

# Water Resources Act 1991

# **1991 CHAPTER 57**

### PART I

### **PRELIMINARY**

### **CHAPTER I**

# THE NATIONAL RIVERS AUTHORITY

# 1 The National Rivers Authority.

- (1) There shall continue to be a body corporate, known as the National Rivers Authority, for the purpose of carrying out the functions specified in section 2 below.
- (2) The Authority shall consist of not less than eight nor more than fifteen members of whom—
  - (a) two shall be appointed by the Minister; and
  - (b) the others shall be appointed by the Secretary of State.
- (3) The Secretary of State shall designate one of the members appointed by him as the chairman of the Authority and may, if he thinks fit, designate another member of the Authority (whether or not appointed by him) as the deputy chairman of the Authority.
- (4) In appointing a person to be a member of the Authority, the Secretary of State or, as the case may be, the Minister shall have regard to the desirability of appointing a person who has experience of, and has shown capacity in, some matter relevant to the functions of the Authority.
- (5) The Authority shall not be regarded—
  - (a) as the servant or agent of the Crown, or as enjoying any status, immunity or privilege of the Crown; or
  - (b) by virtue of any connection with the Crown, as exempt from any tax, duty, rate, levy or other charge whatsoever, whether general or local;

and the Authority's property shall not be regarded as property of, or property held on behalf of, the Crown.

(6) The provisions of Schedule 1 to this Act shall have effect with respect to the Authority.

# 2 The Authority's functions.

- (1) The functions of the Authority are—
  - (a) its functions with respect to water resources by virtue of Part II of this Act;
  - (b) its functions with respect to water pollution by virtue of Part III of this Act;
  - (c) its functions with respect to flood defence and land drainage by virtue of Part IV of this Act and the MILand Drainage Act 1991 and the functions transferred to the Authority by virtue of section 136(8) of the M2Water Act 1989 and paragraph 1(3) of Schedule 15 to that Act (transfer of land drainage functions under local statutory provisions and subordinate legislation);
  - (d) its functions with respect to fisheries by virtue of Part V of this Act, the M3Diseases of Fish Act 1937, the M4Sea Fisheries Regulation Act 1966, the M5Salmon and Freshwater Fisheries Act 1975 and other enactments relating to fisheries:
  - (e) the functions as a navigation authority, harbour authority or conservancy authority which were transferred to the Authority by virtue of Chapter V of Part III of the Water Act 1989 or paragraph 23(3) of Schedule 13 to that Act or which are transferred to the Authority by any order or agreement under Schedule 2 to this Act; and
  - (f) the functions assigned to the Authority by any other enactment.
- (2) Without prejudice to its duties under section 16 below, it shall be the duty of the Authority, to such extent as it considers desirable, generally to promote—
  - (a) the conservation and enhancement of the natural beauty and amenity of inland and coastal waters and of land associated with such waters:
  - (b) the conservation of flora and fauna which are dependent on an aquatic environment; and
  - (c) the use of such waters and land for recreational purposes;
  - and it shall be the duty of the Authority, in determining what steps to take in performance of the duty imposed by virtue of paragraph (c) above, to take into account the needs of persons who are chronically sick or disabled.
- (3) It shall be the duty of the Authority to make arrangements for the carrying out of research and related activities (whether by the Authority or others) in respect of matters to which the functions of the Authority relate.
- (4) The provisions of this Act relating to the functions of the Authority under Chapter II of Part II of this Act, and the related water resources provisions so far as they relate to other functions of the Authority, shall not apply to so much of any inland waters as—
  - (a) are part of the River Tweed;
  - (b) are part of the River Esk or River Sark at a point where either of the banks of the river is in Scotland; or
  - (c) are part of any tributary stream of the River Esk or the River Sark at a point where either of the banks of the tributary stream is in Scotland.
- (5) The functions of the Authority specified in subsection (1)(c) above extend to the territorial sea adjacent to England and Wales in so far as—

CHAPTER I – THE NATIONAL RIVERS AUTHORITY

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- (a) the area of any regional flood defence committee includes any area of that territorial sea; or
- (b) section 165(2) or (3) below provides for the exercise of any power in the territorial sea.
- (6) The area in respect of which the Authority shall carry out its functions relating to fisheries shall be the whole of England and Wales, together with—
  - (a) such part of the territorial sea adjacent to England and Wales as extends for six miles from the baselines from which the breadth of that sea is measured; and
  - (b) in the case of Part V of this Act, the M6Diseases of Fish Act 1937 and the M7Salmon and Freshwater Fisheries Act 1975, so much of the River Esk, with its banks and tributary streams up to their source, as is situated in Scotland,

but, in the case of Part V of this Act and those Acts, excluding the River Tweed.

(7) In this section—

"miles" means international nautical miles of 1,852 metres; and "the River Tweed" means "the river" within the meaning of the M8 Tweed Fisheries Amendment Act 1859, as amended by byelaws.

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Marginal Citations
 M1
       1991 c. 59.
 M2
       1989 c. 15.
 M3
       1937 c. 33.
 M4
       1966 c. 38.
 M5
       1975 c. 51.
 M6
       1937 c. 33.
 M7
       1975 c. 51.
 M8
       1859 c. lxx.
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# 3 Incidental functions of the Authority.

- (1) This section has effect, without prejudice to section 2 above, for the purposes of section 4(1) below and the construction of any other enactment which, by reference to the functions of the Authority, confers any power on or in relation to the Authority.
- (2) For the purposes to which this section applies the functions of the Authority shall be taken to include the protection against pollution—
  - (a) of any waters, whether on the surface or underground, which belong to the Authority or any water undertaker or from which the Authority or any water undertaker is authorised to take water;
  - (b) without prejudice to paragraph (a) above, of any reservoir which belongs to or is operated by the Authority or any water undertaker or which the Authority or any water undertaker is proposing to acquire or construct for the purpose of being so operated; and
  - (c) of any underground strata from which the Authority or any water undertaker is for the time being authorised to abstract water in pursuance of a licence under Chapter II of Part II of this Act.
- (3) For the purposes to which this section applies the functions of the Authority shall be taken to include the furtherance of research into matters in respect of which functions

are conferred by or under this Act, the other consolidation Acts or the <sup>M9</sup>Water Act 1989 on the Authority or on relevant undertakers.

- (4) For the purposes to which this section applies the functions of the Authority shall be taken to include joining with or acting on behalf of one or more relevant undertakers for the purpose of carrying out any works or acquiring any land which at least one of the undertakers with which it joins, or on whose behalf it acts, is authorised to carry out or acquire for the purposes of—
  - (a) any function of that undertaker under any enactment; or
  - (b) any function which is taken to be a function of that undertaker for the purposes to which section 217 of the M10 Water Industry Act 1991 applies.
- (5) For the purposes to which this section applies the functions of the Authority shall be taken to include the provision of supplies of water in bulk, whether or not such supplies are provided for the purposes of, or in connection with, the carrying out of any other function of the Authority.
- (6) For the purposes to which this section applies the functions of the Authority shall be taken to include the provision of houses and other buildings for the use of persons employed by the Authority and the provision of recreation grounds for persons so employed.
- (7) In this section—

"the other consolidation Acts" means the MII Water Industry Act 1991, the MI2 Statutory Water Companies Act 1991, the MI3 Land Drainage Act 1991 and the Water Consolidation (Consequential Provisions) Act 1991;

"relevant undertaker" means a water undertaker or sewerage undertaker; and

"supply of water in bulk" means a supply of water for distribution by a water undertaker taking the supply.

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Marginal Citations
M9 1989 c. 15.
M10 1991 c. 56.
M11 1991 c. 58.
M12 1991 c. 59.
M13 1991 c. 60.
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# 4 Incidental general powers of the Authority.

- (1) The Authority—
  - (a) shall have power to do anything which, in the opinion of the Authority, is calculated to facilitate, or is conducive or incidental to, the carrying out of the Authority's functions; and
  - (b) without prejudice to the generality of that power, shall have power, for the purposes of, or in connection with, the carrying out of those functions, to institute criminal proceedings, to acquire and dispose of land and other property and to carry out such engineering or building operations at such places as the Authority considers appropriate.

- (2) Subject to subsection (3) below, the Authority may provide for any person outside the United Kingdom advice or assistance, including training facilities, as respects any matter in which the Authority has skill or experience.
- (3) Without prejudice to any power of the Authority apart from subsection (2) above to provide advice or assistance of the kind mentioned in that subsection, the power conferred by that subsection shall not be exercised except—
  - (a) with the consent in writing of the Secretary of State; and
  - (b) if the exercise of that power involves capital expenditure by the Authority, or the guaranteeing by the Authority of any liability, with that consent given with the approval of the Treasury;

and a consent under this subsection may be given subject to such conditions as the Secretary of State thinks fit.

- (4) Without prejudice to subsection (1) above, the powers conferred by section 1 of the M14Local Authorities (Goods and Services) Act 1970 shall be exercisable by the Authority as if the Authority were a public body within the meaning of that section.
- (5) Nothing in this section with respect to the carrying out of works shall be construed as conferring any power to do anything otherwise than for the purpose of giving the Authority capacity as a corporation to do that thing; and, accordingly, without prejudice to the provisions of Part VII of this Act, this section shall be disregarded for the purpose of determining whether the Authority is liable, on grounds other than an incapacity by virtue of its constitution, for any act or omission in exercise of a power to carry out works conferred by this section.

# **Marginal Citations**

M14 1970 c. 39.

# 5 Ministerial directions to the Authority.

- (1) Directions of a general or specific character may be given to the Authority—
  - (a) with respect to the carrying out of the Authority's functions mentioned in paragraphs (a), (b) and (e) of subsection (1) of section 2 above (other than its functions in connection with the making of applications for orders under section 94 below), by the Secretary of State;
  - (b) with respect to the making of applications for orders under section 94 below or with respect to the carrying out of its functions mentioned in paragraphs (c) and (d) of that subsection, by either of the Ministers; and
  - (c) with respect to anything not falling within paragraph (a) or (b) above which is connected with the carrying on of the Authority's activities generally, by the Ministers.
- (2) Without prejudice to the generality of the power conferred by subsection (1) above, directions under that subsection may include such directions as the Secretary of State, the Minister or, as the case may be, both of them consider appropriate in order to enable Her Majesty's Government in the United Kingdom to give effect—
  - (a) to any Community obligations; or
  - (b) to any international agreement to which the United Kingdom is for the time being a party.

IN RELATION TO THE AUTHORITY
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- (3) The power to give a direction under this section shall be exercisable, except in an emergency, only after consultation with the Authority.
- (4) Any power of the Secretary of State or the Minister otherwise than by virtue of this section to give directions to the Authority shall be without prejudice to the power conferred by this section.
- (5) It shall be the duty of the Authority to comply with any direction which is given to the Authority, under this section or any of the other provisions of this Act, by either or both of the Ministers.

### **CHAPTER II**

### COMMITTEES WITH FUNCTIONS IN RELATION TO THE AUTHORITY

### Advisory committees

# 6 The advisory committee for Wales.

- (1) The Secretary of State shall continue to maintain the committee established under section 3 of the M15Water Act 1989 for advising him with respect to matters affecting or otherwise connected with the carrying out in Wales of the Authority's functions.
- (2) The committee maintained under this section shall consist of such persons as may, from time to time, be appointed by the Secretary of State.
- (3) The committee maintained under this section shall meet at least once a year.
- (4) The Secretary of State shall pay to the members of the committee maintained under this section such sums reimbursing them for loss of remuneration, for travelling expenses and for other out-of-pocket expenses as he may, with the consent of the Treasury, determine.

# **Marginal Citations**

M15 1989 c. 15.

# 7 Regional rivers advisory committees.

- (1) It shall be the duty of the Authority—
  - (a) to establish and maintain advisory committees, consisting of persons who are not members of the Authority, for the different regions of England and Wales;
  - (b) to consult the advisory committee for any region as to any proposals of the Authority relating generally to the manner in which the Authority carries out its functions in that region; and
  - (c) to consider any representations made to it by the advisory committee for any region (whether in response to consultation under paragraph (b) above or otherwise) as to the manner in which the Authority carries out its functions in that region.

CHAPTER II – COMMITTEES WITH FUNCTIONS IN RELATION TO THE AUTHORITY

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- (2) The duty to establish and maintain advisory committees imposed by subsection (1) above is a duty
  - to establish and maintain an advisory committee for each area which the Authority considers it appropriate for the time being to regard as a region of England and Wales for the purposes of this section; and
  - to ensure that the persons appointed by the Authority to each such committee are persons who appear to the Authority to have an interest in matters likely to be affected by the manner in which the Authority carries out any of its functions in the region in question;

and it shall be the duty of the Authority in determining the regions for which advisory committees are established and maintained under this section to ensure that one of those regions consists wholly or mainly of, or of most of, Wales.

- (3) There shall be paid by the Authority
  - to the chairman of an advisory committee established and maintained under this section such remuneration and such travelling and other allowances; and
  - to any other members of that committee such sums reimbursing them for loss of remuneration, for travelling expenses or for any other out-of-pocket expenses,

as may, with the consent of the Treasury, be determined by the Secretary of State.

(4) For the purposes of this section functions of the Authority which are carried out in any area of Scotland or of the territorial sea which is adjacent to any region for which an advisory committee is maintained shall be regarded as carried out in that region.

#### 8 Regional and local fisheries advisory committees.

- (1) It shall be the duty of the Authority
  - to establish and maintain advisory committees of persons who are not members of the Authority but appear to it to be interested in salmon fisheries, trout fisheries, freshwater fisheries or eel fisheries in the different parts of the controlled area; and
  - to consult those committees as to the manner in which the Authority is to perform its duty under section 114 below.
- (2) The duty to establish and maintain advisory committees imposed by subsection (1) above is a duty to establish and maintain
  - a regional advisory committee for each such region of the controlled area as the Authority considers it appropriate for the time being to regard as a region of that area for the purposes of this section; and
  - such local advisory committees as the Authority considers necessary to represent the interests referred to in paragraph (a) of that subsection in the different parts of each such region;

and it shall be the duty of the Authority in determining the regions for which regional advisory committees are established and maintained under this section to ensure that one of those regions consists (apart from territorial waters) wholly or mainly of, or of most of, Wales.

- (3) There shall be paid by the Authority
  - to the chairman of an advisory committee established and maintained under this section such remuneration and such travelling and other allowances; and

(b) to any other members of that committee such sums reimbursing them for loss of remuneration, for travelling expenses or for any other out-of-pocket expenses,

as may, with the consent of the Treasury, be determined by one of the Ministers.

(4) In this section "the controlled area" means the area specified in section 2(6) above in respect of which the Authority carries out functions under Part V of this Act.

# Flood defence committees

# 9 Continuance of regional flood defence committees.

- (1) There shall continue to be committees, known as regional flood defence committees, for the purpose of carrying out the functions which fall to be carried out by such committees by virtue of this Act.
- (2) Subject to Schedule 3 to this Act (which makes provision for the alteration of the boundaries of and the amalgamation of the areas of regional flood defence committees)
  - (a) each regional flood defence committee shall have the same area as immediately before the coming into force of this section; but
  - (b) where under section 165(2) or (3) below any function of the Authority falls to be carried out at a place beyond the seaward boundaries of the area of any regional flood defence committee, that place shall be assumed for the purposes of this Act to be within the area of the regional flood defence committee to whose area the area of sea where that place is situated is adjacent.
- (3) The Authority shall maintain a principal office for the area of each regional flood defence committee.

# 10 Composition of regional flood defence committees.

- (1) Subject to subsection (2) below, a regional flood defence committee shall consist of the following, none of whom shall be a member of the Authority, that is to say—
  - (a) a chairman and a number of other members appointed by the relevant Minister;
  - (b) two members appointed by the Authority;
  - (c) a number of members appointed by or on behalf of the constituent councils.
- (2) Subject to section 11 below and to any order under Schedule 3 to this Act amalgamating the areas of any two or more regional flood defence committees—
  - (a) the total number of members of the regional flood defence committee for any area shall be the same as immediately before the coming into force of this section; and
  - (b) the number of members to be appointed to a regional flood defence committee for any area by or on behalf of each of the constituent councils or, as the case may be, jointly by or on behalf of more than one of them shall be the same number as fell to be so appointed immediately before the coming into force of this section.
- (3) Where—

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- (a) the appointment of one or more members of a regional flood defence committee is (by virtue of subsection (2) above or an order under section 11(5) below), to be made jointly by more than one constituent council; and
- (b) the councils by whom that appointment is to be made are unable to agree on an appointment,

the member or members in question shall be appointed by the relevant Minister on behalf of those councils.

- (4) In appointing a person to be the chairman or a member of a regional flood defence committee under subsection (1)(a) or (c) or (3) above the relevant Minister or, as the case may be, a constituent council shall have regard to the desirability of appointing a person who has experience of, and has shown capacity in, some matter relevant to the functions of the committee.
- (5) The councils of every county, metropolitan district or London borough any part of which is in the area of a regional flood defence committee shall be the constituent councils for the regional flood defence committee for that area, and the Common Council of the City of London shall be a constituent council for the regional flood defence committee for any area which comprises any part of the City.
- (6) In this section "the relevant Minister"—
  - (a) in relation to the regional flood defence committee for an area the whole or the greater part of which is in Wales, means the Secretary of State; and
  - (b) in relation to any other regional flood defence committee, means the Minister.

### 11 Change of composition of regional flood defence committee.

- (1) The Authority may, in accordance with the following provisions of this section, from time to time make a determination varying the total number of members of a regional flood defence committee.
- (2) The Authority shall submit any determination under subsection (1) above to the relevant Minister.
- (3) For the purposes of this section—
  - (a) the total number of members of a regional flood defence committee shall not be less than eleven; and
  - (b) any determination by the Authority under subsection (1) above that a regional flood defence committee should consist of more than seventeen members shall be provisional and shall take effect only if the relevant Minister makes an order under subsection (4) below.
- (4) If the Authority submits a provisional determination to the relevant Minister with respect to any regional flood defence committee and he considers that the committee should consist of more than seventeen members, he may by order made by statutory instrument—
  - (a) confirm it; or
  - (b) substitute for the number of members determined by the Authority some other number not less than seventeen.
- (5) Subject to the following provisions of this section, whenever—
  - (a) the total number of members of a regional flood defence committee is varied under this section; or

- (b) the relevant Minister considers it necessary to make an order under this subsection in consequence of—
  - (i) the effect in relation to the whole or any part of the area of any regional flood defence committee of any rules or regulations made for the purposes of paragraphs 4 to 6 of Schedule 12A to the M16Local Government Finance Act 1988 (definition of relevant population); or
  - (ii) the alteration of the boundaries of the area of a regional flood defence committee.

the relevant Minister shall by order made by statutory instrument specify, in relation to times after the coming into force of the variation, rules or regulations or alteration, the number of members to be appointed to the committee by each of the constituent councils.

- (6) An order under subsection (5) above shall be so framed that the total number of members appointed under section 10(1)(a) and (b) above is one less than the number of those appointed by or on behalf of constituent councils.
- (7) For the purpose of determining for the purposes of subsection (5) above the number of persons to be appointed to a regional flood defence committee by or on behalf of each constituent council, the relevant Minister—
  - (a) shall have regard to the relevant population of any relevant area of that council; and
  - (b) where, having regard to the proportion which that population bears to the aggregate of the relevant populations of the relevant areas of all the constituent councils—
    - (i) he considers it to be inappropriate that that council should appoint a member of the committee; or
    - (ii) he considers that one or more members should be appointed jointly by that council and one or more other constituent councils,

may include provision to that effect in the order.

(8) In this section—

"member", in relation to a regional flood defence committee, includes the chairman of the committee;

"relevant area", in relation to a council which is a constituent council in relation to any regional flood defence committee, means so much of the council's area as is included in the area of the committee;

"the relevant Minister" has the same meaning as in section 10 above;

"relevant population" has the same meaning as it has for the purposes of section 69 of the Local Government Finance Act 1988 (precepted authorities).

# **Marginal Citations**

M16 1988 c. 41.

# 12 Local flood defence schemes and local flood defence committees.

(1) A scheme, known as a local flood defence scheme, may be made by the Authority, in accordance with the following provisions of this section—

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- for the creation in the area of a regional flood defence committee of one or more districts, to be known as local flood defence districts; and
- for the constitution, membership, functions and procedure of a committee for each such district, to be known as the local flood defence committee for that district.
- (2) A regional flood defence committee may at any time submit to the Authority
  - a local flood defence scheme for any part of their area for which there is then no such scheme in force: or
  - a scheme varying a local flood defence scheme or revoking such a scheme and, if the committee think fit, replacing it with another such scheme;

and references in the following provisions of this section and in section 13 below to local flood defence schemes are references to schemes under either of paragraphs (a) and (b) above.

