SCHEDULE 1 Provisions Conferring Powers Exercised in Making these Regulations

Column (1) Provision  The Health and Personal Social Services (Northern Ireland) Order 1972(1)	Article 61	Column (2) Relevant Amendments  The Health and Personal Social Services (Northern Ireland) Order 1978(2) Article 13; the European Communities (Medical, Dental and Nursing Professions) (Linguistic Knowledge) Order 1981(3) Article 5(2); the Health and Personal Social Services and Public Health (Northern Ireland) Order 1986(4) Article 8; the Health and Medicines (Northern Ireland) Order 1988(5) Article 4(5); the Health and Personal Social Services (Northern Ireland) Order 1991(6) Articles 30, 34 and 35, Part I of Schedule 5, and Part I of Schedule 6
	Article 63	The Health and Personal Social Services (Northern Ireland) Order 1978 Article 14; the Health and Personal Social Services (Amendment) (Northern Ireland) Order 1986(7) Article 5(1); the Pharmaceutical Qualifications (EEC Recognition) Regulations (Northern Ireland) 1987(8) regulation 4; the Health and Personal Social Services (Northern Ireland) Order 1991 Article 31
	Article 64	The Health and Personal Social Services (Amendment) (Northern Ireland) Order 1986 Article 5(2); the Health and Personal Social Services (Northern Ireland) Order 1991 Article 31(2)
	Article 95	None
	Article 106	None
	Article 107(6)	None
	Schedule 3 paragraph 7(5)	None
The Health and	Article 4	None
Medicines (Northern Ireland) Order 1988	Article 10	None

<sup>(2)</sup> S.I. 1978/1907 (N.I. 26)

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<sup>(2)</sup> S.I. 19/8/1907 (N.I. 26) (1) S.I. 1972/1265 (N.I. 14) (3) S.I. 1981/432 (4) S.I. 1986/2229 (N.I. 24) (5) S.I. 1988/2249 (N.I. 24) (6) S.I. 1991/194 (N.I. 1) (7) S.I. 1986/2023 (N.I. 20) (8) S.R. 1987 No. 457

#### SCHEDULE 2

Regulation 3

#### Terms of Service for Dentists

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**Explanatory Note** 

## PART I

## **GENERAL**

#### Interpretation

1. In these terms of service—

"the Regulations" means the Health and Personal Social Services General Dental Services Regulations (Northern Ireland) 1993.

# **Incorporation of provisions of Regulations**

- 2. Any provisions of the following affecting the rights and obligations of dentists shall be deemed to form part of the terms of service—
  - (a) the Regulations;
  - (b) so much of the Services Committee Regulations as relates to—
    - (i) the investigation of questions arising between dentists and their patients, and other investigations to be made by the Services Committee, and the action which may be taken by the Board as a result of any such investigation,

- (ii) any decision of the Department (whether on appeal or otherwise) in connection with any investigation referred to in head (i) or with any decision of the Committee, and
- (iii) the investigation of record keeping;
- (c) regulation 4(4) and 7(2) of the Dental Charges Regulations(9).

#### General dental services

- 3.—(1) In providing general dental services for any person under the Regulations, a dentist shall provide—
  - (a) for a person aged 18 or over with whom he has entered into a continuing care arrangement, care and treatment under that arrangement; or
  - (b) for a person under the age of 18 with whom he has entered into a capitation arrangement, care and treatment under that arrangement.
  - (2) A dentist may provide general dental services under the Regulations by way of—
    - (a) treatment on referral for a person who is receiving dental services from another dentist (whether or not pursuant to the Order); or
    - (b) occasional treatment for any person—
      - (i) who is receiving care and treatment with another dentist under an arrangement mentioned in sub-paragraph (1)(a) or (b), or
      - (ii) who does not wish to enter into an arrangement mentioned in sub-paragraph (1)(a) or (b), or
      - (iii) with whom he is not prepared to enter into an arrangement mentioned in subparagraph (1)(a) or (b), or
      - (iv) who is referred to him under paragraph 18(1).

## PART II

# CONTINUING CARE ARRANGEMENT, CAPITATION ARRANGEMENT AND TREATMENT ON REFERRAL

#### A continuing care arrangement

- 4.—(1) A dentist who accepts a person for care and treatment under a continuing care arrangement shall—
  - (a) at the time at which he accepts the patient, provide him with the information about care and treatment under general dental services which is set out in Schedule 4 and with a form of acceptance supplied for that purpose by the Agency which shall specify—
    - (i) the name of the patient,
    - (ii) the name of the dentist,
    - (iii) particulars of the places where the patient will receive care and treatment, and
    - (iv) the telephone number at which the dentist or a deputy may be contacted during normal surgery hours, or at other times in an emergency if different;
  - (b) at the time of his first examination of the patient, provide the patient with a plan for treatment on a form supplied for that purpose by the Agency which shall specify—

<sup>(9)</sup> S.R. 1989 No. 111; relevant amending regulations are S.R. 1990 No. 342 and S.R. 1993 No. 139

- (i) details of the care and treatment (if any) which in the opinion of the dentist, at the date of that examination, is necessary to secure and maintain the oral health of the patient,
- (ii) the approximate period following which a further examination is recommended by the dentist,
- (iii) his estimate of the charge, if any, in respect of that care and treatment, and
- (iv) any proposals he may have for private care and treatment as an alternative to the care and treatment proposed under general dental services, including particulars of the cost to the patient;
- (c) where at any time during the currency of a continuing care arrangement—
  - (i) the circumstances specified in sub-paragraph (2) apply, or
  - (ii) in the opinion of the dentist, the care and treatment included in a plan for treatment provided under head (b) or this head needs to be varied;

provide the patient with a new plan for treatment or, as the case may be, a revised plan for treatment in accordance (except as to the time of its provision) with head (b);

- (d) complete the care and treatment (if any)—
  - (i) which is referred to in head (b)(i), and
  - (ii) where sub-paragraph (2)(a) to (c) do not apply, any care and treatment which is, in the opinion of the dentist at the date of any examination of the patient, necessary to secure and maintain his oral health;
- (e) provide the patient with emergency cover in accordance with paragraph 6; and
- (f) repair or replace, in accordance with paragraph 7, any restoration which requires repair or replacement.
- (2) The circumstances referred to in sub-paragraph (1)(c)(i) are that—
  - (a) the patient requests a new plan for treatment; or
  - (b) the patient and the dentist have agreed that all or part of the treatment which is necessary to secure and maintain oral health is to be provided privately; or
  - (c) the care and treatment which is to be provided includes any of the items of treatment mentioned in sub-paragraph (3).
- (3) The items of treatment referred to in sub-paragraph (2)(c) are—
  - (a) non-surgical treatment of chronic periodontal diseases which is likely to involve 3 or more visits;
  - (b) provision of 3 or more permanent fillings;
  - (c) endodontic treatment;
  - (d) provision of a veneer, inlay, pinlay, crown or bridge;
  - (e) any surgical treatment, other than the extraction of teeth;
  - (f) the extraction of—
    - (i) more than 2 teeth, or
    - (ii) any tooth which, in the opinion of the dentist, is likely to present special difficulty;
  - (g) provision of general anaesthesia or sedation in connection with any item of treatment;
  - (h) provision of a prosthetic appliance;
  - (i) orthodonic care and treatment.

