If the wind-tunnel facility is not qualified, the highest aerodynamic drag value shall apply for all vehicles in the family.

- (a) The WLTP road load coefficients and test mass values referred to in those formulae shall be those resulting from vehicle H and L as defined for the interpolation family pursuant to Regulation (EU) 2017/1151.
- (b) Notwithstanding point (a), where the cycle energy demand of the WLTP vehicle H and/or L, as determined in accordance with paragraph 5 of Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151, does not result in the highest, or respectively, the lowest cycle energy demand for the NEDC vehicle H and/or L, the NEDC road load coefficients shall be determined in accordance with either of the following:
  - (i) on the basis of the individual vehicle in the interpolation family with the highest, or respectively, the lowest NEDC cycle energy demand;
  - (ii) on the basis of the combination of the highest, or respectively, the lowest of each of the road load relevant characteristics, i.e. aerodynamic drag, rolling resistance and mass, taken from any individual vehicle in the interpolation family.

The choice of the procedure set out in points (i) or (ii) shall be made by the manufacturer.

Point (b) shall apply for new emission type approvals granted from 1 January 2019, or from an earlier date at the manufacturer's request.

#### **▼**B

2.3.8.1.1. Determination of the NEDC road load coefficients for vehicle H

### **▼**<u>M1</u>

Where this calculation procedure is used for an individual vehicle in accordance with point 4.2.1.4.2, the WLTP road loads and test mass corresponding to the NEDC individual vehicle shall be used with the influence of the optional equipment removed.

- (a) The road load coefficient  $F_{0,n}$  expressed in Newton (N) for vehicle H shall be determined as follows:
  - (1) Effect of different inertia:

$$F_{0n,H}^1 = F_{0w,H} \cdot \left(\frac{RM_{n,H}}{TM_{w,H}}\right)$$

Where the factors in the formula are as defined in point 2.3.1, with the exception of the following:

 $F_{0w,H}$  is the road load coefficient  $F_0$  determined for the WLTP test of vehicle H;  $TM_{w,H}$  is the test mass used for the WLTP test of vehicle H.

(2) Effect of different tyre pressure:

$$F_{0n,H}^2 = F_{0n,H}^1 \cdot TP_H$$

Where the factors in the formula are as defined in point 2.3.5.

(3) Effect of the inertia of rotating parts:

$$F_{0n,H}^3 = F_{0n,H}^2 \cdot \left(\frac{1,015}{1,03}\right)$$

In the case of a physical vehicle test, the following formula applies:

$$F_{0n,H}^3 = F_{0n,H}^2 \cdot \left(\frac{1}{1,03}\right)$$

(4) Effect of different tyre tread depth:

$$F_{0n,H}^4 = F_{0n,H}^3 - TTD_H$$

Where the factors in the formula are as defined in point 2.3.6.

(5) Effect of preconditioning:

$$F_{0n,H} = F_{0n,H}^4 - 6$$

In the case of a physical vehicle test, the correction for the effect of preconditioning shall not be applied.

(b) The road load coefficient  $F_{1n}$  for vehicle H shall be determined as follows:

Effect of the inertia of rotating parts:

$$F_{1n,H} = F_{1w,H} \cdot \left(\frac{1,015}{1,03}\right)$$

In the case of a physical vehicle test, the following formula applies:

$$F_{1n,H} = F_{1w,H} \cdot \left(\frac{1}{1,03}\right)$$

(c) The road load coefficient  $F_{2n}$  for vehicle H shall be determined as follows:

Effect of the inertia of rotating parts:

$$F_{2n,H} = F_{2w,H}^* \cdot \left(\frac{1,015}{1,03}\right)$$

In the case of a physical vehicle test, the following formula applies:

$$F_{2n,H} = F_{2w,H}^* \cdot \left(\frac{1}{1,03}\right)$$

**▼**<u>M1</u>

Where the factor  $F^*_{2w,H}$  is the road load coefficient  $F_2$  determined for the WLTP test of vehicle H from which the effect of all optional equipment has been removed.

**▼**<u>B</u>

2.3.8.1.2. Determination of the NEDC road load coefficients for vehicle L

- (a) The road load coefficient F<sub>0,n</sub> for vehicle L shall be determined as follows:
  - (1) Effect of different inertia:

$$F_{0n,L}^1 = F_{0w,L} \cdot \left(\frac{RM_{n,L}}{TM_{w,L}}\right)$$

Where the factors in the formula are as defined in point 2.3.1, with the exception of  $F_{0w,L}$  which is the road load coefficient  $F_0$  determined for the WLTP test of vehicle L, and  $TM_{w,L}$  which is the test mass used for the WLTP test of vehicle L.

(2) Effect of different tyre pressure:

$$F_{0n,L}^2 = F_{0n,L}^1 \cdot TP_L$$

Where the factors in the formula are as defined in point 2.3.5.

(3) Effect of the inertia of rotating parts:

$$F_{0n,L}^3 = F_{0n,L}^2 \cdot \left(\frac{1,015}{1,03}\right)$$

In the case of a physical vehicle test, the following formula applies:

$$F_{0n,L}^3 = F_{0n,L}^2 \cdot \left(\frac{1}{1,03}\right)$$

(4) Effect of different tyre tread depth:

$$F_{0n,L}^4 = F_{0n,L}^3 - TTD_L$$

Where the factors in the formula are as defined in point 2.3.6.

(5) Effect of preconditioning:

$$F_{0n,L} = F_{0n,L}^4 - 6$$

In the case of a physical vehicle test, the correction for the effect of preconditioning shall not be applied.

(b) The road load coefficient  $F_{1n}$  for vehicle L shall be determined as follows:

Effect of the inertia of rotating parts:

$$F_{1n,L} = F_{1w,L} \cdot \left(\frac{1,015}{1,03}\right)$$

In the case of a physical vehicle test, the following formula applies:

$$F_{1n,L} = F_{1w,L} \cdot \left(\frac{1}{1,03}\right)$$

Where the factors in the formula are as defined in point 2.3.7, with the exception of  $F_{1w,L}$  which is the road load coefficient  $F_1$  determined for the WLTP test of vehicle L.

(c) The road load coefficient F<sub>2n</sub> for vehicle L shall be determined as

**▼**<u>B</u>

Effect of the inertia of rotating parts:

$$F_{2n,L} = F_{2w,L}^* \cdot \left(\frac{1,015}{1,03}\right)$$

In the case of a physical vehicle test, the following formula applies:

$$F_{2n,L} = F_{2w,L}^* \cdot \left(\frac{1}{1,03}\right)$$

**▼**<u>M1</u>

Where the factor  $F^*_{2w,L}$  is the road load coefficient  $F_2$  determined for the WLTP test of vehicle L from which the effect of all optional equipment has been removed.

