Regulations 4 to 6

Standards relevant to plants

- 1.—(1) This Schedule specifies standards for plants.
- (2) The standards for biomass boilers are: EN 303-5:2012(1), EN 12809:2001+A1:2004(2) or EN 303-5:1999(3).
 - (3) The standard for biomass stoves is EN 14785:2006(4).
 - (4) The standards for heat pumps are—
 - (a) EN 14511-1: 2013(**5**), EN 14511-2: 2013(**6**), EN 14511-3:2013(**7**) and EN 14511-4: 2013(**8**);
 - (b) EN 14511-1: 2011(9), EN 14511-2: 2011(10), EN 14511-3: 2011(11) and EN 14511-4: 2011(12);
 - (c) EN 14511-1: 2007(13), EN 14511-2: 2007(14), EN 14511-3: 2007(15) and EN 14511-4: 2007(16); or
 - (d) EN 14511-1: 2004(17), EN 14511-2: 2004(18), EN 14511-3: 2004(19) and EN 14511-4: 2004(20).
 - (5) The standards for solar thermal plants are—

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⁽²⁾ The ISBN for the English language version of this standard is ISBN 978 0 580 60014 2. Copies can be obtained from the British Standards Institution at www.bsigroup.com.

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- (a) EN 12975-1:2006+A1:2010(21) and EN 12975-2:2006(22);
- (b) EN 12975-1:2006+A1:2010(23) and EN ISO 9806:2013(24); or
- (c) EN 12976-1:2006(25) and EN 12976-2:2006(26).

Regulation 4

Requirements for RHI emission certificates

- 1. The requirements set out in this Schedule are that a document (an "RHI emissions certificate")
 - (a) is issued by a testing laboratory which is accredited to EN ISO/IEC 17025:2005(27) at the time of testing; and
 - (b) contains the information specified in paragraph 2.
- 2. The information referred to in paragraph 1(b) is—
 - (a) the name and address of the testing laboratory by which tests have been carried out;
 - (b) the name and signature of the person authorised by the testing laboratory to issue the certificate;
 - (c) the date of issue of the certificate together with a certificate reference number;
 - (d) the date of the accreditation of the testing laboratory to EN ISO/IEC 17025:2005 and the accreditation number;
 - (e) the name, model, manufacturer and installation capacity of the plant tested;
 - (f) the date of the testing;
 - (g) confirmation that—
 - (i) emissions of NOx and PM have been tested on the same occasion;
 - (ii) the testing was in accordance with the requirements set out in paragraph 3 or 4; and
 - (iii) the test was carried out at no less than 85% of the installation capacity of the plant;
 - (h) confirmation that when tested as specified in sub-paragraph (g)—
 - (i) emissions of PM from the plant did not exceed 30 grams of PM per gigajoule net heat input; and
 - (ii) emissions of NOx did not exceed 150 grams of NOx per gigajoule net heat input;
 - (i) the actual emissions of PM and NOx measured when the plant was tested as specified in sub-paragraph (g);
 - (j) a list of—

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- (i) the types of fuel used during the testing; and
- (ii) the types of fuel which can be used so as to ensure that the emission limits referred to in sub-paragraph (h) are not exceeded;
- (k) the moisture content of the fuel used during testing and the maximum moisture content which can be used so as to ensure that the emission limits referred to in sub-paragraph (h) are not exceeded;
- (l) a statement indicating whether or not the plant tested was a manually stoked natural draught plant;
- (m) a list of plants, other than the plant tested, in the type-testing range of plants for the certificate, if any.
- **3.** For the purposes of paragraph 2(g), the requirements set out in this paragraph are that testing is carried out in accordance with the provisions relevant to emissions of PM and NOx in EN 303-5:1999(28) or EN 303-5:2012(29), whichever standard is current at the time of testing.
 - **4.** For the purposes of paragraph 2(g), the requirements set out in this paragraph are that—
 - (a) testing is carried out in accordance with—
 - (i) EN 14792:2005(30) for NOx emissions, and
 - (ii) EN 13284-1:2002(31) or BS ISO 9096:2003(32) for PM emissions;
 - (b) the emissions of PM represent the average of at least three measurements of emissions of PM, each of at least 30 minutes duration; and
 - (c) the value for NOx emissions is derived from the average of measurements made throughout the PM emission tests.
- 5. For the purposes of paragraph 2(h), "net heat input" means the rate of heat (expressed as the amount of heat over time) which is supplied to the plant by the fuel used, based on the net calorific value of that fuel.

