Regulation (EU) 2017/821 of the European Parliament and of the Council of 17 May 2017 laying down supply chain due diligence obligations for Union importers of tin, tantalum and tungsten, their ores, and gold originating from conflict-affected and high-risk areas

- Article 1 Subject matter and scope
- Article 2 Definitions
- Article 3 Compliance of Union importers with supply chain due diligence obligations
- Article 4 Management system obligations
- Article 5 Risk management obligations
- Article 6 Third-party audit obligations
- Article 7 Disclosure obligations
- Article 8 Recognition of supply chain due diligence schemes
- Article 9 List of global responsible smelters and refiners
- Article 10 Member State competent authorities
- Article 11 Ex-post checks on Union importers
- Article 12 Records of ex-post checks on Union importers
- Article 13 Cooperation and information exchange
- Article 14 Guidelines
- Article 15 Committee procedure
- Article 16 Rules applicable to infringement
- Article 17 Reporting and review
- Article 18 Methodology for calculation of thresholds
- Article 19 Exercise of the delegation
- Article 20 Entry into force and date of application Signature

## ANNEX I

List of minerals and metals within the scope of Regulation (EU) 2017/821 classified under the Combined Nomenclature

## Part A: Minerals

For the purpose of amending this threshold, the imported volume...

#### Part B: Metals

For the purpose of amending this threshold, the imported volume...

## ANNEX II

List of global responsible smelters and refiners' template referred to in Article 9

Column A: Name of smelters and refiners in alphabetical order... A B C

#### ANNEX III

List of Member State competent authorities template referred to in Article 10

Column A: Name of Member States in alphabetical order Column B:... A B C

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# **Changes to legislation:** There are currently no known outstanding effects for the Regulation (EU) 2017/821 of the European Parliament and of the Council. (See end of Document for details)

- (1) Position of the European Parliament of 16 March 2017 (not yet published in the Official Journal) and decision of the Council of 3 April 2017.
- (2) OECD Guidelines for Multinational Enterprises, OECD 2011 edition.
- (3) Guiding Principles on Business and Human Rights: Implementing the United Nations 'Protect, Respect and Remedy' Framework, endorsed by the UN Human Rights Council in its resolution 17/4 of 6 July 2011 (A/HRC/RES/17/4).
- (4) Regulation (EU) No 1287/2013 of the European Parliament and of the Council of 11 December 2013 establishing a Programme for the Competitiveness of Enterprises and small and medium-sized enterprises (COSME) (2014-2020) and repealing Decision No 1639/2006/EC (OJ L 347, 20.12.2013, p. 33).
- (5) OJ L 123, 12.5.2016, p. 1.
- (6) Regulation (EU) No 182/2011 of the European Parliament and of the Council of 16 February 2011 laying down the rules and general principles concerning mechanisms for control by Member States of the Commission's exercise of implementing powers (OJ L 55, 28.2.2011, p. 13).

#### Changes to legislation:

There are currently no known outstanding effects for the Regulation (EU) 2017/821 of the European Parliament and of the Council.