**▼**B

- 2.3.8.2. Determination of the NEDC road loads where, for the purpose of the WLTP test, the road loads have been determined in accordance with point 5.1 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151 for complete and incomplete N1 vehicles
- 2.3.8.2.1. Road load matrix family in accordance with point 5.1 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151 complete N1 vehicles

Where the road load of a complete vehicle has been calculated in accordance with point 5.1 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151, the NEDC road load to be used as input for the correlation tool simulations shall be determined as follows:

(a) NEDC tabulated road load values in accordance with Table 3 of Annex 4a to Regulation UN/ECE No 83

Vehicle H:

$$F_{0n,H} = T_{0n,H} + (F_{0w,H} - A_{w,H})$$

$$F_{1n,H} = F_{1w,H} - B_{w,H}$$

$$F_{2n,H} = T_{2n,H} + (F_{2w,H} - C_{w,H})$$

Vehicle L:

$$F_{0n,L} = T_{0n,L} + (F_{0w,L} - A_{w,L})$$

$$F_{1n,L} = F_{1w,L} - B_{w,L}$$

$$F_{2n,L} = T_{2n,L} + (F_{2w,L} - C_{w,L})$$

Where,

 $F_{0n,i}$ ,  $F_{1n,i}$ ,  $F_{2n,i}$  with i = H,L, are the NEDC road load coefficients for vehicle H or L;

 $T_{0n,i}$ ,  $T_{2n,i}$ , with i = H,L are

are the NEDC chassis dynamometer coefficients for vehicles H or L determined in accordance with Table 3 of Annex 4a to UN/ECE Regulation No 83;

 $A_{W,H/L}$ ,  $B_{W,H/L}$ ,  $C_{W,H/L}$ 

are the chassis dynamometer coefficients for the vehicle used for the purpose of the preparation of the chassis dynamometer in accordance with points 7 and 8 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151.

In the case of a physical vehicle testing, the test shall be performed with the NEDC chassis dynamometer coefficients for vehicle L and H determined in accordance with Table 3 of Annex 4a to UN/ECE Regulation No 83.

#### **▼**<u>M1</u>

(b) NEDC road load coefficients where the NEDC tabulated values are not used

In the case of vehicles designed for a technically permissible maximum laden mass equal to or exceeding 3 000 kg, the NEDC road load coefficients may, at the request of the manufacturer, be determined in accordance with point 2.3.8.1.

2.3.8.2.2. Road load determination for incomplete N1 vehicles in accordance with point 2.2 of Annex XII to Regulation (EU) 2017/1151

In the case of an incomplete N1 vehicle where the road loads of the representative vehicle has been determined in accordance with paragraph 2.2 of Annex XII and paragraph 5.1 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151, the NEDC road load to be used as input for the correlation tool simulations shall be determined as follows:

$$F_{0n,R} = T_{0n,R} + \left(\frac{F_{0W,L} - A_{W,L} + F_{0W,H} - A_{W,H}}{2}\right)$$

$$F_{1n,R} = \frac{F_{1W,L} - B_{W,L} + F_{1W,H} - B_{W,H}}{2}$$

$$F_{2n,R} = T_{2n,R} + \left(\frac{F_{2W,L} - C_{W,L} + F_{2W,H} - C_{W,H}}{2}\right)$$

Where.

 $F_{0n,R}$ ,  $F_{1n,R}$ ,  $F_{2n,R}$  are the NEDC road load coefficients for the representative vehicle;

 $T_{0n,R}$ ,  $T_{2n,R}$  are the NEDC chassis dynamometer coefficients for the representative vehicle determined in accordance with Table 3 of Annex 4a to UN/ECE Regulation No 83;

 $A_{W,L/H}$ ,  $B_{W,L/H}$ ,  $C_{W,L/H}$  are the chassis dynamometer coefficients for the vehicle used for the preparation of the chassis dynamometer in accordance with points 7 and 8 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151

In the case of a physical vehicle testing, the test shall be performed with the NEDC chassis dynamometer coefficients for vehicle R determined in accordance with Table 3 of Annex 4a to UN/ECE Regulation No 83.

**▼**B

2.3.8.3. Default road loads in accordance with point 5.2 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151

Where default road loads have been calculated in accordance with point 5.2 of Sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151, the NEDC road loads shall be calculated in accordance with point 2.3.8.2.1(a) of this Annex.

In the case of physical vehicle testing, the test shall be performed with the NEDC chassis dynamometer coefficients for vehicles H or L determined in accordance with Table 3 of Annex 4a to UN/ECE Regulation No 83.

**▼**M1

2.3.8.3(a). Extensions of emission approvals granted pursuant to Regulation (EU) 2017/1151

Where an emission approval pursuant to Regulation (EU) 2017/1151 is extended due to the addition of new vehicles to the  $CO_2$  interpolation family with NEDC  $CO_2$  emissions higher than those of vehicle H or lower than those of vehicle L, the following shall apply for the purpose of the correlation:

- (a) Where the difference between NEDC vehicle H and L of the interpolation family is equal to or higher than 5 g CO<sub>2</sub>/km, the NEDC interpolation line determined for that family can be extended, provided that the NEDC CO<sub>2</sub> emissions determined pursuant to point 3 on the basis of input data taken from the WLTP vehicle test referred to in point 3.1.1 of Annex I to Regulation (EU) 2017/1151, are equal to or below the CO<sub>2</sub> emissions determined on the basis of the NEDC interpolation line;
- (b) Where the difference between NEDC vehicle H and L is lower than 5 g CO<sub>2</sub>/km the interpolation line may not be extended.

#### **▼**<u>M1</u>

In the case (a), the reference  $CO_2$  emissions shall be determined without the selection referred to in points 3.1.1.2 and 3.2.6.

In the case (b), or in the case the reference  $CO_2$  emissions referred to in point (a) are higher than the interpolation line, the NEDC vehicle H and L shall be determined in accordance with points 2 and 3 of this Annex.

Point (a) shall apply with regard to new extensions to new types granted from 1 January 2019, or from an earlier date at the manufacturer's request.

#### **▼**B

#### 2.4. Input data matrix

The manufacturer shall determine the input data for each vehicle H and L in accordance with point 2.2 and submit the completed matrix set out in Table 1 to the type approval authority or, where applicable, the technical service appointed to perform the test, with the exception of entries 31, 32 and 33 (the NEDC road loads) which shall be calculated by the type approval authority or the technical service in accordance with the formulae specified in point 2.3.8.  $\blacktriangleright$  M2 The input data matrix shall be filled in for each WLTP test performed.  $\blacktriangleleft$ 

The type approval authority or technical service shall independently verify and confirm the correctness of the input data provided by the manufacturer. In case of doubt, the type approval authority or technical service shall determine the relevant input data independently of the information provided by the manufacturer or, where appropriate, act in accordance with points 3.2.7 and 3.2.8.