- (3) Before submitting a scheme to the Authority under subsection (2) above, a regional flood defence committee shall consult
  - every local authority any part of whose area will fall within the area to which the scheme is proposed to relate; and
  - such organisations representative of persons interested in flood defence (within the meaning of Part IV of this Act) or agriculture as the regional flood defence committee consider to be appropriate.
- (4) It shall be the duty of the Authority to send any scheme submitted to it under subsection (2) above to one of the Ministers.
- (5) A local flood defence scheme may define a local flood defence district
  - by reference to the districts which were local land drainage districts immediately before 1st September 1989;
  - by reference to the area of the regional flood defence committee in which that district is situated;
  - (c) by reference to a map;

or partly by one of those means and partly by another or the others.

- (6) A local flood defence scheme may contain incidental, consequential and supplementary provisions.
- (7) Either of the Ministers may approve a local flood defence scheme with or without modifications; and any scheme approved under this subsection shall come into force on a date fixed by the Minister approving it.

#### 13 Composition of local flood defence committees.

- (1) Subject to subsections (2) and (3) below, a local flood defence scheme shall provide that any local flood defence committee to which it relates shall consist of not less than eleven and not more than fifteen members.
- (2) A regional flood defence committee may include in a local flood defence scheme which they submit to the Authority a recommendation that a committee to which the scheme relates should consist of a number of members greater than fifteen; and a scheme so submitted shall be taken to provide for the number of members of a committee if it contains a recommendation under this subsection relating to that committee.

- (3) The power conferred on each of the Ministers by section 12(7) above shall include power to direct that a committee to which a recommendation under subsection (2) above relates shall consist either of the recommended number of members or of some other number of members greater than fifteen.
- (4) A local flood defence committee shall consist of—
  - (a) a chairman appointed from among their own members by the regional flood defence committee;
  - (b) other members appointed by that committee; and
  - (c) members appointed, in accordance with and subject to the terms of the local flood defence scheme, by or on behalf of constituent councils.
- (5) The number of members appointed to a local flood defence committee by or on behalf of constituent councils shall be one more than the total number of members appointed by the regional flood defence committee.
- (6) In appointing a person to be a member of a local flood defence committee, the regional flood defence committee shall have regard to the desirability of appointing a person who has experience of, and has shown capacity in, some matter relevant to the functions of the committee to which he is appointed.
- (7) The councils of every county, metropolitan district or London borough any part of which is in a local flood defence district shall be the constituent councils for the local flood defence committee for that district, and the Common Council of the City of London shall be a constituent council for the local flood defence committee of any local flood defence district which comprises any part of the City.

### 14 Membership and proceedings of flood defence committees.

Schedule 4 to this Act shall have effect in relation to regional flood defence committees and local flood defence committees.

### **CHAPTER III**

### **GENERAL DUTIES**

# 15 General duties with respect to the water industry.

- (1) It shall be the duty of the Authority, in exercising any of its powers under any enactment, to have particular regard to the duties imposed, by virtue of the provisions of Parts II to IV of the MIT Water Industry Act 1991, on any water undertaker or sewerage undertaker which appears to the Authority to be or to be likely to be affected by the exercise of the power in question.
- (2) It shall be the duty of each of the Ministers, in exercising—
  - (a) any power conferred by virtue of this Act, the M18Land Drainage Act 1991, the Water Industry Act 1991 or the M19Water Act 1989 in relation to, or to decisions of, the Authority; or
  - (b) any power which, but for any direction given by one of the Ministers, would fall to be exercised by the Authority,

to take into account the duty imposed on the Authority by subsection (1) above.

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Marginal Citations
M17 1991 c. 56.
M18 1991 c. 59.
M19 1989 c. 15.
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### 16 General environmental and recreational duties.

- (1) It shall be the duty of each of the Ministers and of the Authority, in formulating or considering any proposals relating to any functions of the Authority—
  - (a) so far as may be consistent—
    - (i) with the purposes of any enactment relating to the functions of the Authority; and
    - (ii) in the case of the Secretary of State, with his duties under section 2 of the M20 Water Industry Act 1991,

so to exercise any power conferred on him or it with respect to the proposals as to further the conservation and enhancement of natural beauty and the conservation of flora, fauna and geological or physiographical features of special interest;

- (b) to have regard to the desirability of protecting and conserving buildings, sites and objects of archaeological, architectural or historic interest; and
- (c) to take into account any effect which the proposals would have on the beauty or amenity of any rural or urban area or on any such flora, fauna, features, buildings, sites or objects.
- (2) Subject to subsection (1) above, it shall be the duty of each of the Ministers and of the Authority, in formulating or considering any proposals relating to the functions of the Authority—
  - (a) to have regard to the desirability of preserving for the public any freedom of access to areas of woodland, mountains, moor, heath, down, cliff or foreshore and other places of natural beauty;
  - (b) to have regard to the desirability of maintaining the availability to the public of any facility for visiting or inspecting any building, site or object of archaeological, architectural or historic interest; and
  - (c) to take into account any effect which the proposals would have on any such freedom of access or on the availability of any such facility.
- (3) Subsections (1) and (2) above shall apply so as to impose duties on the Authority in relation to-
  - (a) any proposals relating to the functions of a water undertaker or sewerage undertaker;
  - (b) any proposals relating to the management, by the company holding an appointment as such an undertaker, of any land for the time being held by that company for any purpose whatever (whether or not connected with the carrying out of the functions of a water undertaker or sewerage undertaker); and
  - (c) any proposal which by virtue of section 156(7) of the M21Water Industry Act 1991 (disposals of protected land) falls to be treated for the purposes of section 3 of that Act as a proposal relating to the functions of a water undertaker or sewerage undertaker,

as they apply in relation to proposals relating to the Authority's own functions but as if, for that purpose, the reference in subsection (1)(a) above to enactments relating to the functions of the Authority were a reference to enactments relating to that to which the proposal relates.

- (4) Subject to obtaining the consent of any navigation authority, harbour authority or conservancy authority before doing anything which causes navigation which is subject to the control of that authority to be obstructed or otherwise interfered with, it shall be the duty of the Authority to take such steps as are—
  - (a) reasonably practicable; and
  - (b) consistent with the purposes of the enactments relating to the functions of the Authority,

for securing, so long as the Authority has rights to the use of water or land associated with water, that those rights are exercised so as to ensure that the water or land is made available for recreational purposes and is so made available in the best manner.

- (5) It shall be the duty of the Authority, in determining what steps to take in performance of any duty imposed by virtue of subsection (4) above, to take into account the needs of persons who are chronically sick or disabled.
- (6) Nothing in this section or the following provisions of this Act shall require recreational facilities made available by the Authority to be made available free of charge.
- (7) In this section "building" includes structure.

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Marginal Citations
M20 1991 c. 56.
M21 1991 c. 56.
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# 17 Environmental duties with respect to sites of special interest.

- (1) Where the Nature Conservancy Council for England or the Countryside Council for Wales are of the opinion that any area of land in England or, as the case may be, in Wales—
  - (a) is of special interest by reason of its flora, fauna or geological or physiographical features; and
  - (b) may at any time be affected by schemes, works, operations or activities of the Authority or by an authorisation given by the Authority,

that Council shall notify the fact that the land is of special interest for that reason to the Authority.

- (2) Where a National Park authority or the Broads Authority is of the opinion that any area of land in a National Park or in the Broads—
  - (a) is land in relation to which the matters for the purposes of which sections 2(2) and 16 above have effect are of particular importance; and
  - (b) may at any time be affected by schemes, works, operations or activities of the Authority or by an authorisation given by the Authority,

the National Park authority or Broads Authority shall notify the fact that the land is such land, and the reasons why those matters are of particular importance in relation to the land, to the Authority.

- (3) Where the Authority has received a notification under subsection (1) or (2) above with respect to any land, it shall consult the notifying body before carrying out or authorising any works, operations or activities which appear to the Authority to be likely—
  - (a) to destroy or damage any of the flora, fauna, or geological or physiographical features by reason of which the land is of special interest; or
  - (b) significantly to prejudice anything the importance of which is one of the reasons why the matters mentioned in subsection (2) above are of particular importance in relation to that land.
- (4) Subsection (3) above shall not apply in relation to anything done in an emergency where particulars of what is done and of the emergency are notified to the Nature Conservancy Council for England, the Countryside Council for Wales, the National Park authority in question or, as the case may be, the Broads Authority as soon as practicable after that thing is done.
- (5) In this section—

"the Broads" has the same meaning as in the M22Norfolk and Suffolk Broads Act 1988; and

"National Park authority" means a National Park Committee or a joint or special planning board for a National Park.

### **Modifications etc. (not altering text)**

C1 S. 17 amended (*temp.*) (23.11.1995-31.3.1996) by 1995 c. 25, s. 78, **Sch. 10**, para. 34(1)(b)(2) (with ss. 7(6), 115, 117); S.I. 1995/2950, **art. 2** 

### **Marginal Citations**

**M22** 1988 c. 4.

# 18 Codes of practice with respect to environmental and recreational duties.

- (1) Each of the Ministers shall have power by order to approve any code of practice issued (whether by him or by another person) for the purpose of—
  - (a) giving practical guidance to the Authority with respect to any of the matters for the purposes of which sections 2(2), 16 and 17 above have effect; and
  - (b) promoting what appear to him to be desirable practices by the Authority with respect to those matters,

and may at any time by such an order approve a modification of such a code or withdraw his approval of such a code or modification.

(2) A contravention of a code of practice as for the time being approved under this section shall not of itself constitute a contravention of any requirement imposed by section 2(2), 16 or 17 above or give rise to any criminal or civil liability; but each of the Ministers shall be under a duty to take into account whether there has been or is likely to be any such contravention in determining when and how he should exercise his powers in relation to the Authority by virtue of this Act, the M23Land Drainage Act 1991, the M24Water Industry Act 1991 or the M25Water Act 1989.

- (3) The power of each of the Ministers to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.
- (4) Neither of the Ministers shall make an order under this section unless he has first consulted—
  - (a) the Authority;
  - (b) the Countryside Commission, the Nature Conservancy Council for England and the Countryside Council for Wales;
  - (c) the Historic Buildings and Monuments Commission for England;
  - (d) the Sports Council and the Sports Council for Wales; and
  - (e) such water undertakers, sewerage undertakers and other persons as he considers it appropriate to consult.

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Marginal Citations
M23 1991 c. 59.
M24 1991 c. 56.
M25 1989 c. 15.
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### PART II

### WATER RESOURCES MANAGEMENT

# **Modifications etc. (not altering text)**

C2 Pt. II: transfer of functions to the Environment Agency (1.4.1996) by 1995 c. 25, s. 2(1)(a)(i) (with ss. 115, 117); S.I. 1996/186, art. 3

### **CHAPTER I**

### GENERAL MANAGEMENT FUNCTIONS

# 19 General management of resources by the Authority.

- (1) It shall be the duty of the Authority to take all such action as it may from time to time consider, in accordance (if any have been given for the purposes of this section) with the directions of the Secretary of State, to be necessary or expedient for the purpose—
  - (a) of conserving, redistributing or otherwise augmenting water resources in England and Wales; and
  - (b) of securing the proper use of water resources in England and Wales.
- (2) Nothing in this section shall be construed as relieving any water undertaker of the obligation to develop water resources for the purpose of performing any duty imposed on it by virtue of section 37 of the M26Water Industry Act 1991 (general duty to maintain water supply system).

Water Resources Act 1991 (c. 57)
Part II – Water Resources Management
CHAPTER I – General Management Functions
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### **Marginal Citations**

M26 1991 c. 56.

# 20 Water resources management schemes.

- (1) It shall be the duty of the Authority so far as reasonably practicable to enter into and maintain such arrangements with water undertakers for securing the proper management or operation of—
  - (a) the waters which are available to be used by water undertakers for the purposes of, or in connection with, the carrying out of their functions; and
  - (b) any reservoirs, apparatus or other works which belong to, are operated by or are otherwise under the control of water undertakers for the purposes of, or in connection with, the carrying out of their functions,

as the Authority from time to time considers appropriate for the purpose of carrying out its functions under section 19(1) above.

- (2) Without prejudice to the power of the Authority and any water undertaker to include any such provision as may be agreed between them in arrangements under this section, such arrangements may—
  - (a) make provision by virtue of subsection (1)(a) above with respect to the construction or installation of any reservoirs, apparatus or other works which will be used by the undertaker in the carrying out of its functions;
  - (b) contain provision requiring payments to be made by the Authority to the undertaker; and
  - (c) require the reference to and determination by the Secretary of State or the Director General of Water Services of questions arising under the arrangements.
- (3) The Authority shall send a copy of any arrangements entered into by it under this section to the Secretary of State; and the obligations of a water undertaker by virtue of any such arrangements shall be enforceable under section 18 of the M27Water Industry Act 1991 (enforcement orders) by the Secretary of State.

### **Marginal Citations**

M27 1991 c. 56

# 21 Minimum acceptable flows.

- (1) The Authority may, if it thinks it appropriate to do so, submit a draft statement to the Secretary of State containing, in relation to any inland waters that are not discrete waters—
  - (a) provision for determining the minimum acceptable flow for those waters; or
  - (b) where any provision for determining such a flow is for the time being in force in relation to those waters, provision for amending that provision or for replacing it with different provision for determining the minimum acceptable flow for those waters.

- (2) The provision contained in any statement for determining the minimum acceptable flow for any inland waters shall, in relation to the inland waters to which it relates, set out—
  - (a) the control points at which the flow in the waters is to be measured;
  - (b) the method of measurement which is to be used at each control point; and
  - (c) the flow which is to be the minimum acceptable flow at each control point or, where appropriate, the flows which are to be the minimum acceptable flows at each such point for the different times or periods specified in the statement.
- (3) Before preparing so much of any draft statement under this section as relates to any particular inland waters, the Authority shall consult—
  - (a) any water undertaker having the right to abstract water from those waters;
  - (b) any other water undertaker having the right to abstract water from any related underground strata;
  - (c) the drainage board for any internal drainage district from which water is discharged into those waters or in which any part of those waters is situated;
  - (d) any navigation authority, harbour authority or conservancy authority having functions in relation to those waters or any related inland waters;
  - (e) if those waters or any related inland waters are tidal waters in relation to which there is no such navigation authority, harbour authority or conservancy authority, the Secretary of State for Transport; and
  - (f) any person authorised by a licence under Part I of the M28 Electricity Act 1989 to generate electricity.
- (4) In determining the flow to be specified in relation to any inland waters under subsection (2)(c) above, the Authority shall have regard—
  - (a) to the flow of water in the inland waters from time to time;
  - (b) in the light of its duties under sections 2(2), 16 and 17 above, to the character of the inland waters and their surroundings; and
  - (c) to any water quality objectives established under Chapter I of Part III of this Act in relation to the inland waters or any other inland waters which may be affected by the flow in the inland waters in question.
- (5) The flow specified in relation to any inland waters under subsection (2)(c) above shall be not less than the minimum which, in the opinion of the Authority, is needed for safeguarding the public health and for meeting (in respect of both quantity and quality of water)—
  - (a) the requirements of existing lawful uses of the inland waters, whether for agriculture, industry, water supply or other purposes; and
  - (b) the requirements, in relation to both those waters and other inland waters whose flow may be affected by changes in the flow of those waters, of navigation, fisheries or land drainage.
- (6) The provisions of Schedule 5 to this Act shall have effect with respect to draft statements under this section and with respect to the approval of statements submitted as draft statements.
- (7) The approval under Schedule 5 to this Act of a draft statement under this section shall bring into force, on the date specified in that approval, so much of that statement, as approved, as contains provision for determining, amending or replacing the minimum acceptable flow for any inland waters.

CHAPTER I – General Management Functions

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- (8) For the purposes of subsection (3) above—
  - (a) underground strata are related underground strata in relation to any inland waters if—
    - (i) a water undertaker has a right to abstract water from the strata; and
    - (ii) it appears to the Authority, having regard to the extent to which the level of water in the strata depends on the flow of those waters, that the exercise of that right may be substantially affected by so much of the draft statement in question as relates to those waters;
  - (b) inland waters are related inland waters in relation to any other inland waters, where it appears to the Authority that changes in the flow of the other waters may affect the flow of the first-mentioned inland waters.
- (9) For the purposes of subsection (5) above the Authority shall be entitled (but shall not be bound) to treat as lawful any existing use of any inland waters unless—
  - (a) by a decision given in any legal proceedings, it has been held to be unlawful;and
  - (b) that decision has not been quashed or reversed;

and in that subsection the reference to land drainage includes a reference to defence against water (including sea water), irrigation other than spray irrigation, warping and the provision of flood warning systems.

# **Marginal Citations**

**M28** 1989 c. 29.

# 22 Directions to the Authority to consider minimum acceptable flow.

- (1) If the Authority is directed by the Secretary of State to consider whether the minimum acceptable flow for any particular inland waters ought to be determined or reviewed, the Authority shall consider that matter as soon as reasonably practicable after being directed to do so.
- (2) After considering any matter under subsection (1) above the Authority shall submit to the Secretary of State with respect to the inland waters in question either—
  - (a) such a draft statement as is mentioned in subsection (1) of section 21 above; or
  - (b) a draft statement that no minimum acceptable flow ought to be determined for those waters or, as the case may require, that the minimum acceptable flow for those waters does not need to be changed.

and subsections (6) and (7) of that section shall apply in relation to a draft statement under this subsection as they apply in relation to a draft statement under that section.

(3) Without prejudice to the generality of paragraph 4 of Schedule 5 to this Act, the power of the Secretary of State under that paragraph to alter a draft statement before approving it shall include power to substitute a statement containing or amending any such provision as is mentioned in subsection (2) of section 21 above for such a draft statement as is mentioned in subsection (2)(b) of this section.

# 23 Minimum acceptable level or volume of inland waters.

- (1) Where it appears to the Authority, in the case of any particular inland waters, that it would be appropriate to measure the level or the volume (either instead of or in addition to the flow) the Authority may determine that sections 21 and 22 above shall apply in relation to those inland waters as if any reference to the flow were or, as the case may be, included a reference to the level or to the volume.
- (2) Where the Authority makes a determination under subsection (1) above with respect to any inland waters, any draft statement prepared for the purposes of section 21 or 22 above, in so far as it relates to those waters, shall state—
  - (a) whether the level or the volume is to be measured; and
  - (b) whether it is to be measured instead of, or in addition to, the flow.
- (3) Chapter II of this Part shall apply in relation to any inland waters with respect to which a determination has been made under subsection (1) above as if any reference in that Chapter to the flow were, or (as the case may be) included, a reference to the level or, as the case may be, the volume.

### CHAPTER II

### ABSTRACTION AND IMPOUNDING

# **Modifications etc. (not altering text)**

- C3 Chapter II of Part II excluded (1.4.1996) by 1995 c. 25, s. 6(3) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 3
- C4 Chapter II of Part II modified (18.12.1996) by 1996 c. 61, s. 52, Sch. 15 Pt. III para. 11

Restrictions on abstraction and impounding

### 24 Restrictions on abstraction.

- (1) Subject to the following provisions of this Chapter and to any drought order under Chapter III of this Part, no person shall—
  - (a) abstract water from any source of supply; or
  - (b) cause or permit any other person so to abstract any water,

except in pursuance of a licence under this Chapter granted by the Authority and in accordance with the provisions of that licence.

- (2) Where by virtue of subsection (1) above the abstraction of water contained in any underground strata is prohibited except in pursuance of a licence under this Chapter, no person shall begin, or cause or permit any other person to begin—
  - (a) to construct any well, borehole or other work by which water may be abstracted from those strata;
  - (b) to extend any such well, borehole or other work; or
  - (c) to instal or modify any machinery or apparatus by which additional quantities of water may be abstracted from those strata by means of a well, borehole or other work,

unless the conditions specified in subsection (3) below are satisfied.

Water Resources Act 1991 (c. 57)
Part II – Water Resources Management
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- (3) The conditions mentioned in subsection (2) above are—
  - (a) that the abstraction of the water or, as the case may be, of the additional quantities of water is authorised by a licence under this Chapter; and
  - (b) that—
    - (i) the well, borehole or work, as constructed or extended; or
    - (ii) the machinery or apparatus, as installed or modified,

fulfils the requirements of that licence as to the means by which water is authorised to be abstracted.

- (4) A person shall be guilty of an offence if—
  - (a) he contravenes subsection (1) or (2) above; or
  - (b) he is for the purposes of this section the holder of a licence under this Chapter and, in circumstances not constituting such a contravention, does not comply with a condition or requirement imposed by the provisions, as for the time being in force, of that licence.
- (5) A person who is guilty of an offence under this section shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- (6) The restrictions imposed by this section shall have effect notwithstanding anything in any enactment contained in any Act passed before the passing of the M29 Water Resources Act 1963 on 31st July 1963 or in any statutory provision made or issued, whether before or after the passing of that Act, by virtue of such an enactment.

