



National Health Service Act 1977

1977 CHAPTER 49

PART II **E+W+S**

GENERAL MEDICAL, GENERAL DENTAL, GENERAL OPHTHALMIC, AND PHARMACEUTICAL SERVICES

Pharmaceutical services

[^{F1}43ZA Conditional inclusion in medical, dental, ophthalmic and pharmaceutical lists **E **+W+S****

- (1) The Secretary of State may by regulations provide—
 - (a) that if a person is to be included in a list referred to in subsection (3), he is to be subject, while he remains included in the list, to conditions determined by the [^{F2}Primary Care Trust or Health Authority in whose list he is included] ,
 - (b) for the [^{F3}Primary Care Trust or] Health Authority to vary that person's terms of service for the purpose of or in connection with the imposition of any such conditions,
 - (c) for the [^{F4}Primary Care Trust or] Health Authority to vary the conditions or impose different ones,
 - (d) for the consequences of failing to comply with a condition (including removal from the list), and
 - (e) for the review by the [^{F5}Primary Care Trust or] Health Authority of any decision made by virtue of the regulations.
- (2) The imposition of conditions must be with a view to—
 - (a) preventing any prejudice to the efficiency of the services in question, or
 - (b) preventing any acts or omissions within section 49F(3)(a) below.
- (3) The lists in question are—
 - (a) a list of persons undertaking to provide general medical services,
 - (b) a list of persons undertaking to provide general dental services,
 - (c) a list of persons undertaking to provide general ophthalmic services,

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- (d) a list of persons undertaking to provide pharmaceutical services.
- (4) If regulations provide for a practitioner's removal from the list for breach of condition—
- (a) the regulations may provide that he may not withdraw from the list while the [^{F6}Primary Care Trust or] Health Authority are investigating whether there are grounds for exercising their power to remove him, or after the [^{F7}Primary Care Trust or] Health Authority have decided to remove him but before they have given effect to that decision; and
 - (b) the regulations must include provision—
 - (i) requiring the practitioner to be given notice of any allegation against him,
 - (ii) giving him the opportunity of putting his case at a hearing before the [^{F8}Primary Care Trust or] Health Authority make any decision as to his removal from the list, and
 - (iii) requiring him to be given notice of the [^{F9}decision of the Primary Care Trust or of the Health Authority] and the reasons for it and of his right of appeal under subsection (5).
- (5) If regulations provide as mentioned in subsection (1), they must also provide for an appeal by the person in question to the FHSAA against the [^{F10}decision of the Primary Care Trust or of the Health Authority]—
- (a) to impose conditions, or any particular condition,
 - (b) to vary a condition,
 - (c) to vary his terms of service,
 - (d) on any review of an earlier such decision of theirs,
 - (e) to remove him from the list for breach of condition,
- and the appeal shall be by way of redetermination of the [^{F11}decision of the Primary Care Trust or of the Health Authority] .
- (6) The regulations may provide for any such decision not to have effect until the determination by the FHSAA of any appeal against it, and must so provide in relation to a decision referred to in subsection (5)(e).
- (7) Regulations under this section may provide for the disclosure by a [^{F12}Primary Care Trust or] Health Authority, to prescribed persons or persons of prescribed descriptions, of information of a prescribed description about persons whose inclusion in the lists referred to in subsection (3) is subject to conditions imposed under this section, and about the removal of such persons from such lists for breach of condition.]

Textual Amendments

- F1** S. 43ZA inserted (22.11.2001 for E. and 1.7.2002 for W.) by 2001 c. 15, ss. 21, 70(2) (with ss. 64(9), 65(4)); S.I. 2001/3738, art. 2(1), Sch. 1 Pt. I; S.I. 2002/1475, art. 2(1), Sch. Pt. 1
- F2** Words in s. 43ZA(1)(a) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), Sch. 2 Pt. 1 para. 18(2)(a); S.I. 2002/2478, art. 3(1)(d)
- F3** Words in s. 43ZA(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), Sch. 2 Pt. 1 para. 18(2)(b); S.I. 2002/2478, art. 3(1)(d)
- F4** Words in s. 43ZA(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), Sch. 2 Pt. 1 para. 18(2)(b); S.I. 2002/2478, art. 3(1)(d)
- F5** Words in s. 43ZA(1) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), Sch. 2 Pt. 1 para. 18(2)(b); S.I. 2002/2478, art. 3(1)(d)

