
DRAFT STATUTORY INSTRUMENTS

2019 No.

The Financial Services and Markets Act 2000
(Amendment) (EU Exit) Regulations 2019

PART 2

Amendments to the Act

CHAPTER 11

Part 20 of the Act: provision of financial services by members of the professions

Section 327 (exemption from the general prohibition)

- 64.** In section 327 (exemption from the general prohibition)—
- (a) in subsection (1)(aa), for “Section A of Annex 1 of the markets in financial instruments directive”, substitute “Part 3 of Schedule 2 to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001”;
 - (b) in subsection (7A)(a), omit “for the purposes of the markets in financial instruments directive”;
 - (c) in subsection (7B), omit “for the purposes of the markets in financial instruments directive”;
 - (d) in subsection (7C), for the words from “Article 4(a)” to the end, substitute “paragraph 6(a) to (c) of Schedule 3 to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001”.