### **Modifications etc. (not altering text)**

C5 S. 24(1) restricted (16.3.1992) by Aire and Calder Navigation Act 1992 (c. iv), s. 17(2)

### **Marginal Citations**

M29 1963 c. 38.

# 25 Restrictions on impounding.

- (1) Subject to the following provisions of this Chapter and to any drought order under Chapter III of this Part, no person shall begin, or cause or permit any other person to begin, to construct or alter any impounding works at any point in any inland waters which are not discrete waters unless—
  - (a) a licence under this Chapter granted by the Authority to obstruct or impede the flow of those inland waters at that point by means of impounding works is in force;
  - (b) the impounding works will not obstruct or impede the flow of the inland waters except to the extent, and in the manner, authorised by the licence; and
  - (c) any other requirements of the licence, whether as to the provision of compensation water or otherwise, are complied with.
- (2) A person shall be guilty of an offence if—
  - (a) he contravenes subsection (1) above; or
  - (b) he is for the purposes of this section the holder of a licence under this Chapter and, in circumstances not constituting such a contravention, does not comply

with a condition or requirement imposed by the provisions, as for the time being in force, of that licence.

- (3) A person who is guilty of an offence under this section shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- (4) Subject to subsection (5) below, the restrictions imposed by this section shall have effect notwithstanding anything in any enactment contained in any Act passed before the passing of the M30 Water Resources Act 1963 on 31st July 1963 or in any statutory provision made or issued, whether before or after the passing of that Act, by virtue of such an enactment.
- (5) Subject to subsection (6) below, the restriction on impounding works shall not apply to the construction or alteration of any impounding works, if—
  - (a) the construction or alteration of those works; or
  - (b) the obstruction or impeding of the flow of the inland waters resulting from the construction or alteration of the works.

is authorised (in whatsoever terms, and whether expressly or by implication) by virtue of any such statutory provision as at the coming into force of this Act was an alternative statutory provision for the purposes of section 36(2) of the Water Resources Act 1963.

- (6) The provisions of this Chapter shall have effect in accordance with subsection (7) below where by virtue of any such provision as is mentioned in subsection (5) above and is for the time being in force—
  - (a) any water undertaker or sewerage undertaker to which rights under that provision have been transferred in accordance with a scheme under Schedule 2 to the M31Water Act 1989 or Schedule 2 to the M32Water Industry Act 1991; or
  - (b) any other person,

is authorised (in whatsoever terms, and whether expressly or by implication) to obstruct or impede the flow of any inland waters by means of impounding works (whether those works have already been constructed or not).

- (7) Where subsection (6) above applies, the provisions of this Chapter shall have effect (with the necessary modifications), where the reference is to the revocation or variation of a licence under this Chapter, as if—
  - (a) any reference in those provisions to a licence under this Chapter included a reference to the authorisation mentioned in that subsection; and
  - (b) any reference to the holder of such a licence included a reference to the undertaker or other person so mentioned.
- (8) In this Chapter "impounding works" means either of the following, that is to say—
  - (a) any dam, weir or other works in any inland waters by which water may be impounded;
  - (b) any works for diverting the flow of any inland waters in connection with the construction or alteration of any dam, weir or other works falling within paragraph (a) above.

### **Marginal Citations**

M30 1963 c. 38.

**M31** 1989 c. 15.

M32 1991 c. 56.

### Rights to abstract or impound

# 26 Rights of navigation, harbour and conservancy authorities.

- (1) The restriction on abstraction shall not apply to any transfer of water from one area of inland waters to another in the course of, or resulting from, any operations carried out by a navigation authority, harbour authority or conservancy authority in the carrying out of their functions as such an authority.
- (2) The restriction on impounding works shall not apply to the construction or alteration of impounding works in the course of the performance by a navigation authority, harbour authority or conservancy authority of their functions as such an authority.

# 27 Rights to abstract small quantities.

- (1) The restriction on abstraction shall not apply to any abstraction of a quantity of water not exceeding five cubic metres if it does not form part of a continuous operation, or of a series of operations, by which a quantity of water which, in aggregate, is more than five cubic metres is abstracted.
- (2) The restriction on abstraction shall not apply to any abstraction of a quantity of water not exceeding twenty cubic metres if the abstraction—
  - (a) does not form part of a continuous operation, or of a series of operations, by which a quantity of water which, in aggregate, is more than twenty cubic metres is abstracted; and
  - (b) is with the consent of the Authority.
- (3) The restriction on abstraction shall not apply to so much of any abstraction from any inland waters by or on behalf of an occupier of contiguous land as falls within subsection (4) below, unless the abstraction is such that the quantity of water abstracted from the inland waters by or on behalf of the occupier by virtue of this subsection exceeds twenty cubic metres, in aggregate, in any period of twenty-four hours.
- (4) Subject to section 28 below, an abstraction of water falls within this subsection in so far as the water—
  - (a) is abstracted for use on a holding consisting of the contiguous land with or without other land held with that land; and
  - (b) is abstracted for use on that holding for either or both of the following purposes, that is to say—
    - (i) the domestic purposes of the occupier's household;
    - (ii) agricultural purposes other than spray irrigation.
- (5) The restriction on abstraction shall not apply to the abstraction of water from underground strata, in so far as the water is abstracted by or on behalf of an individual as a supply of water for the domestic purposes of his household, unless the abstraction is such that the quantity of water abstracted from the strata by or on behalf of that individual by virtue of this subsection exceeds twenty cubic metres, in aggregate, in any period of twenty-four hours.

- (6) For the purposes of this Chapter a person who is in a position to abstract water in such circumstances that, by virtue of subsection (3) or (5) above, the restriction on abstraction does not apply shall be taken to have a right to abstract water to the extent specified in that subsection.
- (7) In the case of any abstraction of water from underground strata which falls within subsection (5) above, the restriction imposed by section 24(2) above shall not apply—
  - (a) to the construction or extension of any well, borehole or other work; or
  - (b) to the installation or modification of machinery or other apparatus,
  - if the well, borehole or other work is constructed or extended, or the machinery or apparatus is installed or modified, for the purpose of abstracting the water.
- (8) In this section "contiguous land", in relation to the abstraction of any water from inland waters, means land contiguous to those waters at the place where the abstraction is effected.

### **Modifications etc. (not altering text)**

C6 S. 27(4) modified (18.5.1992) by S.I. 1992/1096, arts. 3, 4,Sch.

# 28 Curtailment of rights under section 27.

- (1) The provisions of this section shall have effect where a person ("the occupier") is entitled, by virtue of subsection (6) of section 27 above, to a protected right for the purposes of this Chapter by reason of his being the occupier of such a holding as is mentioned in subsection (4) of that section in relation to an abstraction falling within that subsection ("the holding").
- (2) If it appears to the Authority that the occupier is entitled, as against other occupiers of land contiguous to the inland waters in question, to abstract water from those waters for use on part of the holding ("the relevant part"), but is not so entitled to abstract water for use on other parts of the holding—
  - (a) the Authority may serve on him a notice specifying the relevant part of the holding; and
  - (b) subject to the following provisions of this section, the notice shall have effect so as to require subsections (3) and (4) of section 27 above to be construed in relation to the holding as if the references in subsection (4) to use on the holding were references to use on the part of the holding specified in the notice.
- (3) Where a notice is served under subsection (2) above and the occupier objects to the notice on the grounds—
  - (a) that he is entitled, as against other occupiers of land contiguous to the inland waters in question, to abstract water from those waters for use on every part of the holding; or
  - (b) that he is so entitled to abstract water for use on a larger part of the holding than that specified in the notice,

he may, within such period (not being less than twenty-eight days from the date of service of the notice) and in such manner as may be prescribed, appeal to the court against the notice.

- (4) On any appeal under subsection (3) above, the court shall determine the matter in dispute and, in accordance with its decision, confirm, quash or vary the Authority's notice and—
  - (a) where the court quashes a notice served under subsection (2) above, paragraph (b) of that subsection shall not have effect; and
  - (b) where the court varies such a notice, that paragraph shall have effect, but with the substitution, for the reference to the part of the holding specified in the notice, of a reference to the part specified in the notice as varied by the court.

### (5) In this section—

"the court" means the county court for the district in which the holding, or the part of the holding which is contiguous to the inland waters in question, is situated; and

"entitled" (except in subsection (1) above) means entitled apart from this Chapter or any other statutory provision.

# 29 Rights to abstract for drainage purposes etc.

- (1) The restriction on abstraction shall not apply to any abstraction of water from a source of supply in the course of, or resulting from, any operations for purposes of land drainage.
- (2) The restriction on abstraction shall not apply to any abstraction of water from a source of supply in so far as the abstraction (where it does not fall within subsection (1) above) is necessary—
  - (a) to prevent interference with any mining, quarrying, engineering, building or other operations (whether underground or on the surface); or
  - (b) to prevent damage to works resulting from any such operations.

# (3) Where—

- (a) water is abstracted, in the course of any such operations as are mentioned in subsection (2) above, from any excavation into underground strata in a case in which the level of water in the underground strata depends wholly or mainly on water entering it from those strata; and
- (b) the abstraction is necessary as mentioned in that subsection,

the exemption conferred by that subsection shall apply notwithstanding that the water is used for the purposes of the operations.

- (4) In the case of any abstraction of water from underground strata which falls within subsection (1) or (2) above, the restriction imposed by section 24(2) above shall not apply—
  - (a) to the construction or extension of any well, borehole or other work; or
  - (b) to the installation or modification of machinery or other apparatus,

if the well, borehole or other work is constructed or extended, or the machinery or apparatus is installed or modified, for the purpose of abstracting the water.

(5) In this section, "land drainage" includes the protection of land against erosion or encroachment by water, whether from inland waters or from the sea, and also includes warping and irrigation other than spray irrigation.

# 30 Notices with respect to borings not requiring licences.

- (1) Where any person—
  - (a) proposes to construct a well, borehole or other work which is to be used solely for the purpose of abstracting, to the extent necessary to prevent interference with the carrying out or operation of any underground works, water contained in underground strata; or
  - (b) proposes to extend any such well, borehole or other work,

he shall, before he begins to construct or extend the work, give to the Authority a notice of his intention in the prescribed form.

- (2) Where a notice under subsection (1) above is given to the Authority by any person, the Authority may (subject to section 31 below) by notice to that person require him, in connection with the construction, extension or use of the work to which that person's notice relates, to take such reasonable measures for conserving water as are specified in the notice.
- (3) The measures that may be specified in a notice under subsection (2) above shall be measures which, in the opinion of the Authority, will not interfere with the protection of the underground works in question.
- (4) Any person who contravenes subsection (1) above or fails to comply with a notice under subsection (2) above shall be guilty of an offence and liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.

# 31 Appeals against conservation notices under section 30.

- (1) The person on whom a notice under section 30(2) above ("a conservation notice") is served may, by notice to the Secretary of State, appeal to him against the conservation notice on either or both of the following grounds, that is to say—
  - (a) that the measures required by the conservation notice are not reasonable;
  - (b) that those measures would interfere with the protection of the underground works in question.
- (2) Any notice of appeal against a conservation notice shall be served within such period (not being less than twenty-eight days from the date of service of the conservation notice) and in such manner as may be prescribed.
- (3) Before determining an appeal against a conservation notice, the Secretary of State may, if he thinks fit-
  - (a) cause a local inquiry to be held; or
  - (b) afford to the appellant and the Authority an opportunity of appearing before, and being heard by, a person appointed by the Secretary of State for the purpose;

and the Secretary of State shall act as mentioned in paragraph (a) or (b) above if a request is made by the appellant or the Authority to be heard with respect to the appeal.

- (4) On an appeal against a conservation notice the Secretary of State may confirm, quash or vary the notice as he may consider appropriate.
- (5) The decision of the Secretary of State on any appeal against a conservation notice shall be final.

(6) The Secretary of State may by regulations make provision as to the manner in which appeals against conservation notices are to be dealt with, including provision requiring the giving of notices of, and information relating to, the making of such appeals or decisions on any such appeals.

# 32 Miscellaneous rights to abstract.

- (1) The restriction on abstraction shall not apply to any abstraction by machinery or apparatus installed on a vessel, where the water is abstracted for use on that, or any other, vessel.
- (2) The restriction on abstraction and the other restrictions imposed by section 24 above shall not apply to the doing of anything—
  - (a) for fire-fighting purposes (within the meaning of the M33Fire Services Act 1947); or
  - (b) for the purpose of testing apparatus used for those purposes or of training or practice in the use of such apparatus.
- (3) The restriction on abstraction and the other restrictions imposed by section 24 above shall not apply—
  - (a) to any abstraction of water;
  - (b) to the construction or extension of any well, borehole or other work; or
  - (c) to the installation or modification of machinery or other apparatus,

if the abstraction, construction, extension, installation or modification is for any of the purposes specified in subsection (4) below and takes place with the consent of the Authority and in compliance with any conditions imposed by the Authority.

- (4) The purposes mentioned in subsection (3) above are—
  - (a) the purpose of ascertaining the presence of water in any underground strata or the quality or quantity of any such water; and
  - (b) the purpose of ascertaining the effect of abstracting water from the well, borehole or other work in question on the abstraction of water from, or the level of water in, any other well, borehole or other work or any inland waters.

# **Marginal Citations**

**M33** 1947 c. 41.

# Power to provide for further rights to abstract.

- (1) Any of the relevant authorities, after consultation with the other relevant authorities (if any), may apply to the Secretary of State for an order excepting any one or more sources of supply from the restriction on abstraction, on the grounds that that restriction is not needed in relation to that source of supply or, as the case may be, those sources of supply.
- (2) An application under this section may be made in respect of—
  - (a) any one or more areas of inland waters specified in the application or any class of inland waters so specified; or
  - (b) any underground strata described in the application, whether by reference to their formation or their location in relation to the surface of the land or in

relation to other strata subjacent to that surface or partly in one way and partly in another;

and an order may be made under this section accordingly.

- (3) For the purposes of this section—
  - (a) the Authority is a relevant authority in relation to every source of supply; and
  - (b) a navigation authority, harbour authority or conservancy authority having functions in relation to any inland waters is a relevant authority in relation to those inland waters.
- (4) If, in the case of any source of supply—
  - (a) it appears to the Secretary of State, after consultation with the Authority, that the question whether the restriction on abstraction is needed in relation to that source of supply ought to be determined; but
  - (b) no application for an order under this section has been made,

the Secretary of State may direct the Authority to make an application under this section in respect to that source of supply.

- (5) Schedule 6 to this Act shall have effect with respect to applications for orders under this section and with respect to the making of such orders; and the power to make any such order shall be exercisable by statutory instrument.
- (6) On the coming into force of an order under this section—
  - (a) the restriction on abstraction and, in the case of any underground strata, the restriction imposed by subsection (2) of section 24 above shall cease to apply to any source of supply to which the order relates; and
  - (b) any licence granted under this Chapter which is for the time being in force shall cease to have effect in so far as it authorises abstraction from any such source of supply.

# Applications for a licence

### 34 Regulations with respect to applications.

- (1) Any application for a licence under this Chapter shall be made in such manner as may be prescribed, and shall include such particulars, and be verified by such evidence, as may be prescribed.
- (2) The Secretary of State may by regulations make provision as to the manner in which applications for the grant of licences under this Chapter are to be dealt with, including provision requiring the giving of notices of, and information relating to, the making of such applications or decisions on such applications.
- (3) Without prejudice to the generality of subsection (2) above, provision shall be made by regulations under this section for securing that, in such circumstances as may be prescribed (being circumstances in which it appears to the Secretary of State that applications for licences under this Chapter would be of special concern to National Park planning authorities)—
  - (a) notice of any such application will be given to such one or more National Park planning authorities as may be determined in accordance with the regulations; and

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- (b) the matters to which the Authority or, as the case may be, the Secretary of State is to have regard in dealing with the application will include any representations made by any such National Park planning authority within such period and in such manner as may be prescribed.
- (4) The preceding provisions of this section shall have effect subject to any express provision contained in, or having effect by virtue of, any other enactment contained in this Chapter; and any regulations made under this section shall have effect subject to any such express provision.
- (5) In this section "National Park planning authority" means a local planning authority whose area consists of, or includes, the whole or any part of a National Park.

### **Modifications etc. (not altering text)**

C7 S. 34 amended (*temp.*) (23.11.1995 until 31.3.1997) by 1995 c. 25, s. 78, Sch. 10 para. 34(2)(a) (with ss. 7(6), 115, 117, Sch. 8 para. 7); S.I. 1995/2950, art. 2

# 35 Restrictions on persons who may make applications for abstraction licences.

- (1) No application for a licence under this Chapter to abstract water shall be entertained unless it is made by a person entitled to make the application in accordance with the following provisions of this section.
- (2) In relation to abstractions from any inland waters, a person shall be entitled to make the application if, at the place (or, if more than one, at each of the places) at which the proposed abstractions are to be effected, either—
  - (a) he is the occupier of land contiguous to the inland waters; or
  - (b) he satisfies the Authority that he has, or at the time when the proposed licence is to take effect will have, a right of access to such land.
- (3) In relation to abstractions from underground strata, a person shall be entitled to make the application if either—
  - (a) he is the occupier of land consisting of or comprising those underground strata; or
  - (b) the following two conditions are satisfied, that is to say—
    - (i) the case is one in which water contained in an excavation into underground strata is to be treated as water contained in those strata by virtue of the level of water in the excavation depending wholly or mainly on water entering it from those strata; and
    - (ii) that person satisfies the Authority that he has, or at the time when the proposed licence is to take effect will have, a right of access to land consisting of, or comprising, those underground strata.
- (4) Any reference in this section to a person who is the occupier of land of any description—
  - (a) includes a reference to a person who satisfies the Authority that he has entered into negotiations for the acquisition of an interest in land of that description such that, if the interest is acquired by him, he will be entitled to occupy that land; and
  - (b) without prejudice to the application of paragraph (a) above to a person who is or can be authorised to acquire land compulsorily, also includes any person

who satisfies the Authority that by virtue of any enactment, the compulsory acquisition by that person of land of that description either has been authorised or can be authorised and has been initiated.

- (5) In subsection (4) above the reference to initiating the compulsory acquisition of land by a person is a reference to—
  - (a) the submission to the relevant Minister of a draft of an order which, if made by that Minister in the form of the draft, will authorise that person to acquire that land compulsorily, with or without other land; or
  - (b) the submission to the relevant Minister of an order which, if confirmed by that Minister as submitted will authorise that person to acquire that land compulsorily, with or without other land.
- (6) In subsection (5) above "the relevant Minister", in relation to the compulsory acquisition of land by any person, means the Minister who, in accordance with the enactment mentioned in subsection (4)(b) above, is empowered to authorise that person to acquire land compulsorily.

# **36** Application for combined abstraction and impounding licence.

Where a licence under this Chapter is required by virtue of section 25 above for constructing or altering impounding works at a point in any inland waters, for the purpose of abstracting water from those waters at or near that point—

- (a) an application may be made to the Authority for a combined licence under this Chapter to obstruct or impede the flow of those inland waters by means of impounding works at that point and to abstract the water; and
- (b) the Authority shall have power (subject to the provisions of this Chapter as to procedure and as to the matters to be taken into account in dealing with applications for licences) to grant such a licence accordingly.

# **Publication of application for licence.**

- (1) The Authority shall not entertain an application for a licence under this Chapter to abstract water or to obstruct or impede the flow of any inland waters by means of impounding works or for a combined licence, unless the application is accompanied—
  - (a) by a copy of a notice in the prescribed form; and
  - (b) by the prescribed evidence that the necessary notices of the application have been given.
- (2) Subject to subsection (3) below, the necessary notices of an application have been given for the purposes of subsection (1) above if—
  - (a) the notice mentioned in paragraph (a) of that subsection has been published—
    - (i) in the London Gazette; and
    - (ii) at least once in each of two successive weeks, in one or more newspapers (other than the London Gazette) circulating in the relevant locality;

and

(b) a copy of that notice has been served, not later than the date on which it was first published as mentioned in paragraph (a)(ii) above—

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- (i) on any navigation authority, harbour authority or conservancy authority having functions in relation to any inland waters at a proposed point of abstraction or impounding;
- (ii) on the drainage board for any internal drainage district within which any such proposed point is situated; and
- (iii) on any water undertaker within whose area any such proposed point is situated.
- (3) Where the licence applied for is exclusively for the abstraction of water from a source of supply that does not form part of any inland waters, the giving of the necessary notices shall not for the purposes of subsection (1) above require the service of any copy of the notice mentioned in paragraph (a) of that subsection on any navigation authority, harbour authority, conservancy authority or drainage board.
- (4) A notice for the purposes of the preceding provisions of this section, in addition to containing any other matters required to be contained in that notice, shall
  - name a place within the relevant locality where a copy of the application, and of any map, plan or other document submitted with it, will be open to inspection by the public, free of charge, at all reasonable hours during a period specified in the notice in accordance with subsection (5) below; and
  - state that any person may make representations in writing to the Authority with respect to the application at any time before the end of that period.
- (5) The period specified in a notice for the purposes of the preceding provisions of this section shall be a period which
  - begins not earlier than the date on which the notice is first published in a newspaper other than the London Gazette; and
  - ends not less than twenty-eight days from that date and not less than twenty-(b) five days from the date on which the notice is published in the London Gazette.