 $\label{eq:Table 1} Table \ I$  Matrix of input data for the correlation tool

No	Input parameters for the correlation tool	Unit	Source	Remarks
1	Fuel type	_	Point 3.2.2.1 of Appendix 3 to Annex I to Regulation (EU) 2017/1151	Diesel/Petrol/LPG/NG or Biomethane/Ethantol(E85)/ Biodiesel
2	Fuel lower heating value	kJ/kg	Declaration by manufacturer and/or technical service	
3	Fuel carbon content	%	Declaration by manufacturer and/or technical service	% of carbon in the fuel by weight. E.g. 85,5 %
4	Engine type		Point 3.2.1.1 of Appendix 3 to Annex I to Regulation (EU) 2017/1151	Positive ignition or compression ignition
5	Engine capacity	сс	Point 3.2.1.3 of Appendix 3 to Annex I to Regulation (EU) 2017/1151	
6	Engine stroke	mm	Point 3.2.1.2.2 Appendix 3 to Annex I to Regulation (EU) 2017/1151	

NT.	Input parameters for the	¥ Y ta	g	D
No	correlation tool	Unit	Source	Remarks
7	Rated engine power	kWmin <sup>-1</sup>	Point 3.2.1.8 of Appendix 3 to Annex I to Regulation (EU) 2017/1151	
8	Engine speed at rated engine power	min <sup>-1</sup>	Point 3.2.1.8 in Appendix 3 to Annex I to Regulation (EU) 2017/1151	Engine speed at maximum n
9	High engine idling speed (*)	min <sup>-1</sup>	Point 3.2.1.6.1 Appendix 3 to Annex I to Regulation (EU) 2017/1151	
10	Maximum net torque (*)	Nm at min <sup>-1</sup>	Point 3.2.1.10 Appendix 3 to Annex I to Regulation (EU) 2017/1151	
11	T1 map speed (*)	rpm	Sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151	Array
12	T1 map torque (*)	Nm	Sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151	Array
13	T1 map power (*)	kW	Sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151	Array
14	Engine idle speed	rpm	Sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151	Idle speed in warm condition
15	Engine idle fuel consumption	g/s	Manufacturer declaration	Idle fuel consumption in warr condition
16	Final drive ratios	_	Point 4.6 in Appendix 3 to Annex I to Regulation (EU) 2017/1151	Final drive ratio
17	Tyre code (**)	_	Point 6 of Appendix 3 to Annex I to Regulation (EU) 2017/1151	Tyre code (e.g. P19: 55R1685H) of the tyres use in the WLTP test
18	Gearbox type	_	Point 4.5 of Appendix 3 to Annex I to Regulation (EU) 2017/1151	automatic/manual/CVT
19	Torque converter	_	Manufacturer declaration	0 = No, 1 = Yes; Does the vehicle use torque converter?
20	Fuel saving gear for automatic transmission	_	Manufacturer declaration	0 = No, 1 = Yes Setting this value to 1 will allo the correlation tool to use higher gear at constant spee driving than in the case of transient conditions

No	Input parameters for the	Unit	Source	Remarks	
110	correlation tool	Oint	Source	Remarks	
21	Drive mode	1	Point 2.3.1 of Sub-Annex 5 to Annex XXI to Regulation (EU) 2017/1151	Two-wheel drive, four-wheel drive.	
22	Start-stop activation time	sec	Manufacturer declaration	Start-stop activation time elapsed from test start	
23	Nominal voltage of the alternator	V	Point 3.4.4.5 of Appendix 3 to Annex I to Regulation (EU) 2017/1151		
24	Battery capacity	Ah	Point 3.4.4.5 of Appendix 3 to Annex I to Regulation (EU) 2017/1151		
25	Starting ambient temperature WLTP	°C		Default value = 23 °C WLTP test measurement	
26	Alternator maximum power	kW	Manufacturer declaration		
27	Efficiency of the alternator	_	Manufacturer declaration		
28	Gearbox ratios	_	Point 4.6 of Appendix 3 to Annex I to Regulation (EU) 2017/1151		
29	Ratio of vehicle speed to engine speed (**)	(km/h)/rpm	Manufacturer declaration		
30	Vehicle inertia NEDC	kg	Table 3 of Annex 4a to UN/ ECE Regulation No 83 (to be completed by the type approval authority or Technical Service)	To be derived in accordance with point 2.3.1 of this Annex.	
31	F0 NEDC	N	Point 2.3.8 of this Annex, (to be completed by the type approval authority or Technical Service)	F0 road load coefficient	
32	F1 NEDC	N/(km/h) <sup>2</sup>	Idem	F1 road load coefficient	
33	F2 NEDC	N/(km/h) <sup>2</sup>	Idem	F2 road load coefficient	
34	Inertia setting WLTP	kg	Point 2.5.3 of sub-Annex 4 to Annex XXI to Regulation (EU) 2017/1151	Chassis dynamometer inertia applied during WLTP test	
35	F0 WLTP	N	Point 2.4.8 of the Appendix to the information document in Appendix 3 to Annex I to Regulation (EU) 2017/1151	F0 road load coefficient	

### **▼**<u>B</u>

No	Input parameters for the correlation tool	Unit	Source	Remarks
36	F1 WLTP	N/(km/h) <sup>2</sup>	Idem	F1 road load coefficient
37	F2 WLTP	N/(km/h) <sup>2</sup>	Idem	F2 road load coefficient
38	WLTP CO <sub>2</sub> value phase 1	gCO <sub>2</sub> /km	Point 2.1.1 of test report of Annex I, Appendix 8a to Regulation (EU) 2017/1151	Phase low, bag values not corrected for RCB, not rounded WLTP test measurement
39	WLTP CO <sub>2</sub> value phase 2	gCO <sub>2</sub> /km	Idem	Phase medium, bag values not corrected for RCB, not rounded WLTP test measurement
40	WLTP CO <sub>2</sub> value phase 3	gCO <sub>2/</sub> km	Idem	Phase high, bag values not corrected for RCB, not rounded WLTP test measurement
41	WLTP CO <sub>2</sub> value phase 4	gCO <sub>2</sub> /km	Idem	Phase extra high, bag values not corrected for RCB, not rounded WLTP test measurement
42	Turbo- or supercharger	_	Manufacturer declaration	0 = No   1 = Yes — Is the engine equipped with any kind of charging system?
43	Start-stop	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have start-stop system?
44	Brake energy recuperation	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have energy recuperation technologies?
45	Variable valve actuation	_	Manufacturer declaration	0 = No   1 = Yes — Does the engine feature variable valve actuation?
46	Thermal management	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have technologies that actively manage temperature at the gear box?
47	Direct injection/Port Fuel Injection	_	Manufacturer declaration	0 = PFI   1 = DI

<b>▼</b> <u>B</u>					
	No	Input parameters for the correlation tool	Unit	Source	Remarks
	48	Lean burn	_	Manufacturer declaration	0 = No   1 = Yes — Does the engine use lean burn?
	49	Cylinder deactivation	_	Manufacturer declaration	0 = No   1 = Yes — Does the engine use a cylinder deactivation system?
	50	Exhaust gas recirculation	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have an external EGR system?
	51	Particulate filter	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have a particulate filter?
	52	Selective Catalytic Reduction	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have an SCR system?
	53	NOx storage catalyst	_	Manufacturer declaration	0 = No   1 = Yes — Does the vehicle have a NOx storage catalyst?
	54	WLTP Time	sec	WLTP test measurement (identified in accordance to Point 2.2 of this Annex)	Array: OBD and Chassis Dynamometer data, 1 hz
	55	WLTP Velocity (theoretical)	km/h	As defined in sub-Annex 1 to Annex XXI to Regulation (EU) 2017/1151	Array: 1 hz, resolution 0,1 km/h. If not provided the speed profile defined in Point 6 of sub-Annex 1 to Annex XXI to Regulation (EU) 2017/1151 and in particular Tables A1/7-A1/9, A1/11, and A1/12 applies
	56	WLTP Velocity (actual)	km/h	WLTP test measurement (identified in accordance to Point 2.2 of this Annex)	▶ M2 Array: OBD and chassis dynamometer data, 1Hz for OBD and 10Hz for the chassis dynamometer, resolution 0,1 km/h ◀
	57	WLTP Gear (theoretical)	_	As defined in sub-Annex 2 to Annex XXI to Regulation (EU) 2017/1151	► <u>M2</u> Array: 1Hz. Theoretical calculated gear shift to be provided for vehicle H and L (if applicable) ◀
	58	WLTP Engine Speed	rpm	WLTP test measurement (identified in accordance to Point 2.2 of this Annex)	Array: 1 hz, 10 RPM resolution from OBD

