DRAFT STATUTORY INSTRUMENTS

# 2013 No. 0000

# **CLIMATE CHANGE**

The CRC Energy Efficiency Scheme Order 2013

Made - - - -

Coming into force in accordance with article 1

# THE CRC ENERGY EFFICIENCY SCHEME ORDER 2013

\*\*\* 2013

# PART 1

# CHAPTER 1

- 1. Citation and commencement
- 2. The trading scheme: phases and application
- 3. Interpretation
- 4. Supplies and emissions
- 5. Registration and requirements of participants and others
- 6. Powers and duties of the administrator
- 7. Penalties, offences, appeals, revocations, continuing effect and amendments
- 8. Groups: liability to comply with this Order

# CHAPTER 2

- 9. The administrator
- 10. Co-operation and provision of information

# PART 2

# CHAPTER 1

- 11. Applications, information and charges
- 12. Time for applications
- 13. Registration and certificates

**Draft Legislation:** This is a draft item of legislation. This draft has since been made as a UK Statutory Instrument: The CRC Energy Efficiency Scheme Order 2013 No. 1119

# CHAPTER 2

- 14. Government departments and the devolved administrations
- 15. Other public bodies
- 16. Public bodies: applications by groups
- 17. Public bodies: applications other than by groups
- 18. Determinations by the administrator

## CHAPTER 3

- 19. Universities and colleges: England
- 20. Qualifying electricity
- 21. Universities and colleges: groups
- 22. Applications

# CHAPTER 4

- 23. Groups of undertakings
- 24. Undertakings: applications by groups
- 25. Undertakings: applications other than by groups
- 26. Undertakings: disaggregation
- 27. Changes to undertakings and groups
- 28. Determinations by the administrator

#### CHAPTER 5

29. Trustees: separate participation

# CHAPTER 6

30. Operators: separate participation

#### PART 3

- 31. Provision of annual reports
- 32. Annual report
- 33. CRC emissions
- 34. Changes affecting participants

#### PART 4

#### CRC

- 35. Validity of allowances
- 36. Allowances and CRC emissions
- 37. Cancellation of allowances and surplus surrendered allowances
- 38. Allowances and trading

# PART 5

- 39. General
- 40. Records: general
- 41. Records: public disclosure
- 42. Audit of records

### PART 6

- 43. Supplies of electricity and gas under Part 6
- 44. Information on electricity and gas supplied from authorised suppliers
- 45. Information from electricity suppliers
- 46. Information and assistance by occupiers
- 47. Information and assistance by franchisees
- 48. Information and assistance: public bodies
- 49. Information and assistance by administrators, receivers and insolvency practitioners

# PART 7

- 50. The Registry
- 51. Security of the Registry
- 52. Security and identities
- 53. Preventing or suspending use of the Registry
- 54. Cancellation of registrations of participants
- 55. Account holders
- 56. Notification
- 57. Determinations

#### PART 8

- 58. Publication of performance information
- 59. Further publication

# PART 9

- 60. Charges
- 61. Chargeable activities
- 62. Amount of charges
- 63. Revised charges
- 64. Collection and remittance of charges

# PART 10

- 65. Compliance notices
- 66. Inspections

#### PART 11

- 67. Powers of the administrator in respect of enforcement
- 68. Notices to provide information: compliance with articles 40 and 41
- 69. Enforcement notices

### PART 12

- 70. Civil penalties
- 71. Effect and recovery of civil penalties
- 72. Discretion in waiving, imposition and modification of civil penalties
- 73. Failures in respect of registration
- 74. Failures in respect of annual reports
- 75. Failures to provide information or notifications
- 76. Inaccurate annual reports
- 77. Failures to surrender allowances contrary to Part 4
- 78. Later discovered failures to surrender allowances contrary to Part 4
- 79. Failures to maintain records
- 80. Failures to provide information under article 44
- 81. Blocking and publication

# PART 13

- 82. Offences
- 83. Penalties
- 84. Bodies corporate
- 85. Scottish partnerships
- 86. The Crown

# PART 14

- 87. Appeals: general
- 88. Grounds of appeal
- 89. Appeal body
- 90. Effect of an appeal
- 91. Standard of proof
- 92. Determination of an appeal
- 93. Procedure for appeals
- 94. Service of documents
- 95. National security