### (6) Where-

- an application for a licence under this Chapter to abstract water is made to the Authority; and
- the application proposes that the quantity of water abstracted in pursuance of (b) the licence should not in any period of twenty-four hours exceed, in aggregate, twenty cubic metres or any lesser amount specified in the application,

the Authority may dispense with the requirements imposed by virtue of the preceding provisions of this section if and to the extent that it appears to the Authority appropriate to do so.

# (7) In this section—

'proposed point of abstraction or impounding", in relation to any application for a licence under this Chapter, means a place where a licence, if granted in accordance with the application, would authorise water to be abstracted or, as the case may be, would authorise inland waters to be obstructed or impeded by means of impounding works; and

"relevant locality", in relation to an application for a licence under this Act, means the locality in which any proposed point of abstraction or impounding is situated.

# Consideration of licence applications

# **38** General consideration of applications.

- (1) The Authority shall not determine any application for a licence under this Chapter before the end of the period specified for the purposes of the application in accordance with section 37(5) above.
- (2) Subject to the following provisions of this Chapter, on any application to the Authority for a licence under this Chapter, the Authority—
  - (a) may grant a licence containing such provisions as the Authority considers appropriate; or
  - (b) if, having regard to the provisions of this Chapter, the Authority considers it necessary or expedient to do so, may refuse to grant a licence.
- (3) Without prejudice to section 39(1) below, the Authority, in dealing with any application for a licence under this Chapter, shall have regard to—
  - (a) any representations in writing relating to the application which are received by the Authority before the end of the period mentioned in subsection (1) above; and
  - (b) the requirements of the applicant, in so far as they appear to the Authority to be reasonable requirements.

# 39 Obligation to have regard to existing rights and privileges.

- (1) The Authority shall not, except with the consent of the person entitled to the rights, grant a licence so authorising—
  - (a) the abstraction of water; or
  - (b) the flow of any inland waters to be obstructed or impeded by means of impounding works,

as to derogate from any rights which, at the time when the application is determined by the Authority, are protected rights for the purposes of this Chapter.

- (2) In a case where an application for a licence under this Chapter relates to abstraction from underground strata, the Authority, in dealing with the application, shall have regard to the requirements of existing lawful uses of water abstracted from those strata, whether for agriculture, industry, water supply or other purposes.
- (3) For the purposes of this Chapter a right is a protected right if it is such a right as a person is taken to have by virtue of section 27(6) above or section 48(1) below; and any reference in this Chapter to the person entitled to such a right shall be construed accordingly.
- (4) Any reference in this Chapter, in relation to the abstraction of water or obstructing or impeding the flow of any inland waters by means of impounding works, to derogating from a right which is a protected right for the purposes of this Chapter is a reference to, as the case may be—
  - (a) abstracting water; or
  - (b) so obstructing or impeding the flow of any such waters,

in such a way, or to such an extent, as to prevent the person entitled to that right from abstracting water to the extent mentioned in section 27(6) above or, as the case may be, section 48(1) below.

- (5) For the purposes of subsection (2) above the Authority shall be entitled (but shall not be bound) to treat as lawful any existing use of water from underground strata unless—
  - (a) by a decision given in any legal proceedings, it has been held to be unlawful;
  - (b) that decision has not been quashed or reversed.

# 40 Obligation to take river flow etc. into account.

- (1) Without prejudice to sections 38(3) and 39(1) above, subsection (2) or, as the case may be, subsection (3) below shall apply where any application for a licence under this Chapter relates to abstraction from any inland waters or to obstructing or impeding the flow of any inland waters by means of impounding works.
- (2) If, in the case of such an application as is mentioned in subsection (1) above, the application is made at a time when no minimum acceptable flow for the inland waters in question has been determined under Chapter I of this Part, the Authority, in dealing with the application, shall have regard to the considerations by reference to which, in accordance with section 21(4) and (5) above, a minimum acceptable flow for those waters would fall to be determined.
- (3) If, in the case of such an application as is mentioned in subsection (1) above, the application is made at a time after a minimum acceptable flow for the waters in question has been determined under Chapter I of this Part, the Authority, in dealing with the application, shall have regard to the need to secure or, as the case may be, secure in relation to the different times or periods for which the flow is determined—
  - (a) that the flow at any control point will not be reduced below the minimum acceptable flow at that point; or
  - (b) if it is already less than that minimum acceptable flow, that the flow at any control point will not be further reduced below the minimum acceptable flow at that point.
- (4) Without prejudice to sections 38(3) and 39(1) above, where—
  - (a) an application for a licence under this Chapter relates to abstraction from underground strata; and
  - (b) it appears to the Authority that the proposed abstraction is likely to affect the flow, level or volume of any inland waters which are neither discrete waters nor waters comprised in an order under section 33 above,

subsection (2) or, as the case may be, subsection (3) above shall apply as if the application related to abstraction from those waters.

# Call-in of applications

# 41 Secretary of State's power to call in applications

- (1) The Secretary of State may give directions to the Authority requiring applications for licences under this Chapter to be referred to him, instead of being dealt with by the Authority.
- (2) A direction under this section—
  - (a) may relate either to a particular application or to applications of a class specified in the direction; and

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(b) may except from the operation of the direction such classes of applications as may be specified in the direction in such circumstances as may be so specified.

# 42 Consideration of called-in applications.

- (1) Subject to the following provisions of this section and to section 46 below, the Secretary of State, on considering a called-in application—
  - (a) may determine that a licence shall be granted containing such provisions as he considers appropriate; or
  - (b) if, having regard to the provisions of this Act, he considers it necessary or expedient to do so, may determine that no licence shall be granted.
- (2) Before determining a called-in application, the Secretary of State may, if he thinks fit-
  - (a) cause a local inquiry to be held; or
  - (b) afford to the applicant and the Authority an opportunity of appearing before, and being heard by, a person appointed by the Secretary of State for the purpose;

and the Secretary of State shall act as mentioned in paragraph (a) or (b) above if a request is made by the applicant or the Authority to be heard with respect to the application.

- (3) The provisions of sections 37, 38(1) and (3), 39(2) and 40 above shall apply in relation to any called-in application as if—
  - (a) any reference in those provisions to the Authority, except the references in sections 37(4)(b) and (6)(a) and 38(3)(a), were a reference to the Secretary of State; and
  - (b) any reference to section 39(1) above were a reference to subsection (4) below.
- (4) In determining any called-in application and, in particular, in determining what (if any) direction to give under subsection (5) below, the Secretary of State shall consider whether any such direction would require the grant of a licence which would so authorise—
  - (a) the abstraction of water; or
  - (b) the flow of any inland waters to be obstructed or impeded by means of impounding works,

as to derogate from rights which, at the time when the direction in question is given, are protected rights for the purposes of this Chapter.

- (5) Where the decision of the Secretary of State on a called-in application is that a licence is to be granted, the decision shall include a direction to the Authority to grant a licence containing such provisions as may be specified in the direction.
- (6) The decision of the Secretary of State on any called-in application shall be final.
- (7) In this section "called-in application" means an application referred to the Secretary of State in accordance with directions under section 41 above.

# Appeals with respect to decisions on licence applications

# 43 Appeals to the Secretary of State.

- (1) Where an application has been made to the Authority for a licence under this Chapter, the applicant may by notice appeal to the Secretary of State if—
  - (a) the applicant is dissatisfied with the decision of the Authority on the application; or
  - (b) the Authority fails within the period specified in subsection (2) below to give to the applicant either—
    - (i) notice of the Authority's decision on the application; or
    - (ii) notice that the application has been referred to the Secretary of State in accordance with any direction under section 41 above.
- (2) The period mentioned in subsection (1)(b) above is—
  - (a) except in a case falling within paragraph (b) below, such period as may be prescribed; and
  - (b) where an extended period is at any time agreed in writing between the applicant and the Authority, the extended period.
- (3) A notice of appeal under this section shall be served—
  - (a) in such manner as may be prescribed; and
  - (b) within such period as may be prescribed, being a period of not less than twenty-eight days from, as the case may be—
    - (i) the date on which the decision to which it relates was notified to the applicant; or
    - (ii) the end of the period which, by virtue of subsection (2) above, is applicable for the purposes of subsection (1)(b) above.
- (4) Where a notice is served under this section in respect of any application, the applicant shall, within the period prescribed for the purposes of subsection (3)(b) above, serve a copy of the notice on the Authority.
- (5) Where any representations in writing with respect to an application were made within the period specified for the purposes of the application in accordance with section 37(5) above, the Secretary of State shall, before determining an appeal under this section in respect of the application, require the Authority to serve a copy of the notice of appeal on each of the persons who made those representations.

# 44 Determination of appeals.

- (1) Subject to the following provisions of this Chapter, where an appeal is brought under section 43 above, the Secretary of State—
  - (a) may allow or dismiss the appeal or reverse or vary any part of the decision of the Authority, whether the appeal relates to that part of the decision or not; and
  - (b) may deal with the application as if it had been made to him in the first instance; and for the purposes of this section an appeal by virtue of section 43(1)(b) above shall be taken to be an appeal against a refusal of the application.
- (2) Before determining an appeal under section 43 above, the Secretary of State may, if he thinks fit—
  - (a) cause a local inquiry to be held; or

(b) afford to the applicant and the Authority an opportunity of appearing before, and being heard by, a person appointed by the Secretary of State for the purpose;

and the Secretary of State shall act as mentioned in paragraph (a) or (b) above if a request is made by the applicant or the Authority to be heard with respect to the appeal.

- (3) The Secretary of State, in determining an appeal under section 43 above, shall take into account—
  - (a) any further representations in writing received by him, within the prescribed period, from the persons mentioned in section 43(5) above; and
  - (b) the requirements of the applicant, in so far as they appear to the Secretary of State to be reasonable requirements.
- (4) In determining any appeal under section 43 above and, in particular, in determining what (if any) direction to give under subsection (6) below, the Secretary of State shall consider whether any such direction would require such a grant or variation of a licence as would so authorise—
  - (a) the abstraction of water; or
  - (b) the flow of any inland waters to be obstructed or impeded by means of impounding works,

as to derogate from rights which, at the time when the direction in question is given, are protected rights for the purposes of this Chapter.

- (5) The provisions of sections 39(2) and 40 above shall apply in relation to any appeal under section 43 above as if—
  - (a) any reference in those provisions to the Authority, were a reference to the Secretary of State; and
  - (b) the references to sections 38(3) and 39(1) above were references to subsections (3) and (4) above.
- (6) Where the decision on an appeal under section 43 above is that a licence is to be granted or to be varied or revoked, the decision shall include a direction to the Authority, as the case may be—
  - (a) to grant a licence containing such provisions as may be specified in the direction;
  - (b) to vary the licence so as to contain such provisions as may be so specified; or
  - (c) to revoke the licence.
- (7) The decision of the Secretary of State on any appeal under section 43 above shall be final.

# 45 Regulations with respect to appeals.

- (1) The Secretary of State may by regulations make provision as to the manner in which appeals against decisions on applications for the grant, revocation or variation of licences under this Chapter are to be dealt with, including provision requiring the giving of notices of, and information relating to, the making of such appeals or decisions on any such appeals.
- (2) Without prejudice to the generality of subsection (1) above, provision shall be made by regulations under this section for securing that, in prescribed circumstances (being circumstances in which it appears to the Secretary of State that applications for

licences under this Chapter would be of special concern to National Park planning authorities)—

- (a) notice of any appeal against the decision on such an application, will be served on any National Park planning authority who made representations falling within paragraph (b) of section 34(3) above; and
- (b) the Secretary of State, in determining the appeal, will take account of any further representations made by such an authority within such period and in such manner as may be prescribed.
- (3) Subsections (4) and (5) of section 34 above shall apply for the purposes of this section as they apply for the purposes of that section.

#### **Modifications etc. (not altering text)**

C8 S. 45 amended (*temp.*) (23.11.1995 until 31.3.1997) by 1995 c. 25, s. 78, Sch. 10 para. 34(2)(a) (with ss. 7(6), 115, 117, Sch. 8 para. 7); S.I. 1995/2950, art. 2

## Form, contents and effect of licences

#### 46 Form and contents of licences.

- (1) The Secretary of State may by regulations make provision as to the form of licences under this Chapter or of any class of such licences; but any regulations under this subsection shall have effect subject to the following provisions of this section and to any other express provision contained in, or having effect by virtue of, any other enactment contained in this Chapter.
- (2) Every licence under this Chapter to abstract water shall make—
  - (a) provision as to the quantity of water authorised to be abstracted in pursuance of the licence from the source of supply to which the licence relates during a period or periods specified in the licence, including provision as to the way in which that quantity is to be measured or assessed for the purposes of this Chapter; and
  - (b) provision for determining, by measurement or assessment, what quantity of water is to be taken to have been abstracted during any such period by the holder of the licence from the source of supply to which the licence relates.
- (3) Every licence under this Chapter to abstract water shall indicate the means by which water is authorised to be abstracted in pursuance of the licence, by reference either to specified works, machinery or apparatus or to works, machinery or apparatus fulfilling specified requirements.
- (4) Every licence under this Chapter to abstract water, except a licence granted to the Authority, to a water undertaker or sewerage undertaker or to any person (not being a water undertaker) who proposes to abstract the water for the purpose of supplying it to others shall also specify the land on which, and the purposes for which, water abstracted in pursuance of the licence is to be used.
- (5) Every licence under this Chapter to abstract water shall state whether the licence is to remain in force until revoked or is to expire at a time specified in the licence.
- (6) Different provision may be made by the same licence with respect to any one or more of the following matters, that is to say—

- (a) the abstraction of water during different periods;
- (b) the abstraction of water from the same source of supply but at different points or by different means;
- (c) the abstraction of water for use for different purposes;

and any such provision as is mentioned in subsection (2) above may be made separately in relation to each of the matters for which (in accordance with this subsection) different provision is made in the licence.

(7) Nothing in subsection (6) above shall be construed as preventing two or more licences from being granted to the same person to be held concurrently in respect of the same source of supply, if the licences authorise the abstraction of water at different points or by different means.

#### 47 Holders of licence.

- (1) Every licence under this Chapter to abstract water shall specify the person to whom the licence is granted.
- (2) The person to whom a licence under this Chapter is granted to abstract water or to obstruct or impede any inland waters and, in the case of a licence to obstruct or impede any inland waters, no other person is the holder of the licence for the purposes of this Act.
- (3) This section has effect subject to sections 49, 50 and 67 below and to any power under this Chapter to vary licences.

## 48 General effect of licence.

- (1) For the purposes of this Chapter a person who is for the time being the holder of a licence under this Chapter to abstract water shall be taken to have a right to abstract water to the extent authorised by the licence and in accordance with the provisions contained in it.
- (2) In any action brought against a person in respect of the abstraction of water from a source of supply, it shall be a defence, subject to paragraph 2 of Schedule 7 to this Act, for him to prove—
  - (a) that the water was abstracted in pursuance of a licence under this Chapter; and
  - (b) that the provisions of the licence were complied with.
- (3) In any action brought against a person in respect of any obstruction or impeding of the flow of any inland waters at any point by means of impounding works, it shall be a defence for him to prove—
  - (a) that the flow was so obstructed or impeded in pursuance of a licence under this Chapter;
  - (b) that the obstructing or impeding was in the manner specified in that licence and to an extent not exceeding the extent so specified; and
  - (c) that the other requirements of the licence (if any) were complied with.
- (4) Nothing in subsection (2) or (3) above shall exonerate a person from any action for negligence or breach of contract.

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#### Succession to licences

# 49 Succession to licences to abstract where person ceases to occupy the relevant land.

(1) This section applies to a case where the holder of a licence under this Chapter to abstract water ("the prior holder") is the occupier of the whole of the land specified in the licence as the land on which water abstracted in pursuance of the licence is to be used ("the relevant land").

#### (2) If—

- (a) the prior holder dies or, by reason of any other act or event, ceases to be the occupier of the whole of the relevant land and does not continue to be the occupier of any part of that land; and
- (b) either immediately after the death of the prior holder or the occurrence of that other act or event or subsequently, another person ("the successor") becomes the occupier of the whole of the relevant land,

the prior holder shall cease (if he would not otherwise do so) to be the holder of the licence and the successor shall become the holder of the licence.

- (3) Where the successor becomes the holder of a licence under subsection (2) above, he shall cease to be the holder of the licence at the end of the period of fifteen months beginning with the date on which he became the occupier of the relevant land unless before the end of that period he has given to the Authority notice of the change in the occupation of the relevant land.
- (4) Where any person who becomes the holder of a licence by virtue of the provisions of this section gives notice to the Authority in accordance with those provisions, the Authority shall vary the licence accordingly.
- (5) Where, by virtue of the provisions of this section, any person ceases to be the holder of a licence in such circumstances that no other person thereupon becomes the holder of it, the licence shall cease to have effect.
- (6) The preceding provisions of this section shall have effect without prejudice to any power to revoke or vary licences under this Chapter or to the powers conferred by section 50 below.

#### 50 Succession where person becomes occupier of part of the relevant land.

- (1) The Secretary of State may by regulations make provision, in relation to [FI cases in which the holder of a licence under this Chapter to abstract water ("the prior holder") is the occupier of the whole or part of the land specified in the licence as the land on which water abstracted in pursuance of the licence is to be used ("the relevant land")], for conferring succession rights, in such circumstances as may be specified in the regulations, on a person who becomes the occupier of part of the relevant land after—
  - (a) the death of the prior holder; or
  - (b) the occurrence of any other act or event whereby the prior holder ceases to be the occupier of the relevant land or of part of that land.
- (2) For the purposes of subsection (1) above succession rights are—
  - (a) a right to become the holder of the licence, subject to provisions corresponding to subsection (3) of section 49 above; or

- (b) a right to apply for, and to the grant of, a new licence containing provisions (as to quantities of water and otherwise) determined, in accordance with the regulations made by the Secretary of State, by reference to the provisions of the original licence.
- (3) The Secretary of State may by regulations make provision for conferring on the prior holder, where he—
  - (a) continues to be the occupier of part of the relevant land; but
  - (b) ceases to be the occupier of another part of that land,
  - a right, in such circumstances as may be specified in the regulations, to apply for, and to the grant of, a new licence containing such provisions as are mentioned in subsection (2)(b) above.
- (4) Regulations under this section may provide that the provisions of this Chapter shall have effect in relation—
  - (a) to an application for a licence made by virtue of the regulations; or
  - (b) to a person entitled to make such an application, subject to such modifications as may be specified in the regulations.
- (5) Where any person who becomes the holder of a licence by virtue of the provisions of any regulations under this section gives notice to the Authority in accordance with those provisions, the Authority shall vary the licence accordingly.
- (6) Where, by virtue of the provisions of any regulations under this section, any person ceases to be the holder of a licence in such circumstances that no other person thereupon becomes the holder of it, the licence shall cease to have effect.
- (7) The preceding provisions of this section shall have effect without prejudice to the exercise of any power to revoke or vary licences under this Chapter.

#### **Textual Amendments**

F1 Words in s. 50(1) substituted (retrospective to 1.12.1991) by 1995 c. 25, ss. 120(1), 125(3), Sch. 22 para. 135 (with ss. 7(6), 115, 117)

#### Modification of licences

#### Modification on application of licence holder.

- (1) The holder of a licence under this Chapter may apply to the Authority to revoke the licence and, on any such application, the Authority shall revoke the licence accordingly.
- (2) The holder of a licence under this Chapter may apply to the Authority to vary the licence.
- (3) Subject to subsection (4) below, the provisions of sections 37 to 44 above shall apply (with the necessary modifications) to applications under subsection (2) above, and to the variation of licences in pursuance of such applications, as they apply to applications for, and the grant of, licences under this Chapter.