<b>▼</b> <u>B</u>					
	No	Input parameters for the correlation tool	Unit	Source	Remarks
	59	WLTP Engine Coolant Temperature	°C	Idem	Array: OBD Data, 1 hz, 1 °C resolution
	60	WLTP Alternator Current	A	As defined, for the low-voltage battery current, in Appendix 2 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151	Array: 1 hz, 0,1 A resolution, external measurement device synchronised with the chassis dynamometer
	61	WLTP Low-Voltage Battery Current	A	As defined in Appendix 2 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151	► <u>M2</u> Array: 1Hz (sampling frequency of the instrument 20Hz), 0,1 A resolution, external measurement device synchronised with the chassis dynamometer ◀
	62	WLTP calculated load	_	As defined in Annex 11 to UN/ECE Regulation No 83	Array: OBD data, 1 hz at least (higher frequencies possible, 1 % resolution) WLTP test measurement
	63	Declared combined NEDC CO <sub>2</sub> emissions	gCO <sub>2</sub> /km	For the purpose of point 3.2 of this Annex	Declared value for NEDC test. In case of vehicles with periodically regenerative systems the value shall be Ki corrected.
	64	NEDC velocity (theoretical)	km/h	As defined in point 6 of Annex 4 to UN/ECE Regulation No 83	Array: 1 hz, resolution 0,1 km/h. If not provided the speed profile defined in point 6 of Annex 4 to UN/ECE Regulation No 83 applies
	65	NEDC gear (theoretical)	-	As defined in point 6 of Annex 4 to UN/ECE Regulation No 83	Array: 1 hz. If not provided the speed profile defined in point 6 of Annex 4 to UN/ECE Regulation No 83 applies
	66	► <u>M1</u> Interpolation identification number ◀		Point 5.0 of Annex XXI to Regulation (EU) 2017/1151	
<b>▼</b> <u>M2</u>					
	67	K <sub>i</sub> Regenerative Factor multiplicative/additive for vehicle H and L	_	Appendix 1 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151	For vehicles without periodically regenerating systems this value is equal to 1.
<b>▼</b> <u>M1</u>					
	68	Number of cylinders	_	Manufacturer declaration	Number (To be provided at the latest from 1 January 2019)

### **▼**<u>B</u>

**▼**<u>M2</u>

No	Input parameters for the correlation tool	Unit	Source	Remarks
69	Fuel heating value	kWh/l	Appendix 2 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151	Value according to the Table A6.App2/1 in Regulation (EU) 2017/1151
70	Fuel consumption of WLTP test for vehicle H and L	1/100km	Paragraph 6 of Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151	Non-balanced fuel consumption of Type 1 test
71	Nominal REESS voltage	V	According to DIN EN 60050-482	For low voltage battery as described in Appendix 2 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151
72	ATCT family correction factor	_	Sub-Annex 6a to Annex XXI to Regulation (EU) 2017/1151	ATCT Family correction factor (14 °C correction)
73	Speed and distance correction of WLTP test	_	Regulation (EU) 2017/1151	Correction performed? $0 = No \mid 1 = Yes$
74	RCB correction of WLTP test	_	Appendix 2 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151	Correction performed? $0 = No \mid 1 = Yes$
75	Number of the WLTP test	1, 2 or 3		Indicate whether the test data is taken from the first, second or third WLTP test
76	WLTP declared value for vehicle H and L	g/km	Manufacturer declaration	Declared value for WLTP vehicle H and L. Value to include all corrections (if applicable)
77	WLTP CO <sub>2</sub> measured corrected for vehicle H and/or L	g/km	MCO <sub>2,C,5</sub> values from appendix 4 to Annex I to Regulation (EU) 2017/1151	Combined measured CO <sub>2</sub> emissions for vehicle H and L after all applicable corrections. In case of 2 and 3 WLTP tests all measured results shall be provided.
78	WLTP re-test	_	Point 2.2b.(b) of Annex I	Indicate which test conditions as referred to in point 2.2a.(a) to (d) of Annex I have been subject to re-testing

### **▼**<u>B</u>

 <sup>(\*)</sup> Either normal engine idling speed, high engine idling speed and maximum net torque or T1 maps speed torque and power are necessary (for gearshift).
(\*\*) Either tyre dimensions or velocity speed ratio is necessary (for gearshift).

#### **▼**B

- 3. DETERMINATION OF NEDC CO<sub>2</sub> EMISSION AND FUEL CONSUMPTION VALUES FOR VEHICLE H AND L
- 3.1. Determination of NEDC CO<sub>2</sub> reference values, phase-specific values and fuel consumption values for vehicle H and L

The type approval authority shall ensure that the NEDC CO<sub>2</sub> reference value for vehicle H and, where applicable, vehicle L of a WLTP interpolation family as well as the phase specific values and the fuel consumption is determined in accordance with points 3.1.2 and 3.1.3.

If the difference between vehicle H and vehicle L is due only to a difference in optional equipment (i.e. the MRO, bodyshape and road load coefficients are the same), the NEDC CO<sub>2</sub> reference value shall be determined for vehicle H only.

- 3.1.1. Correlation tool input and output
- 3.1.1.1. Original correlation output report

The type approval authority or designated technical service shall ensure that the input data file for the correlation tool is complete. Following a completed test run on the correlation tool, an original correlation output report shall be issued and attributed with a hash code. The report shall include the following sub-files:

▼<u>M2</u>

**▼**<u>B</u>

- (b) the output data resulting from the execution of the simulation;
- (c) the summary file, including

**▼**<u>M1</u>

(i) the interpolation family identification number;

**▼**B

(ii) the delta between the manufacturer declared CO<sub>2</sub> value and the value resulting from the correlation tool (CO<sub>2</sub> combined);

**▼**<u>M2</u>

(iii) the input data as specified in point 2.4.

The summary file referred to in point (c) shall be encrypted to ensure confidentiality.

#### 3.1.1.2. Complete correlation file

Where the original correlation output report has been issued in accordance with point 3.1.1.1., the type approval authority, or where applicable the designated technical service shall upload the summary file referred to in point 3.1.1.1.(c) to a Commission server from which a reply is returned to the sender (with the relevant services of the Commission in copy), including a randomly generated integer number between 0 and 99, a hash code of the summary file unequivocally linking that number to the original output report digitally signed by the Commission server.

A complete correlation file shall be created by the type approval authority, or where applicable the designated technical service, which shall include the original correlation output report referred to in point

#### **▼**<u>M2</u>

3.1.1.1. and the reply from the Commission server. The file shall be maintained by the type approval authority as a test report in accordance with Annex VIII of Directive 2007/46/EC.

**▼**B

3.1.2. NEDC CO<sub>2</sub> reference value for vehicle H

The correlation tool shall be used to execute the simulated NEDC test of vehicle H using the relevant input data matrix referred to in point 2.4.