SCHEDULE 3

Regulations 4 and 18

Eligible properties

- 1.—(1) The requirements set out in this Schedule in relation to a property are that an Energy Performance Certificate ("EPC") has been issued for the property on the basis that it consists of a dwelling and—
 - (a) the property is an eligible new-build property; or
 - (b) the requirements in—
 - (i) sub-paragraph (2) are met; and
 - (ii) either sub-paragraph (3) or (4) are met.

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- (2) The requirements referred to in sub-paragraph (1)(b)(i) are that the property was first occupied before the first commissioning date for the plant and a Green Deal Assessment has been carried out for the property.
 - (3) The requirements referred to in sub-paragraph (1)(b)(ii) are that the EPC—
 - (a) does not include a recommendation report; or
 - (b) includes a recommendation report which does not recommend that loft insulation or cavity wall insulation be installed.
- (4) The requirements referred to in sub-paragraph (1)(b)(ii) are that loft insulation or cavity wall insulation is recommended in a recommendation report included in the EPC and cannot be installed in accordance with that recommendation as that installation—
 - (a) is prevented by restrictions on the building as a consequence of its status as a listed building, its location in a conservation area or the material impact that such installation would have on a protected species;
 - (b) would otherwise be unlawful; or
 - (c) is not feasible due to atypical local environmental conditions or the structure of the property.

Regulations 17, 18, 45, 48 and 69

Information required for accreditation

PART 1

Information required from all applicants making an accreditation application

- 1. The information referred to in regulation 17(2)(a) is—
 - (a) the address of the property to which the plant for which accreditation is sought provides heat;
 - (b) where the applicant is an individual, the name, date of birth, address, e-mail address (if any) and telephone number (if any) of the applicant;
 - (c) where the applicant is not an individual, the name of the individual making the application on behalf of the applicant, the individual's date of birth, address, e-mail address (if any) and telephone number (if any);
 - (d) where the applicant is a company, the trading or other name by which the applicant is commonly known, its registration number, and the address of its registered office;
 - (e) where the applicant is a private registered provider of social housing, a body registered as a social landlord under Chapter 1 of Part 1 of the Housing Act 1996(33) or registered as a social landlord under section 23 of the Housing (Scotland) Act 2010(34), the name by which the applicant is commonly known, the details of its registration, and the address of its registered office;
 - (f) where the applicant is a local authority, the name by which the applicant is commonly known and its address;

^{(33) 1996} c.52.

⁽**34**) 2010 asp.17.

- (g) details of a bank account in the applicant's name which accepts pound sterling deposits in the United Kingdom into which any RHI payments may be paid;
- (h) the unique reference number or numbers under which the plant for which accreditation is sought is registered on the MCS register;
- (i) the unique reference number for the Energy Performance Certificate for the property to which the plant for which accreditation is sought provides heat which is the most recent Energy Performance Certificate for the property on the date on which the application is made; and
- (j) the unique reference number for the Green Deal Assessment for the property to which the plant for which accreditation is sought provides heat, unless the property is an eligible new-build property.

