#### SCOTTISH STATUTORY INSTRUMENTS

#### 2006 No. 324

#### **EDUCATION**

### The Registration of Independent Schools (Scotland) Regulations 2006

Made - - - - 8th June 2006
Laid before the Scottish
Parliament - - - 8th June 2006
Coming into force - - 1st July 2006

The Scottish Ministers, in exercise of the powers conferred by section 98(3) and 98A(2) of the Education (Scotland) Act 1980(1) and of all other powers enabling them in that behalf, hereby make the following Regulations:

#### Citation, commencement and revocation

- **1.**—(1) These Regulations may be cited as the Registration of Independent Schools (Scotland) Regulations 2006 and shall come into force on 1st July 2006.
  - (2) The Registration of Independent Schools (Scotland) Regulations 2005(2) are hereby revoked.

# Commencement Information I1 Reg. 1 in force at 1.7.2006, see reg. 1(1)

#### Interpretation

- 2.—(1) In these Regulations–
  - (a) "boarding "in relation to a pupil means a pupil provided with accommodation at a school (or elsewhere under arrangements made by the proprietor of the s chool), and in relation to a school means a school which provides or makes arrangements for the provision of accommodation for such pupils;

<sup>(1) 1980</sup> c. 44. The functions of the Secretary of State were transferred to the Scottish Ministers by virtue of section 53 of the Scotland Act 1998 (c. 46). Section 98A of the Education (Scotland) Act 1980 ("the 1980 Act") was replaced by a new section 98A by virtue of section 4(2) of the School Education (Ministerial Powers and Independent Schools) (Scotland) Act 2004 (2004 asp 12) ("the 2004 Act").

<sup>(2)</sup> S.S.I. 2005/571.

- (b) "child care position" means a child care position within the meaning of the Protection of Children (Scotland) Act 2003(3);
- (c) "the Council" means the General Teaching Council for Scotland;
- (d) "electronic address" means such address as may be provided for by electronic means, and references to the doing of anything in writing shall include references to the doing of that thing by means of a document—
  - (i) transmitted by electronic means;
  - (ii) received in legible form; and
  - (iii) capable of being used for subsequent reference;
- (e) "the 1980 Act" means the Education (Scotland) Act 1980; and
- (f) "the 1997 Act" means the Police Act 1997(4).
- (2) Where these Reg ulations require a document to be signed, that requirement shall be satisfied—
  - (a) if the signature is written; or
  - (b) in the case of a document which is transmitted electronically in accordance with these Regulations by the electronic signature, within the meaning of section 7 of the Electronic Communications Act 2000(5), of the person who is required to sign it.
- (3) References in these Regulations to "relevant person" shall be construed where applicable in accordance with section 103A(6) of the 1980 Act(6).
- (4) References in these Regulations to "employed" shall be construed to include carrying out work of any kind, whether paid or unpaid and whether under a contract of service, contract for services, or otherwise than under a contract.

#### **Commencement Information**

**I2** Reg. 2 in force at 1.7.2006, see **reg. 1(1)** 

#### Application for registration of an independent school

- 3. Every application for the registration of an independent school(7) shall-
  - (a) be made in writing by the proprietor(8) of that school to the Scottish Ministers;
  - (b) include information as to the particulars specified in Schedule 1 and such of the information as to the particulars specified in Schedule 2 as is available and applicable at the time the application is made; and
  - (c) include a statement signed by the proprietor that, to the best of the proprietor's knowledge and belief, the in formation provided in the application is true and accurate.

#### **Commencement Information**

**I3** Reg. 3 in force at 1.7.2006, see **reg. 1(1)** 

<sup>(</sup>**3**) 2003 asp 5.

<sup>(4) 1997</sup> c. 50.

<sup>(5) 2000</sup> c. 7.

<sup>(6)</sup> Section 103A(6) was inserted into the 1980 Act by virtue of section 7 of the 2004 Act.

<sup>(7)</sup> Section 135(1) of the 1980 Act, as amended by section 3 of the 2004 Act, defines "independent school".

<sup>(8)</sup> Section 135(1) of the 1980 Act defines "proprietor".

#### Particulars information to be furnished to Registrar by proprietors of registered schools

- **4.**—(1) Subject to paragraph (2), information as to the particulars specified in Schedules 1 and 2 relating to a registered school(9) shall be furnished in writing to the Registrar(10) by the proprietor of that school in September of each year.
- (2) The Registrar may, prior to September of each year, advise in writing the proprietors of registered schools that they do not require to furnish the particulars information specified in Schedules 1 and 2, either in part or in full, if such information is already known to the Registrar.

