DRAFT STATUTORY INSTRUMENTS

2023 No.

The Securitisation Regulations 2023

PART 8

Monitoring, disciplinary measures and procedures

Monitoring and enforcement by FCA

- **36.**—(1) The FCA must maintain arrangements designed to enable it to determine whether regulated persons are complying with relevant requirements.
- (2) The FCA must also maintain arrangements for enforcing compliance by regulated persons with relevant requirements.