- (4) Where a dentist accepts the transfer of a continuing care arrangement, in accordance with regulation 13, he shall provide the patient with the information specified in sub-paragraph (1)(a)(ii) to (iv) and assume the obligations set out in sub-paragraph (1)(c) to (f).
- (5) Where a dentist is informed that the dentist to whom a patient has been referred under paragraph 12 has died and is aware that the particular care and treatment for which the patient was referred has not been completed, he shall inform the patient and refer him to another dentist in accordance with paragraph 12 for the completion of that care and treatment.

## A capitation arrangement

- 5.—(1) A dentist who accepts a person for care and treatment under a capitation arrangement shall—
  - (a) at the time at which he accepts the patient, examine him and chart the patient's decayed, missing or filled teeth on the form supplied for that purpose by the Agency;
  - (b) at the time of his first examination of the patient, provide the patient with the information about care and treatment under general dental services which is set out in Schedule 4 and with a form of acceptance supplied for that purpose by the Agency which shall specify—
    - (i) the name of the patient,
    - (ii) the name of the dentist,
    - (iii) particulars of the places where the patient will receive care and treatment, and
    - (iv) the telephone number at which the dentist or deputy may be contacted during normal surgery hours, or at other times in an emergency if different;
  - (c) at the time of his first examination of the patient, provide the patient with a plan for treatment on a form supplied for that purpose by the Agency which shall specify—
    - (i) details of the care and treatment (if any) which in the opinion of the dentist, at the date of that examination, is necessary to secure and maintain the oral health of the patient,
    - (ii) the approximate period following which a further examination is recommended by the dentist, and
    - (iii) any proposals he may have for private care and treatment as an alternative to the care and treatment proposed under general dental services, including particulars of the cost to the patient;
  - (d) where at any time during the currency of a capitation arrangement—
    - (i) the circumstances specified in sub-paragraph (2) apply, or
    - (ii) in the opinion of the dentist, the care and treatment included in a plan for treatment provided under head (c) or this head needs to be varied;

provide the patient with a new plan for treatment, or as the case may be, a revised plan for treatment in accordance (except as to the time of its provision) with head (c);

- (e) complete the care and treatment (if any)—
  - (i) which is referred to in head (c)(i), and
  - (ii) where sub-paragraph (2)(a) to (c) do not apply, any care and treatment which is, in the opinion of the dentist at the date of any examination of the patient, necessary to secure and maintain his oral health; and
- (f) provide the patient with emergency cover in accordance with paragraph 6.
- (2) The circumstances referred to in sub-paragraph (1)(d)(i) are that—
  - (a) the patient requests a new plan for treatment; or

- (b) the patient and the dentist have agreed that all or part of the treatment which is necessary to secure and maintain oral health is to be provided privately; or
- (c) the care and treatment which is to be provided includes any care and treatment for which the dentist is remunerated otherwise than in accordance with Section X (treatment under capitation) of Determination I of the Statement of Dental Remuneration.
- (3) Where a dentist accepts the transfer of a capitation arrangement in accordance with regulation 13 he shall provide the patient with the information specified in sub-paragraph (1)(b)(ii) to (iv) and assume the obligations set out in sub-paragraph (1)(d) to (f).
- (4) Where the dentist is informed that the dentist to whom a patient has been referred under paragraph 12 has died and is aware that the particular care and treatment for which the patient was referred has not been completed, he shall inform the patient and refer him to another dentist in accordance with paragraph 12 for completion of that care and treatment.

## **Emergency cover**

- 6.—(1) Subject to regulation 15, in providing emergency cover under a continuing care arrangement or a capitation arrangement, a dentist shall make reasonable arrangements to secure that a patient requiring prompt care and treatment will receive such care and treatment as soon as appropriate either from himself or from another dentist.
- (2) For the purposes of sub-paragraph (1) a patient requires prompt care and treatment where, in the opinion of a dentist—
  - (a) the patient's oral health is likely to deteriorate significantly without such care and treatment; or
  - (b) the patient is in severe pain by reason of his oral condition;

but the provision of emergency cover does not include any obligation to repair or replace dentures.

(3) The obligation to provide emergency cover begins on the date on which the patient and the dentist enter into the continuing care arrangement or the capitation arrangement and ceases when that arrangement lapses or is terminated.

#### Repair or replacement of restorations

- 7.—(1) Subject to sub-paragraph (3), in repairing or replacing a restoration in the course of a continuing care arrangement, a dentist shall repair or replace at no charge to the patient any restoration specified in sub-paragraph (2) which he or another dentist, acting on his behalf or from whom the continuing care arrangement was transferred, has provided under general dental services—
  - (a) under that continuing care arrangement; or
  - (b) under a capitation arrangement with or transferred to the dentist, where on termination of that arrangement the patient has immediately entered into the continuing care arrangement.
- (2) The restorations referred to in sub-paragraph (1) are any filling, root filling, inlay, pinlay or crown which, within 12 months of the date on which it was provided, has to be repaired or replaced to secure oral health.
- (3) A dentist shall not be under an obligation to repair or replace any restoration under sub-paragraph (1) where—
  - (a) within 12 months of the date on which the restoration was provided—
    - (i) a dentist has provided private treatment, or
    - (ii) another dentist has provided occasional treatment otherwise than of a temporary nature,

on the tooth in respect of which the restoration was provided;

- (b) the dentist advised the patient at the time of the restoration and indicated on any plan for treatment provided to the patient in accordance with paragraph 4(1)(b) or (c) or 5(1)(c) or (d) and on the patient record—
  - (i) that the restoration was intended to be temporary in nature, or
  - (ii) that, in his opinion, a different form of restoration was more appropriate to secure oral health but, notwithstanding that advice, the patient insisted on the restoration which was provided;
- (c) in the opinion of the dentist, the condition of the tooth in respect of which the restoration was provided is such that the restoration cannot satisfactorily be repaired or replaced and different treatment is now required; or
- (d) the repair or replacement is required as a result of trauma.
- (4) The obligation to repair or replace any restoration under sub-paragraph (1) begins on the date on which the patient and the dentist enter into the continuing care arrangement and ceases when that arrangement lapses or is terminated.

#### Duration and extension of a continuing care arrangement

- 8.—(1) Unless extended under sub-paragraph (3), a continuing care arrangement shall lapse after a period of 2 years beginning on—
  - (a) the date on which the patient is first accepted by the dentist under the continuing care arrangement; or
  - (b) where the arrangement is extended in accordance with sub-paragraph (3), the date on which it is so extended, or is last so extended.
  - (2) A continuing care arrangement—
    - (a) may be terminated by the dentist or the Agency in accordance with paragraph 11;
    - (b) shall terminate where—
      - (i) the patient enters into a continuing care arrangement with another dentist, or
      - (ii) the patient enters into an arrangement with the dentist or another dentist whereby the whole of the care and treatment which might otherwise be provided under the continuing care arrangement is to be provided privately.
- (3) A continuing care arrangement may be extended, with the agreement of the patient and the dentist, at any time when the dentist is providing care and treatment during the currency of the continuing care arrangement.
- (4) Where a continuing care arrangement is extended, the dentist shall assume the obligations set out in paragraph 4(1)(c) to (f).

