

Commission Implementing Regulation (EU) 2018/763 of 9 April 2018 establishing practical arrangements for issuing single safety certificates to railway undertakings pursuant to Directive (EU) 2016/798 of the European Parliament and of the Council, and repealing Commission Regulation (EC) No 653/2007 (Text with EEA relevance)

Article 1	Subject matter and scope
Article 2	Definitions
Article 3	Responsibilities of the Agency and the national safety authorities
Article 4	Responsibilities of applicants
Article 5	Language
Article 6	Procedural stages and timeframes
Article 7	Communication
Article 8	Period of validity of single safety certificates
Article 9	Information management
Article 10	Arrangements for visits and inspections on the sites of railway undertakings and audits
Article 11	Coordination between the Agency and national safety authorities
Article 12	Categorisation of issues
Article 13	Competence of the staff involved in assessments
Article 14	Review under Article 10(12) of Directive (EU) 2016/798
Article 15	Transitional provisions
Article 16	Repeal
Article 17	Entry into force and application
	Signature

---

ANNEX I

Content of the application for a single safety certificate

1. Type of application:
  - 1.1. New
  - 1.2. Renewal
  - 1.3. Update
  - 1.4. EIN of the previous certificate (in case of renewal or...
2. Type of operation requested (select one or more) :
  - 2.1. Passenger transport including high-speed services
  - 2.2. Passenger transport excluding high-speed services
  - 2.3. Freight transport including dangerous goods services
  - 2.4. Freight transport excluding dangerous goods services
  - 2.5. Shunting only
  - 2.6. Other (specify)
3. Rail transport operations:
  - 3.1. Expected date of starting services/operations (O)
  - 3.2. Member State(s) concerned with the intended area of operation
  - 3.3. Definition of the intended area of operation (for the concerned...
  - 3.4. Station(s) in neighbouring Member State(s) (in the cases referred to...
4. Safety certification body:

- 4.1. The Agency
  - 4.2. The national safety authority (in the cases referred to in...
  5. Applicant's information:
    - 5.1. Legal denomination
    - 5.2. Acronym (O)
    - 5.3. Complete postal address
    - 5.4. Phone
    - 5.5. Fax (O)
    - 5.6. Email
    - 5.7. Website (O)
    - 5.8. National registration number
    - 5.9. VAT number (O)
    - 5.10. Other relevant information (O)
  6. Contact person information:
    - 6.1. First name
    - 6.2. Surname
    - 6.3. Title or function
    - 6.4. Complete postal address
    - 6.5. Phone
    - 6.6. Fax (O)
    - 6.7. Email
    - 6.8. Language or languages spoken
- Documents annexed to the application
7. Documents submitted for the safety management system part of the...
    - 7.1. Description of the safety management system and other documents demonstrating...
    - 7.2. Information cross-referencing the safety management system (see point 7.1) against...
  8. Documents submitted for the national part (for each Member State...
    - 8.1. Description or other demonstration of how the safety management arrangements...
    - 8.2. Information cross-referencing the safety management system (see point 7.1) against...
  9. Corrective action plan(s)
    - 9.1. The current status of the action plan or plans established...
    - 9.2. The current status of the action plan or plans established...

## ANNEX II

### Safety assessment process

1. GENERAL
  - 1.1. The safety certification body and the national safety authorities concerned...
2. RECEIPT OF APPLICATION
  - 2.1. After receiving the application for a single safety certificate, the...
  - 2.2. The safety certification body and the national safety authorities concerned...
3. INITIAL SCREEN
  - 3.1. The safety certification body in coordination with the national safety...
  - 3.2. The national safety authorities concerned with the intended area of...