## Commencement Information I4 Reg. 4 in force at 1.7.2006, see reg. 1(1)

#### Notification to Registrar of changes to certain particulars

- **5.**—(1) Within one month of the occurrence of a designated change affecting a registered school the proprietor shall furnish the Registrar with–
  - (a) written notification of-
    - (i) the nature of the designated change; and
    - (ii) the date on which it occurred; and
  - (b) information in writing as to such of the particulars specified in paragraph (3) as are applicable.
- (2) In paragraph (1), "designated change" means a change in any of the particulars specified in paragraphs 1 to 8 of Schedule 1.
  - (3) The specified particulars are-
    - (a) where there is a change of proprietor, the name, postal address, electronic address, telephone number and facsimile number of the new proprietor and the relevant details of the new proprietor in terms of paragraph 6, 7 and 8 of Schedule 1, together also with a statement as referred to in paragraph 10(a) of Schedule 1, in respect of the new proprietor;
    - (b) where there is a change of the school's name, the new name of the school; and
    - (c) where there is a change of the school's location, the new postal address of the school together with, if changed, the new electronic address, telephone number and facsimile number.

# Commencement Information 15 Reg. 5 in force at 1.7.2006, see reg. 1(1)

St Andrew's House, Edinburgh 8th June 2006

PETER J PEACOCK
A member of the Scottish Executive

<sup>(9)</sup> Section 135(1) of the 1980 Act, as amended by section 8(2) of and Schedule 2 to the 2004 Act, defines "registered school".

<sup>(10) &</sup>quot;Registrar" is as referred to in Part V of the 1980 Act.

#### SCHEDULE 1

Regulations 3, 4 and 5

Particulars Information – applications for registration and registered schools

1. The name of the school.

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Commencement Information

I6 Sch. 1 para. 1 in force at 1.7.2006, see reg. 1(1)
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**2.** The postal address, electronic address, telephone number and facsimile number of the s chool, including a description of the heritable property occupied for the purposes of the school and plans of each building forming part of the school, indicating sanitary facilities and the dimensions of each room.

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Commencement Information
17 Sch. 1 para. 2 in force at 1.7.2006, see reg. 1(1)
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- **3.** The type of school, detailing which combination of the following describe the school, and the education or other provision made within it—
  - (a) primary education;
  - (b) secondary education;
  - (c) provision for education other than referred to within paragraphs 3(a) or 3(b);
  - (d) day or boarding;
  - (e) additional support for learning;
  - (f) church or other denominational body in whose interest the school is conducted.

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Commencement Information

18 Sch. 1 para. 3 in force at 1.7.2006, see reg. 1(1)
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**4.** The maximum intended number of pupils in the school.

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Commencement Information

19 Sch. 1 para. 4 in force at 1.7.2006, see reg. 1(1)
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5. The date from which the school is to be operational.

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Commencement Information

110 Sch. 1 para. 5 in force at 1.7.2006, see reg. 1(1)
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**6.** In the case of an individual proprietor, that person's full name, usual residential address, electronic address, telephone number and facsimile number.

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#### **Commencement Information**

III Sch. 1 para. 6 in force at 1.7.2006, see reg. 1(1)

7. The postal address, electronic address, telephone number and facsimile number to which communications to the prop rietor should be sent if different from paragraph 6.

#### **Commencement Information**

I12 Sch. 1 para. 7 in force at 1.7.2006, see reg. 1(1)

- 8. Where the proprietor is not an individual—
  - (a) the name of the proprietor;
  - (b) the postal address, electronic address, telephone number and facsimile number of the proprietor's principal office togethe r with such information insofar as it relates to (where it has one) the proprietor's registered office;
  - (c) the full name, postal address, electronic address, telephone number and facsimile number, of any person to whom the proprietor wishes communications con cerning the school to be addressed;
  - (d) in the case of a body corporate, Scottish partnership, or unincorporated association (other than a Scottish partnership), the title of the body, partnership or association, a description of its nature and the full name a nd usual residential address of any relevant person and the capacity in which such person acts; and
  - (e) in the case where the school is, or is conducted by, a charity, the name of that charity and details as to its registration as a charity.

#### **Commencement Information**

I13 Sch. 1 para. 8 in force at 1.7.2006, see reg. 1(1)

- 9. A copy of the school's-
  - (a) Curriculum;
  - (b) Statement of Aims;
  - (c) Prospectus;
  - (d) Admissions Policy;
  - (e) Fire Risk Assessment Policy and Procedure;
  - (f) Health and Safety Risk Assessment Policy and Procedure; and
  - (g) Child Protection Policy and Procedure, including a statement of the school's policy and practice on seeking criminal record certificates under Part V of the 1997 Act, which statement shall also include a statement detailing what checks are made, including those with reference to Part V of the 1997 Act, in respect of all persons w orking or to be working in a child care position, relative to the school.

