

SCHEDULE 1

Regulation 11(a)

CONTROLLED RESERVOIRS: INFORMATION TO BE REGISTERED

1. The name (if any) and location of the reservoir.
2. The maximum volume of water capable of being held in the reservoir.
3. The reservoir manager's name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency).
4. If the reservoir manager is not an individual, the name of an individual who is authorised by the reservoir manager to carry out any action required of the reservoir manager under the Act, together with the individual's business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency).
5. The reservoir owner's name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency).
6. To the extent known or readily obtainable by the reservoir manager, any risk designation the reservoir may have had immediately before the date on which it was required to be registered, and the date on which any such designation was given.
7. To the extent known or readily obtainable by the reservoir manager, the name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency) of any—
 - (a) construction engineer;
 - (b) inspecting engineer;
 - (c) other qualified engineer; or
 - (d) supervising engineer,appointed at any time in relation to the reservoir, and the period of any such appointment.
8. Where applicable—
 - (a) the date on which the reservoir was last inspected under section 10 of the 1975 Act;
 - (b) details of any measure recommended in the report of that inspection to be taken in the interests of safety which has not been carried into effect, including the date recommended in the report by which the measure is, or was, to be taken; and
 - (c) the date by which the next inspection is required under that section.
9. For each structure or area referred to in section 1(2) of the Act or, as the case may be, for each structure or area in a combination referred to in section 1(3) comprising the reservoir, the—
 - (a) name (if any);
 - (b) national grid reference;
 - (c) status (in use, discontinued, abandoned or under construction);
 - (d) top water level (in metres above ordnance datum);
 - (e) surface water area (in square metres) at top water level;
 - (f) surface water area (in square metres) at dam crest level (if known);
 - (g) capacity (in cubic metres) at top water level;
 - (h) capacity (in cubic metres) at dam crest level (if known);

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- (i) type (impounding, non-impounding or service reservoir); and
- (j) purpose(s) for which the reservoir is (or is to be) used.

10. For each part of a structure or area referred to in section 1(2) of the Act or, as the case may be, for each structure or area in a combination referred to in section 1(3) comprising a dam, reservoir wall or embankment, the—

- (a) name (if any);
- (b) national grid reference;
- (c) materials used to construct it (earth, concrete, masonry, rock fill, other);
- (d) type (buttress, embankment, gravity arch, non-gravity arch, service);
- (e) maximum height (in metres);
- (f) bottom level (in metres above ordnance datum) at ground level;
- (g) crest level (in metres above ordnance datum);
- (h) crest length (in metres);
- (i) escapable volume of water (in cubic metres) at top water level (if available);
- (j) escapable volume of water (in cubic metres) at dam crest level (if available);
- (k) fetch (namely the effective length of the controlled reservoir, of which the structure or area forms part, over which wind can build up waves) and direction (if available);
- (l) year completed; and
- (m) maintenance arrangements.

SCHEDULE 2

Regulation 22(2)(a)

PANEL APPLICATIONS: GENERAL

1. The applicant's full name, date of birth, business address, email address and telephone number.
2. The 2011 Act panel(s) to which the applicant wishes to be appointed.
3. Where the application is for re-appointment to a 2011 Act panel—
 - (a) the 2011 Act panel to which the applicant wishes to be re-appointed; and
 - (b) the date on which the previous appointment ended, or is due to end.
4. The relevant qualifications of the applicant.
5. The applicant's membership of professional institutions.
6. Details of the applicant's present employment.
7. Details of—
 - (a) all work carried out by the applicant in relation to reservoirs, with details of the reservoirs in connection with whose design, construction, maintenance or repair the applicant has been employed, including their type, capacity and main dimensions; or
 - (b) where the application is for re-appointment, all such work since the start of the applicant's previous appointment to the 2011 Act panel.

SCHEDULE 3

Regulation 23(1)

PANEL APPLICATIONS: ELIGIBLE PERSONS

1. The applicant's full name, date of birth, business address, email address and telephone number.
2. The 2011 Act panel(s) to which the applicant wishes to be appointed.
3. The corresponding 1975 Act panel(s) which the applicant was a member of for a continuous period from 1st April 2015 to 31st March 2016.
4. For each corresponding 1975 Act panel referred to in paragraph 3, the date on which the applicant's term of appointment began and the date on which it expired or would have been due to expire if the term of that appointment (so far as it extended to Scotland) had not ceased by virtue of the repeal of the 1975 Act.
5. A statement, signed and dated by the applicant—
 - (a) that the information given in the application is complete and correct; and
 - (b) that, for the purposes of determining the application, the applicant consents to the Scottish Ministers having access to and using—
 - (i) any information that the applicant provided with any application for appointment as a member of a 1975 Act panel; and
 - (ii) any relevant information held or advice provided by the Institution of Civil Engineers as to whether the applicant is fit and qualified for the appointment.