#### Duration and extension of a capitation arrangement

- 9.—(1) Unless extended under sub-paragraph (3), a capitation arrangement shall lapse at the end of 31st December in the year following that in which—
  - (a) the patient is first accepted by the dentist under the capitation arrangement; or
  - (b) the arrangement is extended in accordance with sub-paragraph (3), or is last so extended.
  - (2) A capitation arrangement—
    - (a) may be terminated by the dentist or the Agency in accordance with paragraph 11;
    - (b) shall terminate where—
      - (i) the patient enters into a capitation arrangement with another dentist, or

- (ii) the patient enters into an arrangement with the dentist or another dentist whereby the whole of the care and treatment which might otherwise be provided under the capitation arrangement is to be provided privately, or
- (iii) the patient attains the age of 18.
- (3) A capitation arrangement may be extended, with the agreement of the patient and the dentist, at any time during the currency of the capitation arrangement before the patient attains the age of 18, but the arrangement may not be extended more than once in the same calendar year.
  - (4) Where a capitation arrangement is extended, the dentist—
    - (a) shall at the time examine the patient and assume the obligations set out in paragraph 5(1) (d) to (f); and
    - (b) shall—
      - (i) provide details of the care and treatment provided to the patient under the capitation arrangement, and
      - (ii) chart the patient's decayed, missing or filled teeth,

on a form supplied for that purpose by the Agency, and send it to the Agency.

## Lapse of continuing care or capitation arrangement

- 10. Where a dentist does not agree to the extension of a continuing care arrangement or a capitation arrangement (under paragraph 8 or 9), he shall—
  - (a) give notice in writing to the patient not later than 3 months, or such shorter period as may be reasonable in the circumstances, before the date on which the arrangement is due to lapse; and
  - (b) use his best endeavours to complete satisfactorily before that date any care and treatment which he has agreed to provide for the patient and which is outstanding and any further treatment that may be necessary to secure and maintain his oral health.

#### Termination of a continuing care arrangement or capitation arrangement

- 11.—(1) Subject to sub-paragraph (4), a dentist who wishes to terminate a continuing care arrangement or a capitation arrangement shall give to the patient 3 months' notice in writing of the termination of the arrangement.
- (2) Where a dentist gives notice under sub-paragraph (1), he shall use his best endeavours to complete satisfactorily before the termination of the arrangement any care and treatment which he has agreed to provide for the patient and which is outstanding and any further treatment that may be necessary to secure and maintain his oral health.
- (3) Where a dentist gives notice under sub-paragraph (1), he shall notify the Agency accordingly and give details to the Agency of any care and treatment which he has agreed to provide to the patient and which is outstanding including any arrangements made for completion of that care and treatment.
- (4) Where a dentist wishes a continuing care arrangement or a capitation arrangement to be terminated on less than 3 months' notice, he shall apply in writing to the Agency—
  - (a) asking that it terminate the arrangement;
  - (b) setting out the reasons why he wishes the arrangement to be terminated; and
  - (c) giving details of any care and treatment which he has agreed to provide for the patient and which is outstanding including any arrangements made for completion of that care and treatment.

- (5) Where a dentist applies to the Agency under sub-paragraph (4), the Agency may, after considering any representations made by the patient, terminate the arrangement on such date and on such terms as to completion of any outstanding care and treatment mentioned in sub-paragraph (4) (c) as it thinks fit, save that, where an arrangement is terminated because the patient has refused to pay the charge, the dentist shall not be obliged to complete that care and treatment.
- (6) The Agency when it terminates an arrangement under sub-paragraph (5) shall so inform the patient and the dentist in writing.

## Referral to another dentist or to a hospital or other service

- 12.—(1) Where a patient requires particular care and treatment under a continuing care arrangement or a capitation arrangement and the dentist with whom the patient has made the arrangement does not have the necessary facilities, experience or expertise to provide that care and treatment, he shall, if the patient agrees, refer him in accordance with sub-paragraph (2) for the provision of that care and treatment by another dentist under general dental services or by a hospital or other service provided under Part II of the Order.
- (2) In referring a patient under sub-paragraph (1) to another dentist or to a hospital or other service for that care and treatment, the dentist shall—
  - (a) give—
    - (i) details of the oral condition of the patient and the reason for the referral, and
    - (ii) if relevant to the referral, details of the care and treatment he has provided or intends to provide in order to secure and maintain the patient's oral health, to that other dentist or, as the case may be, that hospital or other service, either at the time of referral or as soon as practicable afterwards;
  - (b) include with those details a statement of the amount paid to him by the patient under the Dental Charges Regulations in respect of any care and treatment already provided in the course of the care and treatment during which the referral is made; and
  - (c) indicate in his claim for remuneration in respect of that course of care and treatment that the patient has been referred for the required care and treatment.

### Treatment on referral

- 13.—(1) Subject to sub-paragraph (5), a dentist who accepts a patient referred to him by another dentist who is providing dental services to that patient (whether or not pursuant to the Order) otherwise than under Part III for particular care and treatment shall—
  - (a) at the time at which he accepts the patient, provide him with a form of acceptance supplied for that purpose by the Agency which shall specify—
    - (i) the name of the patient,
    - (ii) the name of the dentist,
    - (iii) particulars of the places where the patient will receive care and treatment, and
    - (iv) the telephone number at which the dentist or a deputy may be contacted during normal surgery hours, or at other times in an emergency if different;
  - (b) at the time of his first examination of the patient, provide the patient with a plan for treatment on a form supplied for that purpose by the Agency which shall specify—
    - (i) details of the care and treatment which, in the opinion of the dentist, at the date of that examination, is necessary for him to provide having regard to the reason for the referral,
    - (ii) his estimate of the charge, if any, in respect of that care and treatment,

- (iii) any proposals he may have for private care and treatment proposed under general dental services, including particulars of the cost to the patient;
- (c) where, in the opinion of the dentist, the care and treatment included in the plan for treatment under head (b) needs to be varied, provide the patient with a revised plan for treatment in accordance (except as to the time of its provision) with head (b);
- (d) complete the care and treatment, which is referred to in head (b)(i);
- (e) until such time as the treatment on referral has been completed, provide the patient with emergency cover in accordance with paragraph 6(1) and (2), but only to the extent that the patient requires prompt care and treatment in relation to the particular care and treatment for which he has been referred; and
- (f) repair or replace, in accordance with sub-paragraph (2), any restoration which requires repair or replacement.
- (2) Subject to sub-paragraphs (3) and (4), in repairing or replacing a restoration in the course of treatment on referral, a dentist shall repair or replace at no charge to the patient any of the following restorations, namely a filling, root filling, inlay, pinlay or crown which has to be repaired or replaced and which was provided by him in the course of the treatment on referral.
- (3) A dentist shall not be under an obligation to repair or replace any restoration under sub-paragraph (2) where—
  - (a) within 12 months of the date on which the restoration was provided—
    - (i) a dentist has provided private treatment, or
    - (ii) another dentist has provided occasional treatment otherwise than of a temporary nature, on the tooth in respect of which the restoration was provided;
  - (b) the dentist advised the patient at the time of the restoration and indicated on any plan for treatment provided to the patient in accordance with sub-paragraph (1)(b) or (c) and on the patient record—
    - (i) that the restoration was intended to be temporary in nature, or
    - (ii) that, in his opinion, a different form of restoration was more appropriate but, notwithstanding that advice, the patient insisted on the restoration which was provided;
  - (c) in the opinion of the dentist, the condition of the tooth in respect of which the restoration was provided is such that the restoration cannot satisfactorily be repaired or replaced and different treatment is now required; or
  - (d) the repair or replacement is required as a result of trauma.
- (4) The obligation to repair or replace any restoration under sub-paragraph (2) shall cease 12 months after the date on which the restoration was provided or when the treatment on referral has been completed, whichever is the sooner.
- (5) Sub-paragraph (1) shall not apply where the dentist refers a patient for examination and advice only.

