

*Draft Regulations laid before Parliament under section 207(9) of the Companies Act 1989, for
approval by resolution of each House of Parliament.*

DRAFT STATUTORY INSTRUMENTS

2001 No.

COMPANIES

The Uncertificated Securities Regulations 2001

Made - - - - 2001

Coming into force - - 26th November 2001

Whereas a draft of these Regulations has been approved by resolution of each House of Parliament
Now, therefore, the Treasury, in exercise of the powers conferred by section 207 of the Companies
Act 1989(1) and now vested in them(2), and of all other powers enabling them in that behalf, hereby
to make the following Regulations:

(1) 1989 c. 40; section 207 was amended by the Bank of England Act 1998, section 35.
(2) By the Transfer of Functions (Financial Services) Order 1992 (S.I. 1992/1315).