SCHEDULE 4

Regulation 25(2)

REVIEW APPLICATIONS: INFORMATION

1. The applicant's full name, date of birth, business address, email address and telephone number.
2. The decision to which the application relates including, as the case may be, details of—
 - (a) the application for appointment to a 2011 Act panel which was rejected;
 - (b) the 2011 panel from which the applicant was removed under section 28(4) of the Act; or
 - (c) the direction given under section 29(4) of the Act.
3. The decision notice or letter and the date of that decision.
4. The grounds for appeal.
5. The relevant qualifications of the applicant.
6. The applicant's membership of professional institutions.
7. Details of the applicant's present employment.
8. Details of—
 - (a) all work carried out by the applicant in relation to reservoirs, with details of the reservoirs in connection with whose design, construction, maintenance or repair the applicant has been employed, including their type, capacity and main dimensions; or
 - (b) where the application relates to a review of a decision to reject an application for re-appointment, all such work since the start of the applicant's previous appointment to the 2011 Act panel.

SCHEDULE 5

Regulation 26

CONTENT OF NOTICE OF PROPOSED RELEVANT WORKS

1. Details of the reservoir manager giving notice, including name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency).
2. Contact details for correspondence, including name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency) if these details differ from those given under paragraph 1.
3. The name (if any) and location of the reservoir which is subject to the proposed relevant works.
4. The registration number (if any) for the reservoir in the controlled reservoirs register.
5. The national grid reference for the approximate centre of the reservoir.
6. Whether the reservoir manager intends to undertake relevant work for the purpose of the—
 - (a) construction (including restoration to use) of a controlled reservoir;
 - (b) alteration (not amounting to the discontinuance or abandonment) of a controlled reservoir;
 - (c) abandonment of a controlled reservoir; or
 - (d) discontinuance of a controlled reservoir.
7. The date on which it is intended that the proposed relevant work will commence.
8. Details of the construction engineer appointed for the purposes of section 33 of the Act, including name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency).
9. The date on which construction engineer was appointed.
10. The following information about the reservoir as it will be when constructed, altered abandoned or discontinued—
 - (a) reservoir type (e.g. whether impounding, non-impounding or service reservoir);
 - (b) date(s) or approximate date(s) of completion of the dam(s), reservoir wall(s) or embankment(s);
 - (c) method of construction of the dam(s), reservoir wall(s) or embankment(s) (e.g. whether constructed of earth, rockfill, gravity, buttress or other means);
 - (d) maximum height of the dam(s), reservoir wall(s) or embankment(s) (in metres), measured from the lowest natural level of the surrounding land adjacent to it, to the top of the dam(s), reservoir wall(s) or embankment(s), excluding the height of the wave wall;
 - (e) the height of the dam(s), reservoir wall(s) or embankment(s) (in metres) measured from the lowest natural level of the surrounding land adjacent to it to the top water level;
 - (f) capacity (in cubic metres), measured from the lowest natural level of the surrounding land adjacent to it to the top water level; and
 - (g) the water surface area at the top water level (in square metres).
11. Signature and declaration certifying that the information given in the notification is correct (including the signature of the reservoir manager and the date of signing).

SCHEDULE 6

Regulation 27

FORM OF SAFETY REPORT

SAFETY REPORT BY CONSTRUCTION ENGINEER UNDER SECTION 34(3) OR (4) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number (as specified in the controlled reservoirs register):	
Name (if any) and location of reservoir:	
National grid reference for the approximate centre of the reservoir:	
Name and address of construction engineer:	
Name of panel of which construction engineer is a member:	
Name of reservoir manager(s):	
Date(s) of inspection:	
<p>I consider that the following measures (to be taken within the period of time specified for each) are necessary in the interests of the safety of the controlled reservoir (<i>see Note</i>):</p> <p>I direct the reservoir manager to ensure that the measures specified in this report are taken under the supervision of the construction engineer and within the period of time specified.</p> <p>[I consider that the following matters should be monitored by the supervising engineer until a final certificate is issued in respect of the relevant works:] (<i>delete if there are no such matters or if the reservoir manager is not required to appoint a supervising engineer</i>)</p>	
Signature of engineer	
Date signed	

Note

Where the safety report relates to a controlled reservoir which is:

- *being restored to use, the measures must include in particular any measures the construction engineer considers should be taken before the reservoir may safely be used for the collection and storage of water;*
- *being discontinued, the measures must include in particular any measures the construction engineer considers are necessary to secure that the resulting structure of the area complies with section 35(2)(b) of the Reservoirs (Scotland) Act 2011; or*
- *being abandoned, the measures must include in particular any measures the construction engineer considers are necessary to secure that the reservoir is incapable of filling with water above the natural level of any part of the surrounding land.*

