
DRAFT STATUTORY INSTRUMENTS

2017 No.

The Risk Transformation Regulations 2017

PART 4

Protected Cell Companies

CHAPTER 18

Offences

Offences by a body corporate

186. Section 400 of FSMA (offences by bodies corporate etc)(1) applies to an offence under these Regulations as it applies to an offence under FSMA.

Jurisdiction and procedure in respect of offences

187. Section 403 of FSMA (jurisdiction and procedure in respect of offences)(2) applies to an offence under these Regulations as it applies to an offence under FSMA.

(1) Section 400 was amended by section 37 of and Schedule 9 to the Financial Services Act 2012.

(2) Section 400 was amended by section 37 of and Schedule 9 to the Financial Services Act 2012.