PART 2

Additional information which may be required from an applicant for accreditation

- 2. The information referred to in regulation 17(2)(b) is—
 - (a) information to enable the Authority to satisfy itself as to the identity of the individual completing the application;
 - (b) where an individual is making an application on behalf of the applicant, evidence which satisfies the Authority that the individual has authority from that person to make the application on its behalf;
 - (c) details of the plant for which accreditation is sought, including its make, model and cost;
 - (d) evidence regarding the value of any grant from public funds and details of the body from which the grant was given;
 - (e) any information held by the applicant about the plant's certification in accordance with regulation 8;
 - (f) details of the property to which the plant for which accreditation is sought provides heat, including evidence that the applicant owns or occupies the property;
 - (g) if the applicant has indicated to the Authority that paragraph 1(4) of Schedule 3 applies to the property, evidence from the local planning authority, the Historic Buildings and Monuments Commission for England, Historic Scotland, Cadw, Natural England, Scottish Natural Heritage, the Natural Resources Body for Wales; a chartered ecologist's report or a chartered surveyor's report;
 - (h) a copy of any Energy Performance Certificate for the property including, if applicable, any Energy Performance Certificate issued on or after the RHI date for the plant;
 - (i) if the heat generated by the plant for which accreditation is sought must be metered under regulation 13—
 - (i) in relation to each meter installed under these Regulations, details of the meter's manufacturer, model and serial number;
 - (ii) any readings from all meters and any other measuring instruments as at the RHI date for the plant or up to two weeks prior to that date;
 - (iii) evidence, prepared or verified by the certified installer who was responsible for, or checked, the installation of the meters, that the meters meet the metering requirements;
 - (iv) a schematic or other diagram showing details of the heating arrangements for the property to which the plant for which accreditation is sought provides heat, including

- all plant providing heat to that property, the location of meters and associated components and such other details as may be specified by the Authority; and
- (v) such other information as the Authority may specify to enable it to determine whether the metering requirements are met;
- (j) if the plant for which accreditation is sought is a biomass plant—
 - (i) evidence as to the type of fuel used in the plant;
 - (ii) such information as the Authority may specify to enable it to satisfy itself that the requirements set out in Schedule 2 have been met;
- (k) details regarding any other plant which provides heat to the same property as the plant for which accreditation is sought;
- (l) evidence as to any of the other matters for which the applicant has given a declaration; and
- (m) such other information as the Authority may require to enable it to consider the applicant's application for accreditation or to enable evaluation of the operation of the domestic RHI scheme.

Regulations 34, 35 and 37

Tariffs

Table 1

Tariff category	Tariff	
	(pence/kWh)	
Biomass plants	12.2	
Air source heat pumps	7.3	
Ground source heat pumps	18.8	
Solar thermal plants	19.2	

Regulation 36

Expenditure for individual technologies

PART 1

Biomass plants

Table 1

Assessment date	Expenditure threshold	Growth threshold	Super expenditure threshold	Super growth threshold
31st July 2014	£ 2.40m		£ 4.80m	
31st October 2014	£ 4.20m	£ 1.80m	£ 8.40m	£ 3.60m
31st January 2015	£ 6.00m	£ 1.80m	£ 12.00m	£ 3.60m
30th April 2015	£ 8.40m	£ 2.40m	£ 16.80m	£ 4.80m
31st July 2015	£ 11.90m	£ 3.60m	£ 23.90m	£ 7.20m
31st October 2015	£ 15.50m	£ 3.60m	£ 31.10m	£ 7.20m
Any date after 30th January 2016	£ 19.10m	£ 3.60m	£ 38.20m	£ 7.20m

PART 2

Air source heat pumps

Table 2

Assessment date	Expenditure threshold	Growth threshold	Super expenditure threshold	Super growth threshold
31st July 2014	£ 2.40m		£ 4.80m	
31st October 2014	£ 4.20m	£ 1.80m	£ 8.40m	£ 3.60m
31st January 2015	£ 6.00m	£ 1.80m	£ 12.00m	£ 3.60m
30th April 2015	£ 8.40m	£ 2.40m	£ 16.80m	£ 4.80m
31st July 2015	£ 11.90m	£ 3.60m	£ 23.90m	£ 7.20m
31st October 2015	£ 15.50m	£ 3.60m	£ 31.10m	£ 7.20m
Any date after 30th January 2016	£ 19.10m	£ 3.60m	£ 38.20m	£ 7.20m