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SCHEDULE 7

Regulation 28

FORM OF SAFETY MEASURE CERTIFICATE

SAFETY MEASURE CERTIFICATE BY CONSTRUCTION ENGINEER UNDER SECTION 36(2) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i>	
<p>I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i>, appointed to supervise the taking of measures specified in a safety report dated <i>[insert date of the safety report]</i> in relation to the controlled reservoir <i>[known as [insert name of reservoir]]</i> <i>(delete if the reservoir has no name)</i> located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i>, am satisfied that the following measures directed in the safety report have been taken:</p> <p>- <i>[insert measure(s) directed in the report which has or have been taken].</i></p> <p>[The following measure(s) directed in the safety report have yet to be taken: - <i>[insert measure(s) directed in the report which has or have yet to be taken].</i>] <i>(delete as appropriate)</i></p>	
Signature of engineer	
Date signed	

SCHEDULE 8

FORM OF PRELIMINARY CERTIFICATE

PRELIMINARY CERTIFICATE BY CONSTRUCTION ENGINEER UNDER SECTION 37(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
<p>Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i></p> <p>I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i>, appointed to supervise the relevant works for the purpose of <i>[the construction (including restoration to use)][the alteration (not amounting to the discontinuance or the abandonment)][the abandonment][the discontinuance]</i> <i>(delete as appropriate)</i> of a controlled reservoir <i>[known as [insert name of reservoir]] (delete if the reservoir has no name)</i> located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i>, am satisfied that <i>[the reservoir may be safely filled (wholly or partially) with water.][the level of water in the reservoir should be reduced.] (delete as appropriate)</i></p> <p>The level of water held in the reservoir must not exceed <i>[insert the level (above ordnance datum) that the water in the reservoir must not exceed]</i> (the “specified level”). The reservoir manager must ensure that the level of water in the reservoir does not exceed the specified level.</p> <p><i>[The following requirements are imposed as to the manner in which the level of water in the reservoir may be increased or decreased:</i> <i>- [insert requirements that the engineer considers appropriate as to the manner in which the level of water in the reservoir may be increased or decreased].] (delete if no requirements imposed)</i></p> <p>This certificate:</p> <ul style="list-style-type: none"> • replaces any previous preliminary certificate applicable to the reservoir in respect of the relevant works; and • ceases to have effect on the issue of the final certificate applicable to the reservoir in respect of those works. 	
Signature of engineer	
Date signed	

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SCHEDULE 9

Regulation 30

FORM OF CONSTRUCTION CERTIFICATE

CONSTRUCTION CERTIFICATE BY CONSTRUCTION ENGINEER UNDER SECTION 38(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i>	
I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i> , appointed to supervise relevant works for the purpose of <i>[the construction (including restoration to use)][the alteration (not amounting to the discontinuance or the abandonment)][the abandonment][the discontinuance]</i> <i>(delete as appropriate)</i> of a controlled reservoir <i>[known as [insert name of reservoir]] (delete if the reservoir has no name)</i> located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre the reservoir)]</i> <i>[for which a preliminary certificate was given on [insert date of certificate]] (delete if no preliminary certificate given)</i> , being satisfied that the relevant works have been completed to a satisfactory standard, certify that the construction or, as the case may be, the alteration has been executed effectively in accordance with the detailed drawings and descriptions <i>(see Note)</i> in the annex to this certificate.	
Signature of engineer	
Date signed	

Note

In accordance with section 38(3)(b) of the Reservoirs (Scotland) Act 2011, the drawings and descriptions must give full information about the works for the construction or, as the case may be, the alteration including the dimensions, water levels and details of geological strata or deposits encountered in trial holes or excavations made in connection with the works.

SCHEDULE 10

FORM OF FINAL CERTIFICATE

PART 1

FORM OF FINAL CERTIFICATE UNDER SECTION 39(1) OF THE ACT

FINAL CERTIFICATE BY CONSTRUCTION ENGINEER UNDER SECTION 39(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
<p>Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i></p> <p>I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i>, appointed to supervise relevant works for the purpose of the <i>[construction (including restoration to use)]</i><i>[alteration (not amounting to the discontinuance or the abandonment)]</i> <i>(delete as appropriate)</i> of a controlled reservoir <i>[known as [insert name of reservoir]]</i> <i>(delete if the reservoir has no name)</i> located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i> <i>[for which a preliminary certificate was given on [insert date of certificate]]</i> <i>(delete if no preliminary certificate given)</i>, consider that the reservoir is sound and satisfactory and may safely be used for the collection and storage of water.</p> <p><i>[I recommend that early inspection of this high-risk reservoir should take place before [insert date by which the inspection should take place].] (delete as appropriate)</i></p> <p><i>[I consider that the following matters should be monitored, until the first inspection of this [high-risk][medium-risk] (delete as appropriate) reservoir under section 46 of the Reservoirs (Scotland) Act 2011, by the supervising engineer appointed in relation to the reservoir under section 49 of that Act:</i> <i>- [insert matters which the construction engineer considers should be monitored].] (delete as appropriate)</i></p> <p>The level of water held in the reservoir must not exceed <i>[insert the level (above ordnance datum) that the water in the reservoir must not exceed]</i> (the “specified level”). The reservoir manager must ensure that the level of water in the reservoir does not exceed the specified level.</p> <p><i>[The following requirements are imposed as to the manner in which the level of the water in the reservoir may be increased or decreased:</i> <i>- [insert requirements that the engineer considers appropriate as to the manner in which the level of water in the reservoir may be increased or decreased].] (delete if no such requirements)</i></p> <p>A copy of the construction certificate issued in respect of the construction or (as the case may be) alteration on <i>[insert date the construction certificate was issued]</i> is attached to this final certificate <i>(see Note)</i>.</p>	
Signature of engineer	
Date signed	

Note

In accordance with section 39(8) of the Reservoirs (Scotland) Act 2011, a copy of the construction certificate must be attached.