The NEDC CO<sub>2</sub> reference value for vehicle H shall be determined as follows:

$$CO_{2,H} = NEDC \ CO_{2,C,H} \cdot K_{i,H}$$

Where,

 $CO_{2,H}$  is the NEDC  $CO_2$  reference value for vehicle H;

NEDC CO<sub>2,C,H</sub> is the correlation tool simulated combined NEDC CO<sub>2</sub> result (without Ki correction) for vehicle H;

 $K_{i:H}$  is the value determined in accordance with

Appendix 1 to Sub-Annex 6 to Annex XXI to Regu-

lation (EU) 2017/1151 for vehicle H.

In addition to the NEDC reference CO<sub>2</sub> value, the correlation tool shall also provide the phase-specific values for vehicle H.

3.1.3. NEDC CO<sub>2</sub> reference value for vehicle L

Where applicable, the simulated NEDC test of vehicle L shall be performed using the correlation tool and the relevant input data as recorded in the matrix referred to in point 2.4.

The NEDC  $\mathrm{CO}_2$  reference value for vehicle L shall be determined as follows:

$$CO_{2,L} = NEDC \ CO_{2,C,L} \cdot K_{i,L}$$

Where,

 $CO_{2L}$  is the NEDC  $CO_2$  reference value for vehicle L;

NEDC CO<sub>2,C,L</sub> is the correlation tool simulated combined NEDC CO<sub>2</sub> result (without Ki correction) for vehicle L;

 $K_{i,L}$  is the value determined in accordance with Appendix 1 to Sub-Annex 6 to Annex XXI to Regu-

lation (EU) 2017/1151 for vehicle L.

In addition to the NEDC reference CO<sub>2</sub> value, the correlation tool shall also provide the phase-specific values for vehicle L.

3.1.4. The NEDC CO<sub>2</sub> reference value for incomplete N1 vehicles

### **▼**<u>M1</u>

In the case of incomplete N1 vehicles, the simulated NEDC test of the representative vehicle (vehicle  $R_{\rm MSV}$ ) shall be performed using the correlation tool and the relevant input data as recorded in the matrix

#### **▼**<u>M1</u>

referred to in point 2.4 related to the vehicle H or L with the cycle energy demand closest to that determined for the representative vehicle in accordance with point 4.2.1.5.

**▼**B

The NEDC  ${\rm CO_2}$  reference value for vehicle  ${\rm R_{MSV}}$  shall be determined as follows:

 $CO_{2,RMSV} = NEDC \ CO_{2,C,RMSV} \cdot K_{i,RMSV}$ 

Where,

 $CO_{2,RMSV}$  is the NEDC  $CO_2$  reference value for vehicle

R<sub>MSV</sub>;

NEDC  $CO_{2,RMSV}$  is the correlation tool simulated combined NEDC

CO<sub>2</sub> result for vehicle R<sub>MSV</sub>;

 $K_{i,RMSV}$  is the value determined in accordance with

Appendix 1 to Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151 for vehicle  $R_{\rm MSV}.$ 

## 3.2. Interpretation of the NEDC CO<sub>2</sub> reference values determined for vehicle H, L or R<sub>MSV</sub>

For each WLTP interpolation family and, where applicable, for each road load matrix family, the manufacturer shall declare the NEDC  $\rm CO_2$  mass emissions combined value for vehicle H, and, where applicable, vehicle L or  $\rm R_{MSV}$ , to the approval authority. The type approval authority shall ensure that the NEDC  $\rm CO_2$  reference values for vehicle H and, where applicable, vehicle L or  $\rm R_{MSV}$ , are determined in accordance with point 3.1.2, 3.1.3 or 3.1.4, and that the reference values for the respective vehicle are interpreted in accordance with points 3.2.1 to 3.2.5. The NEDC  $\rm CO_2$  value determined in accordance with those points shall be used as follows:

- (a) In the case of vehicles H and L, for the calculations set out in section 4;
- (b) In the case of vehicle  $R_{\rm MSV}$ , the value shall be recorded in the type approval certificate and certificate of conformity of the incomplete vehicles falling within the relevant road load matrix family.
- 3.2.1. The NEDC  $CO_2$  value for vehicles H, L or  $R_{MSV}$  shall be the manufacturer's declared value, if the NEDC  $CO_2$  reference value does not exceed that value by more than 4%. The reference value may be lower without any limitation.
- 3.2.2. If the NEDC CO<sub>2</sub> reference value exceeds the manufacturer's declared value by more than 4 %, the reference value may be used for the purposes indicated in points (a) and (b), or the manufacturer may request that a physical measurement is performed under the responsibility of the type approval authority in accordance with the procedure referred to in Annex XII to Regulation (EC) No 692/2008, taking into account the precisions set out in section 2 of this Annex.
- 3.2.3. If the physical measurement referred to in point 3.2.2, amplified by the Ki-factor, does not exceed the manufacturer's declared value by more than 4 %, the declared value shall be used for the purposes indicated in points (a) and (b).

- 3.2.4. If the physical measurement, amplified by the Ki-factor, exceeds the manufacturer's declared value by more than 4%, another physical measurement of the same vehicle shall be performed and the results shall be amplified by the Ki-factor. If the average of those two measurements does not exceed the manufacturer's declared value by more than 4%, the declared value shall be used for the purposes indicated in points (a) and (b).
- 3.2.5. If the average of the two measurements referred to in point 3.2.4 exceeds the manufacturer's declared value by more than 4 %, a third measurement shall be performed and the results shall be amplified by the Ki-factor. The average of the three measurements shall be used for the purposes indicated in points (a) and (b).
- 3.2.6. Where the randomly generated number referred to in point 3.1.1.2 is in the range of 90 to 99 the vehicle shall be selected for one physical measurement in accordance with the procedure referred to in Annex XII to Regulation (EC) No 692/2008, taking into account the precisions set out in section 2 of this Annex. The test results shall be documented in accordance with Annex VIII to Directive 2007/46/EC.

Where the NEDC  ${\rm CO_2}$  value for both vehicles H and L, or  $R_{\rm MSV}$  is determined in accordance with point 3.2.1, the vehicle configuration selected for physical measurement shall be the following:

- (a) vehicle L, if the random number is in the range to 90 to 94;
- (b) vehicle H, if the random number is in the range of 95 to 99;
- (c) vehicle  $R_{\rm MSV}$ , if the random number is in the range of 90 to 99.

Where the NEDC  $\mathrm{CO}_2$  value is determined in accordance with point 3.2.1 for only one of the vehicles H and L in the interpolation family, that vehicle shall be selected for one physical measurement if the random number is in the range of 90 to 99.

If the NEDC  $\rm CO_2$  values are not determined in accordance with point 3.2.1, but vehicle H, L or  $\rm R_{MSV}$  are physically tested, the random number shall be disregarded.

- 3.2.7. Notwithstanding point 3.2.6, a type approval authority shall, where applicable, based on a proposal by a technical service, in those cases where the NEDC CO<sub>2</sub> value is determined in accordance with point 3.2.1, request that a vehicle undergoes one physical measurement where, based on their independent expertise, there are justified reasons to consider that the declared NEDC CO<sub>2</sub> value is too low in relation to a measured NEDC CO<sub>2</sub> value. The test results shall be documented in accordance with Annex VIII to Directive 2007/46/EC.
- 3.2.8. Where a physical test is performed in accordance with point 3.2.6 or point 3.2.7, the type approval authority shall for each WLTP interpolation family, or where applicable for each road load matrix family, record the relative deviation (De) between the measured value and the manufacturer's declared value determined as follows:

$$De = \frac{RTr - DV}{DV}$$

Where,

RTr is the random test result, amplified by the Ki-factor;

DV is the manufacturer's declared value.