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- F6** Words in s. 43ZA(4) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(3)(a)**; S.I. 2002/2478, **art. 3(1)(d)**
- F7** Words in s. 43ZA(4) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(3)(a)**; S.I. 2002/2478, **art. 3(1)(d)**
- F8** Words in s. 43ZA(4) inserted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(3)(a)**; S.I. 2002/2478, **art. 3(1)(d)**
- F9** Words in s. 43ZA(4)(b)(iii) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(3)(b)**; S.I. 2002/2478, **art. 3(1)(d)**
- F10** Words in s. 43ZA(5) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(4)**; S.I. 2002/2478, **art. 3(1)(d)**
- F11** Words in s. 43ZA(5) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(4)**; S.I. 2002/2478, **art. 3(1)(d)**
- F12** Words in s. 43ZA(7) substituted (1.10.2002) by National Health Service Reform and Health Care Professions Act 2002 (c. 17), ss. 2(5), 42(3), **Sch. 2 Pt. 1 para. 18(5)**; S.I. 2002/2478, **art. 3(1)(d)**

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Changes and effects yet to be applied to :

- s. 43ZA(3)(a)(b) repealed by 2003 c. 43 Sch. 14 Pt. 4

Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act modified by 2003 c. 43 Sch. 7 para. 3(7)
- Act repealed by 2006 c. 43 Sch. 4

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- s. 3(4) inserted by 2003 c. 43 Sch. 11 para. 8
- s. 3(4)(a) words substituted by 2006 c. 28 Sch. 8 para. 7(4)(a)
- s. 3(4)(b) modified by S.I. 2004/865 art. 109(2)(a)
- s. 3(4)(b) words substituted by 2006 c. 28 Sch. 8 para. 7(4)(b)
- s. 8(9)(10) added by 2006 c. 28 s. 74(1)
- s. 11(4A)(4B) inserted by 2006 c. 28 s. 74(2)(a)
- s. 16B(4) inserted by 2003 c. 43 s. 182(1)
- s. 16BC(4) inserted by 2003 c. 43 s. 182(2)
- s. 16CA and heading inserted by 2003 c. 43 s. 170
- s. 16CB inserted by 2003 c. 43 s. 171(1)
- s. 16CC inserted by 2003 c. 43 s. 174
- s. 16CD16CE inserted by 2006 c. 28 s. 37
- s. 19A(2)(aa) inserted by 2003 c. 43 Sch. 9 para. 9
- s. 20A inserted by 2003 c. 4 s. 1(1)
- s. 21(4) inserted by S.I. 2006/1407 Sch. 1 Pt. 1 para. 9
- s. 22(1A)(e) inserted by 2003 c. 43 Sch. 4 para. 25
- s. 28D(1)(b)-(bc) substituted for s. 28D(1)(b)(c) by 2003 c. 43 s. 177(2)
- s. 28D(1)(bc)(i) modified by S.I. 2004/865 art. 109(2)(a)
- s. 28D(1)(bc)(iii) words substituted by S.I. 2004/957 Sch. para. 3
- s. 28D(1A) inserted by 2003 c. 43 s. 177(3)
- s. 28E(3)(ca) inserted by 2003 c. 43 s. 177(7)
- s. 28E(3A)(3B) inserted by 2003 c. 43 s. 177(8)
- s. 28E(3C) inserted by 2003 c. 43 s. 177(9)
- s. 28E(3D) inserted by 2003 c. 43 s. 177(10)
- s. 28E(3E)(3F) inserted by 2003 c. 43 s. 177(11)
- s. 28K-28P and heading inserted by 2003 c. 43 s. 172(1)
- s. 28M(4) words substituted by S.I. 2006/1407 Sch. 1 Pt. 1 para. 16
- s. 28Q-28W and heading(s) inserted by 2003 c. 43 s. 175(1)
- s. 28W(5) applied by S.I. 2004/478 reg. 100(3)
- s. 28W(5) applied by S.I. 2004/478 Sch. 6 para. 100(3)
- s. 28X applied (with modifications) by S.I. 2006/552 Sch. 1 para. 4
- s. 28X inserted by 2003 c. 43 s. 179(1)
- s. 28X heading words substituted by 2006 c. 28 s. 39(5)
- s. 28X(1A) inserted by S.I. 2006/1407 Sch. 1 Pt. 1 para. 17(a)
- s. 28X(2A) inserted by 2006 c. 28 s. 39(2)
- s. 28X(3)(b) words substituted by 2006 c. 28 s. 39(3)
- s. 28X(3)(c) added by S.I. 2006/1407 Sch. 1 Pt. 1 para. 17(b)
- s. 28X(6A) inserted by 2006 c. 28 s. 39(4)
- s. 28Y inserted by 2003 c. 43 s. 180
- s. 28Y(1) applied (with modifications) by S.I. 2006/552 Sch. 1 para. 5
- s. 28Y(1)(a) substituted by 2006 c. 28 s. 40(2)