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PART 2

FORM OF FINAL CERTIFICATE UNDER SECTION 39(3) OF THE ACT

FINAL CERTIFICATE BY CONSTRUCTION ENGINEER UNDER SECTION 39(3) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i>	
I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i> , appointed to supervise relevant works for the purpose of the discontinuance of a controlled reservoir [known as <i>[insert name of reservoir]</i>] (<i>delete if the reservoir has no name</i>) located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i> , am satisfied:	
<ul style="list-style-type: none"> • that the discontinuance has been safely completed, • that the resulting structure is incapable of holding <i>[insert relevant figure]</i> (<i>see Note (1)</i>) cubic metres of water above the natural level of any part of the surrounding land, and • that the resulting structure or area is sound and satisfactory and may safely be used for the collection and storage of water. 	
The level of water held in the reservoir must not exceed <i>[insert the level (above ordnance datum) that the water in the reservoir must not exceed]</i> (“the specified level”). The reservoir manager must ensure that the level of water in the reservoir does not exceed the specified level.	
[The following requirements are imposed as to the manner in which the level of the water in the reservoir may be increased or decreased: - <i>[insert requirements that the engineer considers appropriate as to the manner in which the level of water in the reservoir may be increased or decreased].</i>] (<i>delete if there are no such requirements</i>)	
A copy of the construction certificate issued in respect of the construction or (as the case may be) alteration on <i>[insert date on which the construction certificate was issued]</i> is attached to this final certificate (<i>see Note (2)</i>).	
Signature of engineer	
Date signed	

Notes

(1) By virtue of article 3(1) (“the article”) of the Reservoirs (Scotland) Act 2011 (Commencement No. 5 and Transitional Provision) Order 2016, the relevant figure is “25,000” until the day on which section 12 of the Reservoirs (Scotland) Act 2011 (“the 2011 Act”) is commenced for all purposes. From that day onwards, the modifications made by the article to section 39(3)(b) of the 2011 Act will no longer apply and, in consequence, the relevant figure will be “10,000”.

(2) In accordance with section 39(8) of the 2011 Act, a copy of the construction certificate must be attached.

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PART 3

FORM OF FINAL CERTIFICATE UNDER SECTION 39(5) OF THE ACT

FINAL CERTIFICATE BY CONSTRUCTION ENGINEER UNDER SECTION 39(5) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i>	
I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i> , appointed to supervise relevant works for the purpose of the abandonment of a controlled reservoir [known as <i>[insert name of reservoir]</i>] (<i>delete if the reservoir has no name</i>) located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i> , am satisfied:	
<ul style="list-style-type: none">• that the abandonment has been safely completed; and• that the resulting structure is incapable of filling with water above the natural level of any part of the surrounding land.	
A copy of the construction certificate issued in respect of the construction or (as the case may be) alteration on <i>[insert date on which the construction certificate was issued]</i> is attached (<i>see Note</i>).	
Signature of engineer	
Date signed	

Note

In accordance with section 39(8) of the Reservoirs (Scotland) Act 2011, a copy of the construction certificate must be attached.

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SCHEDULE 11

Regulation 32

FORM AND CONTENT OF NOTICE OF APPOINTMENT OF INSPECTING ENGINEER UNDER SECTION 45(1) OF THE ACT

NOTICE OF APPOINTMENT OF INSPECTING ENGINEER UNDER SECTION 45(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number (as specified in the controlled reservoirs register):	
Name (if any) and location of the reservoir:	
National grid reference for the approximate centre of the reservoir:	
Name and address of reservoir manager giving notice:	
Details of person appointed including name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency):	
Date of appointment:	
I certify that the information given in this notice is correct.	
Signature of reservoir manager	
Date signed	

