

F¹SCHEDULE 1

Textual Amendments

- F1** Sch. 1 Form DQ01n substituted (1.10.2015) by [The Small Business, Enterprise and Employment Act 2015 \(Consequential Amendments\) \(Insolvency and Company Directors Disqualification\) Regulations 2015 \(S.I. 2015/1651\)](#), regs. 1(2), 5(3), **Sch. 2 Pt. 1**

Commencement Information

- I1** Sch. 1 in operation at 18.6.2010, see [reg. 1](#)

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

In accordance with the Companies (Disqualification Orders) Regulations 2009 (as amended by the Small Business, Enterprise and Employment Act 2015 (Insolvency and Disqualification of Unfit Directors) (Consequential Amendments) Regulations 2015).

DQ01n

Disqualification order against an individual in Northern Ireland



What this form is for
You may use this form to give notice of a disqualification order against an individual in Northern Ireland.

What this form is NOT for
You cannot use this form to file a disqualification order against a corporate body in Northern Ireland. To do this, please use form DQ02n 'Disqualification order against a corporate body in Northern Ireland'.

1 Disqualification Order (Northern Ireland) 2002

Please tick the Article of the Company Directors Disqualification (Northern Ireland) Order (CDDNIO) 2002 under which the order was made:

<input type="checkbox"/> A5	<input type="checkbox"/> A6	<input type="checkbox"/> A7	<input type="checkbox"/> A8
<input type="checkbox"/> A8A	<input type="checkbox"/> A9	<input type="checkbox"/> A11	<input type="checkbox"/> A11B
<input type="checkbox"/> A11D	<input type="checkbox"/> A13A	<input type="checkbox"/> A14	

→ Filling in this form
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *

2 Individual's details

Please give the name of the individual against whom the order was made.

Title * _____

Forename(s) _____

Surname _____

Date of birth _____

Nationality _____

3 Individual's address

Building name/number _____

Street _____

Post town _____

County/Region _____

Postcode _____

Country _____

ⓘ Address
Please show the last known address of the disqualified director (e.g. as shown on documents submitted to the court).

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

4		Disqualification details	
Date of order ¹	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	1 Date of order	Please enter the date on which the order was made.
Period of disqualification ²	<input type="text"/>	2 Period of disqualification	Please enter the period of disqualification specified in the order.
Disqualification start date ³	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	3 Disqualification start date	Unless the court indicates otherwise, this will be 21 days from the date of the order.
Company/LLP name ⁴	<input type="text"/>	4 Company/LLP name	Please give the name(s) of the company(ies)/LLP(s) in respect of the conduct leading to disqualification.
Case number	<input type="text"/>		

5		Signature	
Signature	<input type="text"/>		
Name/Position	<input type="text"/>		
Court	<input type="text"/>		

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

DQ01n

Disqualification order against an individual in Northern Ireland

Presenter information

You do not have to give any contact information, but providing your contact details will assist Companies House if a query arises on the form.

Court Officer Contact

Court Name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have indicated which Article of the CDDNIO applies in section 1.
- You have provided details of the individual as required by sections 2 and 3.
- You have completed the required information in section 4.
- You have signed the form.

Where to send

When completed and signed, please send to:

Secretary of State, C/O The Subpoena Clerk,
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

Also send a copy to the Department of Enterprise,
Trade and Investment, Insolvency Service,
Fermanagh House, Ormeau Avenue, Belfast,
BT2 8NJ.

F²SCHEDULE 2

Textual Amendments

- F2** Sch. 2 Form DQ02n substituted (1.10.2015) by The Small Business, Enterprise and Employment Act 2015 (Consequential Amendments) (Insolvency and Company Directors Disqualification) Regulations 2015 (S.I. 2015/1651), regs. 1(2), 5(4), **Sch. 2 Pt. 2**

Commencement Information

- I2** Sch. 2 in operation at 18.6.2010, see **reg. 1**

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

In accordance with the Companies (Disqualification Orders) Regulations 2009 (as amended by the Small Business, Enterprise and Employment Act 2015 (Insolvency and Disqualification of Unfit Directors) (Consequential Amendments) Regulations 2015).