# Inability of dentist to complete care and treatment under a continuing care arrangement or a capitation arrangement or treatment on referral

14. If, owing to any cause beyond his control, the dentist is unable to complete any care and treatment which has been commenced under a continuing care arrangement or a capitation arrangement or treatment on referral, he shall forthwith notify the Agency in writing of the extent of the care and treatment or treatment on referral provided and of the reason for his inability to complete the remainder.

#### Care and treatment summaries

15. Where a patient who has been receiving care and treatment under a continuing care arrangement or a capitation arrangement requests the dentist to provide him with a summary of the care and treatment he has received from the dentist under that arrangement because he intends to enter into such an arrangement with another dentist, the dentist shall provide such a summary as he considers appropriate (including details of any care and treatment which could not be easily observed on a visual examination) to the patient on a form supplied for that purpose by the Agency within 28 days of the request.

## Mixing of general dental services and private care and treatment

- 16.—(1) Subject to sub-paragraph (3), a dentist may, with the consent of the patient, provide privately any part of the care and treatment—
  - (a) necessary under a continuing care arrangement or a capitation arrangement to secure and maintain the oral health of a patient; or
  - (b) to be provided as part of treatment on referral.
- (2) A dentist shall not, with a view to obtaining the agreement of a patient to undergo care and treatment privately—
  - (a) advise a patient that the care and treatment which is necessary in his case—
    - (i) under the continuing care arrangement or the capitation arrangement to secure and maintain oral health, or
    - (ii) having regard to the reason given for referral, is not available from that dentist under general dental services; or
  - (b) seek to mislead the patient about the quality of care and treatment available under general dental services.
  - (3) Sub-paragraph (1) shall not apply—
    - (a) where the treatment necessary to secure oral health relates to a single tooth, in which case the treatment shall be provided wholly under general dental services or wholly privately; and
    - (b) in respect of orthodontic care and treatment in which case—
      - (i) the assessment, diagnosis and planning of treatment in connection with the orthodontic care and treatment shall be provided wholly under general dental services or wholly privately, and
      - (ii) the orthodontic treatment shall be provided wholly under general dental services or wholly privately.

# **PART III**

## OCCASIONAL TREATMENT

#### **Occasional treatment**

- 17.—(1) A dentist may provide as occasional treatment any of the items of treatment mentioned in sub-paragraph (2).
  - (2) The items of treatment referred to in sub-paragraph (1) are—
    - (a) extractions of not more than 2 permanent teeth;

- (b) extractions of not more than 4 deciduous teeth, except that, where a general anaesthetic is used, there shall be no limit as to the number of deciduous teeth that may be extracted;
- (c) dressing of deciduous or permanent teeth including any preparatory treatment;
- (d) incising an abscess;
- (e) opening one or more root canals for drainage;
- (f) pulp extirpation and dressing to seal the cavity;
- (g) arrest of haemorrhage;
- (h) treatment of infected sockets;
- (i) removal of plugs or sutures;
- (j) palliative treatment that is immediately necessary (including the treatment of sensitive cementum or dentine, the stoning and smoothing of the surface of a tooth, the provision of a temporary crown or the removal of the fractured portion of a natural crown, where its dissection from supporting soft tissues is necessary prior to the provision of a permanent restoration);
- (k) refixing or recementing a crown, inlay or bridge;
- (l) repair of, or alterations to, dentures or other appliances not requiring the prior approval of the Committee;
- (m) treatment for acute conditions of the gingivae or oral mucosa (including treatment for pericoronitis or for ulcers and herpetic lesions) and any necessary oral hygiene instruction in connection with such treatment;
- (n) any treatment immediately necessary as a result of trauma;
- (o) provision of general anaesthesia in connection with the items of treatment specified in heads (a) to (f) and (n);
- (p) provision of sedation in connection with the items of treatment specified in heads (a) to (f), (j), (k) and (n);
- (q) taking of radiographs in connection with the items of treatment specified in heads (a) to (k) and (n);
- (r) issuing a prescription in connection with the items of treatment specified in heads (a) to (n).
- (3) Where a patient has entered into a continuing care arrangement or a capitation arrangement with a dentist, another dentist who is not acting on behalf of that dentist may provide (in addition to the items of treatment specified in sub-paragraph (2)) as occasional treatment to that patient the following items of treatment—
  - (a) permanent fillings to not more than 2 retained deciduous teeth or permanent teeth;
  - (b) root fillings to not more than 2 permanent teeth;
  - (c) replacement of a denture or temporary bridge where the original is lost or damaged beyond repair, or provision of a new denture where it is needed because of trauma or extraction;
  - (d) where the patient is under the age of 18, conservation of not more than 2 decidous teeth; and
  - (e) provision of sedation and taking of radiographs in connection with the items of treatment specified in heads (a), (b) and (d).
- (4) If, owing to any cause beyond his control, the dentist is unable to complete any occasional treatment which has been commenced, he shall forthwith notify the Agency in writing of the extent of the occasional treatment provided and of the reason for his inability to complete the remainder.

#### Referral for occasional treatment

- 18.—(1) Where a patient requires any occasional treatment and the dentist does not have the necessary facilities, experience or expertise to provide that occasional treatment, he shall, if the patient agrees, refer him in accordance with sub-paragraph (2) for the provision of that occasional treatment by another dentist under general dental services or by a hospital or other service provided under Part II of the Order.
- (2) In referring a patient under sub-paragraph (1) to another dentist or to a hospital or other service for that occasional treatment, the dentist shall—
  - (a) give details of the oral condition of the patient and the reason for the referral to that other dentist or, as the case may be, that hospital or other service, either at the time of referral or as soon as practicable afterwards;
  - (b) include with those details a statement of the amount paid to him by the patient under the Dental Charges Regulations in respect of any occasional treatment already provided in the course of the occasional treatment during which the referral is made; and
  - (c) indicate in his claim for remuneration in respect of that course of occasional treatment that the patient has been referred for the required occasional treatment.
- (3) A dentist who accepts a patient referred to him under sub-paragraph (1) shall provide only the occasional treatment which, in the opinion of the dentist, it is necessary for him to provide having regard to the reason for referral.

## **PART IV**

## ADDITIONAL TERMS OF SERVICE FOR ALL DENTISTS

## Remuneration

- 19. Except as otherwise provided in the Regulations (including the terms of service) or the Dental Charges Regulations, a dentist shall not claim or accept the payment of any fee or other remuneration in respect of any treatment—
  - (a) which he has provided under general dental services; or
  - (b) which has not been provided or for which another claim has already-been submitted to the Agency.

#### Standards of care

- 20.—(1) In providing general dental services, a dentist shall—
  - (a) employ a proper degree of skill and attention;
  - (b) save as is provided in paragraphs 12, 18, 21, 29, 35 and 38, give all treatment personally;
  - (c) use only materials which are suitable for the purpose for which they are used;
  - (d) except in the case of occasional treatment and treatment on referral, provide, subject to sub-paragraph (2), care and treatment, to such extent, and at such intervals, as may be necessary to secure and maintain the oral health of the patient.
- (2) When providing general dental services a dentist shall not provide care and treatment in excess of that which is necessary to secure and maintain oral health.