- s. 28Y(1)(b) words substituted by [2006 c. 28 s. 40\(3\)](#)
- s. 28Y(1)(c) added by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 18](#)
- s. 28WA-28WF and cross-heading inserted by [2006 c. 28 s. 38](#)
- s. 29-34A repealed by [2003 c. 43 s. 175\(2\)Sch. 14 Pt. 4](#)
- s. 34A inserted by [1997 c. 46 s. 25\(1\)](#) (This amendment not applied to [legislation.gov.uk](#). S. 25(1) repealed (1.4.2004) without ever being in force by Health and Social Care (Community Health and Standards) Act 2003 (c. 43), s. 199(1) (4), Sch. 14 Pt. 4 Note; S.I. 2004/288, art. 6(2)(p) (with art. 8) (as amended by S.I. 2004/866 and S.I. 2005/2925); S.I. 2004/480, art. 5(2)(p) (with arts. 6, 7) (as amended by S.I. 2004/1019 and S.I. 2006/345))
- s. 35(3)-(3B) substituted for s. 35(3) by [S.I. 2005/2011 Sch. 6 para. 1\(2\)\(a\)](#) (This amendment comes into force on a day to be specified in the London, Edinburgh and Belfast Gazettes (see, for example, the London Gazette, issue nos. 57734, 57975, 58050 and 58769, dated respectively 19.8.2005, 5.6.2006, 21.7.2006 and 18.7.2008))
- s. 42(2A) inserted by [2001 c. 15 s. 40\(3\)](#)
- s. 42(2B)(2C) inserted by [2006 c. 28 s. 35](#)
- s. 42A42B inserted by [2006 c. 28 s. 34\(1\)](#)
- s. 43(4)(5) added by [1997 c. 19 Sch. para. 6](#) (This amendment not applied to [legislation.gov.uk](#). 1997 c. 19 repealed (30.3.2007) by S.I. 2007/289, art. 1(2)(3), Sch. 1 para. 6; commencing date as notified in The Gazette, published 23.3.2007)
- s. 43A inserted by [1984 c. 48 s. 7\(1\)](#) (This amendment not applied to [legislation.gov.uk](#). S. 7(1) repealed (1.3.2007 immediately before the National Health Service Act 2006 comes into force) without ever being in force by National Health Service (Pre - consolidation Amendments) Order 2006 (S.I. 2006/1407), art. 1(1), Sch. 2 (with art. 4))
- s. 43A43B substituted by [1999 c. 8 s. 10\(1\)\(2\)](#) (This amendment not applied to [legislation.gov.uk](#). S. 10 repealed (1.3.2007) without ever being in force by National Health Service (Consequential Provisions) Act 2006 (c. 43), ss. 6, 8(2), Sch. 4 (with Sch. 2 Pt. 1))
- s. 43A43B substituted by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 19](#)
- s. 43A(3) repealed by [1997 c. 46 Sch. 2 para. 77Sch. 3 Pt. 2](#) (This amendment not applied to [legislation.gov.uk](#). Sch. 2 para. 77 repealed (E.W.) (1.3.2007 immediately before the National Health Service Act 2006 comes into force) without ever being in force by The National Health Service (Pre-consolidation Amendments) Order 2006 (S.I. 2006/1407), art. 1(1), Sch. 2 (with art. 4))
- s. 43B added by [1984 c. 48 s. 7\(3\)](#) (This amendment not applied to [legislation.gov.uk](#). S. 7(3) repealed to the extent that it inserts section 43B of the National Health Service Act 1977 (1.3.2007 immediately before the National Health Service Act 2006 comes into force) without that amendment ever being in force by National Health Service (Pre - consolidation Amendments) Order 2006 (S.I. 2006/1407), art. 1(1), Sch. 2 (with art. 4))
- s. 44(3A) inserted by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 20\(c\)](#)
- s. 45A45B inserted by [2003 c. 43 Sch. 11 para. 23](#)
- s. 45A(3) modified by [S.I. 2004/865 art. 109\(2\)\(a\)](#)
- s. 45A(3)(a) word repealed by [2006 c. 28 Sch. 8 para. 17\(2\)Sch. 9](#)
- s. 45A(3)(aa) inserted by [2006 c. 28 Sch. 8 para. 17\(2\)](#)
- s. 45A(4) modified by [S.I. 2004/865 art. 109\(2\)\(a\)](#)
- s. 45A(4)(a) substituted by [2006 c. 28 Sch. 8 para. 17\(3\)](#)
- s. 45A(11) modified by [S.I. 2004/865 art. 109\(2\)\(a\)](#)
- s. 45C inserted by [2006 c. 28 s. 41](#)
- s. 46-46C substituted for s. 46 by [1999 c. 8 s. 40\(1\)](#) (This amendment not applied to [legislation.gov.uk](#). S. 40 repealed by 2001 c. 15, ss. 67, 70(2), Sch. 6 Pt. 1 (with ss. 64(a), 65(4)), the repeal coming into force immediately before the coming into force of the National Health Service Act 2006 (c. 41) (as to which see s. 227 of that 2006 Act) by virtue of The National Health Service (Pre-consolidation Amendments) Order 2006 (S.I. 2006/1407), arts. 1(1), 2, Sch. 1 Pt. 2 para. 8(c))
- s. 54(1)(c) and word(s) inserted by [2003 c. 43 Sch. 11 para. 26\(2\)\(c\)](#)
- s. 54(1)(c) modified by [S.I. 2004/865 art. 109\(2\)\(a\)](#)