PART 3
Ground source heat pumps

Table 3

Assessment date	Expenditure threshold	Growth threshold	Super expenditure threshold	Super growth threshold
31st July 2014	£ 2.40m		£ 4.80m	
31st October 2014	£ 4.20m	£ 1.80m	£ 8.40m	£ 3.60m
31st January 2015	£ 6.00m	£ 1.80m	£ 12.00m	£ 3.60m
30th April 2015	£ 8.40m	£ 2.40m	£ 16.80m	£ 4.80m
31st July 2015	£ 11.90m	£ 3.60m	£ 23.90m	£ 7.20m
31st October 2015	£ 15.50m	£ 3.60m	£ 31.10m	£ 7.20m
Any date after 30th January 2016	£ 19.10m	£ 3.60m	£ 38.20m	£ 7.20m

PART 4 Solar thermal plants

Table 4

Assessment date	Expenditure threshold	Growth threshold	Super expenditure threshold	Super growth threshold
31st July 2014	£ 1.20m		£ 2.30m	
31st October 2014	£ 2.10m	£ 0.90m	£ 4.10m	£ 1.80m
31st January 2015	£ 2.90m	£ 0.90m	£ 5.90m	£ 1.80m
30th April 2015	£ 3.90m	£ 1.00m	£ 7.80m	£ 1.90m
31st July 2015	£ 5.00m	£ 1.10m	£ 10.00m	£ 2.20m
31st October 2015	£ 6.10m	£ 1.10m	£ 12.20m	£ 2.20m
Any date after 30th January 2016	£ 7.20m	£ 1.10m	£ 14.40m	£ 2.20m

SCHEDULE 7

Regulations 49 to 52, 54 and 55

Requirements for metering and monitoring agreements

1. The requirements set out in this Schedule are that an agreement between a certified installer and a participant (a "metering and monitoring agreement")—

- (a) relates to an accredited domestic plant which is a heat pump or a metering and monitoring biomass boiler;
- (b) meets the requirements set out in paragraph 3 or 4 of this Schedule (whichever is applicable to the type of plant); and
- (c) meets the requirements set out in paragraphs 5 to 12 of this Schedule.

2. In this Schedule—

"data completeness", in relation to information recorded by measuring instruments over a particular period and presented in a format available for viewing by the installer and participant, is the total number of readings by the instruments and presented over that period divided by the maximum number of readings that could have been recorded at 2 minute intervals by the instruments in that period, expressed as a percentage;

"external temperature" is the temperature measured—

- (a) at the RHI property by any temperature sensors if the relevant sensors are installed at the RHI property; or
- (b) at a meteorological station which the metering and monitoring installer regards as most likely to measure temperature that represents the external temperature at the RHI property.

Requirements regarding the use of meters and other measuring instruments for metering and monitoring biomass boilers

- **3.** Where the agreement relates to an accredited domestic plant which is a metering and monitoring biomass boiler ("the plant"), the applicable requirements referred to in paragraph 1(b) are that the agreement requires that—
 - (a) eligible heat meters are installed to record the heat generated by the plant;
 - (b) eligible heat meters are installed to record the heat generated by any other plant which is connected to the same heat distribution system as the plant or, if it is not feasible to install eligible heat meters for this purpose, any other type of eligible meters which can be used to determine heat generated by the other plant are installed;
 - (c) temperature sensors are installed which enable the recording of the temperature of the liquid leaving the plant and returning to it, and those temperature sensors must form part of the eligible heat meter measuring the heat generated by the plant or be installed at the same location as that eligible heat meter;
 - (d) eligible electricity meters are installed to record—
 - (i) the electricity supplied to any component of the plant which is used to generate the heat which is recorded under sub-paragraph (a); and
 - (ii) if the plant is used to generate heat for the purpose of heating domestic hot water, the total electricity supplied to the domestic hot water system including the electrical consumption by any immersion element in a domestic hot water cylinder;
 - (e) temperature sensors are installed to measure—
 - (i) the indoor temperature in at least one room in the RHI property to which the accredited domestic plant provides heat; and
 - (ii) the external air temperature; and
 - (f) measuring equipment is installed to determine the efficiency of the plant.