SCHEDULE 12

FORM OF INSPECTION REPORT

INSPECTION REPORT BY INSPECTING ENGINEER UNDER SECTION 47(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number (as specified in the controlled reservoirs register):	
Name (if any) and location of the reservoir:	
National grid reference for the approximate centre of the reservoir:	
Name and address of inspecting engineer:	
Name of panel of which engineer is a member:	
Name of reservoir manager(s):	
Date(s) of inspection:	
<p>I consider that the following measures (“listed measures”) should be taken in the interests of the safety of the reservoir (including measures for the maintenance of the reservoir):</p> <p>Of the listed measures, the following were also specified in the previous inspection report (<i>if none was so specified, state “None”</i>):</p> <p>[The following measures, which were specified in the previous inspection report, have not been taken. I consider that these measures should no longer be taken for the reasons specified below in relation to each measure:] (<i>delete if there are no such measures</i>)</p> <p>I direct the reservoir manager to ensure:</p> <ul style="list-style-type: none"> • that listed measures for the maintenance of the reservoir are monitored by the supervising engineer, and • that other listed measures are taken under the supervision of the inspecting engineer or the other qualified engineer within the period of time specified for each of the listed measures. <p>The next inspection of the reservoir should take place on: [<i>insert date of inspection</i>]</p> <p>[I consider that the following matters should be monitored by the supervising engineer until the next inspection:] (<i>delete if there are no such matters</i>)</p> <p>[I make the following recommendations on other matters (in relation to which I have not specified any listed measures) which I consider relevant to the maintenance of the reservoir:] (<i>delete if there are no such matters</i>)</p>	
Signature of engineer	
Date signed	

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SCHEDULE 13

Regulation 34

FORM OF INSPECTION COMPLIANCE CERTIFICATES

PART 1

INTERIM INSPECTION COMPLIANCE CERTIFICATE

<p>INTERIM INSPECTION COMPLIANCE CERTIFICATE BY INSPECTING ENGINEER UNDER SECTION 48(3) OF THE RESERVOIRS (SCOTLAND) ACT 2011</p>	
<p>Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i></p> <p>I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i>, appointed to supervise the taking of measures specified in an inspection report dated <i>[insert date of the inspection report]</i> in relation to the controlled reservoir <i>[known as [insert name of reservoir]]</i> <i>(delete if the reservoir has no name)</i> located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i>, am satisfied that the following measure(s) (directed in the report) has or have been taken: <i>- [insert measure(s) directed in the report which has or have been taken].</i></p> <p>[The following measure(s) (directed in the report) which I am responsible for supervising has or have yet to be taken: <i>- [insert measure(s) directed in the report which has or have yet to be taken].] (delete as appropriate)</i></p>	
Signature of engineer	
Date signed	

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PART 2

INSPECTION COMPLIANCE CERTIFICATE

INSPECTION COMPLIANCE CERTIFICATE BY INSPECTING ENGINEER UNDER SECTION 48(5) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i>	
I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i> , appointed to supervise the taking of measures specified in an inspection report dated <i>[insert date of the inspection report]</i> in relation to the controlled reservoir [known as <i>[insert name of reservoir]</i>] (<i>delete if the reservoir has no name</i>) located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i> , am satisfied that all of the measures (directed in the report) have been taken.	
Signature of engineer	
Date signed	

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SCHEDULE 14

Regulation 35

FORM AND CONTENT OF NOTICE OF APPOINTMENT OF SUPERVISING ENGINEER UNDER SECTION 49(1) OF THE ACT

NOTICE OF APPOINTMENT OF SUPERVISING ENGINEER UNDER SECTION 49(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number (as specified in the controlled reservoirs register):	
Name (if any) and location of the reservoir:	
National grid reference for the approximate centre of the reservoir:	
Name and address of reservoir manager giving notice:	
Details of person appointed including name, business address, email address and telephone number(s) (including a telephone number for making contact outside normal working hours or in an emergency):	
Date of appointment:	
I certify that the information given in this notice is correct.	
Signature of reservoir manager	
Date signed	

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SCHEDULE 15

Regulation 36

FORM OF STATEMENT UNDER SECTION 50(8) OF THE ACT

STATEMENT BY SUPERVISING ENGINEER UNDER SECTION 50(8) OF THE RESERVOIRS (SCOTLAND) ACT 2011	
Reservoir registration number: <i>[insert reservoir registration number as specified in the controlled reservoirs register]</i>	
I <i>[insert name of engineer]</i> of <i>[insert address of engineer]</i> being a member of <i>[insert name of panel to which engineer is appointed]</i> , appointed to supervise the controlled reservoir <i>[known as [insert name of reservoir]] (delete if the reservoir has no name)</i> located at <i>[insert location of reservoir, with sufficient detail to identify it (including the national grid reference for the approximate centre of the reservoir)]</i> , state in relation to the reservoir that during the period beginning with <i>[insert period start date]</i> and ending with <i>[insert period end date]</i> —	
I took the following steps in relation to the matters referred to in section 50(2)(a) to (h) of the Reservoirs (Scotland) Act 2011: <i>[insert details of steps taken]</i> .	
<i>[[insert name of reservoir manager] took the following measures in the interests of the safety of the reservoir or otherwise to maintain the reservoir: [insert measures taken].] (delete as appropriate)</i>	
<i>[There was a failure to comply with: [specify as appropriate].] (delete as appropriate) (see Note)</i>	
Signature of engineer	
Date signed	

Note

In accordance with section 50(8)(c) of the Reservoirs (Scotland) Act 2011 (“the Act”), the statement must include a statement of any failure to comply with:

- *a requirement in a safety report referred to in section 50(2)(b) of the Act,*
- *a requirement in a preliminary certificate referred to in section 50(2)(c) of the Act,*
- *a requirement in a final certificate referred to in section 50(2)(d) of the Act,*
- *a direction referred to in section 50(2)(f) of the Act,*
- *a recommendation by the supervising engineer under section 50(3) of the Act,*
- *a direction by the supervising engineer under section 50(4) of the Act.*

Every such failure (during the period specified in the form) should be stated.