#### General anaesthesia and sedation

- 21.—(1) Where a dentist undertakes, in the course of providing general dental services, any procedure for which general anaesthesia of the patient is necessary, he shall arrange—
  - (a) for a doctor or another dentist experienced in the administration of general anaesthesia to administer the general anaesthetic and to remain with the patient throughout the procedure and until the return of the patient's protective reflexes; and
  - (b) for another person with suitable training and experience to remain with the patient until the recovery phase is complete.
- (2) Where a dentist undertakes, in the course of providing general dental services, any procedure for which sedation of the patient is necessary he shall remain with the patient, and arrange for another person with suitable training and experience to remain with the patient, throughout the procedure.
- (3) In this paragraph "a person with suitable training and experience" means a person who has received such training and experience as to be capable of assisting the dentist in monitoring the clinical condition of the patient and in the event of an emergency.

### Supply of drugs

- 22.—(1) A dentist may supply to a patient such listed drugs as are required for immediate use before a supply can otherwise be obtained under paragraph 23.
- (2) A dentist may personally administer to a patient any drug required for the treatment of that patient.

## Issue of prescription forms

- 23.—(1) A dentist shall order such listed drugs (other than those supplied under paragraph 22) as are needed for the treatment of any patient for whom he is providing general dental services by issuing to the patient a prescription form.
  - (2) The prescription form—
    - (a) shall be signed by the dentist;
    - (b) shall not refer to any previous prescription; and
    - (c) shall not be issued to persons other than patients;

and a separate prescription form shall be issued for each patient.

### **Domiciliary visits**

24. A dentist shall visit and treat a patient whose condition so requires at the place at which the patient normally resides or is temporarily resident provided that such place is not more than 5 miles from his practice premises, or in the case of a dentist who provides general dental services at a mobile surgery only, from any of the places regularly visited by him.

#### Records

- 25.—(1) A dentist shall keep a record in respect of—
  - (a) the care and treatment given to each patient under a continuing care arrangement or a capitation arrangement and the fact of referral under such an arrangement under paragraph 12;
  - (b) treatment on referral; or
  - (c) occasional treatment,

in the patient record and shall include with that record details of any private care and treatment (to the extent that it is provided with care and treatment under general dental services for the purpose of securing and maintaining oral health), any practice record form and all radio graphs, photographs and study models (being models in respect of orthodontic treatment) taken or obtained by him as part of the care and treatment under a continuing care arrangement or a capitation arrangement or treatment on referral or occasional treatment.

- (2) The records, radiographs, photographs and study models referred to in sub-paragraph (1) shall be retained for a period of 2 years after completion of any course of care and treatment under a continuing care arrangement or a capitation arrangement or treatment on referral or occasional treatment to which they relate.
- (3) The dentist shall, during the period in which he holds any records, radiographs, photographs and study models referred to in sub-paragraph (1), produce them to a referral dental officer or send them to the Committee or the Agency within 14 days of being required to do so by a referral dental officer, the Committee or the Agency.
- (4) A dentist may keep the records (other than the practice record form) referred to in sub-paragraph (1) in computerised form.
- (5) Nothing in sub-paragraph (1) shall be taken as removing any rights of property which the dentist may have in relation to the records, radiographs, photographs and study models referred to in that sub-paragraph.

## Prior approval of treatment

- 26.—(1) Subject to sub-paragraphs (6), (7) and (10), where care and treatment is or includes prior approval treatment, the dentist—
  - (a) shall submit, without unreasonable delay, to the Committee for approval an estimate of the whole of the care and treatment, including details of any part of that care and treatment to be provided privately; and
  - (b) otherwise than in an emergency, shall not, until he receives approval from the Committee, proceed—
    - (i) where prior approval is required by virtue of Part I of Schedule 5, with any item of treatment referred to in that Part, or
    - (ii) where prior approval is required by virtue of Part II of Schedule 5, with any care and treatment mentioned in the estimate.
- (2) Subject to sub-paragraphs (6), (7) and (10), where in the course of providing any care and treatment to which, at its outset, sub-paragraph (1) does not apply, a dentist is of the opinion that a variation of or addition to such care and treatment is necessary, and by reason of the variation or addition the care and treatment includes or becomes prior approval treatment, the dentist—
  - (a) shall submit, without unreasonable delay, to the Committee for approval an estimate of the whole of the care and treatment (including that which the dentist has commenced) together with details of any part of that care and treatment provided or to be provided privately; and
  - (b) otherwise than in an emergency, shall not, until he receives approval from the Committee, proceed—
    - (i) where prior approval is required by virtue of Part I of Schedule 5, with any item of treatment referred to in that Part, or
    - (ii) where prior approval is required by virtue of Part II of Schedule 5, with any care and treatment mentioned in the estimate.
- (3) Subject to sub-paragraphs (6), (7) and (10), where a dentist has obtained approval from the Committee required by sub-paragraph (1) because the care and treatment is or includes an item of

treatment referred to in Part I of Schedule 5, and in the opinion of the dentist a variation of or addition to such care and treatment is necessary, which variation or addition—

- (a) is or includes an item of treatment referred to in Part I of Schedule 5; or
- (b) has the effect that the care and treatment then falls within Part II of Schedule 5, the dentist shall re-submit, without unreasonable delay, the estimate to the Committee for approval, including details of any part of that care and treatment provided or to be provided privately.
- (4) Subject to sub-paragraphs (6), (7) and (10), where a dentist has obtained approval from the Committee in accordance with sub-paragraph (1) because prior approval is required by virtue of Part II of Schedule 5 and in the opinion of the dentist a variation of or addition to such care and treatment is necessary, the dentist shall re-submit, without unreasonable delay, the estimate to the Committee for approval together with details of any part of that care and treatment provided or to be provided privately.
- (5) Where the Committee receives an estimate under sub-paragraphs (3) or (4) it may withdraw or vary its original approval in so far as the care and treatment has not yet been carried out in accordance with such approval, or add to its original approval, and, otherwise than in an emergency, the dentist shall not, until he receives approval from the Committee, proceed—
  - (a) in any case falling within sub-paragraph (3)(a), with any item of treatment referred to in Part I of Schedule 5; or
  - (b) in any other case, with any of the care and treatment mentioned in the estimate.
- (6) Sub-paragraphs (1), (2), (3) and (4) shall not apply where the care and treatment to be provided under a capitation arrangement is care and treatment for which the dentist is remunerated in accordance with Section X (treatment under capitation) of Determination I of the Statement of Dental Remuneration for which he receives no remuneration other than a capitation payment.
- (7) The dentist may proceed immediately with the care and treatment of merchant seamen, deep sea fishermen, or oil rig workers, about to go to sea for a period in excess of 4 weeks, or persons about to go abroad for a period in excess of 4 weeks, and in such event shall send an estimate to the Committee for approval within 7 days of the commencement of the care and treatment.
- (8) Where, in consequence of any proceedings under the Services Committee Regulations in respect of general dental services provided in the area of any Board, a dentist is required for any period to submit all estimates to the Committee for approval, in respect of all treatment or any specified description of treatment, the dentist—
  - (a) shall submit, without unreasonable delay, all such estimates (whether relating to treatment to be provided in that or any other area) to the Committee for approval; and
  - (b) shall not proceed with such treatment until he receives approval from the Committee, except that he may in the course of any single consultation, proceed with treatment consisting of one examination and the taking of no more than 2 small radiographs, each of a size not exceeding 16 square centimetres, or treatment in an emergency.
- (9) Where in consequence of a direction given by the Committee under regulation 32 a dentist is directed, for any period, to submit all estimates to the Committee for approval in respect of treatment or a description of treatment specified in the direction, the dentist—
  - (a) shall submit, without unreasonable delay, all such estimates (relating to treatment in the area of any Board) to the Committee for approval; and
  - (b) shall not proceed with such treatment until he receives approval from the Committee, except that he may in the course of any single consultation, proceed with treatment consisting of one examination and the taking of no more than 2 small radiographs, each of a size not exceeding 16 square centimetres, or treatment following trauma or in an emergency.