- (4) Where the variation proposed in an application under subsection (2) above is limited to reducing the quantity of water authorised to be abstracted in pursuance of the licence during one or more periods—
  - (a) sections 37 and 38(1) above shall not apply by virtue of subsection (3) above; and
  - (b) sections 43 and 44 above, as applied by that subsection, shall have effect as if subsection (5) of section 43 and paragraph (a) of section 44(3) were omitted.

## 52 Proposals for modification at instance of the Authority or Secretary of State.

- (1) Where it appears to the Authority that a licence under this Chapter should be revoked or varied, the Authority may formulate proposals for revoking or varying the licence.
- (2) Where—
  - (a) it appears to the Secretary of State (either in consequence of representations made to the Secretary of State or otherwise) that a licence under this Chapter ought to be reviewed; but
  - (b) no proposals for revoking or varying the licence have been formulated by the Authority under subsection (1) above,

the Secretary of State may, as he may consider appropriate in the circumstances, give the Authority a direction under subsection (3) below.

- (3) A direction under this subsection may—
  - (a) direct the Authority to formulate proposals for revoking the licence in question; or
  - (b) direct the Authority to formulate proposals for varying that licence in such manner as may be specified in the direction.
- (4) Notice in the prescribed form of any proposals formulated under this section with respect to any licence shall—
  - (a) be served on the holder of the licence; and
  - (b) be published in the London Gazette and, at least once in each of two successive weeks, in one or more newspapers (other than the London Gazette) circulating in the relevant locality.

#### (5) If—

- (a) a licence with respect to which any proposals are formulated under this section relates to any inland waters; and
- (b) the proposals provide for variation of that licence,

a copy of the notice for the purposes of subsection (4) above shall, not later than the date on which it is first published otherwise than in the London Gazette, be served on any navigation authority, harbour authority or conservancy authority having functions in relation to those waters at a place where the licence, if varied in accordance with the proposals, would authorise water to be abstracted or impounded.

- (6) A notice for the purposes of subsection (4) above, in addition to any other matters required to be contained in that notice, shall—
  - (a) name a place within the relevant locality where a copy of the proposals, and of any map, plan or other document prepared in connection with them, will be open to inspection by the public, free of charge, at all reasonable hours during a period specified in the notice in accordance with subsection (7) below; and

- (b) state that, at any time before the end of that period—
  - (i) the holder of the licence may give notice in writing to the Authority objecting to the proposals; and
  - (ii) any other person may make representations in writing to the Authority with respect to the proposals.
- (7) The period specified in a notice for the purposes of subsection (6) above shall be a period which—
  - (a) begins not earlier than the date on which the notice is first published in a newspaper other than the London Gazette; and
  - (b) ends not less than twenty-eight days from that date and not less than twenty-five days from the date on which the notice is published in the London Gazette.
- (8) In this section "the relevant locality" means the locality in which the place or places where the licence authorises water to be abstracted or impounded is or are situated.

## Modification in pursuance of proposals under section 52.

- (1) Subject to the following provisions of this section, where the Authority has formulated any proposals under section 52 above with respect to any licence under this Chapter, it may—
  - (a) if the proposals are for the revocation of the licence, revoke the licence; and
  - (b) if the proposals are proposals for varying the licence, vary the licence in accordance with those proposals or, with the consent of the holder of the licence, in any other way.
- (2) The Authority shall not proceed with any proposals formulated under section 52 above before the end of the period specified, in accordance with subsection (7) of that section, for the purposes in relation to those proposals of subsection (6) of that section.
- (3) If no notice under subsection (4) below is given to the Authority before the end of the period mentioned in subsection (2) above, the Authority may proceed with the proposals.
- (4) If the holder of the licence gives notice to the Authority objecting to the proposals before the end of the period mentioned in subsection (2) above, the Authority shall refer the proposals to the Secretary of State, with a copy of the notice of objection.
- (5) Where the Authority proceeds with any proposals under subsection (3) above and the proposals are proposals for varying the licence, the provisions of sections 38(3), 39(1) and (2) and 40 above shall apply (with the necessary modifications) to any action of the Authority in proceeding with the proposals as they apply to the action of the Authority in dealing with an application for a licence.

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- (1) Where any proposals of the Authority with respect to a licence are referred to the Secretary of State in accordance with subsection (4) of section 53 above, the Secretary of State shall consider—
  - (a) the proposals;
  - (b) the objection of the holder of the licence; and

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> any representations in writing relating to the proposals which were received by the Authority before the end of the period mentioned in subsection (2) of that section,

and, subject to subsection (2) below, shall determine (according to whether the proposals are for the revocation or variation of the licence) the question whether the licence should be revoked or the question whether it should be varied as mentioned in subsection (1)(b) of that section.

- (2) Before determining under this section whether a licence should be revoked or varied in a case in which proposals have been formulated under section 52 above, the Secretary of State may, if he thinks fit—
  - (a) cause a local inquiry to be held; or
  - afford to the holder of the licence and the Authority an opportunity of appearing before, and being heard by, a person appointed by the Secretary of State for the purpose;

and the Secretary of State shall act as mentioned in paragraph (a) or (b) above if a request is made by the holder of the licence or the Authority to be heard with respect to the proposals.

- (3) In determining under this section whether a licence should be varied and, if so, what directions should be given under subsection (5) below, the Secretary of State shall consider whether any such direction would require such a variation of the licence as would so authorise
  - the abstraction of water; or
  - the flow of any inland waters to be obstructed or impeded by means of impounding works,

as to derogate from rights which, at the time when the direction is given, are protected rights for the purposes of this Chapter.

- (4) The provisions of sections 39(2) and 40 above shall apply in relation to any proposals referred to the Secretary of State in accordance with section 53(4) above as if in those provisions
  - any reference to the Authority were a reference to the Secretary of State; (a)
  - (b) any reference to the application were a reference to the proposals; and
  - the references to sections 38(3) and 39(1) were references to subsections (1) and (3) above.
- (5) Where the decision of the Secretary of State on a reference in accordance with section 53(4) above is that the licence in question should be revoked or varied, the decision shall include a direction to the Authority to revoke the licence or, as the case may be, to vary it so as to contain such provisions as may be specified in the direction.
- (6) A decision of the Secretary of State under this section with respect to any proposals shall be final.

#### 55 Application for modification of licence by owner of fishing rights.

(1) Subject to the following provisions of this section and to Schedule 7 to this Act, where a licence under this Chapter authorises abstraction from any inland waters in respect of which no minimum acceptable flow has been determined under Chapter I of this Part, any person who is the owner of fishing rights in respect of those inland waters may apply to the Secretary of State for the revocation or variation of the licence.

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- (2) No application shall be made under this section in respect of any licence except at a time after the end of the period of one year beginning with the date on which the licence was granted but before a minimum acceptable flow has been determined in relation to the waters in question.
- (3) Any application under this section made by a person as owner of fishing rights in respect of any inland waters shall be made on the grounds that, in his capacity as owner of those rights, he has sustained loss or damage which is directly attributable to the abstraction of water in pursuance of the licence in question and either—
  - (a) he is not entitled to a protected right for the purposes of this Chapter in respect of those inland waters; or
  - (b) the loss or damage which he has sustained in his capacity as owner of those rights is not attributable to any such breach of statutory duty as is mentioned in subsection (2) or (3) of section 60 below or is in addition to any loss or damage attributable to any such breach.
- (4) Where an application is made under this section in respect of any licence, the applicant shall serve notice in the prescribed form on the Authority and on the holder of the licence, stating that each of them is entitled, at any time before the end of the period of twenty-eight days beginning with the date of service of the notice, to make representations in writing to the Secretary of State with respect to the application.
- (5) In this section and section 56 below "fishing rights", in relation to any inland waters, means any right (whether it is an exclusive right or a right in common with one or more other persons) to fish in those waters, where the right in question—
  - (a) constitutes or is included in an interest in land; or
  - (b) is exercisable by virtue of an exclusive licence granted for valuable consideration:

and any reference to an owner of fishing rights is a reference to the person for the time being entitled to those rights.

(6) In this section any reference to a right included in an interest in land is a reference to a right which is exercisable only by virtue of, and as a right incidental to, the ownership of that interest.

#### 56 Determination of application under section 55.

- (1) The Secretary of State, in determining any application under section 55 above in respect of any licence, shall take into account any representations in writing received by him, within the period mentioned in subsection (4) of that section, from the Authority or from the holder of the licence.
- (2) Before determining on an application under section 55 above whether a licence should be revoked or varied the Secretary of State may, if he thinks fit—
  - (a) cause a local inquiry to be held; or
  - (b) afford to the applicant, the holder of the licence and the Authority an opportunity of appearing before, and being heard by, a person appointed by the Secretary of State for the purpose;

and the Secretary of State shall act as mentioned in paragraph (a) or (b) above if a request is made by the applicant, the holder of the licence or the Authority to be heard with respect to the proposals.

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- (3) Subject to subsections (4) and (5) below, on an application under section 55 above in respect of any licence, the Secretary of State shall not determine that the licence shall be revoked or varied unless—
  - (a) the grounds of the application, as mentioned in subsection (3) of that section, are established to his satisfaction; and
  - (b) he is satisfied that the extent of the loss or damage which the applicant has sustained, as mentioned in that subsection, is such as to justify the revocation or variation of the licence.
- (4) On an application under section 55 above in respect of any licence, the Secretary of State shall not determine that the licence shall be revoked or varied if he is satisfied that the fact that the abstraction of water in pursuance of the licence caused the loss or damage which the applicant has sustained, as mentioned in subsection (3) of that section, was wholly or mainly attributable to exceptional shortage of rain or to an accident or other unforeseen act or event not caused by, and outside the control of, the Authority.
- (5) Where the Secretary of State determines, on an application under section 55 above, that a licence shall be varied, the variation shall be limited to that which, in the opinion of the Secretary of State, is requisite having regard to the loss or damage which the applicant has sustained as mentioned in subsection (3) of that section.
- (6) Where the decision of the Secretary of State on an application under section 55 above in respect of any licence is that the licence should be revoked or varied, the decision shall include a direction to the Authority to revoke the licence or, as the case may be, to vary it so as to contain such provisions as may be specified in the direction.
- (7) A decision of the Secretary of State on an application under section 55 above shall be final.

#### 57 Emergency variation of licences for spray irrigation purposes.

- (1) This section applies where at any time—
  - (a) one or more licences under this Chapter are in force in relation to a source of supply authorising water abstracted in pursuance of the licences to be used for the purpose of spray irrigation, or for that purpose together with other purposes; and
  - (b) by reason of exceptional shortage of rain or other emergency, it appears to the Authority that it is necessary to impose a temporary restriction on the abstraction of water for use for that purpose.
- (2) Subject to subsections (3) and (4) below, where this section applies the Authority may serve a notice on the holder of any of the licences reducing, during such period as may be specified in the notice, the quantity of water authorised to be abstracted in pursuance of the licence from the source of supply for use for the purpose of spray irrigation; and, in relation to that period, the licence shall have effect accordingly subject to that reduction.
- (3) The Authority shall not serve a notice under this section in respect of abstraction of water from underground strata unless it appears to the Authority that such abstraction is likely to affect the flow, level or volume of any inland waters which are neither discrete waters nor inland waters comprised in an order under section 33 above.

- (4) In the exercise of the power conferred by this section in a case where there are two or more licences under this Chapter in force authorising abstraction from the same source of supply either at the same point or at points which, in the opinion of the Authority, are not far distant from each other—
  - (a) the Authority shall not serve a notice under this section on the holder of one of the licences unless a like notice is served on the holders of the other licences in respect of the same period; and
  - (b) the reductions imposed by the notices on the holders of the licences shall be so calculated as to represent, as nearly as appears to the Authority to be practicable, the same proportion of the quantity of water authorised by the licences (apart from the notices) to be abstracted for use for the purpose of spray irrigation.
- (5) The provisions of this section shall have effect without prejudice to the exercise of any power conferred by sections 51 to 54 above.

## **Modifications etc. (not altering text)**

C9 S. 57 modified (18.5.1992) by S.I. 1992/1096, art.4

C10 S. 57(1)(2)(4) modified (18.5.1992) by S.I. 1992/1096, arts. 3, 4,Sch.

#### 58 Revocation of licence for non-payment of charges.

- (1) If the charges payable in respect of a licence under this Chapter are not paid within twenty-eight days after notice demanding them has been served on the holder of the licence, the Authority may revoke the licence by the service of a notice of revocation on the holder of the licence.
- (2) A notice demanding the payment of any charges which is served for the purposes of subsection (1) above shall—
  - (a) state that the licence in question may be revoked if the charges are not paid within twenty-eight days after the service of the notice;
  - (b) set out the effect of revocation; and
  - (c) state that no compensation is payable in respect of a revocation under this section.
- (3) Revocation of a licence under this section
  - shall take effect at such time, not being a time before the end of the period of twenty-eight days after notice of revocation is served on the holder of the licence, as may be specified in that notice; and
  - (b) shall so take effect only if the charges in question are not paid before that time.
- (4) A notice of revocation served under this section shall—
  - (a) set out the reason for the revocation; and
  - (b) state that the revocation will take effect only if the charges in question are not paid before the time specified in the notice.

## **Regulations with respect to modification applications.**

(1) The Secretary of State may by regulations make provision as to the manner in which applications for the revocation or variation of licences under this Chapter are to be

dealt with, including provision requiring the giving of notices of, and information relating to, the making of such applications or decisions on any such applications.

(2) Subsection (1) above shall have effect subject to any express provision contained in, or having effect by virtue of, any other enactment contained in this Chapter; and any regulations made under this section shall have effect subject to any such express provision.

Remedies and compensation in respect of infringement of protected rights etc.

## 60 Liability of the Authority for derogation from protected right.

- (1) A breach of the duty imposed by subsection (1) of section 39 above (including that duty as applied by section 51(3) or 53(5) above) shall neither invalidate the grant or variation of a licence nor be enforceable by any criminal proceedings, by prohibition or injunction or by action against any person other than the Authority.
- (2) Instead, the duty referred to in subsection (1) above shall be enforceable, at the suit of any person entitled to a protected right for the purposes of this Chapter, by an action against the Authority for damages for breach of statutory duty.
- (3) Where under any provision of this Chapter, the Authority is directed by the Secretary of State to grant or vary a licence, and the licence, as granted or varied in compliance with the direction, authorises derogation from protected rights, then—
  - (a) the grant or variation of the licence shall, as between the Authority and the person entitled to those rights, have effect as a breach on the part of the Authority of a statutory duty not to authorise derogation from those rights; and
  - (b) subsection (2) above shall apply in relation to that statutory duty as it applies in relation to the duty imposed by section 39(1) above.
- (4) Subsection (3) above shall be without prejudice to the duty of the Authority, to comply with the direction in question, but that duty shall not afford any defence in an action brought by virtue of paragraph (b) of that subsection.
- (5) In any action brought against the Authority in pursuance of this section it shall be a defence for the Authority to show that the fact, as the case may be—
  - (a) that the abstraction of water authorised by the licence, as granted or varied by the Authority, derogated from the plaintiff's protected right; or
  - (b) that the obstruction or impeding of the flow of the inland waters authorised by the licence, as so granted or varied, derogated from the plaintiff's protected right,

was wholly or mainly attributable to exceptional shortage of rain or to an accident or other unforeseen act or event not caused by, and outside the control of, the Authority.

- (6) This section has effect subject to the provision made by Schedule 7 to this Act.
- (7) In this section any reference to authorising a derogation from protected rights is a reference to so authorising—
  - (a) the abstraction of water; or
  - (b) the flow of any inland waters to be obstructed or impeded by means of impounding works,

as to derogate from rights which, at the time of the authorisation, are protected rights for the purposes of this Chapter.

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#### 61 Compensation where licence modified on direction of the Secretary of State.

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- (1) Where a licence is revoked or varied in pursuance of a direction under section 54 or 55 above and it is shown that the holder of the licence—
  - (a) has incurred expenditure in carrying out work which is rendered abortive by the revocation or variation; or
  - (b) has otherwise sustained loss or damage which is directly attributable to the revocation or variation,

the Authority shall pay him compensation in respect of that expenditure, loss or damage.

- (2) For the purposes of this section, any expenditure incurred in the preparation of plans for the purposes of any work, or upon other similar matters preparatory to any work, shall be taken to be included in the expenditure incurred in carrying out that work.
- (3) Subject to subsection (2) above and to Schedule 7 to this Act, no compensation shall be paid under this section—
  - (a) in respect of any work carried out before the grant of the licence which is revoked or varied; or
  - (b) in respect of any other loss or damage arising out of anything done or omitted to be done before the grant of that licence.
- (4) No compensation shall be payable under this section in respect of a licence to abstract water, if it is shown that no water was abstracted in pursuance of the licence during the period of seven years ending with the date on which notice of the proposals for revoking or varying the licence was served on the holder of the licence.
- (5) Any question of disputed compensation under this section shall be referred to and determined by the Lands Tribunal; and in relation to the determination of any such compensation the provisions of sections 2 and 4 of the M34Land Compensation Act 1961 shall apply, subject to any necessary modifications.
- (6) For the purpose of assessing any compensation under this section, in so far as that compensation is in respect of loss or damage consisting of depreciation of the value of an interest in land, the rules set out in section 5 of the Land Compensation Act 1961 shall, so far as applicable and subject to any necessary modifications, have effect as they have effect for the purpose of assessing compensation for the compulsory acquisition of an interest in land.
- (7) Where the interest in land, in respect of which any compensation falls to be assessed in accordance with subsection (6) above, is subject to a mortgage—
  - (a) the compensation shall be assessed as if the interest were not subject to the mortgage;
  - (b) a claim for the compensation may be made by any mortgagee of the interest, but without prejudice to the making of a claim by the person entitled to the interest;
  - (c) no such compensation shall be payable in respect of the interest of the mortgagee (as distinct from the interest which is subject to the mortgage);
  - (d) any such compensation which is payable in respect of the interest which is subject to the mortgage shall be paid to the mortgagee or, if there is more than one mortgagee, to the first mortgagee, and shall in either case be applied by him as if it were proceeds of sale.

**Marginal Citations** 

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**M34** 1961 c. 33.

## 62 Compensation for owner of fishing rights applying under section 55.

- (1) Where a licence is revoked or varied on an application under section 55 above, the applicant shall be entitled to compensation from the Authority in respect of the loss or damage which he has sustained as mentioned in subsection (3) of that section.
- (2) Where, on an application under section 55 above for the revocation or variation of a licence, the Secretary of State determines—
  - (a) that the grounds of the application (as mentioned in subsection (3) of that section) have been established to his satisfaction; but
  - (b) that the licence shall not be revoked or varied in pursuance of that application, he shall certify accordingly for the purposes of the following provisions of this section.
- (3) Unless within the period of six months from the date on which a certificate under subsection (2) above is granted either—
  - (a) notice to treat for the acquisition of the fishing rights of the applicant, or of an interest in land which includes those rights, has been served by the Authority; or
  - (b) an offer has been made by the Authority to the owner of those rights to acquire them on compulsory purchase terms or, where the rights subsist only as rights included in an interest in land, to acquire that interest on such terms,

the owner of the fishing rights shall be entitled to compensation from the Authority.

- (4) The amount of the compensation payable under subsection (3) above in respect of any fishing rights shall be the amount by which—
  - (a) the value of those rights; or
  - (b) where they subsist only as rights included in an interest in land, the value of that interest,

is depreciated by the operation of section 48(2) above in relation to the licence to which the application related.

- (5) Any question of disputed compensation under this section shall be referred to and determined by the Lands Tribunal; and in relation to the determination of any such compensation the provisions of sections 2 and 4 of the M35Land Compensation Act 1961 shall apply, subject to any necessary modifications.
- (6) For the purposes of this section a right or interest is acquired on compulsory purchase terms if it is acquired on terms that the price payable shall be equal to and shall, in default of agreement, be determined in like manner as the compensation which would be payable in respect thereof if the right or interest were acquired compulsorily by the Authority.
- (7) Where—
  - (a) the Secretary of State, on an application under section 55 above, determines that the licence to which the application relates shall not be revoked or varied and grants a certificate under subsection (2) above; and

(b) notice to treat for the acquisition of the fishing rights to which the application related, or of an interest in land in which those rights are included, has been served by the Authority within the period of six months from the date on which that certificate is granted,

then, for the purpose of assessing compensation in respect of any compulsory acquisition in pursuance of that notice to treat, no account shall be taken of any depreciation of the value of the fishing rights, or of the interest in question, which is applicable to the operation, in relation to that licence, of section 48(2) above.

(8) Subsections (5) and (6) of section 55 above shall apply for construing references in this section to fishing rights or to rights included in an interest in land as they have effect for construing such references in that section.

**Marginal Citations** 

M35 1961 c. 33.