# PART 15

96. Revocations, continuing effect and amendments Signature

SCHEDULE 1 — Supplies and emissions

#### SECTION 1

- 1. Electricity
- 2. Gas
- 3. Measurement units

# SECTION 2

- 4. Self-supply of electricity by generators, transmitters, distributors and authorised suppliers
- 5. Self-supply by authorised gas suppliers

### SECTION 3

- 6. Supplies under franchise agreements
- 7. Franchise agreements
- 8. Franchise agreements not existing
- 9. Supplies to franchisees regarded as supplies to franchisors

### **SECTION 4**

- 10. Supplies to trustees in relation to trust premises
- 11. Supplies to beneficiaries
- 12. Supplies to operators

#### **SECTION 5**

- 13. Deductions from supplies
- 14. Unconsumed supply: electricity
- 15. Unconsumed supply: gas
- 16. Occupation of premises
- 17. Consumption outside the United Kingdom
- 18. Domestic accommodation
- 19. Caravan sites: accommodation
- 20. Emergency and temporary accommodation
- 21. Transport consumption
- 22. Un-metered transport supply: electricity
- 23. Un-metered transport supply: gas
- 24. Purposes of transport
- 25. Consumption of gas for purposes other than heating
- 26. Purposes of heating
- 27. Minimal gas heating supply levels
- 28. EU ETS installations
- 29. CCA facility consumption

#### **SECTION 6**

- 30. Additions to supplies
- 31. Estimation adjustment: electricity and gas

# SECTION 7

- 32. Renewables generation: electricity
- 33. Amount of emissions
- 34. Conversion factors

SCHEDULE 2 — Public bodies

# SECTION 1

- 1. Public bodies
- 2. Public authorities and the National Assembly for Wales Commission
- 3. Public bodies: bodies corporate
- 4. Public bodies: proprietors of Academies and colleges
- 5. Public bodies: one public body part of another

### SECTION 2

- 6. Groups and members
- 7. Bodies corporate
- 8. Educational bodies: Wales
- 9. Grant-aided schools: Northern Ireland

### SECTION 3

- 10. Government and local authority decisions
- 11. The Scottish Ministers
- 12. The Welsh Assembly Government and Welsh Ministers
- 13. Northern Ireland departments
- 14. Government decisions
- 15. Government decisions: supplies and departments
- 16. Local authority decisions
- 17. Notification of government and local authority decisions

SCHEDULE 3 — Undertakings and participant equivalents

#### Undertakings and participant equivalents

- 1. Undertakings
- 2. Participant equivalents
- 3. Participant equivalents: movement between groups during a phase
- 4. Undertakings or groups of undertakings as participants

# SCHEDULE 4 — Information on registration

- 1. General
- 2. Contact information
- 3. Where the applicant is a group of undertakings—
- 4. Where the applicant is a group of public bodies, the...
- 5. The name, postal address, email address and telephone number of...
- 6. Total supplies of qualifying electricity

SCHEDULE 5 — Changes to participants PART 1 — Public bodies: government

# SECTION 1

#### Government departments, Northern Ireland departments, the Scottish Ministers and the Welsh Assembly Government

- 1. Application of Part 1
- 2. Notifications and applications: time to comply and the administrator

#### SECTION 2

#### Creation of new departments

- 3. Creation of a new department
- 4. Creation of a new department: year 1
- 5. Creation of a new department: year 2
- 6. Creation of a new department: after year 2
- 7. Deemed supplies of the new department

#### SECTION 3

#### Transfers of parts of government departments, Northern Ireland departments, the Scottish Ministers and the Welsh Assembly Government

- 8. Transfer of part of a department to another department
- 9. Transfers: year 1
- 10. Deemed supplies

#### **SECTION 4**

### Mergers in respect of government departments, Northern Ireland departments, the Scottish Ministers or the Welsh Assembly Government

11. Mergers of departments

#### SECTION 5

#### Government decisions and separate participation

- 12. Government decisions
- 13. Separate participants: year 1
  - PART 2 Other public bodies
- 1. Application of Part 2
- 2. Notifications and applications: time to comply and the administrator
- 3. Mergers of public bodies
  - PART 3 Undertakings

# SECTION 1

#### Post-qualification period

- 1. Time for applications
- 2. Participant equivalents leaving a group but not joining another group
- 3. Joining of a participant or participant equivalent with a non-participant
- 4. Joining of a participant with another participant
- 5. Participant equivalents leaving a group and joining another group