DQ02n

Disqualification order against a corporate body in Northern Ireland



✓ What this form is for
You may use this form to give notice of a disqualification order against a corporate body in Northern Ireland.

✗ What this form is NOT for
You cannot use this form to file a disqualification order against an individual in Northern Ireland. To do this, please use form DQ01n 'Disqualification order against an individual in Northern Ireland'.

1 Disqualification Order (Northern Ireland) 2002

Please tick the Article of the Company Directors Disqualification (Northern Ireland) Order (CDDNIO) 2002 under which the order was made:

<input type="checkbox"/> A5	<input type="checkbox"/> A6	<input type="checkbox"/> A7	<input type="checkbox"/> A8
<input type="checkbox"/> A8A	<input type="checkbox"/> A9	<input type="checkbox"/> A11	<input type="checkbox"/> A11B
<input type="checkbox"/> A11D	<input type="checkbox"/> A13A	<input type="checkbox"/> A14	

→ **Filling in this form**
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *

2 Corporate details

Please give the name of the corporate body against whom the order was made.

Corporate body name _____

Registered number (if any) _____

Country of registration _____

3 Address

Building name/number _____

Street _____

Post town _____

County/Region _____

Postcode _____

Country _____

Registered or principal address
Please enter the address of the registered or principal office in Northern Ireland of the corporate body at the date of the order.

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

4		Disqualification details	
Date of order ❶	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>	<p>❶ Date of order Please enter the date on which the order was made.</p> <p>❷ Period of disqualification Please enter the period of disqualification specified in the order.</p> <p>❸ Disqualification start date Unless the court indicates otherwise, this will be 21 days from the date of the order.</p> <p>❹ Company/LLP name Please give the name(s) of the company(ies)/LLP(s) in respect of the conduct leading to disqualification.</p>	
Period of disqualification ❷	<input type="text"/>		
Disqualification start date ❸	<input type="text" value="d"/> <input type="text" value="d"/> <input type="text" value="m"/> <input type="text" value="m"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/>		
Company/LLP name ❹	<input type="text"/>		
Case number	<input type="text"/>		

5		Signature	
Signature	<input type="text"/>		
Name/Position	<input type="text"/>		
Court	<input type="text"/>		


Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

DQ02n

Disqualification order against a corporate body in Northern Ireland

 Presenter information
You do not have to give any contact information, but providing your contact details will assist Companies House if a query arises on the form.
Court Officer Contact
Court name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

 Where to send
When completed and signed, please send to: Secretary of State, C/O The Subpoena Clerk, The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ. DX 33050 Cardiff.
Also send a copy to the Department of Enterprise, Trade and Investment, Insolvency Service, Fermanagh House, Ormeau Avenue, Belfast, BT2 8NJ.

 Checklist
We may return forms completed incorrectly or with information missing.
Please make sure you have remembered the following:
<input type="checkbox"/> You have indicated which Article of the CDDNIO applies in section 1.
<input type="checkbox"/> You have provided details of the corporate body as required by sections 2 and 3.
<input type="checkbox"/> You have completed the required information in section 4.
<input type="checkbox"/> You have signed the form.

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

SCHEDULE 3

Commencement Information

I3 [Sch. 3](#) in operation at 18.6.2010, see [reg. 1](#)

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

In accordance with the Companies (Disqualification Orders) Regulations (Northern Ireland) 2010.

DQ03n

Grant of leave in relation to a disqualification order or disqualification undertaking in Northern Ireland



✓ What this form is for
You may use this form to give notice of a grant of leave in relation to a disqualification order or disqualification undertaking in Northern Ireland.

✗ What this form is NOT for
You cannot use this form to give notice of a variation or cessation of a disqualification order or disqualification undertaking in Northern Ireland. To do this, please use form DQ04n 'Variation or cessation of a disqualification order or disqualification undertaking in Northern Ireland'.

1

Disqualification details

Disqualification order/undertaking date ¹

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Leave granted in relation to: ²

Disqualification order.