## 63 Secretary of State to indemnify Authority in certain cases.

- (1) Where—
  - (a) the Authority is liable under section 60 above to pay damages to any person in consequence of the grant or variation of a licence in compliance with a direction given by the Secretary of State; and
  - (b) the Authority pay to that person any sum in satisfaction of that liability,

then, whether an action for recovery of those damages has been brought or not, the Secretary of State may, if he thinks fit, pay to the Authority the whole or such part as he considers appropriate of the relevant amount.

(2) If—

- (a) proposals for revoking or varying the licence, in a case falling within subsection (1) above, are formulated by the Authority, or an application with respect to any licence is made under section 55 above;
- (b) in consequence of those proposals or that application, the licence is revoked or varied; and
- (c) compensation in respect of the revocation or variation is payable by the Authority under section 61 above,

the Secretary of State may, if he thinks fit, pay to the Authority the whole or such part as he considers appropriate of the relevant amount.

- (3) Where—
  - (a) the Secretary of State determines under section 55 above—
    - (i) that a licence granted in compliance with a direction given by the Secretary of State shall be revoked or varied; or
    - (ii) that a licence shall not be revoked or varied;

and

(b) in consequence of that determination, compensation is payable by the Authority under section 62 above,

the Secretary of State may, if he thinks fit, pay to the Authority the whole or such part as he considers appropriate of the relevant amount.

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- (4) In this section "the relevant amount" means—
  - (a) for the purposes of subsection (1) above, the amount of the sum paid by the Authority and, if an action has been brought against the Authority in respect of the liability mentioned in that subsection, the amount of any costs reasonably incurred by the Authority in connection with the action (including any costs of the plaintiff which the Authority was required to pay); and
  - (b) for the purposes of subsections (2) and (3) above, the amount of the compensation and, if any question relating to that compensation is referred to the Lands Tribunal, the amount of any costs reasonably incurred by the Authority in connection with that reference (including any costs of the claimant which the Authority is required to pay).

Supplemental provisions of Chapter II

## 64 Abstracting and impounding by the Authority.

- (1) The provisions of this Chapter shall have effect—
  - (a) in relation to the abstraction of water by the Authority from sources of supply; and
  - (b) in relation to the construction or alteration by the Authority of impounding works,

subject to such exceptions and modifications as may be prescribed.

- (2) Regulations under this section may, in particular, provide for securing—
  - (a) that any licence required by the Authority in relation to the matters mentioned in subsection (1) above shall be granted (or be deemed to be granted) by the Secretary of State, and not be granted by the Authority;
  - (b) that, in such cases and subject to such conditions as may be prescribed, any licence so required by the Authority shall be deemed to be granted by the Secretary of State unless the Secretary of State requires an application for the licence to be made to him by the Authority; and
  - (c) that where a licence is deemed to be granted as mentioned in paragraph (b) above, the Authority shall give such notice of that fact as may be prescribed.
- (3) Without prejudice to the preceding provisions of this section, section 52 above shall not apply in relation to any licence which by virtue of any regulations under this section is granted or deemed to have been granted by the Secretary of State, except in accordance with regulations under this section.

#### 65 Licences of right.

Schedule 7 to this Act shall have effect for the purposes of giving effect to provisions conferring an entitlement to licences under this Chapter and with respect to licences granted in pursuance of that entitlement or the entitlement conferred by section 33 of the M36Water Resources Act 1963 or paragraph 30 or 31 of Schedule 26 to the M37Water Act 1989.

M37 1989 c. 15.

## 66 Inland waters owned or managed by British Waterways Board.

- (1) This section applies to all inland waters owned or managed by the British Waterways Board ("the Board"), except any such inland waters to which the Secretary of State may by order made by statutory instrument direct that this section shall not apply.
- (2) In respect of abstraction from any inland waters to which this section applies—
  - (a) no person other than the Board or a person authorised for the purpose by the Board may be given a consent for the purposes of section 27(2) above;
  - (b) no person other than the Board shall be entitled to apply for a licence under this Chapter;
  - (c) in relation to any application by the Board for a licence under this Chapter—
    - (i) section 35 above shall not apply; and
    - (ii) section 37 above shall apply as if subsection (1) of that section did not require the service of any copy of the notice mentioned in paragraph (a) of that subsection on any navigation authority, harbour authority, conservancy authority or drainage board.
- (3) Before making an order under subsection (1) above, the Secretary of State shall consult the Board and the Authority.

#### 67 Ecclesiastical property.

- (1) Where the relevant land belongs to a benefice—
  - (a) an application for a licence under this Chapter may be made by the Church Commissioners if the benefice is for the time being vacant; and
  - (b) any reference in this Chapter to the applicant for a licence shall be construed—
    - (i) in relation to any time when the benefice in question is vacant, as a reference to the Church Commissioners; and
    - (ii) in relation to any time when there is an incumbent of the benefice, as a reference to that incumbent.
- (2) Where the relevant land belongs to a benefice, any licence under this Chapter shall provide that (notwithstanding anything in the preceding provisions of this Chapter) whoever is for the time being the incumbent of the benefice shall be the holder of the licence
- (3) Where a licence under this Chapter provides as mentioned in subsection (2) above—
  - (a) the licence shall not be required to specify the person to whom the licence is granted; and
  - (b) the licence shall be deemed to be held by the Church Commissioners at any time when the benefice in question is vacant.
- (4) So much of any compensation falling to be paid under this Chapter as is payable—
  - (a) in respect of damage to land which is ecclesiastical property and to the owner of the fee simple in the land; or
  - (b) in respect of depreciation of the value of the fee simple in land which is ecclesiastical property,

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shall be paid (where the fee simple is vested in any person other than the Church Commissioners) to them, instead of to the person in whom the fee simple is vested.

- (5) Any sums paid under subsection (4) above to the Church Commissioners with reference to any land shall
  - if the land is not consecrated, be applied by them for the purposes for which the proceeds of a sale by agreement of the fee simple in the land would be applicable under any enactment or Measure authorising such a sale or disposing of the proceeds of such a sale; and
  - if the land is consecrated, be applied by them in such manner as they may determine.

#### (6) Where—

- the Church Commissioners are required, by virtue of subsection (3)(b) above, to pay any fee or other charge in respect of a licence under this Chapter; and
- any moneys are then payable by the Commissioners to the incumbent of the benefice in question or subsequently become so payable,

the Commissioners shall be entitled to retain out of those moneys an amount not exceeding the amount of that fee or other charge.

- (7) Where under any provision of this Chapter a document is required to be served on an owner of land and the land is ecclesiastical property, a copy of the document shall be served on the Church Commissioners.
- (8) In this section —

"benefice" means an ecclesiastical benefice of the Church of England;

- "ecclesiastical property" means land which—
- (a) belongs to a benefice;
- (b) is or forms part of a church subject to the jurisdiction of the bishop of any diocese of the Church of England or the site of a church so subject; or
- (c) is or forms part of a burial ground so subject;

and

"the relevant land", in relation to a licence under this Chapter or an application for such a licence, means—

- (a) the land on which water abstracted in pursuance of the licence is to be, or is proposed to be, used; or
- (b) in the case of a licence for the purposes of section 25 above or an application for such a licence—
  - (i) the land on which any part of the impounding works is to be, or is proposed to be, constructed; or
  - (ii) in relation to an alteration of impounding works, the land on which any part of those works is situated or is to be, or is proposed to be, situated.

#### **68** Provision for appeals and references to tribunal.

(1) The Secretary of State may by order make provision for securing that, in such cases or classes of cases as may be specified in or determined under the order, appeals and references which in accordance with the provisions of this Chapter would, apart from the order, be appeals or references to the Secretary of State shall lie to a tribunal

established in accordance with the provisions of the order, instead of being appeals or references to the Secretary of State.

- (2) An order under this section shall not apply to references in pursuance of directions under section 41 above.
- (3) The provisions of this Chapter relating to appeals or references to which an order under this section applies shall have effect, subject to such modifications as may be specified in the order, as they would have effect in relation to the like appeals or references if made to the Secretary of State.
- (4) Provision may be made by an order under this section for appeals or references to the tribunal to be heard and determined by one or more members of the tribunal.
- (5) If a tribunal is established in accordance with this section, the Secretary of State may pay to the members of the tribunal such remuneration, whether by way of salaries or by way of fees, and such reasonable allowances in respect of expenses properly incurred in the performance of their duties, as the Treasury may determine.
- (6) The power of the Secretary of State to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.

## 69 Validity of decisions of Secretary of State and related proceedings.

- (1) Except as provided by the following provisions of this section, the validity of a decision of the Secretary of State on—
  - (a) any appeal to the Secretary of State under this Chapter; or
  - (b) any reference to the Secretary of State in pursuance of a direction under section 41 above or in pursuance of section 53(4) above,

shall not be questioned in any legal proceedings whatsoever.

- (2) If, in the case of any such appeal or reference, the Authority or the other party desires to question the validity of the decision of the Secretary of State on the grounds—
  - (a) that the decision is not within the powers of this Act; or
  - (b) that any of the requirements of, or of any regulations made under, this Chapter which are applicable to the appeal or reference have not been complied with,

the Authority or, as the case may be, the other party may, at any time within the period of six weeks beginning with the date on which the decision is made, make an application to the High Court under this section.

- (3) On any application under this section, the High Court may by interim order suspend the operation of the decision to which the application relates until the final determination of the proceedings.
- (4) If the High Court is satisfied, on an application under this section—
  - (a) that the decision to which the application relates is not within the powers of this Act; or
  - (b) that the interests of the person making the application under this section have been substantially prejudiced by a failure to comply with any of the requirements mentioned in subsection (2)(b) above,

the High Court may quash the decision.

chapter II – ABSTRACTION AND IMPOUNDING

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- (5) If an order is made establishing a tribunal under section 68 above, the preceding provisions of this section shall have effect in relation to any appeal or reference to that tribunal as they have effect in relation to an appeal or reference to the Secretary of State.
- (6) In this section—

"decision" includes a direction; and "other party" —

- (a) in relation to an appeal, means the appellant;
- (b) in relation to a reference in pursuance of a direction under section 41 above, means the applicant for the licence or, where that section applies by virtue of section 51(3) above, for the revocation or variation; and
- (c) in relation to a reference in pursuance of section 53(4) above, means (subject, without prejudice to their application to the other provisions of this Chapter, to subsections (6) and (7) of section 25 above) the holder of the licence.

## 70 Civil liability under Chapter II.

Except in so far as this Act otherwise expressly provides and subject to the provisions of section 18 of the M38 Interpretation Act 1978 (which relates to offences under two or more laws), the restrictions imposed by sections 24, 25 and 30 above shall not be construed as—

- (a) conferring a right of action in any civil proceedings (other than proceedings for the recovery of a fine) in respect of any contravention of those restrictions;
- (b) affecting any restriction imposed by or under any other enactment, whether contained in a public general Act or in a local or private Act; or
- (c) derogating from any right of action or other remedy (whether civil or criminal) in proceedings instituted otherwise than under this Chapter.

#### **Marginal Citations**

**M38** 1978 c. 30.

#### 71 Modification of local enactments.

- (1) If it appears to the Secretary of State by whom an order is made under a provision of this Chapter to which this section applies that any local enactment passed or made before the relevant date—
  - (a) is inconsistent with any of the provisions of that order; or
  - (b) requires to be amended or adapted, having regard to any of the provisions of that order,

the Secretary of State may by order repeal, amend or adapt that enactment to such extent, or in such manner, as he may consider appropriate.

(2) Any order under this section may include such transitional, incidental, supplementary and consequential provisions as the Secretary of State may consider necessary or expedient.

- (3) The power to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.
- (4) This section applies to the following provisions of this Chapter, that is to say, sections 33, 66, 68 and 72(5).
- (5) In this section—

"local enactment" means—

- (a) a local or private Act;
- (b) a public general Act relating to London;
- (c) an order or scheme made under an Act, confirmed by Parliament or brought into operation in accordance with special parliamentary procedure; or
- (d) an enactment in a public general Act amending a local or private Act or any such order or scheme;

"relevant date" means the date which was the second appointed day for the purposes of section 133 of the M39 Water Resources Act 1963.

(6) The provisions of this section shall have effect without prejudice to the exercise of any other power to repeal, amend or adapt local enactments which is conferred by any other enactment.

**Marginal Citations** 

M39 1963 c. 38.

## 72 Interpretation of Chapter II.

(1) In this Chapter—

"derogate", in relation to a protected right, shall be construed in accordance with section 39(4) above;

"flow" shall be construed subject to section 23(3) above;

"impounding works" has the meaning given by section 25(8) above;

"licence", in relation to the variation or revocation of a licence, shall be construed subject to section 25(6) and (7) above;

"protected right" shall be construed in accordance with section 39(3) above;

"the restriction on abstraction" means the restriction imposed by section 24(1) above;

"the restriction on impounding works" means the restriction imposed by section 25(1) above;

"spray irrigation" means (subject to subsection (5) below) the irrigation of land or plants (including seeds) by means of water or other liquid emerging (in whatever form) from apparatus designed or adapted to eject liquid into the air in the form of jets or spray; and

"statutory provision" means a provision (whether of a general or special nature) which is contained in, or in any document made or issued under, any Act (whether of a general or special nature).

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- (2) References in this Chapter to a watercourse shall not include references—
  - (a) to any sewer or part of a sewer vested in—
    - (i) a sewerage undertaker;
    - (ii) a local authority or joint planning board;
    - (iii) the Commission for the New Towns or a development corporation for a new town;
    - (iv) a harbour board within the meaning of the M40Railway and Canal Traffic Act 1888;

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- (b) to any adit or passage constructed in connection with a well, borehole or other similar work for facilitating the collection of water in the well, borehole or work
- (3) Any reference in this Chapter to the doing of anything in pursuance of a licence under this Chapter is a reference to its being done—
  - (a) by the holder of such a licence; or
  - (b) by a person acting as a servant or agent of, or otherwise under the authority of, the holder of such a licence,

at a time when the licence is in force and in circumstances such that, if no such licence were in force, the doing of that thing would contravene a restriction imposed by this Chapter.

- (4) For the purposes of this Chapter land shall be taken to be contiguous to any inland waters notwithstanding that it is separated from those waters by a towpath or by any other land used, or acquired for use, in connection with the navigation of the inland waters, unless that other land comprises any building or works other than a lock, pier, wharf, landing-stage or similar works.
- (5) The Ministers may by order direct that references to spray irrigation in this Chapter, and in any other enactments in which "spray irrigation" is given the same meaning as in this Chapter, or such of those references as may be specified in the order—
  - (a) shall be construed as not including spray irrigation if carried out by such methods or in such circumstances or for such purposes as may be specified in the order: and
  - (b) without prejudice to the exercise of the power conferred by virtue of paragraph (a) above, shall be construed as including references to the carrying out, by such methods or in such circumstances or for such purposes as may be specified in the order, of irrigation of any such description, other than spray irrigation, as may be so specified.
- (6) The power of the Ministers to make an order under subsection (5) above shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.

## **Modifications etc. (not altering text)**

C11 S. 72(2)(a) applied (with modifications) (4.6.1996) by S.I. 1996/1243, art. 18, Sch. 5 Pt. II para. 6(2)

**Marginal Citations** 

M40 1888 c. 25.

#### CHAPTER III

#### **DROUGHT**

#### 73 Power to make ordinary and emergency drought orders.

- (1) If the Secretary of State is satisfied that, by reason of an exceptional shortage of rain, a serious deficiency of supplies of water in any area exists or is threatened then, subject to the following provisions of this Chapter, he may by order (in this Chapter referred to as an "ordinary drought order") make such provision authorised by this Chapter as appears to him to be expedient with a view to meeting the deficiency.
- (2) If the Secretary of State—
  - (a) is satisfied that, by reason of an exceptional shortage of rain, a serious deficiency of supplies of water in any area exists or is threatened; and
  - (b) is further satisfied that the deficiency is such as to be likely to impair the economic or social well-being of persons in the area,

then, subject to the following provisions of this Chapter, he may by order (in this Chapter referred to as an "emergency drought order") make such provision authorised by this Chapter as appears to him to be expedient with a view to meeting the deficiency.

- (3) Subject to section 76(3) below, the power to make a drought order in relation to any area shall not be exercisable except where an application is made to the Secretary of State—
  - (a) by the Authority; or
  - (b) by a water undertaker which supplies water to premises in that area.
- (4) The power to make a drought order shall be exercisable by statutory instrument; and Schedule 8 to this Act shall have effect with respect to the procedure on an application for such an order.

#### 74 Provisions and duration of ordinary drought order.

- (1) An ordinary drought order made on the application of the Authority may contain any of the following provisions, that is to say—
  - (a) provision authorising the Authority (or persons authorised to do so by the Authority) to take water from any source specified in the order subject to any conditions or restrictions so specified;
  - (b) provision authorising the Authority (or persons authorised to do so by the Authority) to discharge water to any place specified in the order subject to any conditions or restrictions so specified;
  - (c) provision authorising the Authority to prohibit or limit the taking by any person (including a water undertaker) of water from a source specified in the order if the Authority is satisfied that the taking of water from that source seriously affects the supplies available to the Authority, any water undertaker or any other person;

- (d) provision suspending or modifying, subject to any conditions specified in the order, any restriction or obligation to which the Authority, any water undertaker or sewerage undertaker or any other person is subject as respects—
  - (i) the taking of water from any source;
  - (ii) the discharge of water;
  - (iii) the supply of water (whether in point of quantity, pressure, quality, means of supply or otherwise); or
  - (iv) the filtration or other treatment of water;
- (e) provision authorising the Authority to suspend or vary, or attach conditions to, any consent specified in the order for the discharge of any effluent by any person, including any sewerage undertaker or water undertaker.
- (2) An ordinary drought order made on the application of a water undertaker may contain any of the following provisions, that is to say—
  - (a) provision authorising the water undertaker to take water from any source specified in the order subject to any conditions or restrictions so specified;
  - (b) provision authorising the water undertaker to prohibit or limit the use of water for any purpose specified in the order, being a purpose for the time being set out in a direction given by the Secretary of State to water undertakers generally as a purpose which may be specified by virtue of this paragraph in any ordinary drought order;
  - (c) provision authorising the water undertaker to discharge water to any place specified in the order subject to any conditions or restrictions so specified;
  - (d) provision authorising the Authority to prohibit or limit the taking by any person of water from a source specified in the order if the Authority is satisfied that the taking of water from that source seriously affects the supplies available to the water undertaker;
  - (e) provision prohibiting or limiting the taking by the Authority of water from a source specified in the order if the taking of water from that source is determined, in accordance with provision made by the order, seriously to affect the supplies available to the water undertaker;
  - (f) provision suspending or modifying, subject to any conditions specified in the order, any restriction or obligation to which the water undertaker or any sewerage undertaker or other person is subject as respects—
    - (i) the taking of water from any source;
    - (ii) the discharge of water;
    - (iii) the supply of water (whether in point of quantity, pressure, quality, means of supply or otherwise); or
    - (iv) the filtration or other treatment of water;
  - (g) provision authorising the Authority to suspend or vary, or attach conditions to, any consent specified in the order for the discharge of any effluent by any person, including the company which applied for the order (whether in the capacity in which it made the application, in its capacity as a sewerage undertaker or in any other capacity).
- (3) The period for which—
  - (a) an authorisation given by or under an ordinary drought order;
  - (b) a prohibition or limitation imposed by or under any such order; or
  - (c) a suspension or modification effected by or under any such order,

has effect shall expire before the end of the period of six months beginning with the day on which the order comes into force, unless that period of six months is extended, in relation to that order, by virtue of the exercise by the Secretary of State of his power (subject to subsection (4) below) to amend the order.

- (4) The power of the Secretary of State to amend an ordinary drought order shall not be exercised so as to extend the period of six months mentioned in subsection (3) above beyond the end of the period of one year beginning with the day on which that order came into force.
- (5) Without prejudice to the following provisions of this Chapter, an ordinary drought order may—
  - (a) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
  - (b) contain such supplemental, consequential and transitional provision as the Secretary of State considers appropriate.

#### 75 Provisions and duration of emergency drought order.

- (1) An emergency drought order made on the application of the Authority may contain any of the provisions which could be included, by virtue of section 74(1) above, in an ordinary drought order made on the application of the Authority.
- (2) An emergency drought order made on the application of a water undertaker may contain any of the following provisions, that is to say—
  - (a) any provision which could be included, by virtue of subsection (2) of section 74 above, in an ordinary drought order made on the application of a water undertaker, except provision authorised by paragraph (b) of that subsection;
  - (b) provision authorising the water undertaker to prohibit or limit the use of water for such purposes as the water undertaker thinks fit;
  - (c) provision authorising the water undertaker—
    - (i) to supply water in its area, or in any place within its area, by means of stand-pipes or water tanks; and
    - (ii) to erect or set up and maintain stand-pipes or water tanks in any street in that area.
- (3) The period for which—
  - (a) an authorisation given by or under an emergency drought order;
  - (b) a prohibition or limitation imposed by or under any such order; or
  - (c) a suspension or modification effected by or under any such order,

has effect shall expire before the end of the period of three months beginning with the day on which the order comes into force unless that period of three months is extended, in relation to that order, by virtue of the exercise by the Secretary of State of his power (subject to subsection (4) below) to amend the order.