The De factor shall be calculated with three decimals and be recorded in the type approval certificate and in the certificate of conformity.

Where the type approval authority finds that the physical test results do not confirm the input data provided by the manufacturer and, in particular, the data referred to in points 20, 22 and 44 of Table 1 in point 2.4, the verification factor shall be set to 1 and be recorded in the type approval certificate and in the certificate of conformity. Where the input data is confirmed or where the error in the input data is not to the benefit of the manufacturer the verification factor shall be set to 0.

## 3.3. Calculation of the NEDC phase-specific ${\rm CO_2}$ values and fuel consumption values for vehicles H, L and ${\rm R_{MSV}}$

The type approval authority or, where applicable, the technical service shall determine the NEDC phase-specific values and the fuel consumption values for vehicles H and L or  $R_{\rm MSV}$  in accordance with points 3.3.1, 3.3.2 and 3.3.3.

#### 3.3.1. Calculation of the NEDC phase-specific CO<sub>2</sub> values for vehicle H

The NEDC phase-specific values for vehicle H shall be calculated as follows:

NEDC 
$$CO_{2,p,H} = NEDC CO_{2,p,H,c} \cdot CO_{2,AF,H}$$

Where:

p is the NEDC phase 'UDC' or 'EUDC';

NEDC  $CO_{2,p,H,c}$  is the correlation tool simulated NEDC  $CO_2$  test

result for the phase p referred to in point 3.1.2 or a physical measurement result as referred to in

point 3.2.2 for vehicle H.

NEDC  $CO_{2,p,H}$  is the NEDC phase-specific value for vehicle H of

the applicable phase p, gCO<sub>2</sub>/km

▼<u>M1</u>

 $CO_{2,AF,H}$  is the adjustment factor for vehicle H calculated by

the ratio between the NEDC  ${\rm CO_2}$  value determined in accordance with point 3.2 and the correlation tool simulated NEDC test results referred to in point 3.1.2 or where applicable, the physical

measurement result.

**▼**B

3.3.2. Calculation of the NEDC phase-specific CO<sub>2</sub> values for vehicle L

The NEDC phase-specific values for vehicle L shall be calculated as follows:

$$NEDC\ CO_{2,p,L} = NEDC\ CO_{2,p,L,c} \cdot CO_{2,AF,L}$$

Where:

p is the NEDC phase 'UDC' or 'EUDC';

NEDC  $CO_{2,p,L,c}$  is the correlation tool simulated NEDC  $CO_2$  test

result for the phase p determined in accordance with point 3.1.3 or a physical measurement result

referred to in point 3.2.2 for vehicle L;

NEDC  $CO_{2,p,L}$  is the NEDC phase-specific value for vehicle L of

the applicable phase p, gCO<sub>2</sub>/km;

**▼**<u>M1</u>

 $CO_{2,AF,L}$  is the adjustment factor for vehicle L calculated by

the ratio between the NEDC  ${\rm CO_2}$  value determined in accordance with point 3.2 and the correlation tool simulated NEDC test results referred to in point 3.1.3 or where applicable, the physical

measurement result.

**▼**B

3.3.3. Calculation of the NEDC phase-specific  $CO_2$  values for vehicle  $R_{MSV}$ 

The NEDC phase-specific values for vehicle  $R_{\mbox{\scriptsize MSV}}$  shall be calculated as follows:

NEDC  $CO_{2,p,R} = NEDC CO_{2,p,R,c} \cdot CO_{2,AF,R}$ 

Where:

p is the NEDC phase 'UDC' or 'EUDC';

NEDC  $CO_{2,p,R,c}$  is the correlation tool simulated NEDC  $CO_2$  test

result for the phase p determined in accordance with point 3.1.3 or a physical measurement result referred to in point 3.2.2 for vehicle  $R_{\rm MSV}$ ;

NEDC  $CO_{2,p,R}$  is the NEDC phase-specific value for vehicle  $R_{MSV}$ 

of the applicable phase p, gCO<sub>2</sub>/km;

**▼**<u>M1</u>

 $CO_{2,AF,R}$  is the adjustment factor for vehicle  $R_{MSV}$  calculated

by the ratio between the NEDC CO<sub>2</sub> value determined in accordance with point 3.2 and the correlation tool simulated NEDC test results referred to in point 3.1.3 or where applicable, the

physical measurement result.

**▼**<u>B</u>

3.3.4. Calculation of the NEDC fuel consumption for vehicles H, L and  $R_{MSV}$ 

3.3.4.1. Calculation of the NEDC fuel consumption (combined)

The NEDC fuel consumption (combined) for vehicle H, and where applicable, vehicle L or  $R_{\rm MSV}$  shall be calculated using the combined NEDC  $CO_2$  emissions determined in accordance with point 3.2 and following the requirements and formulae specified in Annex XII to

Regulation (EC) No 692/2008. The emissions of other pollutants relevant to the fuel consumption calculation (hydrocarbons, carbon monoxide) shall be considered equal to 0 (zero) g/km.

#### 3.3.4.2. Calculation of the NEDC phase-specific fuel consumption

The NEDC phase-specific fuel consumption for vehicle H and where applicable, vehicle L or  $R_{\rm MSV}$  shall be calculated using the phase-specific NEDC  $CO_2$  emissions determined in accordance with point 3.3 and following the requirements and formulae specified in Annex XII to Regulation (EC) No 692/2008. The emissions of other pollutants relevant to the fuel consumption calculation (hydrocarbons, carbon monoxide) shall be considered equal to 0 (zero) g/km.

# 4. CALCULATION OF THE NEDC CO<sub>2</sub> VALUES AND FUEL CONSUMPTION VALUES TO BE ATTRIBUTED TO INDIVIDUAL COMPLETE N1 VEHICLES

The manufacturer shall calculate the (phase-specific and combined) NEDC CO<sub>2</sub> values and the fuel consumption values to be attributed to individual light commercial vehicles in accordance with points 4.1, 4.2 and 4.3 and record those values in the certificates of conformity.

The provisions on rounding set out in point 1.3 of Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151 shall apply.

## 4.1. Determination of the NEDC CO<sub>2</sub> and fuel consumption values in the case of a WLTP interpolation family based on vehicle H

Where the  $\mathrm{CO}_2$  emissions of the WLTP interpolation family are determined by reference to vehicle H only in accordance with point 1.2.3.1 of Sub-Annex 6 to Annex XXI to Regulation (EU) 2017/1151, or in the case of an incomplete vehicle, the NEDC  $\mathrm{CO}_2$  value to be recorded in the certificates of conformity of the vehicles belonging to that family or of the base vehicle shall be the NEDC  $\mathrm{CO}_2$  emissions determined in accordance with point 3.2 of this Annex and recorded in the type approval certificate of the vehicle H. The NEDC fuel consumption shall be values determined in accordance with point 3.3.4 of this Annex and recorded in the type approval certificate of the vehicle H.