Disqualification undertaking.

→ **Filling in this form**
Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by *

1 **Date of disqualification order/acceptance**
Please enter the date of disqualification order or acceptance by the Department of Enterprise, Trade & Investment of undertaking in relation to which leave was granted.

2 Please tick one box.

3 **Name of court**
Please enter the name of the court which made the disqualification order in relation to which leave was granted.

Name of the court ³

2

Name of person to whom leave was granted

Title *

Forename(s) ⁴

Surname/Corporate name

Date of birth ⁴

d	d	M	m	y	y	y	y
---	---	---	---	---	---	---	---

Nationality ⁴

Registered number (if any)

Country of registration

4 The forename(s), date of birth and nationality fields only need to be completed for an individual.

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

3	Name in which disqualification order was made									
	Is the name in which the disqualification order was made different to that shown in section 2? → Yes Please enter the name and relevant details below. → No Go to Section 4.									
Title *	<input type="text"/>									
Forename(s) ¹	<input type="text"/>									
Surname/Corporate name	<input type="text"/>									
Date of birth ¹	<table border="1" style="width: 100%; text-align: center;"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Nationality ¹	<input type="text"/>									
Registered number (if any)	<input type="text"/>									
	¹ The forename(s), date of birth and nationality fields only need to be completed for an individual.									

4	Disqualification details									
Date on which leave was granted	<table border="1" style="width: 100%; text-align: center;"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
Company/Limited Liability Partnership (LLP) name ²	<input type="text"/>									
Expiry date of leave	<table border="1" style="width: 100%; text-align: center;"> <tr> <td>d</td><td>d</td><td>m</td><td>m</td><td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>		d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y			
	² Company/LLP name Please give the name of the company or LLP in respect of which leave was granted. In the case of leave to promote or form a company or LLP, please give the proposed name or, if not available, a brief description of the activities of the intended company or LLP.									


5	Activity for which leave was granted
	Please tick the appropriate box(es) to indicate the activity for which leave was granted.
	<input type="checkbox"/> Promotion. <input type="checkbox"/> Formation. <input type="checkbox"/> Directorship or other participation in management of a company. <input type="checkbox"/> Designated member/member or other participation in management of an LLP. <input type="checkbox"/> Receivership in relation to a company or LLP.


6	Signature
Signature	<input type="text"/>
Name/Position	<input type="text"/>
Court	<input type="text"/>


Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

DQ03n

Grant of leave in relation to a disqualification order or disqualification undertaking in Northern Ireland

 Presenter information
You do not have to give any contact information, but providing your contact details will assist Companies House if a query arises on the form.
Court Officer Contact
Court name
Address
Post town
County/Region
Postcode
Country
DX
Telephone

 Where to send
When completed and signed, please send to: Secretary of State, C/O The Subpoena Clerk, The Registrar of Companies, Companies House, Crown Way, Cardiff, Wales, CF14 3UZ. DX 33050 Cardiff.
Also send a copy to the Department of Enterprise, Trade and Investment, Insolvency Service, Fermanagh House, Ormeau Avenue, Belfast BT2 8NJ.

 Checklist
We may return forms completed incorrectly or with information missing.
Please make sure you have remembered the following:
<input type="checkbox"/> You have provided all the information required in section 1.
<input type="checkbox"/> You have given the name of the person to whom the leave is granted in sections 2 and/or 3.
<input type="checkbox"/> You have completed section 4 in full.
<input type="checkbox"/> You have ticked the relevant box(es) in section 5.
<input type="checkbox"/> You have signed the form.

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

SCHEDULE 4

Commencement Information

14 [Sch. 4](#) in operation at 18.6.2010, see [reg. 1](#)

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

In accordance with the Companies (Disqualification Orders) Regulations (Northern Ireland) 2010.

DQ04n

Variation or cessation of a disqualification order or disqualification undertaking in Northern Ireland



✓ What this form is for
You may use this form to give notice of a variation or cessation of a disqualification order or disqualification undertaking in Northern Ireland.