- (4) The power of the Secretary of State to amend an emergency drought order shall not be exercised so as to extend the period of three months mentioned in subsection (3) above beyond the end of the period of five months beginning with the day on which that order came into force.
- (5) Where powers have been conferred by an emergency drought order on any person—

- (a) the Secretary of State may give to that person such directions as he considers necessary or expedient as to the manner in which, or the circumstances in which, any of those powers is or is not to be exercised;
- (b) it shall be the duty of that person to comply with any such direction; and
- (c) where that person is a water undertaker or sewerage undertaker, the duty to comply with any such direction shall be enforceable under section 18 of the M4I Water Industry Act 1991 by the Secretary of State.
- (6) The giving of a direction under subsection (5) above in relation to any power shall not affect—
  - (a) the validity of anything done in the exercise of that power before the giving of the direction; or
  - (b) any obligation or liability incurred before the giving of the direction.
- (7) Without prejudice to the following provisions of this Chapter, an emergency drought order may—
  - (a) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
  - (b) contain such supplemental, consequential and transitional provision as the Secretary of State considers appropriate.

# **Marginal Citations**

**M41** 1991 c. 56.

## 76 Provisions of drought order restricting use of water.

- (1) The following provisions apply where a drought order contains a provision authorising a water undertaker to prohibit or limit the use of water, that is to say—
  - (a) the power may be exercised in relation to consumers generally, a class of consumer or a particular consumer;
  - (b) the water undertaker shall take such steps as it thinks appropriate for bringing the prohibition or limitation to the attention of the persons to whom the prohibition or limitation will apply and, in particular, shall (as the undertaker thinks appropriate)—
    - (i) cause notice of the prohibition or limitation to be published in one or more local newspapers circulating within that part of the water undertaker's area which would be affected by the provision of the order; or
    - (ii) send notice of the prohibition or limitation to the persons to whom the prohibition or limitation will apply;
  - (c) the prohibition or limitation shall not come into operation until the end of the period of seventy-two hours beginning with the day on which the notice is published or, as the case may be, sent to the person in question.
- (2) The Secretary of State may revoke or vary any direction given by him for the purposes of section 74(2)(b) above by a further direction for those purposes.
- (3) Where any purpose set out in a direction given for the purposes of section 74(2)(b) above will cease, by virtue of the variation or revocation of the direction, to be one which may be specified in an ordinary drought order, the Secretary of State shall

(without an application having been made to him) exercise his power to vary or revoke ordinary drought orders, in so far as any orders in force will be affected by the variation or revocation of the direction, so as to make those orders conform to the variation or reflect the revocation.

- (4) The revocation or variation of a direction under subsection (3) above shall not affect either—
  - (a) the validity of anything done in pursuance of an order before the giving of the further direction; or
  - (b) any obligation or liability accrued or incurred before the giving of the further direction.

## 77 Provisions of drought order with respect to abstractions and discharges.

- (1) Any drought order which-
  - (a) authorises the taking of water from a source from which water is supplied to an inland navigation; or
  - (b) suspends or modifies—
    - (i) a restriction as respects the taking of water from a source from which water is supplied to an inland navigation; or
    - (ii) an obligation to discharge compensation water into a canal or into any river or stream which forms part of, or from which water is supplied to, an inland navigation,

may include provision for prohibiting or imposing limitations on the taking of water from the inland navigation or for the suspension or modification of any obligation to which a navigation authority are subject as respects the discharge of water from the inland navigation.

- (2) A prohibition or limitation by or under a drought order on the taking of water from any source may be imposed so as to have effect in relation to a source from which a person to whom the prohibition or limitation applies has a right to take water whether by virtue of an enactment or instrument, an agreement or the ownership of land.
- (3) Where a drought order made on the application of a water undertaker confers power on the Authority—
  - (a) to prohibit or limit the taking of water from any source; or
  - (b) to suspend or vary, or attach conditions to, any consent for the discharge of any effluent,

the Authority shall exercise that power in such manner as will ensure, so far as reasonably practicable, that the supplies of water available to the water undertaker are not seriously affected.

- (4) For the purposes of sections 125 to 129 below any water authorised by a drought order to be abstracted from a source of supply shall be treated as if it had been authorised to be so abstracted by a licence granted under Chapter II of this Part, whether the water undertaker to which the order relates is the holder of such a licence or not.
- (5) Where—
  - (a) any drought order confers power on the Authority to suspend or vary, or attach conditions to, any consent for the discharge of any effluent; and
  - (b) the Authority exercises that power so as to restrict the discharge of effluent by a sewerage undertaker,

the sewerage undertaker may so modify any consents or agreements relating to the discharge by other persons of trade effluent as to enable it to comply with any requirements or conditions imposed on it by or under the order with respect to discharges from sewers or works of the undertaker.

#### (6) In this section—

"compensation water" means water which a water undertaker or the Authority is under an obligation to discharge—

- (a) in accordance with the provisions of a licence under Chapter II of this Part into a source of supply; or
- (b) under any local statutory provision, into any river, stream, brook or other running water or into a canal;

and

"inland navigation" includes any canal or navigable river.

## Works under drought orders.

- (1) A drought order may authorise the Authority or a water undertaker, subject to any conditions and restrictions specified in the order, to carry out any works required for the performance of any duty or the exercise of any power which is imposed or conferred by or under the order.
- (2) A drought order authorising the Authority or a water undertaker to carry out any works—
  - (a) may authorise the Authority or that undertaker for that purpose to enter upon any land specified in the order and to occupy and use the land to such extent and in such manner as may be requisite for the carrying out and maintenance of the works; and
  - (b) may apply in relation to the carrying out of the works such of the provisions of Part VII of this Act or Part VI of the M42Water Industry Act 1991 as appear to the Secretary of State to be appropriate, subject to such modifications as may be specified in the order.
- (3) The Secretary of State shall include in any drought order authorising the Authority or a water undertaker to enter any land provisions requiring the Authority or that undertaker to give to the occupier of the land and to such other persons concerned with the land as may be specified in the order not less than twenty-four hours' notice of any intended entry.
- (4) Subject to subsection (3) above, a drought order may make any such provision in relation to provisions of the order authorising any person to enter any land as corresponds to provision having effect by virtue of section 173 below or to provision contained in Part II of Schedule 6 to the Water Industry Act 1991.
- (5) Any works to be carried out under the authority of an emergency drought order shall be included in the definition of emergency works in section 52 of the New Roads and Street Works Act 1991.
- (6) Until the coming into force of section 52 of the M43New Roads and Street Works Act 1991, subsection (5) above shall have effect as if the reference to that section were a reference to section 39(1) of the M44Public Utilities Street Works Act 1950; but nothing in this section shall be taken to prejudice the power of the Secretary of State under

that Act of 1991 to make an order bringing that section 52 into force on different days for different purposes (including the purposes of this section).

# Marginal Citations M42 1991 c. 56. M43 1991 c. 22. M44 1950 c. 39.

## 79 Compensation and charges where drought order made.

- (1) Schedule 9 to this Act shall have effect with respect to the payment of compensation where a drought order has been made.
- (2) Except as provided by Schedule 9 to this Act, neither the Authority nor any water undertaker or sewerage undertaker shall incur any liability to any person for loss or damage sustained by reason of anything done in pursuance of any drought order or of any omission in pursuance of such an order.
- (3) Nothing in any drought order shall affect the right of the Authority, a water undertaker or a sewerage undertaker, in the event of an interruption or diminution of the supply of water, to recover any fixed or minimum charge which might have been recovered from any person by the Authority or that undertaker if there had been no such interruption or diminution.

#### VALID FROM 01/04/1996

## [F279A Drought permits.

- (1) If the Agency is satisfied that, by reason of an exceptional shortage of rain, a serious deficiency of supplies of water in any area exists or is threatened then, subject to the following provisions of this section, it may, upon the application of a water undertaker which supplies water to premises in that area, issue to that undertaker a drought permit making such provision authorised by this section as appears to the Agency to be expedient with a view to meeting the deficiency.
- (2) A drought permit may contain any of the following provisions, that is to say—
  - (a) provision authorising the water undertaker to which it is issued to take water from any source specified in the permit subject to any conditions or restrictions so specified;
  - (b) provision suspending or modifying, subject to any conditions specified in the permit, any restriction or obligation to which that undertaker is subject as respects the taking of water from any source.
- (3) A drought permit shall specify—
  - (a) the day on which it comes into force; and
  - (b) the period for which, subject to subsections (4) and (5) below, any authorisation given, or suspension or modification effected, by the permit is to have effect.
- (4) Subject to subsection (5) below, the period for which—

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- (a) an authorisation given by a drought permit, or
- (b) a suspension or modification effected by such a permit,

has effect shall expire before the end of the period of six months beginning with the day on which the permit comes into force.

- (5) At any time before the expiration of the period for which such an authorisation, suspension or modification has effect, the Agency may, by giving notice to the water undertaker to which the permit in question was issued, extend that period, but not so as to extend it beyond the end of the period of one year beginning with the day on which the permit came into force.
- (6) A drought permit which—
  - (a) authorises the taking of water from a source from which water is supplied to an inland navigation; or
  - (b) suspends or modifies—
    - (i) a restriction as respects the taking of water from a source from which water is supplied to an inland navigation; or
    - (ii) an obligation to discharge compensation water into a canal or into any river or stream which forms part of, or from which water is supplied to, an inland navigation,

shall not be issued without the consent of every navigation authority exercising functions over any or all of the parts of the canal or inland navigation in question which are affected by the permit.

- (7) Schedule 8 to this Act shall have effect with respect to the procedure on an application for a drought permit as it has effect with respect to the procedure on an application for a drought order, but with the following modifications, that is to say—
  - (a) with the substitution for any reference to a drought order of a reference to a drought permit;
  - (b) with the substitution for any reference to the Secretary of State of a reference to the Agency;
  - (c) with the omission of the reference to the Agency in the Table in paragraph 1;
  - (d) with the insertion, in paragraph 1(3)(c), of a requirement that the notice in question shall specify the address at which any objections are to be made to the Agency; and
  - (e) with the omission—
    - (i) of paragraph 2(1)(a) and the word "either" immediately preceding it, and
    - (ii) of paragraph 2(6).
- (8) For the purposes of sections 125 to 129 below any water authorised by a drought permit to be abstracted from a source of supply shall be treated as if it had been authorised to be so abstracted by a licence granted under Chapter II of this Part, whether the water undertaker to which the permit is issued is the holder of such a licence or not
- (9) Section 79 above and Schedule 9 to this Act shall apply in relation to drought permits and their issue as they apply in relation to ordinary drought orders and their making.
- (10) A drought permit may—
  - (a) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and

(b) contain such supplemental, consequential and transitional provisions as the Agency considers appropriate.

#### (11) In this section—

"compensation water" has the same meaning as in section 77 above;

- "drought permit" means a drought permit under this section;
- "inland navigation" has the same meaning as in section 77 above.]

#### **Textual Amendments**

F2 S. 79A inserted (1.4.1996) by 1995 c. 25, s. 120(1), Sch. 22 para. 140 (with 7(6), 115, 117); S.I. 1996/186, art. 3

## 80 Offences against drought order.

- (1) If any person—
  - (a) takes or uses water in contravention of a prohibition or limitation imposed by or under any drought order or takes or uses water otherwise than in accordance with any condition or restriction so imposed; or
  - (b) discharges water otherwise than in accordance with any condition or restriction imposed by or under such an order,

he shall be guilty of an offence under this section.

- (2) If any person—
  - (a) fails to construct or maintain in good order a gauge, weir or other apparatus for measuring the flow of water which he was required to construct or maintain by any drought order; or
  - (b) fails to allow some person authorised for the purpose by or under any such order to inspect and examine any such apparatus or any records made thereby or kept by that person in connection therewith or to take copies of any such records,

he shall be guilty of an offence under this section.

- (3) In any proceedings against any person for an offence under this section it shall be a defence for that person to show that he took all reasonable precautions and exercised all due diligence to avoid the commission of the offence.
- (4) A person who is guilty of an offence under this section shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.

## 81 Interpretation of Chapter III.

In this Chapter—

- (a) references to the taking of water include references to the collection, impounding, diversion or appropriation of water; and
- (b) references to an obligation or to a restriction include references to an obligation or, as the case may be, to a restriction which is imposed by or under any enactment or agreement.

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#### **PART III**

#### CONTROL OF POLLUTION OF WATER RESOURCES

## **Modifications etc. (not altering text)**

- C12 Pt. III (ss. 82-104) modified (subject to other provisions of the amending Act) (1.2.1996) by 1995 c. 25, s. 5(5)(g) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 2
- C13 Pt. III (ss. 82-104): functions transferred to the Environment Agency (subject to other provisions of the amending Act) (1.4.1996) by 1995 c. 25, s. 2(1)(a)(ii) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 3
- C14 Pt. III: definition of "controlled waters" applied (1.12.1991) by water Consolidation (Consequential Provisions) Act 1991 (c. 60, SIF 130), ss. 2(1), 4(2), Sch. 1 para. 1

#### CHAPTER I

#### **QUALITY OBJECTIVES**

## 82 Classification of quality of waters.

- (1) The Secretary of State may, in relation to any description of controlled waters (being a description applying to some or all of the waters of a particular class or of two or more different classes), by regulations prescribe a system of classifying the quality of those waters according to criteria specified in the regulations.
- (2) The criteria specified in regulations under this section in relation to any classification shall consist of one or more of the following, that is to say—
  - (a) general requirements as to the purposes for which the waters to which the classification is applied are to be suitable;
  - (b) specific requirements as to the substances that are to be present in or absent from the water and as to the concentrations of substances which are or are required to be present in the water;
  - (c) specific requirements as to other characteristics of those waters;

and for the purposes of any such classification regulations under this section may provide that the question whether prescribed requirements are satisfied may be determined by reference to such samples as may be prescribed.

#### **Modifications etc. (not altering text)**

- C15 S. 82 modified (1.7.1999) by S.I. 1999/672, art. 5, Sch. 2
- C16 S. 82 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

## 83 Water quality objectives.

- (1) For the purpose of maintaining and improving the quality of controlled waters the Secretary of State may, by serving a notice on the Authority specifying—
  - (a) one or more of the classifications for the time being prescribed under section 82 above; and
  - (b) in relation to each specified classification, a date,

establish the water quality objectives for any waters which are, or are included in, waters of a description prescribed for the purposes of that section.

- (2) The water quality objectives for any waters to which a notice under this section relates shall be the satisfaction by those waters, on and at all times after each date specified in the notice, of the requirements which at the time of the notice were the requirements for the classification in relation to which that date is so specified.
- (3) Where the Secretary of State has established water quality objectives under this section for any waters he may review objectives for those waters if—
  - (a) five years or more have elapsed since the service of the last notice under subsection (1) or (6) of this section to be served in respect of those waters; or
  - (b) the Authority, after consultation with such water undertakers and other persons as it considers appropriate, requests a review;

and the Secretary of State shall not exercise his power to establish objectives for any waters by varying the existing objectives for those waters except in consequence of such a review.

- (4) Where the Secretary of State proposes to exercise his power under this section to establish or vary the objectives for any waters he shall—
  - (a) give notice setting out his proposal and specifying the period (not being less than three months from the date of publication of the notice) within which representations or objections with respect to the proposal may be made; and
  - (b) consider any representations or objections which are duly made and not withdrawn;

and, if he decides, after considering any such representations or objections, to exercise his power to establish or vary those objectives, he may do so either in accordance with the proposal contained in the notice or in accordance with that proposal as modified in such manner as he considers appropriate.

- (5) A notice under subsection (4) above shall be given—
  - (a) by publishing the notice in such manner as the Secretary of State considers appropriate for bringing it to the attention of persons likely to be affected by it; and
  - (b) by serving a copy of the notice on the Authority.
- (6) If, on a review under this section or in consequence of any representations or objections made following such a review for the purposes of subsection (4) above, the Secretary of State decides that the water quality objectives for any waters should remain unchanged, he shall serve notice of that decision on the Authority.

#### **Modifications etc. (not altering text)**

C17 S. 83 amended (20.3.1992) by S.I. 1992/337, reg. 4

## **General duties to achieve and maintain objectives etc.**

(1) It shall be the duty of the Secretary of State and of the Authority to exercise the powers conferred on him or it by or under the water pollution provisions of this Act (other than the preceding provisions of this Chapter and sections 104 and 192 below) in such manner as ensures, so far as it is practicable by the exercise of those powers to do so, that the water quality objectives specified for any waters in—

- (a) a notice under section 83 above; or
- (b) a notice under section 30C of the M45Control of Pollution Act 1974 (which makes corresponding provision for Scotland),

are achieved at all times.

- (2) It shall be the duty of the Authority, for the purposes of the carrying out of its functions under the water pollution provisions of this Act—
  - (a) to monitor the extent of pollution in controlled waters; and
  - (b) to consult, in such cases as it may consider appropriate, with river purification authorities in Scotland.

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Modifications etc. (not altering text)
C18 S. 84 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

Marginal Citations
M45 1974 c. 40.
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#### **CHAPTER II**

#### POLLUTION OFFENCES

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Modifications etc. (not altering text)

C19 Pt. III Chapter II (ss. 85-91) amended (1.5.1994) by S.I. 1994/1056, regs. 1(3), 19, Sch. 4 Pt. I para.

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#### Principal offences

#### 85 Offences of polluting controlled waters.

- (1) A person contravenes this section if he causes or knowingly permits any poisonous, noxious or polluting matter or any solid waste matter to enter any controlled waters.
- (2) A person contravenes this section if he causes or knowingly permits any matter, other than trade effluent or sewage effluent, to enter controlled waters by being discharged from a drain or sewer in contravention of a prohibition imposed under section 86 below.
- (3) A person contravenes this section if he causes or knowingly permits any trade effluent or sewage effluent to be discharged—
  - (a) into any controlled waters; or
  - (b) from land in England and Wales, through a pipe, into the sea outside the seaward limits of controlled waters.
- (4) A person contravenes this section if he causes or knowingly permits any trade effluent or sewage effluent to be discharged, in contravention of any prohibition imposed under section 86 below, from a building or from any fixed plant—
  - (a) on to or into any land; or

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- into any waters of a lake or pond which are not inland freshwaters.
- (5) A person contravenes this section if he causes or knowingly permits any matter whatever to enter any inland freshwaters so as to tend (either directly or in combination with other matter which he or another person causes or permits to enter those waters) to impede the proper flow of the waters in a manner leading, or likely to lead, to a substantial aggravation of
  - pollution due to other causes; or
  - the consequences of such pollution.
- (6) Subject to the following provisions of this Chapter, a person who contravenes this section or the conditions of any consent given under this Chapter for the purposes of this section shall be guilty of an offence and liable
  - on summary conviction, to imprisonment for a term not exceeding three months or to a fine not exceeding £20,000 or to both;
  - on conviction on indictment, to imprisonment for a term not exceeding two (b) years or to a fine or to both.

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Modifications etc. (not altering text)
 C20 S. 85 restricted (13.4.2001) by S.I. 2001/1478, reg. 3(c)
 C21 S. 85 applied by London Docklands Railway Act 1991 (c. xxiii), s. 7(2)(a)
 C22 S. 85 applied (with modifications) (16.3.1992) by Avon Weir Act 1992 (c. v), s. 18(6) (with s. 61)
 C23 S. 85 applied (with modifications) (13.2.1992) by British Railways Act 1992 (c. i), s. 18(3)(a)
 C24 S. 85 applied (with modifications) (16.3.1992) by London Underground Act 1992 (c.iii), s. 12(2)(a)
 C25 S. 85 applied (with modifications) (16.3.1992) by Aire and Calder Navigation Act 1992 (c. iv), s. 18(4)
        (a)
 C26 S. 85 applied (with modifications) (18.6.1992) by British Railways (No. 2) Act 1992 (c. xi), s. 22(4)(a)
 C27 S. 85 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).
 C28 S. 85 applied (with modifications) (29.3.1993) by 1993 c. iv, s. 23(4)(a) (with ss. 36, 41(5), 44(1)).
 C29 S. 85 applied (with modifications) (27.5.1993) by 1993 c. vii, s. 11(2)(a) (with ss. 19(2), 29(1)).
 C30 S. 85 applied (with modifications) (1.7.1993) by 1993 c. ix, s. 9(2)(a) (with ss. 15(2), 24(1)).
 C31 S. 85 applied (with modifications) (27.7.1993) by 1993 c. xv, s. 22(3)(a) (with s. 44(1)).
        S. 85 applied (with modifications) (31.3.1994) by 1994 c. iv, s. 13(4)(a)
        S. 85 applied (with modifications) (5.7.1994) by 1994 c. ix, s. 8(2)(a)
        S. 85 applied (with modifications) (21.7.1994) by 1994 c. xi, s. 24(3)(a)
        S. 85 applied (with modifications) (21.7.1994) by 1994 c. xv, s. 23(3)(a)
        S. 85 applied (with modifications) (7.3.1995) by S.I. 1995/519, art. 16(5)
        S. 85 applied (with modifications) (28.7.1998) by 1998 c. iv, s. 22(4)(a)
 C32 S. 85 modified (5.11.1993) by 1993 c.42, ss. 2, 19, Sch. 2 para. 10(8), Sch. 6 paras. 5, 8 (with s. 30(1),
        Sch. 2 para. 9).
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#### 86 Prohibition of certain discharges by notice or regulations.