#### **Commencement Information**

I14 Sch. 1 para. 9 in force at 1.7.2006, see reg. 1(1)

- 10. A statement confirming that criminal record certificates under Part V of the 1997 Act appropriate to the position have been obtained and are in accordance with the school's Child Protection Policy and Procedure, in respect of—
  - (a) the proprietor of the school;
  - (b) all proposed teachers; and
  - (c) all other persons in or to be in a child care position relative to the school.

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Commencement Information

I15 Sch. 1 para. 10 in force at 1.7.2006, see reg. 1(1)
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#### SCHEDULE 2

Regulations 3 and 4

#### Particulars information – registered schools

1. The number of pupils in the school arranged by their year of birth, their sex and whether they are day or boarding pupils.

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Commencement Information
I16 Sch. 2 para. 1 in force at 1.7.2006, see reg. 1(1)
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- **2.** The following particulars information in relation to every teacher employed by or in the school as at the date on which such information is furnished to the Registrar under regulation 4–
  - (a) their full name;
  - (b) their date of birth and sex;
  - (c) whether they are employed on a full-time, part-time or other basis and if employed on a part-time or other basis the number of hours for which that teacher is employed per week;
  - (d) their qualifications, the subject or subjects for which they are employed to teach, and confirmation of whether or not they are registered with the Council.

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Commencement Information

II7 Sch. 2 para. 2 in force at 1.7.2006, see reg. 1(1)
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- **3.** A statement confirming that criminal record certificates under Part V of the 1997 Act, appropriate to the position, have been obtained and are in accordance with the school's Child Protection Policy and Procedure, in respect of—
  - (a) any teachers, and
  - (b) any other persons in a child care position,

employed for the first time by or in, or re-employed by or in the school, within the previous 12 month period.

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### Commencement Information 118 Sch. 2 para. 3 in force at 1.7.2006, see reg. 1(1)

**4.** A statement confirming that the assessments undertaken in terms of the School's Fire Risk Assessment Policy and Procedure, and Health and Safety Risk Assessment Policy and Procedure have been reviewed within the previous 12 month period.

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Commencement Information
I19 Sch. 2 para. 4 in force at 1.7.2006, see reg. 1(1)
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#### **EXPLANATORY NOTE**

(This note is not part of the Regulations)

PART 2 of the School Education (Minister ial Powers and Independent Schools) (Scotland) Act 2004 revises and amends the legislation governing independent schools within the Education (Scotland) Act 1980.

These Regulations revoke the Registration of Independent Schools (Scotland) Regulations 2005 ('the 2005 Regulations'), revising the structure which is set out in those Regulations in relation to the provision of information to the Scottish Ministers, and to the Registrar of Independent Schools, concerning applications for registration of independent schools, and for existing registered schools, respectively.

Regulation 3 sets out, under reference to the Schedules to the Regulations, the information as to particulars to be provided to the Scottish Ministers by a proprietor seeking registration of an independent school.

Regulation 4, which represents the main revisal to the structure of the 2005 Regulations, deals with the particulars information which requires to be provided to the Registrar by proprietors of schools which are registered. In terms of regulation 4(1) proprietors require to provide the information which is specified in the Schedules, annually, to the Registrar. This is however qualified by regulation 4(2) which enables the Registrar to advise proprietors of information not required, on the basis of it already being known to the Registrar.

Regulation 5 requires changes which relate to certain specified particulars to be notified to the Registrar within one month of them occurring.

#### **Changes to legislation:**

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#### Changes and effects yet to be applied to:

- Sch. 1 para. 9 word omitted by S.S.I. 2021/251 reg. 2(3)(b)(i)
- Sch. 1 para. 10 words substituted by S.S.I. 2021/251 reg. 2(3)(d)(i)
- Sch. 1 para. 10 words substituted by S.S.I. 2021/251 reg. 2(3)(d)(ii)
- Sch. 2 para. 3 words substituted by S.S.I. 2021/251 reg. 2(4)(a)(i)
- Sch. 2 para. 3 words substituted by S.S.I. 2021/251 reg. 2(4)(a)(ii)
- reg. 2(1)(b) omitted by S.S.I. 2021/251 reg. 2(2)(a)
- reg. 2(1)(f) substituted by S.S.I. 2021/251 reg. 2(2)(c)

### Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch. 1 para. 4A inserted by S.S.I. 2021/251 reg. 2(3)(a)
- Sch. 1 para. 9A9B inserted by S.S.I. 2021/251 reg. 2(3)(c)
- Sch. 1 para. 1112 inserted by S.S.I. 2021/251 reg. 2(3)(e)
- Sch. 1 para. 9(g)(h) substituted for Sch. 1 para. 9(g) by S.S.I. 2021/251 reg. 2(3)(b)
   (ii)
- Sch. 2 para. 5-7 inserted by S.S.I. 2021/251 reg. 2(4)(b)
- reg. 2(1)(da)(db) inserted by S.S.I. 2021/251 reg. 2(2)(b)