✗ What this form is NOT for
You cannot use this form to give notice of a grant of leave in relation to a disqualification order or disqualification undertaking in Northern Ireland. To do this, please use form DQ03n 'Grant of leave in relation to a disqualification order or disqualification undertaking in Northern Ireland'.

1 Disqualification details

Original disqualification order/undertaking date ❶

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Variation or cessation of ❷

- Disqualification order.
- Disqualification undertaking.

- **Filling in this form**
Please complete in typescript or in bold black capitals.
All fields are mandatory unless specified or indicated by *
- ❶ **Date of disqualification order/undertaking**
Please enter the date on which the disqualification order was originally made by the court or disqualification undertaking was accepted by the Department of Enterprise, Trade and Investment.
 - ❷ **Variation or cessation**
Please tick one box.

2 Name of person subject to disqualification order or disqualification undertaking

Title * _____

Forename(s) ❸ _____

Surname/Corporate name _____

Date of birth ❹

d	d	M	m	y	y	y	y
---	---	---	---	---	---	---	---

Nationality ❺ _____

Registered number (if any) _____

Country of registration _____

- ❸ The forename(s), date of birth and nationality fields only need to be completed for an individual.

3 Details of the court

Name of the Court ❻ _____

Date of action taken by the court

d	d	m	m	y	y	y	y
---	---	---	---	---	---	---	---

Case number _____

- ❻ Please give the name of the court where action was taken to cease or vary the order or undertaking.

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

4	Details of cessation or variation									
	<p>Please tick a box to indicate the result of the court's action. 1</p> <p><input type="checkbox"/> A. Order or undertaking ceased to be in force. <input type="checkbox"/> B. Order or undertaking varied.</p>	<p>1 Please tick one box.</p>								
	<p>Did you tick B 'Order or undertaking varied'?</p> <p>→ Yes Please complete section 5 'Order or undertaking varied'. → No Please go to section 6 'Signature'.</p>									
5	Order or undertaking varied									
Period of disqualification 2 Start date 3	<table border="1" style="width: 100%; text-align: center;"><tr><td style="width: 25px;">d</td><td style="width: 25px;">d</td><td style="width: 25px;">m</td><td style="width: 25px;">m</td><td style="width: 25px;">y</td><td style="width: 25px;">y</td><td style="width: 25px;">y</td><td style="width: 25px;">y</td></tr></table>	d	d	m	m	y	y	y	y	<p>2 Period of disqualification Please state the period of disqualification now specified in the order or undertaking.</p> <p>3 Start date Please insert the start date of the period of disqualification. Unless the Court ordered otherwise, the period of disqualification would have begun 21 days from the date of the original order.</p>
d	d	m	m	y	y	y	y			
Signature	<hr/>									
Name/Position	<hr/>									
Court	<hr/>									

Changes to legislation: There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010. (See end of Document for details)

DQ04n

Variation or cessation of a disqualification order or disqualification undertaking in Northern Ireland

Presenter information

You do not have to give any contact information, but providing your contact details will assist Companies House if a query arises on the form.

Court Officer Contact

Court name

Address

Post town

County/Region

Postcode

Country

DX

Telephone

Checklist

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- You have provided the details of the original disqualification order or undertaking required in section 1.
- You have given the name of the person subject to the disqualification order or undertaking.
- You have completed section 3 of the form in full.
- You have ticked the box in section 4 to confirm whether the order or undertaking has been varied or ceased to be in force.
- You have signed the form.

Where to send

When completed and signed, please send to:

Secretary of State, C/O The Subpoena Clerk,
The Registrar of Companies, Companies House,
Crown Way, Cardiff, Wales, CF14 3UZ.
DX 33050 Cardiff.

Also send a copy to the Department of
Enterprise, Trade and Investment, Insolvency
Service, Fermanagh House, Ormeau Avenue,
Belfast, BT2 8NJ.

Changes to legislation:

There are currently no known outstanding effects for the The Companies (Disqualification Orders) Regulations (Northern Ireland) 2010.