(10) Nothing in this paragraph shall prevent a dentist carrying out any care and treatment privately.

## Completion of an estimate

- 27.—(1) Subject to sub-paragraphs (2), (3) and (4), when submitting an estimate to the Committee for approval in accordance with paragraph 26(1), (2), (3), (4), (8) or (9), a dentist shall in all cases, in addition to the information specified in those paragraphs, provide to the Committee the following information—
  - (a) his name and address and the number by which his arrangement with the Agency is identified;
  - (b) the patient's name and address, sex, date of birth and health service number as shown on the patient's medical card; and
  - (c) details of the care and treatment proposed and the reasons why the dentist considers such care and treatment is necessary.
- (2) Subject to sub-paragraph (3), a dentist who is required as mentioned in sub-paragraph (1) to send an estimate to the Committee may, by arrangement with the Agency use a computer to send that estimate to the Committee.
  - (3) Sub-paragraph (2) shall not apply to any estimate in respect of orthodontic care and treatment.
  - (4) A dentist who, in accordance with sub-paragraph (2), uses a computer to send an estimate—
    - (a) shall at the appropriate time secure the completion, so far as applicable, by the patient or by any person acting on behalf of the patient, of the practice record form;
    - (b) shall use only such a computer programme as the Agency has approved as suitable for the purpose; and
    - (c) shall include with that estimate—
      - (i) all the information specified in sub-paragraph (1)(a), (b) and (c) except his name and address, and
      - (ii) the personal identification number allocated to him by the Agency for that purpose.

# Completion of care and treatment under a continuing care arrangement or a capitation arrangement or treatment on referral

- 28.—(1) Subject to sub-paragraphs (2) and (4), the dentist shall complete within a reasonable time any course of care and treatment under a continuing care arrangement or a capitation arrangement or treatment on referral.
- (2) Where the dentist and the patient agree that the patient requires dentures, or new dentures, in consequence of treatment provided by that dentist involving the extraction of one or more teeth, the dentist shall provide such dentures within 12 months of the date of the relevant extraction or, as the case may be, the later or last such extraction.
- (3) In so far as any treatment relates to the provision of dentures, it shall not be regarded as completed unless the dentures have been delivered to, and remain in the possession of, the patient.
- (4) A dentist shall not be regarded as having failed to comply with sub-paragraphs (1) and (2) by reason of any delay in completing treatment where the Committee is satisfied that the delay is due to the failure of the patient to attend for treatment or that there is some other sufficient reason for the delay.
  - (5) Where a dentist—
    - (a) has been notified that a patient has been requested to submit himself for examination by a dental officer or referral dental officer; and

- (b) has not been notified that the examination has been carried out or cancelled, he shall not, otherwise than in an emergency, provide any care and treatment to that patient and shall take all reasonable steps to facilitate the examination.
  - (6) Where a dentist—
    - (a) has been notified that the Services Committee set up under the Services Committee Regulations will investigate a complaint or reference relating to the provision of general dental services by him to a patient; and
    - (b) has not been notified—
      - (i) that the investigation has been completed, or
      - (ii) that the Services Committee has no objection,

he shall not, otherwise than in an emergency, provide any care and treatment to that patient and shall take all reasonable steps to facilitate the investigation.

(7) Nothing in this paragraph shall prevent a dentist carrying out any care and treatment privately.

#### **Dental auxiliaries**

29. A dentist may in the provision of general dental services arrange for care and treatment to be given in accordance with the provisions of regulations having effect under section 45 of the Dentists Act(10) by a dental auxiliary to whom those regulations apply, and shall ensure that such care and treatment is properly completed.

## Postgraduate education

30. A dentist shall in the provision of general dental services take reasonable steps to develop professional knowledge and skills through activities undertaken with a view to maintaining an upto-date knowledge of dental science and practice.

#### **Notices**

31. A dentist shall display in a prominent position at the practice premises a notice, in a form supplied or approved by the Agency, indicating charges which are payable under general dental services and entitlement to exemption from and remission of those charges.

## PART V

# ADDITIONAL TERMS OF SERVICE FOR DENTISTS OTHER THAN SALARIED DENTISTS

### **Patient information leaflets**

- 32.—(1) A dentist shall compile and make available, to the Board (if it requires one) and to any person who may reasonably require one, a document about the provision of general dental services at his practice premises (in this paragraph called a "patient information leaflet") which shall include the information specified in Schedule 6.
- (2) A dentist shall make any amendments to his patient information leaflet which he considers to be necessary to maintain its accuracy.

<sup>(10)</sup> Section 45 was amended by Order in Council S.I. 1991/1705 and see S.I. 1986/887 amended by S.I. 1991/1706

(3) A dentist who practises in partnership or under an associateship agreement with other dentists whose names are included in the dental list shall satisfy the requirements of this paragraph if he makes available a patient information leaflet, compiled and, where appropriate, amended in accordance with sub-paragraphs (1) and (2), which relates to the partnership or associateship as a whole.

#### Premises etc

- 33.—(1) Unless he provides general dental services from a mobile surgery only, a dentist shall provide proper and sufficient dental surgery and waiting-room accommodation for his patients.
- (2) A dentist who provides general dental services at a mobile surgery shall provide proper and sufficient mobile surgery and waiting-room accommodation.
- (3) A dental surgery and a mobile surgery shall be furnished with suitable equipment and a dentist shall provide treatment with suitable instruments.
- (4) A dentist, on receipt of reasonable notice in writing, shall at all reasonable times admit a dental officer, referral dental officer, or a person authorised by the Board for the purpose of inspecting any dental surgery, mobile surgery or waiting-room under the dentist's control.

#### Remuneration

34. The provisions of paragraph 19 shall not have effect in relation to any claim made by a dentist in respect of loss of remuneration resulting from the failure of a patient to keep an appointment for general dental services.

#### **Deputies and assistants**

- 35.—(1) Where a dentist is prevented from providing care and treatment by reason of temporary absence through illness or other reasonable cause, such care and treatment may be given by a deputy or assistant.
- (2) In the case of 2 or more dentists practising in partnership or as a principal and assistant, care and treatment may at any time be given by a partner or an assistant of the dentist who is responsible for the patient's care and treatment, if reasonable steps are taken to secure continuity of care and treatment.
- (3) A dentist shall not employ more than 2 assistants at any one time for the provision of general dental services without the consent of the Board, or on appeal the Department.
- (4) Before giving any consent under sub-paragraph (3) the Board shall consult the Local Dental Committee, and any consent given under that sub-paragraph shall be reviewed by the Board in consultation with the Local Dental Committee at least once a year and may be confirmed or withdrawn by the Board.
  - (5) A dentist shall—
    - (a) notify the Board of the employment of an assistant within 7 days of the first day of such employment;
    - (b) forward to the Board such particulars concerning the assistant as the Board may reasonably require; and
    - (c) on ceasing to employ an assistant, notify the Board within 7 days of the cessation of that employment.
- (6) A dentist shall not employ as an assistant any dentist who has the same address in the dental list as any of his own practice premises.