SCHEDULE 16

Regulation 37(1)

OTHER MATTERS OF WHICH A RECORD MUST BE MAINTAINED UNDER SECTION 51(1) OF THE ACT

1. Persons having, in relation to the reservoir, a function conferred by virtue of the Act.

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

2. Means of access to the reservoir.
3. Type of reservoir (e.g. whether impounding, non-impounding or service reservoir), its use, the certified level up to which it may store water, and its surface water area, capacity and fetch.
4. Structural character of the dam(s), reservoir wall(s) or embankment(s), their date of completion, height, level of the top of the dam(s), reservoir wall(s) or embankment(s) and of the wave wall above ordnance datum.
5. Details of draw off works, bottom outlets, or any other means of lowering the water level, together with their maximum rates of discharge.
6. Physical characteristics of direct and indirect catchment areas of the reservoir and method of filling from indirect catchment areas.
7. Standard average annual rainfall on direct and indirect catchment areas of the reservoir.
8. Spillway works, their type, location and level and the safety provisions made in connection with their operation.
9. Details of relevant work notified to SEPA under section 33 of the Act.
10. Measures taken in the interests of safety or which might affect safety.
11. Details of notices, recommendations, directions and statements by a supervising engineer.
12. Unusual events which could affect the safety of the reservoir.
13. Details of certificates, reports and directions given under the Act.
14. Appointment of any referee under the Act.
15. Drawing register.
16. Instrumentation at the reservoir.
17. Records of extent of opening of valves, gates and penstocks, and related information.

SCHEDULE 17

Regulation 37(2) and (3)

FORM OF RECORD TO BE MAINTAINED UNDER SECTION 51(1) OF THE ACT

**RECORD OF MATTERS IN RESPECT OF A RESERVOIR
UNDER SECTION 51(1) OF THE RESERVOIRS (SCOTLAND) ACT 2011**

(see Notes (1) and (2))

Reservoir registration number (as specified in the controlled reservoirs register):	
Name (if any) and location of the reservoir:	
National grid reference for the approximate centre of the reservoir:	
Name and address of reservoir manager(s):	

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Details of any directions by the construction engineer, inspecting engineer or supervising engineer under section 51(3) of the Reservoirs (Scotland) Act 2011 ("the Act") as to the manner in which the information is to be recorded or as to the intervals at which the record is to be updated.

WATER LEVEL AND DEPTH OF WATER

(see Note (3))

Date	Water level in the reservoir measured relative to the top water level (in metres) (see Note (4))	Name, position and signature of engineer or other person responsible for the entry

Date	Depth of water flowing over waste weir or overflow (in metres)	Name, position and signature of engineer or other person responsible for the entry

Method of recording water levels:

Datum to which levels are referred e.g. ordnance datum or the level of the overflow sill:

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LEAKAGES, SETTLEMENTS OF WALLS OR OTHER WORKS AND REPAIRS

(see Note (5))

Position and extent of any leakage from the reservoir or settlement of walls or other works, giving date of discovery	Description of action taken consequent on discovery of leakage or settlement (including details of any notifications to the supervising engineer)	Name, position and signature of engineer or other person responsible for each entry	Date action taken

Details of repairs undertaken on reservoir	Name, position and signature and contact details of engineer or other person who carried out the works	Date repairs carried out

PERSONS WITH A FUNCTION IN RELATION TO THE RESERVOIR

(see Note (6))

Scottish Environment Protection Agency	
Name:	
Address:	
Email address:	
Contact telephone number:	

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Construction engineer		
Name:		
Address:		
Email address:		
Contact telephone number:		
Reason for appointment (<i>tick as appropriate</i>):		<input type="checkbox"/> Construction of a reservoir (other than restoration to use)
		<input type="checkbox"/> Restoration to use of a reservoir
		<input type="checkbox"/> Abandonment of a reservoir
		<input type="checkbox"/> Discontinuance of a reservoir
		<input type="checkbox"/> Other alteration of a reservoir
Period of appointment	Date period began:	
	Date period ends (or ended):	

Inspecting engineer		
Name:		
Address:		
Email address:		
Occasion for appointment: (<i>see Note (7)</i>)		
Period of appointment	Date period began:	
	Date period ends (or ended):	

Engineer(s) (if any) appointed by SEPA under section 67 of the Act		
Name:		
Address:		
Email address:		
Period of appointment	Date period began:	
	Date period ends (or ended):	

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Supervising engineer	
Name:	
Address:	
Email address:	
Telephone number (within normal working hours):	
Telephone number (outwith normal working hours):	
Period of appointment	Date period began:
	Date period ends (or ended):

ACCESS

Description of access giving any restrictions on load, width, or height of vehicles using access and details of the construction of the access route:

TYPE, CAPACITY, ETC.