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) 2018/763. (See end of Document for details)

---

- 3.3. Following the checks referred to in points 3.1 and 3.2,...
- 3.4. The safety certification body and the national safety authorities concerned...
4. DETAILED ASSESSMENT
  - 4.1. After the completion of the initial screen stage, the safety...
  - 4.2. In undertaking the detailed assessment referred to in point 4.1,...
  - 4.3. The assessment shall determine whether the safety management system requirements...
  - 4.4. Any type 4 issue shall be resolved to the satisfaction...
  - 4.5. Residual concerns may be deferred for consideration to supervision, or...
  - 4.6. The safety certification body and the national safety authorities concerned...
  - 4.7. When identifying an issue referred to in Article 12(1), the...
  - 4.8. If the applicant significantly delays providing the requested information, the...
  - 4.9. The timeframe for taking the decision on the issuing of...
  - 4.10. To be satisfactory, the applicant's written responses shall be sufficient...
  - 4.11. Where a response is considered unsatisfactory, it shall be explained...
  - 4.12. If concerns emerge that the application could be rejected, or...
  - 4.13. When it is concluded that either the application meets all...
  - 4.14. The safety certification body and the national safety authorities concerned...
5. DECISION-MAKING
  - 5.1. Based on the conclusions of the completed assessment, a decision...
  - 5.2. The safety certification body may decide to restrict the scope...
  - 5.3. The applicant shall be informed about the decision of the...
  - 5.4. If the issuing of the single safety certificate is refused...
6. CLOSING ASSESSMENT
  - 6.1. The safety certification body shall complete the administrative closure by...
7. SPECIFIC PROVISIONS FOR THE RENEWAL OF A SINGLE SAFETY CERTIFICATE...
  - 7.1. A single safety certificate may be renewed upon request of...
  - 7.2. In the case of a renewal application, the safety certification...
  - 7.3. The safety certification body and the national safety authorities concerned...
8. SPECIFIC PROVISIONS FOR THE UPDATE OF A SINGLE SAFETY CERTIFICATE...
  - 8.1. A single safety certificate shall be updated whenever there is...
  - 8.2. Where it intends to make any change referred to in...
  - 8.3. Following the notification by the railway undertaking as referred to...
  - 8.4. The safety certification body in coordination with the national safety...
  - 8.5. In the case of an update application, the safety certification...
  - 8.6. The safety certification body and the national safety authorities concerned...
  - 8.7. An application to the safety certification body to update a...
  - 8.8. The safety certification body shall decide at the request of...

## Appendix

Safety assessment process

Figure 1: Safety assessment process.

Detailed assessment process

Figure 2: Detailed assessment process.

### ANNEX III

#### Content of the single safety certificate

The single safety certificate confirming acceptance of the railway  
undertaking's...

1. European Identification Number (EIN) of the single safety certificate
2. Identification of the railway undertaking:
  - 2.1. Legal denomination
  - 2.2. National registration number
  - 2.3. VAT number
3. Identification of the safety certification body:
  - 3.1. Organisation
  - 3.2. Member State (where applicable)
4. Certificate information:
  - 4.1. New
  - 4.2. Renewal
  - 4.3. Update
  - 4.4. EIN of the previous certificate (in case of renewal or...
  - 4.5. Validity start and end dates
  - 4.6. Type of operation
    - 4.6.1. Passenger transport including high-speed services
    - 4.6.2. Passenger transport excluding high-speed services
    - 4.6.3. Freight transport including dangerous goods services
    - 4.6.4. Freight transport excluding dangerous goods services
    - 4.6.5. Shunting only
    - 4.6.6. Other operations
5. Applicable national legislation
6. Area of operation
7. Restrictions and conditions of use
8. Additional information
9. Issuing date and authorised signatory/stamp of the authority

---

**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) 2018/763. (See end of Document for details)

---

- (1) [OJ L 138, 26.5.2016, p. 102.](#)
- (2) Directive 2004/49/EC of the European Parliament and of the Council of 29 April 2004 on safety of the Community's railways and amending Council Directive 95/18/EC on the licensing of railway undertakings and Directive 2001/14/EC on the allocation of railway infrastructure capacity and the levying of charges for the use of railway infrastructure and safety certification (Railway safety Directive) ([OJ L 164, 30.4.2004, p. 44.](#))
- (3) Council Directive 96/48/EC of 23 July 1996 on the interoperability of the trans-European high-speed rail system ([OJ L 235, 17.9.1996, p. 6.](#))

**Changes to legislation:**

There are currently no known outstanding effects for the Commission Implementing Regulation (EU) 2018/763.