- (7) Where a dentist employs an assistant who to the dentist's knowledge is subject to a requirement under paragraph 26(8) or (9) to submit estimates to the Committee for prior approval in respect of treatment, he shall not allow the assistant to carry out the treatment unless the prior approval of the Board has first been obtained.
- (8) If a dentist intends to absent himself from his practice premises for more than 28 consecutive days he shall notify the Board of—
  - (a) his intended absence; and
  - (b) the name and address, if different to the practice premises, of the deputy or assistant (if any) responsible for providing general dental services during his absence.
  - (9) A dentist who intends to be or is absent from his practice premises for more than 2 months—
    - (a) shall notify the Board in writing; and
    - (b) shall not employ an assistant for any period of absence in excess of 2 months without the consent of the Board.
- (10) A dentist shall not, without the consent of the Department, employ as a deputy or assistant for the purpose of the provision of general dental services any dentist who is disqualified for inclusion in the dental list by virtue of the provisions of Article 61(2A)(11) or Article 65 of, and Schedule 11(12) to, the Order.
- (11) Except as provided in sub-paragraph (12), a dentist shall be responsible for all acts and omissions of any dentist acting as his deputy or assistant.
- (12) A dentist, whose name is included in the dental list, when acting as a deputy to another dentist whose name is also included in that list, shall be responsible for his own acts and omissions in relation to the obligations under these terms of service of the dentist for whom he acts as deputy and for the acts and omissions of any person employed by him or acting on his behalf.
- (13) A deputy may provide general dental services at places or at times other than those arranged by the dentist for whom he is acting, due regard being had to the convenience of patients.
- 36. A deputy or assistant who issues a prescription form for listed drugs under paragraph 23, shall, in addition to signing his own name on the prescription form, insert on the form the name of the dentist for whom he is acting.
- 37. A deputy or assistant who signs a claim for remuneration or an estimate or part thereof on behalf of the dentist for whom he is acting shall do so in his own name and, except where the person who signs is a partner whose name is included in the dental list, shall also insert the name of the dentist for whom he is acting.

# Dentists suspended under section 28 or 32 of the Dentists Act 1984

38. Where a dentist's registration in the register kept under section 14 of the Dentists Act is suspended by a direction or order of the Health Committee under section 28 of that Act (health cases) or by an order under section 32 of that Act (interim suspension order), he shall secure that any dentist appointed by him to provide general dental services on his behalf during that period of suspension shall be a dentist whose name is included in the dental list.

<sup>(11)</sup> Paragraph (2A) was inserted by Article 5(2)(b) of S.I. 1981/432

<sup>(12)</sup> Schedule 11 was amended by Article 17 of, and Part I of Schedule 6 to, S.I. 1984/1158 (N.I. 8)

## PART VI

## ADDITIONAL TERMS OF SERVICE FOR SALARIED DENTISTS

#### Attendance

- 39.—(1) A dentist shall attend at such premises (being health premises) on such days and at such hours as he may agree with the Board.
- (2) Except where paragraph 16 applies, a dentist may not, without the permission of the Board, provide at such premises any care and treatment which is not part of general dental services.

#### General anaesthetics

40. Where required to do so by the Board, or on behalf of the Board, the dentist shall pay to a doctor or another dentist, for the administration of general anaesthetic by virtue of arrangements made under paragraph 21, a fee not exceeding the fee in the Scale of Fees appropriate to the item of treatment provided.

#### Records

- 41.—(1) The records, radiographs, photographs and study models referred to in paragraph 25(1) shall be the property of the Board.
- (2) The dentist shall supply to the Committee or a dental officer or a referral dental officer such information with regard to the care and treatment of patients as they may request within 14 days of the date of the request.

#### **Drugs**

42. Any drugs supplied under paragraph 22 shall be obtained by the dentist in such a manner as the Board may require.

## Provision of Board patient information leaflets

43. A salaried dentist shall make available to any person who may reasonably require one the Board patient information leaflet compiled, and provided to him, by the Board pursuant to regulation 16.

### **Termination of services**

- 44.—(1) Subject to sub-paragraph (2), any arrangement between the Board and a salaried dentist for the provision of general dental services may be terminated by either party giving to the other 3 months' notice in writing.
- (2) If a dentist fails to comply with any of the terms of service that apply to a salaried dentist the Board may terminate the arrangement by giving him one month's notice in writing.
- (3) The Board may at any time suspend a salaried dentist from the discharge of his duties but such suspension shall not affect the right of the salaried dentist to receive remuneration during the period of suspension.

#### SCHEDULE 3

Regulation 5(1)

## PART I

Information and undertaking to be included in an application for inclusion in the dental list

- 1. Full name.
- 2. Sex.
- 3. Date of birth.
- 4. Private address.
- 5. Date of registration as a dental practitioner in the register kept under section 14 of the Dentists Act and registration number, dental qualifications registrable under that Act and when obtained.
- 6. Address of proposed practice premises and in the case of any mobile surgery, the address to which correspondence may be sent.
- 7. Proposed days and hours of attendance and whether patients will be seen by appointment only and in the case of any mobile surgery, particulars of places to be visited regularly by the dentist and the times of those visits.
  - 8. Undertaking to provide general dental services in the area of the Board.
  - 9. Whether intending to practise—
    - (a) as a single-handed practitioner; or
    - (b) as a partner and if so the name and address of each intended partner and whether or not his name is included in the dental list; or
    - (c) as an associate and if so the name and address of each intended associate and whether or not his name is included in the dental list.
- 10. The names of any assistants he or any person referred to in paragraph 9(b) or (c) intends to employ or already employs at the proposed practice premises.
- 11. Present or most recent appointment and whether any previous experience in the provision of general dental services.
  - 12. Whether the general dental services to be provided are restricted to orthodontic treatment.
  - 13. Whether there is access to the proposed dental surgery without the use of stairs.

### **PART II**

Information which may be included in an application for inclusion in the dental list Details of any languages, other than English, spoken by the dentist.

#### **SCHEDULE 4**

Regulation 3 Schedule 2, paragraphs 4 and

5

Information to be provided about care and treatment under general dental services

- 1. Details of arrangements in an emergency.
- 2. Details of repairs and replacements free of charge.
- 3. Explanation of care and treatment to be provided.
- 4. Details of arrangements if the dentist with whom the patient has entered into a continuing care arrangement or a capitation arrangement is not available.
  - 5. Details of care and treatment available under general dental services.
- 6. Explanation of charges for general dental services and of entitlement to exemptions from and remission of these charges.
  - 7. Explanation of the arrangements for cancelling appointments.
  - 8. Explanation of the importance of regular care.
- 9. The consequences of the termination of a continuing care arrangement or a capitation arrangement.

#### SCHEDULE 5

Regulation 3 Schedule 2, paragraph 26

#### PRIOR APPROVAL TREATMENT

# PART I

## ITEMS OF TREATMENT

Any item of treatment specified in Determination I of the Statement of Dental Remuneration as being an item of treatment for which the prior approval of the Committee is required.

## PART II

#### CARE AND TREATMENT

- 1. A course of care and treatment under a continuing care arrangement or a capitation arrangement or any treatment on referral, the cost of which exceeds or is likely to exceed £200.
- 2. Any orthodontic care and treatment to be provided by that dentist, the cost of which exceeds or is likely to exceed £200.
- 3. A reference in this Part to the cost of care and treatment means the cost of such care and treatment calculated in accordance with the Scale of Fees, but does not include the cost of—
  - (a) any care and treatment under a capitation arrangement for which the dentist is remunerated in accordance with Section X (treatment under capitation) in Determination I of the Statement of Dental Remuneration for which he receives no remuneration other than a capitation payment;
  - (b) in the case of orthodontic care and treatment, fees for diagnosis, study models, retention and the extraction of any tooth for orthodontic purposes; and

(c) any part of the care and treatment to be provided privately.