Requirements regarding the use of meters and other measuring instruments for heat pumps

- **4.** Where the agreement relates to an accredited domestic plant which is a heat pump ("the plant"), the applicable requirements referred to in paragraph 1(b) are that the agreement requires that—
 - (a) eligible heat meters are installed to record the heat generated by the plant or its components;
 - (b) eligible heat meters are installed to record the heat generated by any other plant which is connected to the same heat distribution system as the plant or, if it is not feasible to install eligible heat meters for this purpose, any other type of eligible meters which can be used to determine heat generated by the other plant are installed;
 - (c) temperature sensors are installed which enable the recording of—
 - (i) the temperature of the liquid leaving the plant to provide space heating; and
 - (ii) if the heat pump generates heat for the purpose of heating domestic hot water, the temperature of the liquid leaving the plant for the sole purpose of heating domestic hot water or entering the domestic hot water cylinder;
 - (d) eligible electricity meters are installed to record—
 - (i) any electricity supplied to any components of the plant included in the heat recorded under sub-paragraph (a);
 - (ii) if the plant is used for the purpose of heating domestic hot water, the total electricity supplied to the domestic hot water system including the electrical consumption by any immersion element in a domestic hot water cylinder;
 - (e) temperature sensors are installed to measure the indoor temperature in at least one room in the RHI property to which the plant provides heat;
 - (f) if the plant is a ground source heat pump, temperature sensors are installed to record the temperature of the liquid in the part of the plant that extracts heat from the ground or water as it enters, and returns from, the ground or water; and
 - (g) if the plant is an air source heat pump, temperature sensors are installed to measure the external air temperature.

Accuracy requirements for meters and temperature sensors

- 5. The requirements referred to in paragraph 1(c) are that the agreement requires that—
 - (a) all meters installed or used under the agreement meet the metering requirements and records information at least every 2 minutes;
 - (b) all temperature sensors used under the agreement are properly installed and records information at least every 2 minutes;
 - (c) the smallest amount of energy that eligible heat meters used under the agreement can detect is equal to or less than 1 Wh or, if not, is equal to or less than—
 - (i) 10 Wh, if the eligible heat meter is measuring the heat in domestic hot water as it leaves a domestic hot water cylinder; or
 - (ii) 3% of the smallest amount of heat that the plant being measured is designed to produce in two minutes in Wh, if the eligible heat meter is not measuring the heat in domestic hot water as it leaves a domestic hot water cylinder;
 - (d) the smallest amount of energy that eligible electricity meters used in relation to the components of a heat pump under the agreement can detect is equal to or less than—
 - (i) 1 Wh; or

- (ii) 3% of the smallest amount of electricity that the heat pump compressor, any supplementary electric heater and any electric immersion heater (where the energy consumed by those components is metered) is designed to consume in Wh in two minutes;
- (e) the smallest amount of energy that eligible electricity meters used in relation to a metering and monitoring biomass boiler under a metering and monitoring agreement can detect is equal to or less than—
 - (i) 1 Wh; or
 - (ii) 7.5% of the smallest amount of electricity that the metering and monitoring biomass boiler is designed to consume in Wh in two minutes;
- (f) the smallest volume that eligible gas meters used under a metering and monitoring agreement can detect is equal to or less than 10 litres or the equivalent volume in any other unit;
- (g) the smallest volume that eligible oil meters used under a metering and monitoring agreement can detect is equal to or less than 0.1 litres or the equivalent volume in any other unit; and
- (h) the data completeness of the information recorded by all measuring instruments under the metering and monitoring agreement over any consecutive 12 month period is at least 75%.

