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STATUTORY RULES OF NORTHERN IRELAND

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**2019 No. 115**

**The Occupational Pension Schemes (Investment and Disclosure) (Amendment) Regulations (Northern Ireland) 2019**

**Amendment of the Occupational and Personal Pension Schemes (Disclosure of Information) Regulations**

3.—(1) The Occupational and Personal Pension Schemes (Disclosure of Information) Regulations (Northern Ireland) 2014 are amended in accordance with paragraphs (2) to (4).

(2) In regulation 4(1)(1) (application of these Regulations) after “25 and, where” insert “the scheme falls within Article 35 of the 1995 Order (investment principles) or”.

(3) In regulation 29A(2) (publishing charges and transaction costs and other relevant information)

(a) after paragraph (1) insert—

“(1A) Where Article 35 of the 1995 Order applies to a scheme which does not fall within paragraph (1), the information specified in paragraph (2B) must be made publicly available free of charge on a website in accordance with this regulation.”;

(b) after paragraph (2A)(3) insert—

“(2B) The specified information is—

- (a) the latest statement of investment principles governing decisions about investments prepared for the scheme under Article 35 of the 1995 Order, and
- (b) the information which must be included in the most recent document prepared under regulation 12(4) in accordance with paragraph 30(ca) of Schedule 3.”.

(4) In paragraph 30 of Schedule 3 (information to be given on request) after sub-paragraph (c) insert—

“(ca) a statement which must—

- (i) set out how, and the extent to which, in the opinion of the trustees, the policy required under regulation 2(3)(c)(5) of the Occupational Pension Schemes (Investment) Regulations (Northern Ireland) 2005 has been followed during the year, and
- (ii) describe the voting behaviour by, or on behalf of, trustees (including the most significant votes cast by trustees or on their behalf) during the year and state any use of the services of a proxy voter during that year.”.

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(1) Regulation 4(1) was amended by regulation 3(2) of [S.R. 2018 No. 53](#)

(2) The heading to, and paragraph (1) of, regulation 29A are amended by regulation 5(3) and (4)(a) of [S.R. 2018 No. 165](#)

(3) Paragraph (2A) is inserted by regulation 5(4)(b) of [S.R. 2018 No. 165](#)

(4) Regulation 12 is amended by regulation 5(2) of [S.R. 2018 No. 165](#)

(5) Regulation 2(3)(c) is substituted by regulation 4(2)(a)(iv) of [S.R. 2018 No. 165](#)