- s. 72(5)(d) and word(s) inserted by [2003 c. 43 Sch. 11 para. 27\(2\)](#)
- s. 72(5)(d) modified by [S.I. 2004/865 art. 109\(2\)\(a\)](#)
- s. 72(5)(d) words substituted by [2006 c. 28 Sch. 8 para. 21\(b\)\(i\)](#)
- s. 72(5)(d) words substituted by [2006 c. 28 Sch. 8 para. 21\(b\)\(ii\)](#)
- s. 77(4) inserted by [2003 c. 43 Sch. 11 para. 28](#)
- s. 78A inserted by [1997 c. 46 s. 26\(1\)](#) (This amendment not applied to [legislation.gov.uk](#). S. 26(1) repealed (1.3.2007) without ever being in force by National Health Service (Consequential Provisions) Act 2006 (c. 43), s. 8(2), Sch. 4 (with Sch. 2 Pt. 1 Sch. 3 Pt. 1))
- s. 79(5)(b) modified by [2003 c. 43 s. 183\(4\)](#)
- s. 96(4) added by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 27](#)
- s. 96A(12) inserted by [2003 c. 43 Sch. 4 para. 37](#)
- s. 98(1A)-(1C) inserted by [S.I. 2003/1324 Sch. 2 para. 1\(2\)](#)
- s. 98(1A) substituted by [S.I. 2004/1714 Sch. 2 para. 1\(2\)](#)
- s. 98(1A)(e) inserted by [S.I. 2006/960 Sch. 2 para. 1\(2\)](#)
- s. 98(1C) words inserted by [S.I. 2004/1714 Sch. 2 para. 1\(4\)](#)
- s. 98(1C) words substituted by [S.I. 2006/960 Sch. 2 para. 1\(4\)](#)
- s. 98(1D) inserted by [2004 c. 23 Sch. 2 para. 2](#)
- s. 98(1BA)(1BB) inserted by [S.I. 2004/1714 Sch. 2 para. 1\(3\)](#)
- s. 98(1BC) inserted by [S.I. 2006/960 Sch. 2 para. 1\(3\)](#)
- s. 98(4A) inserted by [S.I. 2003/1324 Sch. 2 para. 1\(4\)](#)
- s. 98(7)-(10) inserted by [S.I. 2005/1074 art. 2\(2\)](#)
- s. 103(4)(5) inserted by [2001 c. 15 Sch. 5 para. 5\(12\)\(c\)](#) (This amendment not applied to [legislation.gov.uk](#). Sch. 5 para. 5(12)(c) repealed (1.3.2007) without ever being in force by 2006 c. 43, s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1))
- s. 124A(3)(aa) inserted by [2002 c. 38 Sch. 3 para. 20](#)
- s. 126(1A) inserted by [2003 c. 43 s. 183\(3\)\(b\)](#)
- s. 126(4A) inserted by [2001 c. 15 Sch. 5 para. 5\(13\)\(c\)](#) (This amendment not applied to [legislation.gov.uk](#). Sch. 5 para. 5(13)(c) repealed (1.3.2007) without ever being in force by 2006 c. 43, s. 8(2), Sch. 4 (with Sch. 2 Pt. 1, Sch. 3 Pt. 1))
- s. 126(4A) inserted by [2003 c. 4 s. 1\(2\)](#)
- Sch. 5 para. 12B inserted by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 39\(c\)](#)
- Sch. 5 para. 10(2A)-(2C) substituted for Sch. 5 para. 10(2A) by [S.I. 2005/2011 Sch. 6 para. 1\(4\)](#) (This amendment comes into force on a day to be specified in the London, Edinburgh and Belfast Gazettes (see, for example, the London Gazette, issue nos. 57734, 57975, 58050 and 58769, dated respectively 19.8.2005, 5.6.2006, 21.7.2006 and 18.7.2008))
- Sch. 5A para. 20(1A) inserted by [2006 c. 28 s. 74\(3\)](#)
- Sch. 5B para. 19(1A) inserted by [2006 c. 28 s. 74\(4\)](#)
- Sch. 7A inserted by [2003 c. 4 s. 1\(3\)Sch. 1](#)
- Sch. 7A para. 3(1)(fa) inserted by [2003 c. 43 Sch. 11 para. 40\(2\)\(a\)](#)
- Sch. 7A para. 3(1)(g) words repealed by [2003 c. 43 Sch. 11 para. 40\(2\)\(b\)Sch. 14 Pt. 4](#)
- Sch. 7A para. 3(2) words substituted by [2003 c. 43 Sch. 11 para. 40\(3\)](#)
- Sch. 9A para. 10(a)(aa) substituted for s. Sch. 9A para. 10(a) by [2003 c. 43 Sch. 11 para. 42\(4\)\(b\)](#)
- Sch. 9A para. 6(d)(i)(ii) substituted for words in para. 6(d) by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 40\(b\)](#)
- Sch. 11 para. 5A inserted by [S.I. 2004/3363 art. 7](#)
- Sch. 12 para. 2A(6) added by [S.I. 2006/1407 Sch. 1 Pt. 1 para. 42\(g\)](#)
- Sch. 12 para. 2B amendment to earlier affecting provision 2006 c. 28, s. 41(3) by [S.I. 2006/1407 Sch. 1 Pt. 2 para. 15](#) (The earlier affecting provision cited is incorrect. The correct provision should be s. 42(3).)
- Sch. 12 para. 2B inserted by [2006 c. 28 s. 42\(3\)](#)
- Sch. 12 para. 2A(1)(b)(ba) substituted for Sch. 12 para. 2A(1)(b) by [2006 c. 28 s. 42\(2\)\(a\)](#)
- Sch. 12B inserted by [2006 c. 28 s. 56\(2\)Sch. 3](#)

