
DRAFT STATUTORY INSTRUMENTS

2019 No.

The Financial Services and Markets Act 2000
(Amendment) (EU Exit) Regulations 2019

PART 3

Amendments to the Financial Services and Markets
Act 2000 (Regulated Activities) Order 2001

Article 77A (alternative finance investment bonds)

152.—(1) Article 77A(1) (alternative finance investment bonds) is amended as follows.

(2) In paragraph (2), for sub-paragraph (f)(2) substitute—

“(f) the arrangements are—

- (i) a security that is admitted to the official list in accordance with Part 6 of the Act,
- (ii) a security that is admitted to an official list in the EEA (in accordance with the provisions of [Directive 2001/34/EC](#) of the European Parliament and of the Council on the admission of securities to official stock exchange listing and on information to be published on those securities) and has been so admitted since before exit day,
- (iii) a security that is admitted to trading on a recognised investment exchange or a UK trading venue, or
- (iv) a security that is admitted to trading on an EU trading venue and has been so admitted since before exit day.”.

(3) After paragraph (3) insert—

“(3A) In sub-paragraph (2)(f)—

“EU trading venue” has the meaning given by Article 2.1.16B of the markets in financial instruments regulation;

“UK trading venue” has the meaning given by Article 2.1.16A of that regulation.”.

(1) Article 77A was inserted by [S.I. 2010/86](#).

(2) Paragraph (2)(f) was amended by [S.I. 2018/831](#).