#### SCHEDULE 6

Regulation 3 Schedule 2, paragraph 32

### Information to be included in patient information leaflets

- 1. The following personal and professional details of the dentist—
  - (a) Name.
  - (b) Sex.
  - (c) Date of registration as a dental practitioner in the register kept under section 14 of the Dentists Act and details of dental qualifications registrable under that Act.
- 2. The following additional information about the provision of general dental services at practice premises—
  - (a) The addresses of all the practice premises and, where he provides general dental services at a mobile surgery only, the address to which correspondence may be sent.
  - (b) Particulars of the days and hours when the dentist is or will be usually in attendance at the practice premises, and in the case of any mobile surgery, particulars of the places visited regularly by him and the times of those visits.
  - (c) The names of all dentists at the practice premises including partners, associates and assistants and details of them as specified in paragraph 1.
  - (d) Whether a dental hygienist is employed at the practice premises.
  - (e) Whether there is access to the dental surgery without the use of stairs.
  - (f) Whether the practice premises (including the dental surgery and toilets) are accessible to wheelchairs.
  - (g) Whether the dentist or any person referred to in sub-paragraph (c) provides only orthodontic treatment.
  - (h) Provided the dentist consents to their inclusion, details of any languages, other than English, spoken by the dentist.

### SCHEDULE 7

Regulation 16

## Information to be included in Board patient information leaflets

- 1. The following personal and professional details of any salaried dentist providing general dental services at health premises—
  - (a) Name.
  - (b) Sex.
  - (c) Date of registration as a dental practitioner in the register kept under section 14 of the Dentists Act and details of dental qualifications registrable under that Act.
- 2. The following additional information about the provision of general dental services at health premises—
  - (a) The addresses of all the health premises within its area at which general dental services are provided.

- (b) Particulars of the days and hours when general dental services are provided at the health premises and, where a Board makes arrangements for a salaried dentist to provide general dental services at a mobile surgery, particulars of the places visited regularly by the salaried dentist and the times of those visits.
- (c) Whether a dental hygienist is employed at the health premises.
- (d) Whether there is access to the dental surgery at the health premises without the use of stairs.
- (e) Whether the health premises (including the dental surgery and toilets) is accessible to wheelchairs.
- (f) Provided the salaried dentist consents to their inclusion, details of any languages, other than English, spoken by the salaried dentist.

#### SCHEDULE 8

Regulation 30

#### **Dental Committee**

- 1. The Dental Committee constituted by the Agency after consulation with the Boards and such organisations as appear to it to be representative of the dental profession and such other bodies as appear to it to be concerned, shall consist of a chairman and such number of other members as the Agency thinks fit.
- 2. The chairman shall be appointed by the Department and shall be a dentist who is engaged in the provision of general dental services.
  - 3. The term of office of members of the Committee shall be determined by the Agency.
- 4. Where the place of a member becomes vacant before the expiration of his term of office whether by death, resignation or otherwise, the vacancy shall be filled by the Agency after consultation with the organisations or bodies referred to in paragraph 1 and if necessary with the Committee and any person so appointed shall hold office for the remainder of the term of office of the former member.
- 5. A member of the Committee may resign his membership by giving to the Agency notice in writing.
  - 6. Where any member of the Committee—
    - (a) is absent from the meetings of the Committee for more than 6 months consecutively (except for a reason approved by the Agency);
    - (b) has become bankrupt or has made a composition with his creditors; or
    - (c) is convicted of an indictable offence;

the Agency shall forthwith by resolution, declare the office to be vacant and shall notify that fact in such manner as it thinks fit and thereupon the office shall become vacant.

- 7. Where a person who provides any dental or other professional services under the Order is a member of the Committee he may vote upon any matter which touches the interests of members of his profession (himself included) but shall not vote upon any matter touching only his individual professional interests.
- 8. The proceedings of the Committee shall not be invalidated by any vacancy in the membership of the Committee or by any defect in the appointment of any of its members.

9. A member of the Committee who is appointed on the nomination of a Board, shall if he is a member of that Board, cease to be a member of the Committee if he ceases to be a member of that Board.

## SCHEDULE 9

Regulation 38

## Revocations

Column (1)	Column (2)	Column (3)
Citation	Reference	Extent of revocation
Health and Personal Social Services (General Dental Services) Regulations (Northern Ireland) 1975	S.R. 1975 No. 227	The whole regulations
Health and Personal Social Services (General Dental Services) (Amendment) Regulations (Northern Ireland) 1977	S.R. 1977 No. 81	The whole regulations in so far as they have not been revoked
Health and Personal Social Services General Dental Services (Amendment) (No. 2) Regulations (Northern Ireland) 1980	S.R. 1980 No. 285	The whole regulations
Health and Personal Social Services General Dental Services (Amendment) Regulations (Northern Ireland) 1981	S.R. 1981 No. 61	The whole regulations
Health and Personal Social Services General Dental Services (Amendment) Regulations (Northern Ireland) 1985	S.R. 1985 No. 56	The whole regulations in so far as they have not been revoked
Health and Personal Social Services General Dental Services (Amendment No. 2) Regulations (Northern Ireland) 1985	S.R. 1985 No. 135	The whole regulations
Health and Personal Social Services General Dental Services (Amendment) Regulations (Northern Ireland) 1987	S.R. 1987 No. 190	The whole regulations
Health and Personal Social Services General Dental Services (Amendment No. 2)	S.R. 1987 No. 346	The whole regulations

Column (1) Citation Regulations (Northern Ireland) 1987	Column (2) Reference	Column (3) Extent of revocation
Health and Personal Social Services General Dental Services (Amendment) Regulations (Northern Ireland) 1988	S.R. 1988 No. 53	The whole regulations
Health and Personal Social Services General Dental Services (Amendment) Regulations (Northern Ireland) 1989	S.R. 1989 No. 228	The whole regulations
Health and Personal Social Services General Dental Services (Miscellaneous Amendments) Regulations (Northern Ireland) 1990	S.R. 1990 No. 342	The whole regulations except regulations 13 and 14
Health and Personal Social Services General Dental Services (Miscellaneous Amendments) (No. 2) Regulations (Northern Ireland) 1990	S.R. 1990 No. 381	The whole regulations except regulation 3
Health and Personal Social Services General Dental Services (Amendment) Regulations (Northern Ireland) 1990	S.R.1990 No. 439	The whole regulations
Health and Personal Social Services General Dental Services (Miscellaneous Amendments) Regulations (Northern Ireland) 1991	S.R. 1991 No. 130	The whole regulations
Health and Personal Social Services General Dental Services(Amendment No. 2) Regulations (Northern Ireland) 1991	S.R. 1991 No. 277	The whole regulations
Health and Personal Social Services General Dental Services (Miscellaneous Amendments) Regulations (Northern Ireland) 1992	S.R. 1992 No. 37	The whole regulations except regulation 4
Health and Personal Social Services General Dental Services (Amendment No. 2)	S.R. 1992 No. 299	The whole regulations

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Column (1)	Column (2)	Column (3)
Citation	Reference	Extent of revocation
Regulations (Northern Ireland)		
1992		