# 4.2. Determination of the NEDC CO<sub>2</sub> value and fuel consumption in the case of a WLTP interpolation family based on vehicle L and vehicle H

- 4.2.1. Road load calculation of an individual vehicle
- 4.2.1.1. Mass of the relevant vehicle

The NEDC reference mass of the individual vehicle  $(RM_{n,ind})$  shall be determined as follows:

$$RM_{n.ind} = (MRO_{ind} - 75 + 100) [kg]$$

Where,  $MRO_{ind}$  is the mass in running order as defined in Article 3(g) of Regulation (EU) No 510/2011 of the individual vehicle.

The mass to be used for the calculation of the NEDC  $\mathrm{CO}_2$  values of the individual vehicle shall be the inertia value set out in Table 3 of Annex 4a to UN/ECE Regulation No 83 which is equivalent to the reference mass determined in accordance with this point and referred to as  $\mathrm{TM}_{n,ind}$ .

**▼**<u>B</u>

4.2.1.2. Rolling resistance of the individual vehicle

The tyre rolling resistance values determined in accordance with point 3.2.3.2.2.2 of sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151 shall be used for the interpolation of the NEDC  $\rm CO_2$  value of the individual vehicle.

4.2.1.3. Aerodynamic drag of an individual vehicle

The aerodynamic drag of the individual vehicle shall be calculated by considering the difference in aerodynamic drag between an individual vehicle and vehicle L, due to a difference in body shape (m<sup>2</sup>):

$$\Delta \left[ C_d \cdot A_f \right]_{ind-L,n}$$

Where:

 $C_d$  is the aerodynamic drag coefficient;

 $A_f$  is the frontal area of the vehicle, m<sup>2</sup>.

The type approval authority or, where applicable, the technical service shall verify if the wind tunnel facility referred to in 3.2.3.2.2.3 in Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151 is qualified to accurately determine the  $\Delta(C\_d\times A\_f)$  for body shapes that differ between vehicle L and vehicle H. If the wind tunnel facility is not qualified, the  $\Delta\left[C_d\times A_f\right]_{H-L,n}$  for vehicle H shall apply for the individual vehicle.

If vehicles L and H have the same body shape, the value of  $\Delta$  [ $C_d \cdot A_f$ ] for the interpolation method shall be set to zero.

#### **▼**M1

- 4.2.1.4. Calculation of the road load for an individual vehicle in a WLTP interpolation family
- 4.2.1.4.1. Road load coefficients derived from NEDC vehicle H and L

The road load coefficients  $F_{0,n}$ ,  $F_{1,n}$  and  $F_{2,n}$  for vehicles H and L determined in accordance with point 2.3.8 are referred to as  $F_{0n,H}$ ,  $F_{1n,H}$  and  $F_{2n,H}$  and  $F_{0n,L}$ ,  $F_{1n,L}$  and  $F_{2n,L}$  respectively.

The road load coefficients  $f_{0n,ind}$ ,  $f_{1n,ind}$  and  $f_{2n,ind}$  for an individual vehicle shall be calculated in accordance with the following formula:

Formula 1(a)

$$f_{0n,ind} = F_{0n,H} - \Delta F_{0n} \cdot \frac{(TM_{n,H} \cdot RR_{n,H} - TM_{n,ind} \cdot RR_{n,ind})}{(TM_{n,H} \cdot RR_{n,H} - TM_{n,L} \cdot RR_{n,L})}$$

For new emission type approvals granted from 1 January 2019, or prior to that date, on the manufacturer's request, the road load coefficients shall be calculated in accordance with the following formula:

Formula 1(b)

$$f_{0n,ind} = F_{0n,H} - \Delta F_{0n} \cdot \frac{(RM_{n,H} \cdot RR_{n,H} - RM_{n,ind} \cdot RR_{n,ind})}{(RM_{n,H} \cdot RR_{n,H} - RM_{n,L} \cdot RR_{n,L})}$$

Or, if  $(TM_{n,H} \cdot RR_{n,H} - TM_{n,L} \cdot RR_{n,L}) = 0$ , or, where applicable,  $(RM_{n,H} \cdot RR_{n,H} - RM_{n,L} \cdot RR_{n,L}) = 0$ , Formula 2 shall apply:

Formula 2

$$f_{0n,ind} = F_{0n,H} - \Delta F_{0n}$$

$$f_{1n,ind} = F_{1n,H}$$

$$f_{2n,ind} = F_{2n,H} - \Delta F_{2n} \cdot \frac{(\Delta[C_d \times A_f]_{LH,n} - \Delta[C_d \times A_f]_{ind,n})}{(\Delta[C_d \times A_f]_{LH,n})}$$

or, if  $\Delta[C_d \times A_f]_{LH,n} = 0$ , Formula 3 shall apply:

Formula 3

$$f_{2n,ind} = F_{2n,H} - \Delta F_{2n}$$

where:

$$\Delta F_{0,n} = F_{0n,H} - F_{0n,L}$$

$$\Delta F_{2.n} = F_{2n.H} - F_{2n.L}$$

4.2.1.4.2. Road load coefficients derived from WLTP road load coefficients of individual vehicles

From 1 January 2019 for new type approvals, and from 1 January 2020 for all new vehicles entering into service, or prior to that date at the request of the manufacturer, the NEDC road loads for an individual vehicle shall be derived from the WLTP road load coefficients of that vehicle in any of the following cases:

- (a) if the CO<sub>2</sub> emission value, the cycle energy demand, or any of the road load coefficients f<sub>0</sub>, f<sub>1</sub> or f<sub>2</sub> calculated in accordance with point 4.2.1.4.1, is to be extrapolated from NEDC vehicle H or L;
- (b) if the road load coefficients for NEDC vehicle H and L are derived from different road load families;
- (c) if the individual vehicle belongs to a road load family that is different from the road load family of NEDC vehicle H and/or L;
- (d) if the individual vehicle belongs to a road load matrix family.

The NEDC road load coefficients shall, in the cases (a) to (d), be calculated using the formulae set out in point 2.3.8.1.1, where references to vehicle H shall be considered as references to the individual vehicle.  $\blacktriangleright$  M3 In the case of point (d), where the road load coefficients for the road load matrix family have been determined in accordance with point 2.3.8.2.1(a), the road load coefficients for the individual vehicle may be determined in accordance with the formulae set out in the second paragraph of point 4.2.1.5.  $\blacktriangleleft$ 

In the case (a),  $CO_2$  extrapolation may only be performed, if the difference between NEDC vehicle H and L is equal to or higher than 5 g  $CO_2$ /km. The interpolation line may in that case be extrapolated by a maximum of 3 g  $CO_2$ /km above the  $CO_2$  emissions of

#### **▼**<u>M1</u>

vehicle H, or below those of vehicle L. If the extrapolation exceeds 3 g  $\rm CO_2/km$ , or the difference between NEDC vehicle H and L is lower than 5 g  $\rm CO_2/km$ , the manufacturer shall determine a new interpolation line for that family in accordance with points 2 and 3 of this Annex.