Type of reservoir (<i>tick as appropriate</i>):	Impounding	
	Non-impounding	
	Service	

Level up to which water may be stored, exclusive of flood storage, as it was last specified in a certificate given either under the Act, the Reservoirs Act 1975 ("the 1975 Act") or the Reservoirs (Safety Provisions) Act 1930 ("the 1930 Act"):

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Capacity (in m ³)	At the top water level:	
	Between lowest natural level of any part of the surrounding land and the top water level:	
	Between the lowest natural level of any part of the surrounding land and the level, as last specified in a certificate given under the Act, the 1975 Act or the 1930 Act exclusive of any provision for flood storage:	

Surface water area (in m ² or km ²)	At the top water level:	
	At level up to which water may be stored, exclusive of flood storage, as it was last specified in a certificate given either under the Act, the 1975 Act or 1930 Act:	

Fetch (in metres):	
Fetch direction:	

STRUCTURAL CHARACTER OF DAM, RESERVOIR WALL OR EMBANKMENT

Type (<i>tick as appropriate</i>)	Date construction completed
Earth: [<i>specify sealing membrane or core</i>]	
Rockfill: [<i>specify sealing membrane or core</i>]	
Gravity:	
Buttress:	
Other: [<i>specify as appropriate</i>]	

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Levels above ordnance datum (in metres)	Of the top of the dam(s) and reservoir wall(s) or embankment(s):	
	Of the top of the wave wall:	
	Maximum height in metres of the dam(s) and reservoir wall(s) or embankment(s) from the lowest natural ground level at the toe (including the stream bed) to top of the dam, wall or embankment (excluding wave wall):	

DETAILS OF DRAW-OFF WORKS, BOTTOM OUTLETS, ETC.

	Details:	Maximum rate of discharge (in m ³ /s):
Draw off works		
Bottom outlets		
Any other means of lowering the level of the water		

PHYSICAL CHARACTERISTICS OF DIRECT AND INDIRECT CATCHMENT AREAS, ETC.

Direct catchment area (m ² or km ²):	
Indirect catchment area (m ² or km ²):	

Method of bringing water into the reservoir from the indirect catchment area, with details of any control or pumps provided and of maximum inflow capacity:

Physical characteristics of direct and indirect catchment areas which affect the rate of storage of water:

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

STANDARD AVERAGE ANNUAL RAINFALL ON DIRECT AND INDIRECT CATCHMENT AREAS

Details of standard average annual rainfall on the direct and indirect catchment areas of the reservoir according to the meteorological office records:

SPILLWAY WORKS: THEIR TYPE, LOCATION AND LEVEL AND THE SAFETY PROVISIONS MADE IN CONNECTION WITH THEIR OPERATION

Type and location (if independent of main dam structure):

	Crest level:	Length:	Other particulars:
Fixed crest weirs			
Syphons			<i>[state if air regulated saddle syphons or not]</i>
Other gates or valves			
Movable crest gate			
Tunnels or other features affecting discharge capacity			
Emergency spillway			

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

Moveable gates or valves		
Methods of operation (<i>tick as appropriate</i>):	Manual	
	Automatic	
	Float control	
Sequence of operation:		
Source of power:		
Standby arrangements:		

RELEVANT WORK NOTIFIED TO SEPA UNDER SECTION 33 OF THE ACT

Category of relevant work notified to SEPA (<i>tick as appropriate</i>):	Construction of a reservoir (other than restoration to use)	
	Restoration to use of a reservoir	
	Abandonment of a reservoir	
	Discontinuance of a reservoir	
	Other alteration of a reservoir	
Details of work:	Date of notice to SEPA:	

MEASURES TAKEN IN THE INTERESTS OF SAFETY OR WHICH MIGHT AFFECT SAFETY

Details of any measures directed in the safety report:	Date(s) when measure taken:

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

Details of any measures specified in an inspection report which the reservoir manager is directed (in accordance with section 47(3)(d)(ii) of the Act) to ensure are taken under supervision, and the period of time specified in the report within which each measure is to be taken:	Date(s) when measure taken:

Details of any measures (for the maintenance of the reservoir) specified in an inspection report which the reservoir manager is directed (in accordance with section 47(3)(d)(i) of the Act) to ensure are monitored:	Date(s) when measure taken:

Details of any recommendations (relating to the maintenance of the reservoir) which are included in an inspection report pursuant to section 47(3)(g) of the Act:	Date(s) when measure taken:

Details of any action taken by SEPA under section 71 of the Act:	Date(s) when action taken:

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

**NOTICES, RECOMMENDATIONS, DIRECTIONS AND STATEMENTS BY
A SUPERVISING ENGINEER**

Details of any notice given under section 50(2)(a) or (g) of the Act by the supervising engineer:	
Date	Details of notice

Details of any recommendation made under section 50(3) of the Act by the supervising engineer:	
Date	Details of recommendation

Details of any direction given under section 50(4) of the Act by the supervising engineer:	
Date	Details of direction

Details of each statement made under section 50(8) of the Act by the supervising engineer:	
Date	Details of statement

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

UNUSUAL EVENTS WHICH COULD AFFECT THE SAFETY OF THE RESERVOIR

(see Note (8))

Details of any unusual events, such as seismic activity, which have occurred at or near the reservoir:	Date(s) of occurrence of any such event:

CERTIFICATES, REPORTS AND DIRECTIONS

(see Note (9))

Certificates		
Date	Type (e.g. preliminary certificate)	Section and subsection of the Act under which the certificate was given

Reports	
Date	Section and subsection of the Act under which the report was given

Directions	
Date	Section and subsection of the Act under which the direction was given

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

APPOINTMENT OF REFEREE UNDER THE ACT

Name of referee	Date of appointment

DRAWING REGISTER

(see Note (10))

Drawing number	Drawing title	Revision number	Approval date

INSTRUMENTATION AT THE RESERVOIR

(see Notes (11) and (12))

Site reference number instrument	Type and serial number of instrument and key details	Location at the reservoir	Date of installation	Conditions and assessment date

EXTENT OF OPENING OF VALVES, GATES AND PENSTOCKS

(see Note (13))

Date	Type and location of equipment (gate, valve and penstock)	Extent of opening	Name, signature and position of engineer or other person responsible for the entry

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

Method of recording extent of opening for each type of equipment:

Procedures used for opening each type of equipment and for reading the extent of opening:

Notes

- (1) If any of the information to be provided is not relevant in relation to the reservoir, "Not relevant" should be stated in the space where it is to be provided, along with the reasons why.*
- (2) Any of the information to be provided may be supplemented with relevant drawings.*
- (3) A record of water levels and depth of water including the flow of water over the waste weir or overflow should be maintained by recording appropriate entries in the form shown.*
- (4) Where the water level is above the top water level, the difference between those levels should be recorded as a positive value. Where the water level is below the top water level, the difference between those levels should be recorded as a negative value.*
- (5) A record of leakages, settlements of walls or other works, and repairs should be maintained by recording appropriate entries in the form shown.*
- (6) 'Function' refers to any power conferred or duty imposed by virtue of the Act.*
- (7) See section 45(1)(a) of the Act.*
- (8) A record of unusual events that have a bearing on the safety of the reservoir should be maintained by recording appropriate entries in the form shown.*
- (9) A record of certificates given, reports made, directions given under the Act, the Reservoirs Act 1975 or the Reservoirs (Safety Provisions) Act 1930 should be maintained by recording appropriate entries in the form shown.*
- (10) A register of drawings of the reservoir and a description of works should be maintained by recording appropriate entries in the form shown.*
- (11) A record of the type, location, age and condition of instruments installed at the reservoir should be maintained by recording appropriate entries in the form shown.*
- (12) The locations of instruments with their reference numbers should be shown on the relevant drawings of the reservoir.*
- (13) A record of the extent that valves, gates and penstocks are open should be maintained by recording appropriate entries in the form shown.*

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

SCHEDULE 18

Regulation 38

FORM OF INFORMATION ABOUT REPAIRS TO LOW-RISK RESERVOIRS

INFORMATION ABOUT REPAIRS TO LOW-RISK RESERVOIR TO BE INCLUDED IN RECORD OF RELEVANT DOCUMENTS UNDER SECTION 56 OF THE RESERVOIRS (SCOTLAND) ACT 2011		
Reservoir registration number (as specified in the controlled reservoirs register):		
Name (if any) and location of the reservoir:		
National grid reference for the approximate centre of the reservoir:		
Name and address of reservoir manager(s):		
Details of repairs undertaken on reservoir	Name, position, signature and contact details of engineer or other person who carried out the works	Date repairs carried out

SCHEDULE 19

Regulation 44

REVOCATIONS

<i>Instrument</i>	<i>Citation</i>
The Reservoirs Act 1975 (Registers, Reports and Records) Regulations 1985	S.I. 1985/177
The Reservoirs Act 1975 (Registers, Reports and Records) (Amendment) Regulations 1985	S.I. 1985/548
The Reservoirs Act 1975 (Certificates, Reports and Prescribed Information) Regulations 1986	S.I. 1986/468
The Reservoirs Act 1975 (Referees) (Appointment and Procedure) Rules 1986	S.I. 1986/467
The Reservoirs (Scotland) Regulations 2015	S.S.I. 2015/90
The Reservoirs (Scotland) Amendment Regulations 2015	S.S.I. 2015/315