- Sch. 12ZA inserted by 2003 c. 43 s. 183(2)

Commencement Orders yet to be applied to the National Health Service Act 1977

Commencement Orders bringing legislation that affects this Act into force:

- S.I. 2004/103 art. 2-4 commences (2001 c. 15)
- S.I. 2004/287 art. 23 commences (1997 c. 46)
- S.I. 2004/288 art. 2-6 commences (2003 c. 43)
- S.I. 2004/289 art. 2 commences (1998 c. 8)
- S.I. 2004/480 art. 2-5 commences (2003 c. 43)
- S.I. 2004/759 art. 2-13 commences (2003 c. 43)
- S.I. 2004/874 art. 2 commences (2003 c. 42)
- S.I. 2004/1009 art. 2 commences (2003 c. 43)
- S.I. 2004/1019 art. 2 commences (2003 c. 43)
- S.I. 2004/1859 art. 2-6 commences (1999 c. 8)
- S.I. 2004/2626 art. 2 commences (2003 c. 43)
- S.I. 2005/457 art. 2 commences (2003 c. 43)
- S.I. 2005/558 art. 2Sch. 1 commences (2004 c. 23)
- S.I. 2005/1432 art. 2 commences (2005 c. 12)
- S.I. 2005/2213 art. 23 commences (2002 c. 38)
- S.I. 2005/2800 art. 3-5 commences (2005 c. 10)
- S.I. 2005/2897 art. 2 commences (2002 c. 38)
- S.I. 2005/2925 art. 24-11 commences (2003 c. 43)
- S.I. 2005/2926 art. 23 commences (1997 c. 46)
- S.I. 2006/345 art. 2-7 commences (2003 c. 43)
- S.I. 2006/481 art. 23 commences (2001 c. 15)
- S.I. 2006/1014 art. 2Sch. 12 commences (2005 c. 4)
- S.I. 2006/1407 Sch. 1 Pt. 2 para. 3681213 commences (1997 c. 46)
- S.I. 2006/2603 art. 2-5 commences (2006 c. 28)
- S.I. 2006/3125 art. 2-4 commences (2006 c. 28)
- S.I. 2007/204 art. 2-4 commences (2006 c. 28)
- S.I. 2008/1972 art. 2 commences (2006 c. 28)