Requirements for presentation of information

- **6.** The requirements referred to in paragraph 1(c) are that the agreement requires that information recorded under the metering and monitoring agreement is—
 - (a) presented in a format which is automatically available for viewing by the metering and monitoring installer ("the installer view") and the participant ("the participant view"); and
 - (b) updated automatically within one week of that information being recorded by the relevant measuring instruments.
- 7. The requirements referred to in paragraph 1(c) are that the agreement requires that the installer view—
 - (a) includes all of the information recorded by all measuring instruments required under the metering and monitoring agreement over a period which is—
 - (i) at least the past 12 months; or
 - (ii) if the agreement has been in force for less than 12 months, the period in which the agreement has been in force;
 - (b) shows the data as it was recorded in 2 minute intervals or smaller intervals;
 - (c) indicates the data completeness of the recorded information—
 - (i) in each three month period for the past 12 months; or
 - (ii) if the information has been recorded for a period which is shorter than 12 months, in any three month period for which information has been recorded.
- **8.** The requirements referred to in paragraph 1(c) are that the agreement requires that the participant view—
 - (a) separately identifies, as a minimum, each of the following sets of information recorded under the metering and monitoring agreement—
 - (i) the energy output of the accredited domestic plant;
 - (ii) the energy consumption by the accredited domestic plant;

- (iii) the internal temperature in any room for which the internal temperature is recorded;
- (iv) the external temperature;
- (v) if the accredited domestic plant is a ground source heat pump, the temperature of the liquid in the part of the plant that extracts heat from the ground or water as it enters, and returns from, the ground or water;
- (vi) the efficiency of the accredited domestic plant over the past 12 months or over any period for which data is available if less than 12 months of data is available ("the efficiency assessment");
- (vii) an assessment as to the accuracy of the efficiency assessment; and
- (viii) information about the components of the accredited domestic plant which have contributed to the efficiency assessment;
- (b) displays the information referred to in sub-paragraph (a) in a way that—
 - (i) shows the information collected in the immediately preceding week, broken down by hour or by a smaller unit of time; and
 - (ii) shows the information collected in any other period (at least for data collected in the previous 12 months), broken down by month or by a smaller unit of time; and
- (c) identifies the data completeness of the information recorded by the measuring instruments.

Requirements for provision of information and advice to participants

- **9.** The requirements referred to in paragraph 1(c) are that the agreement requires the metering and monitoring installer to provide to the participant, on request,—
 - (a) at least once every three months, an explanation about the meaning of the information collected under the metering and monitoring agreement;
 - (b) all of the information collected under the metering and monitoring agreement over the 12 month period ending on the date on which the information is requested; and
 - (c) any other information relating to the participant or the metering and monitoring agreement which is held by the metering and monitoring installer.

Requirements for provision of information to the Secretary of State or the Authority

- 10. The requirements referred to in paragraph 1(c) are that the agreement requires the metering and monitoring installer—
 - (a) to provide to the Secretary of State, the Authority or an agent nominated by the Authority, on request, information relating to—
 - (i) the metering and monitoring agreement including any data collected, and anything else done, under that agreement; or
 - (ii) the accredited domestic plant; and
 - (b) to provide that information in such manner and form and by such date as is specified in the request.

Consumer protection requirements

- 11. The requirements referred to in paragraph 1(c) are that the agreement requires the metering and monitoring installer to inform the participant—
 - (a) of the identity of any person who is providing a service under the metering and monitoring agreement and to notify the participant if that person changes;

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- (b) if any service required under the metering and monitoring agreement is to be delivered by another person on behalf of the metering and monitoring installer.
- 12. The requirements referred to in paragraph 1(c) are that the agreement permits assignment of all rights and obligations under the agreement—
 - (a) by the metering and monitoring installer to another certified installer if the participant consents; and
 - (b) by the participant who entered into the metering and monitoring agreement to another owner of the accredited domestic plant where—
 - (i) the participant ceases to be the owner of the accredited domestic plant; and
 - (ii) notice is given to the metering and monitoring installer.