### **▼**<u>B</u>

#### 4.2.1.5. Calculation of cycle energy demand

The cycle energy demand of the applicable NEDC  $E_{k,n}$  and the energy demand for all cycle phases  $E_{k,p,n}$  applicable for individual vehicles in the WLTP interpolation family shall be calculated according to the procedure set out in Section 5 of Sub-Annex 7 to Annex XXI to Regulation (EU) 2017/1151, for the following sets k of road load coefficients and masses:

$$k = 1$$
:  $F_0 = F_{0n,L}$ ,  $F_1 = F_{1n,H}$ ,  $F_2 = F_{2n,L}$ ,  $m = TM_{n,L}$ 

(test vehicle L)

$$k = 2$$
:  $F_0 = F_{0n,H}$ ,  $F_1 = F_{1n,H}$ ,  $F_2 = F_{2n,H}$ ,  $m = TM_{n,H}$ 

(test vehicle H)

$$k = 3$$
:  $F_0 = f_{0n,ind}$ ,  $F_1 = F_{1n,H}$ ,  $F_2 = f_{2n,ind}$ ,  $m = TM_{n,ind}$ 

(an individual vehicle in the WLTP interpolation family)

In case the chassis dynamometer coefficients specified in Table 3 of Annex 4a to UN/ECE Regulation No 83 are applied, the following formulae shall be used:

$$f_{0n,ind} = F_{0n,H} - \Delta F_{0n} \cdot \frac{TM_{n,H} - TM_{n,ind}}{TM_{n,H} - TM_{n,L}}$$

$$f_{1n,ind} = F_{1n,H} - \Delta F_{1n} \cdot \frac{TM_{n,H} - TM_{n,ind}}{TM_{n,H} - TM_{n,L}}$$

$$f_{2n,ind} = F_{2n,H} - \Delta F_{2n} \cdot \frac{TM_{n,H} - TM_{n,ind}}{TM_{n,H} - TM_{n,L}}$$

#### **▼**M1

#### **▼**B

4.2.1.7. Calculation of the NEDC  ${\rm CO_2}$  value for an individual vehicle by the  ${\rm CO_2}$  interpolation method

For each cycle phase p of the NEDC applicable for individual vehicles in the WLTP interpolation family, the contribution to the total mass of  $CO_2$  for an individual vehicle shall be calculated as follows:

$$M_{CO_2-ind,p,n} = M_{CO_2-L,p,n} + \left(\frac{E_{3,p,n} - E_{1,p,n}}{E_{2,p,n} - E_{1,p,n}}\right) \cdot \left(M_{CO_2-H,p,n} - M_{CO_2-L,p,n}\right)$$

The mass of  $CO_2$  emissions, g/km, attributed to an individual vehicle of the WLTP interpolation family  $M_{CO_2-ind,n}$  shall be calculated as follows:

$$M_{CO_2-ind,n} = M_{CO_2-L,n} + \left(\frac{E_{3,n} - E_{1,n}}{E_{2,n} - E_{1,n}}\right) \cdot \left(M_{CO_2-H,n} - M_{CO_2-L,n}\right)$$

The terms  $E_{1,p,n}$ ,  $E_{2,p,n}$ ,  $E_{3,p,n}$ , and  $E_{1,n}$ ,  $E_{2,n}$ ,  $E_{3,n}$  are defined in point 4.2.1.5.

4.2.1.8. Calculation of the NEDC fuel consumption value for an individual vehicle by the interpolation method

For each cycle phase p of the NEDC applicable for individual vehicles in the WLTP interpolation family, the fuel consumption,  $1/100 \, \mathrm{km}$ , shall be calculated as follows:

$$FC_{p,n} = FC_{L,p,n} + \left(\frac{E_{3,p,n} - E_{1,p,n}}{E_{2,p,n} - E_{1,p,n}}\right) \cdot \left(FC_{H,p,n} - FC_{L,p,n}\right)$$

The fuel consumption, 1/100 km, of the complete cycle for an individual vehicle of the WLTP interpolation family shall be calculated as follows:

$$FC_{ind,n} = FC_{L,n} + \left(\frac{E_{3,n} - E_{1,n}}{E_{2,n} - E_{1,n}}\right) \cdot \left(FC_{H,n} - FC_{L,n}\right)$$

The terms  $E_{1,p,n}$ ,  $E_{2,p,n}$ ,  $E_{3,p,n}$ , and  $E_{1,n}$ ,  $E_{2,n}$ ,  $E_{3,n}$  are defined in point 4.2.1.5.

4.3. The NEDC CO<sub>2</sub> value and fuel consumption in the case of individual incomplete N1 vehicles

The NEDC  $\mathrm{CO}_2$  value and fuel consumption value determined in accordance with point 3.2 and the phase specific values in accordance with point 3.3 for the representative vehicle  $R_{\mathrm{MSV}}$  shall be attributed to incomplete vehicles belonging to road load matrix family of the representative vehicle.

#### 5. RECORDING OF DATA

The type approval authority or the designated technical service shall ensure that the following information is recorded:

- (a) the complete correlation file referred to in point 3.1.1, as a test report in accordance with Annex VIII to Directive 2007/46/EC;
- (b) the NEDC CO<sub>2</sub> values resulting from physical measurements referred to in point 3.2 of this Annex, in the type approval certificate as specified in the Appendix to the Addendum to the type approval certificate set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151;
- (c) the deviation factor (De) and the verification factor determined in accordance with point 3.2.8 of this Annex (if available), in the type approval certificate as specified in the Appendix to the Addendum to the type approval certificate set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151 and in entry 49.1 of the certificate of conformity as specified in Annex IX to Directive 2007/46/EC;
- (d) the NEDC phase specific CO<sub>2</sub> values and the phase specific and combined fuel consumption values determined in accordance with point 3.3 of this Annex, in the type approval certificate as specified in the Appendix to the Addendum to the type approval certificate set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151;

**▼**<u>B</u>

(e) the NEDC  ${\rm CO_2}$  (all phases and combined) and fuel consumption values (all phases and combined) determined in accordance with point 4.2 of this Annex, in entry 49.1 of the certificate of conformity as specified in Annex IX to Directive 2007/46/EC.

### ANNEX II

Annex I to Implementing Regulation (EU) No 293/2012 is amended as follows:

(1) the row for the entry 'Specific emissions of  ${\rm CO_2}$  (g/km)' is replaced by the following:

'Specific	NEDC	$CO_2$	Section 49.1	Section 3 of Annex VIII'
emissions	(g/km)			

(2) the following row is deleted:

'Innovative technology or	Section 4 of Annex VIII'
group of innovative technologies and the CO <sub>2</sub>	
emissions reduction due to	
that technology	

(3) the following seven rows are added:

'Specific WLTP CO <sub>2</sub> emissions (g/km)	Section 49.4	n/a
Total NEDC CO <sub>2</sub> emissions savings due to the eco-innovation(s)	Section 49.3.2.1	Section 4 of Annex VIII
Total emissions WLTP CO <sub>2</sub> savings due to the eco-innovation(s)	Section 49.3.2.2	
Test mass WLTP	Section 47.1.1	n/a
Deviation factor De	Section 49.1	Appendix to the Addendum to the type approval certifi- cate set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151
Verification factor ('1' or '0')	Section 49.1	Appendix to the Addendum to the type approval certifi- cate set out in Appendix 4 to Annex I to Regulation (EU) 2017/1151
Vehicle family identifi- cation number		Point 5.0 of Annex XXI to Regulation (EU) 2017/1151'