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#### SECTION 2

#### Annual reporting years and post-application periods

- 6. Application during annual reporting years and post-application periods
- 7. Notifications and applications: time to comply and the administrator
- 8. Determinations
- 9. Participant equivalents becoming participants
- 10. Joining of a participant or participant equivalent with a non-participant
- 11. Joining of a participant as a member of another participant
- 12. Participant equivalents transferring to another participant
- 13. Trustees: separate participation

# SCHEDULE 6 — The Registry

- 1. Setting up accounts
- 2. Account holders and information
- 3. Recording of transfers between accounts
- 4. Updating of accounts
- 5. Non-compliance accounts and third party accounts

SCHEDULE 7 — Appeals procedure

# SECTION 1

#### Procedure for appeals against determinations, notices or penalties made or given by the Scottish Environment Protection Agency

- 1. The section applies to appeals against determinations, notices or penalties...
- 2. A person who wishes to appeal to the Scottish Ministers...
- 3. The appeal body must as soon as is reasonably practicable...
- 4. An appellant may withdraw an appeal by notifying the appeal...
- 5. Notice of appeal in accordance with paragraph 2 is to...

#### SECTION 2

Procedure for appeals against determinations, notices or penalties made or given by the chief inspector or the Department of the Environment

- 6. This section applies to appeals against determinations, notices or penalties...
- 7. A person who wishes to appeal to the Planning Appeals...
- 8. The appeal body must as soon as is reasonably practicable...
- 9. An appellant may withdraw an appeal by notifying the appeal...
- 10. Notice of appeal in accordance with paragraph 7 is to...
- 11. The appeal body must determine the appeal and paragraphs (1),...
- 12. The Planning Appeals Commission must determine the process for determining...
- 13. An appeal under this section must be accompanied by a...

#### SECTION 3

Procedure for appeals against determinations, notices or penalties made or given by the Welsh Ministers or the Scottish Ministers

- 14. This section applies where the appellant is or includes—
- 15. Where the appellant wishes to appeal to an independent person...

- 16. (1) Where the appellant is or includes the Natural Resources...
- 17. (1) Where the appellant is or includes the Scottish Environment...
- 18. The appeal body may decide an appeal received late.
- 19. An appellant may withdraw an appeal by notifying the appeal...
- 20. The appeal body may publicise the appeal where it considers...
- 21. The Welsh Ministers or the Scottish Ministers as appropriate must...
- 22. The appeal body may— (a) adopt such procedures as it...
- 23. On request by the administrator, the appeal body may award...
- 24. The costs under paragraph 23— (a) are those agreed by...

SCHEDULE 8 — Service of documents

- 1. The provisions of this Schedule apply to the service of...
- 2. A document must be in writing.
- 3. Subject to paragraph 5, a document may be served on...
- 4. For the purposes of paragraph 3, a document is served...
- 5. A document may be served on an applicant or participant...
- 6. Except where paragraph 5 applies, if a person to be...
- 7. For the purposes of paragraph 3, the principal office of...
- 8. Where— (a) a participant is a group; and

SCHEDULE 9 — Amendments to the CRC Energy Efficiency Scheme Order 2010

- 1. The 2010 Order is amended as follows.
- 2. In article 3 (interpretation)— (a) for the definition of "allowance",...
- 3. For paragraph (a) of article 4 (supplies and emissions), substitute—...
- 4. In article 5 (registration and requirements of participants and others)------
- 5. In article 6(b) (powers and duties of the administrator), substitute—...
- 6. (1) Article 9 (the administrator) is amended as follows.
- 7. For article 12 (time for applications), substitute— Time for application...
- 8. (1) Part 3 (exemptions) is amended as follows.
- 9. Omit Part 4 (footprint reports and residual measurement lists).
- 10. (1) Part 5 is amended as follows.
- 11. (1) Part 6 (allowances and CRC emissions) is amended as...
- 12. In paragraph (a) of article 58 (records), omit "and section...
- 13. In article 59 (records: residual measurements lists and public disclosure)
- 14. For article 61 (supplies of electricity, gas and fuel under...
- 15. For Part 10 (achievement and performance tables, publication and verification),...
- 16. (1) Part 14 (civil penalties) is amended as follows.
- 17. (1) Schedule 1 (supplies and emissions) is amended as follows....
- 18. Omit Schedule 2 (core supplies).
- 19. (1) Schedule 3 is amended as follows.
- 20. (1) Schedule 5 (information) is amended as follows.
- 21. (1) Schedule 6 (changes to participants) is amended as follows....
- 22. Omit Schedule 8 (achievement and performance tables).

Explanatory Note

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