

## SCHEDULE 4

### SYSTEMS AND PROCESSES REQUIREMENTS

#### *Resource planning*

9. Whether there are systems and processes for ensuring that there are sufficient human resources with the skills, qualifications and capacity necessary to comply with the requirements of Part 1 of the Act and, in particular—

- (a) to run and maintain the scheme's systems and processes;
- (b) to meet the objectives in the scheme's business plan, and
- (c) to send appropriate and timely notifications, information and documents to the Regulator, including information about the scheme's systems and processes.