

## SCHEDULE 4

### Communication requirement

#### *Functionality, quality and maintenance of IT systems*

3. Whether the IT systems used for the purposes of carrying out relevant functions—
  - (a) have the necessary capacity and capability to enable relevant functions to be carried out by or on behalf of the scheme in accordance with—
    - (i) any legal requirement in relation to scheme communications; and
    - (ii) the scheme's systems and processes concerning scheme communications;
  - (b) are monitored to ensure that they continue to have the necessary capacity and capability;
  - (c) are capable of being upgraded or updated to reflect changes in legal requirements relating to scheme communications;
  - (d) have a back-up system which allows data concerning scheme communications to be recovered if the main system fails;
  - (e) have restricted physical and electronic access, with firewalls and other appropriate protection against viruses and other threats;
  - (f) are maintained at regular intervals, either automatically or by a person with the appropriate skills and experience;
  - (g) are backed up and updated regularly, including the maintenance of firewalls and other preventative systems.