- (1) For the purposes of section 85 above a discharge of any effluent or other matter is, in relation to any person, in contravention of a prohibition imposed under this section if, subject to the following provisions of this section
  - the Authority has given that person notice prohibiting him from making or, as the case may be, continuing the discharge; or

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- (b) the Authority has given that person notice prohibiting him from making or, as the case may be, continuing the discharge unless specified conditions are observed, and those conditions are not observed.
- (2) For the purposes of section 85 above a discharge of any effluent or other matter is also in contravention of a prohibition imposed under this section if the effluent or matter discharged—
  - (a) contains a prescribed substance or a prescribed concentration of such a substance; or
  - (b) derives from a prescribed process or from a process involving the use of prescribed substances or the use of such substances in quantities which exceed the prescribed amounts.
- (3) Nothing in subsection (1) above shall authorise the giving of a notice for the purposes of that subsection in respect of discharges from a vessel; and nothing in any regulations made by virtue of subsection (2) above shall require any discharge from a vessel to be treated as a discharge in contravention of a prohibition imposed under this section.
- (4) A notice given for the purposes of subsection (1) above shall expire at such time as may be specified in the notice.
- (5) The time specified for the purposes of subsection (4) above shall not be before the end of the period of three months beginning with the day on which the notice is given, except in a case where the Authority is satisfied that there is an emergency which requires the prohibition in question to come into force at such time before the end of that period as may be so specified.
- (6) Where, in the case of such a notice for the purposes of subsection (1) above as (but for this subsection) would expire at a time at or after the end of the said period of three months, an application is made before that time for a consent under this Chapter in respect of the discharge to which the notice relates, that notice shall be deemed not to expire until the result of the application becomes final—
  - (a) on the grant or withdrawal of the application;
  - (b) on the expiration, without the bringing of an appeal with respect to the decision on the application, of any period prescribed as the period within which any such appeal must be brought; or
  - (c) on the withdrawal or determination of any such appeal.

## **Modifications etc. (not altering text)**

- C33 S. 86 applied (with modifications) (16.3.1992) by Avon Weir Act 1992 (c. v), s. 18(6) (with s. 61)
  - S. 86 applied (with modifications) (7.3.1995) by S.I. 1995/519, art. 16(5)
- C34 S. 86 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

## 87 Discharges into and from public sewers etc.

- [F3(1) This section applies for the purpose of determining liability where sewage effluent is discharged as mentioned in subsection (3) or (4) of section 85 above from any sewer or works ("the discharging sewer") vested in a sewerage undertaker ("the discharging undertaker").
- (1A) If the discharging undertaker did not cause, or knowingly permit, the discharge it shall nevertheless be deemed to have caused the discharge if—

- (a) matter included in the discharge was received by it into the discharging sewer or any other sewer or works vested in it;
- (b) it was bound (either unconditionally or subject to conditions which were observed) to receive that matter into that sewer or works; and
- (c) subsection (1B) below does not apply.
- (1B) This subsection applies where the sewage effluent was, before being discharged from the discharging sewer, discharged through a main connection into that sewer or into any other sewer or works vested in the discharging undertaker by another sewerage undertaker ("the sending undertaker") under an agreement having effect between the discharging undertaker and the sending undertaker under section 110A of the Water Industry Act 1991.
- (1C) Where subsection (1B) above applies, the sending undertaker shall be deemed to have caused the discharge if, although it did not cause, or knowingly permit, the sewage effluent to be discharged into the discharging sewer, or into any other sewer or works of the discharging undertaker—
  - (a) matter included in the discharge was received by it into a sewer or works vested in it; and
  - (b) it was bound (either unconditionally or subject to conditions which were observed) to receive that matter into that sewer or works.]
  - (2) A sewerage undertaker shall not be guilty of an offence under section 85 above by reason only of the fact that a discharge from a sewer or works vested in the undertaker contravenes conditions of a consent relating to the discharge if—
    - (a) the contravention is attributable to a discharge which another person caused or permitted to be made into the sewer or works;
    - (b) the undertaker either was not bound to receive the discharge into the sewer or works or was bound to receive it there subject to conditions which were not observed; and
    - (c) the undertaker could not reasonably have been expected to prevent the discharge into the sewer or works.
  - (3) A person shall not be guilty of an offence under section 85 above in respect of a discharge which he caused or permitted to be made into a sewer or works vested in a sewerage undertaker if the undertaker was bound to receive the discharge there either unconditionally or subject to conditions which were observed.
- [F4(4) In this section "main connection" has the same meaning as in section 110A of the Water Industry Act 1991.]

#### **Textual Amendments**

- F3 S. 87(1)(1A)(1B)(1C) substituted (1.7.1992) for s. 87(1) by Competition and Service (Utilities) Act 1992 (c. 43), s. 46(1)(3); Competition and Service (Utilities) Act 1992 (Commencement No. 1) Order 1992, dated 29th May 1992
- F4 S. 87(4) inserted (1.7.1992) by Competition and Service (Utilities) Act 1992 (c. 43), s. 46(2)(3); Competition and Service (Utilities) Act 1992 (Commencement No. 1) Order 1992, dated 29 May 1992

#### **Modifications etc. (not altering text)**

C35 S. 87 applied (with modifications) (16.3.1992) by Avon Weir Act 1992 (c. v), s. 18(6) (with s. 61) S. 87 applied (with modifications) (7.3.1995) by S.I. 1995/519, art. 16(5)

Water Resources Act 1991 (c. 57)
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C36 S. 87(1) amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

# 88 Defence to principal offences in respect of authorised discharges.

- (1) Subject to the following provisions of this section, a person shall not be guilty of an offence under section 85 above in respect of the entry of any matter into any waters or any discharge if the entry occurs or the discharge is made under and in accordance with, or as a result of any act or omission under and in accordance with—
  - (a) a consent given under this Chapter or under Part II of the M46Control of Pollution Act 1974 (which makes corresponding provision for Scotland);
  - (b) an authorisation for a prescribed process designated for central control granted under Part I of the M47 Environmental Protection Act 1990;
  - (c) a waste management or disposal licence;
  - (d) a licence granted under Part II of the M48Food and Environment Protection Act 1985;
  - (e) section 163 below or section 165 of the M49Water Industry Act 1991 (discharges for works purposes);
  - (f) any local statutory provision or statutory order which expressly confers power to discharge effluent into water; or
  - (g) any prescribed enactment.
- (2) Schedule 10 to this Act shall have effect, subject to section 91 below, with respect to the making of applications for consents under this Chapter for the purposes of subsection (1)(a) above and with respect to the giving, revocation and modification of such consents.
- (3) Nothing in any disposal licence shall be treated for the purposes of subsection (1) above as authorising—
  - (a) any such entry or discharge as is mentioned in subsections (2) to (4) of section 85 above; or
  - (b) any act or omission so far as it results in any such entry or discharge.
- (4) In this section—

"disposal licence" means a licence issued in pursuance of section 5 of the Control of Pollution Act 1974;

"statutory order" means-

- (a) any order under section 168 below or section 167 of the Water Industry Act 1991 (compulsory works orders); or
- (b) any order, byelaw, scheme or award made under any other enactment, including an order or scheme confirmed by Parliament or brought into operation in accordance with special parliamentary procedure;

and

"waste management licence" means such a licence granted under Part II of the the M50 Environmental Protection Act 1990.

#### **Modifications etc. (not altering text)**

- C37 S. 88 excluded (5.11.1993) by 1993 c. 42, ss. 2, 19, Sch. 2 para. 10(8), Sch. 6 paras. 5, 8 (with s. 30(1), Sch. 2 para. 9).
- C38 S. 88(1) applied (with modifications) (1.4.1999) by S.I. 1998/2746, reg. 14(2)

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C39 S. 88(2) amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

Marginal Citations

M46 1974 c. 40.

M47 1990 c. 43.

M48 1985 c. 48.55.

M49 1991 c. 56.

M50 1990 c. 43.
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# 89 Other defences to principal offences.

- (1) A person shall not be guilty of an offence under section 85 above in respect of the entry of any matter into any waters or any discharge if—
  - (a) the entry is caused or permitted, or the discharge is made, in an emergency in order to avoid danger to life or health;
  - (b) that person takes all such steps as are reasonably practicable in the circumstances for minimising the extent of the entry or discharge and of its polluting effects; and
  - (c) particulars of the entry or discharge are furnished to the Authority as soon as reasonably practicable after the entry occurs.
- (2) A person shall not be guilty of an offence under section 85 above by reason of his causing or permitting any discharge of trade or sewage effluent from a vessel.
- (3) A person shall not be guilty of an offence under section 85 above by reason only of his permitting water from an abandoned mine to enter controlled waters.
- (4) A person shall not, otherwise than in respect of the entry of any poisonous, noxious or polluting matter into any controlled waters, be guilty of an offence under section 85 above by reason of his depositing the solid refuse of a mine or quarry on any land so that it falls or is carried into inland freshwaters if—
  - (a) he deposits the refuse on the land with the consent of the Authority;
  - (b) no other site for the deposit is reasonably practicable; and
  - (c) he takes all reasonably practicable steps to prevent the refuse from entering those inland freshwaters.
- (5) A highway authority or other person entitled to keep open a drain by virtue of section 100 of the M51 Highways Act 1980 shall not be guilty of an offence under section 85 above by reason of his causing or permitting any discharge to be made from a drain kept open by virtue of that section unless the discharge is made in contravention of a prohibition imposed under section 86 above.
- (6) In this section "mine" and "quarry" have the same meanings as in the M52Mines and Quarries Act 1954.

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Marginal Citations
M51 1980 c. 66.
M52 1954 c. 70.
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### Offences in connection with deposits and vegetation in rivers

### 90 Offences in connection with deposits and vegetation in rivers.

- (1) A person shall be guilty of an offence under this section if, without the consent of the Authority, he—
  - (a) removes from any part of the bottom, channel or bed of any inland freshwaters a deposit accumulated by reason of any dam, weir or sluice holding back the waters; and
  - (b) does so by causing the deposit to be carried away in suspension in the waters.
- (2) A person shall be guilty of an offence under this section if, without the consent of the Authority, he—
  - (a) causes or permits a substantial amount of vegetation to be cut or uprooted in any inland freshwaters, or to be cut or uprooted so near to any such waters that it falls into them; and
  - (b) fails to take all reasonable steps to remove the vegetation from those waters.
- (3) A person guilty of an offence under this section shall be liable, on summary conviction, to a fine not exceeding level 4 on the standard scale.
- (4) Nothing in subsection (1) above applies to anything done in the exercise of any power conferred by or under any enactment relating to land drainage, flood prevention or navigation.
- (5) In giving a consent for the purposes of this section the Authority may make the consent subject to such conditions as it considers appropriate.
- (6) The Secretary of State may by regulations provide that any reference to inland freshwaters in subsection (1) or (2) above shall be construed as including a reference to such coastal waters as may be prescribed.

#### VALID FROM 21/11/1996

# I<sup>F5</sup> Consents for the purposes of sections 88 to 90

#### **Textual Amendments**

F5 Ss. 90A, 90B and preceding cross-heading inserted (subject to other provisions of the amending Act) (21.11.1996 for certain purposes and 31.12.1996 otherwise) by 1995 c. 25, s. 120(1), Sch. 22 para. 142 (with ss. 7(6), 115, 117); S.I. 1996/2909, arts. 2, 3 (with art. 4)

# <sup>F6</sup>90A Applications for consent under section 89 or 90.

- (1) Any application for a consent for the purposes of section 89(4)(a) or 90(1) or (2) above—
  - (a) must be made on a form provided for the purpose by the Agency, and
  - (b) must be advertised in such manner as may be required by regulations made by the Secretary of State,

except that paragraph (b) above shall not have effect in the case of an application of any class or description specified in the regulations as being exempt from the requirements of that paragraph.

- (2) The applicant for such a consent must, at the time when he makes his application, provide the Agency—
  - (a) with all such information as it reasonably requires; and
  - (b) with all such information as may be prescribed for the purpose by the Secretary of State.
- (3) The information required by subsection (2) above must be provided either on, or together with, the form mentioned in subsection (1) above.
- (4) The Agency may give the applicant notice requiring him to provide it with all such further information of any description specified in the notice as it may require for the purpose of determining the application.
- (5) If the applicant fails to provide the Agency with any information required under subsection (4) above, the Agency may refuse to proceed with the application or refuse to proceed with it until the information is provided.

#### **Textual Amendments**

F6 Ss. 90A, 90B and preceding cross-heading inserted (subject to other provisions of the amending Act) (21.11.1996 for certain purposes and 31.12.1996 otherwise) by 1995 c. 25, s. 120(1), Sch. 22 para. 142 (with ss. 7(6), 115, 117); S.I. 1996/2909, arts. 2, 3 (with art. 4)

# F790B Enforcement notices.

- (1) If the Agency is of the opinion that the holder of a relevant consent is contravening any condition of the consent, or is likely to contravene any such condition, the Agency may serve on him a notice (an "enforcement notice").
- (2) An enforcement notice shall—
  - (a) state that the Agency is of the said opinion;
  - (b) specify the matters constituting the contravention or the matters making it likely that the contravention will arise;
  - (c) specify the steps that must be taken to remedy the contravention or, as the case may be, to remedy the matters making it likely that the contravention will arise; and
  - (d) specify the period within which those steps must be taken.
- (3) Any person who fails to comply with any requirement imposed by an enforcement notice shall be guilty of an offence and liable—
  - (a) on summary conviction, to imprisonment for a term not exceeding three months or to a fine not exceeding £20,000 or to both;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding two years or to a fine or to both.
- (4) If the Agency is of the opinion that proceedings for an offence under subsection (3) above would afford an ineffectual remedy against a person who has failed to comply with the requirements of an enforcement notice, the Agency may take proceedings in the High Court for the purpose of securing compliance with the notice.

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- (5) The Secretary of State may, if he thinks fit in relation to any person, give to the Agency directions as to whether the Agency should exercise its powers under this section and as to the steps which must be taken.
- (6) In this section—

"relevant consent" means-

- (a) a consent for the purposes of section 89(4)(a) or 90(1) or (2) above; or
- (b) a discharge consent, within the meaning of section 91 below; and

"the holder", in relation to a relevant consent, is the person who has the consent in question.]

#### **Textual Amendments**

F7 Ss. 90A, 90B and preceding cross-heading inserted (subject to other provisions of the amending Act) (21.11.1996 for certain purposes and 31.12.1996 otherwise) by 1995 c. 25, s. 120(1), Sch. 22 para. 142 (with ss. 7(6), 115, 117); S.I. 1996/2909, arts. 2, 3 (with art. 4)

# Appeals in respect of consents under Chapter II

# 91 Appeals in respect of consents under Chapter II.

- (1) This section applies where the Authority, otherwise than in pursuance of a direction of the Secretary of State—
  - (a) on an application for a consent under this Chapter for the purposes of section 88(1)(a) above, has refused a consent for any discharges;
  - (b) in giving a discharge consent, has made that consent subject to conditions;
  - (c) has revoked a discharge consent, modified the conditions of any such consent or provided that any such consent which was unconditional shall be subject to conditions;
  - (d) has, for the purposes of paragraph 7(1) or (2) of Schedule 10 to this Act, specified a period in relation to a discharge consent without the agreement of the person who proposes to make, or makes, discharges in pursuance of that consent;
  - (e) has refused a consent for the purposes of section 89(4)(a) above for any deposit; or
  - (f) has refused a consent for the purposes of section 90 above for the doing of anything by any person or, in giving any such consent, made that consent subject to conditions.
- (2) The person, if any, who applied for the consent in question, or any person whose deposits, discharges or other conduct is or would be authorised by the consent may appeal against the decision to the Secretary of State.
- (3) The Secretary of State may by regulations provide for the conduct and disposal of appeals under this section.
- (4) Without prejudice to the generality of the power conferred by subsection (3) above, regulations under that subsection may, with prescribed modifications, apply any provision of paragraphs 1(3) to (6), 2(1) and 4(4) to (6) of Schedule 10 to this Act in relation to appeals under this section.

- (5) If, on an appeal under this section the Secretary of State is of the opinion that the decision of the Authority should be modified or reversed, he may give the Authority such directions as he thinks appropriate for requiring it—
  - (a) to give a consent, either unconditionally or, in the case of a discharge consent or a consent for the purposes of section 90 above, subject to such conditions as may be specified in the direction;
  - (b) to modify the conditions of any discharge consent or any consent for the purposes of section 90 above or to provide that any discharge consent which is unconditional shall be subject to such conditions as may be specified in the direction;
  - (c) to modify in accordance with the direction any provision specifying a period for the purposes of paragraph 7 of Schedule 10 to this Act.
- (6) In complying with a direction under subsection (5) above to give a consent the Authority shall not be required to comply with any requirement imposed by paragraph 3 of Schedule 10 to this Act.
- (7) Nothing in any direction under subsection (5) above or in anything done in pursuance of any such direction shall be taken to affect the lawfulness or validity of anything which was done—
  - (a) in pursuance of any decision of the Authority which is to be modified or reversed under the direction; and
  - (b) before the direction is complied with.
- (8) In this section "discharge consent" means such a consent under this Chapter for any discharges or description of discharges as is given for the purposes of section 88(1)(a) above either on an application for a consent or, by virtue of paragraph 5 of Schedule 10 to this Act, without such an application having been made.

#### VALID FROM 21/09/1995

#### [F8CHAPTER IIA

#### ABANDONED MINES

#### **Textual Amendments**

F8 Chapter IIA (ss. 91A-91B) inserted (subject to other provisions of the amending Act) (21.9.1995 for certain purposes and 1.7.1998 otherwise) by 1995 c. 25, s. 58 (with ss. 7(6), 115, 117); S.I. 1995/1983, art. 3 (with art. 4); S.I. 1998/604, art. 3

# F991A Introductory.

- (1) For the purposes of this Chapter, "abandonment", in relation to a mine,—
  - (a) subject to paragraph (b) below, includes—
    - (i) the discontinuance of any or all of the operations for the removal of water from the mine;
    - (ii) the cessation of working of any relevant seam, vein or vein-system;
    - (iii) the cessation of use of any shaft or outlet of the mine;

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- (iv) in the case of a mine in which activities other than mining activities are carried on (whether or not mining activities are also carried on in the mine)—
  - (A) the discontinuance of some or all of those other activities in the mine; and
  - (B) any substantial change in the operations for the removal of water from the mine; but
- (b) does not include—
  - (i) any disclaimer under section 178 or 315 of the M53Insolvency Act 1986 (power of liquidator, or trustee of a bankrupt's estate, to disclaim onerous property) by the official receiver acting in a compulsory capacity; or
  - (ii) the abandonment of any rights, interests or liabilities by the Accountant in Bankruptcy acting as permanent or interim trustee in a sequestration (within the meaning of the M54Bankruptcy (Scotland) Act 1985);

and cognate expressions shall be construed accordingly.

(2) In this Chapter, except where the context otherwise requires—

"the 1954 Act" means the M55 Mines and Quarries Act 1954;

"acting in a compulsory capacity", in the case of the official receiver, means acting as—

- (a) liquidator of a company;
- (b) receiver or manager of a bankrupt's estate, pursuant to section 287 of the M56 Insolvency Act 1986;
- (c) trustee of a bankrupt's estate;
- (d) liquidator of an insolvent partnership;
- (e) trustee of an insolvent partnership;
- (f) trustee, or receiver or manager, of the insolvent estate of a deceased person:

"mine" has the same meaning as in the 1954 Act;

"the official receiver" has the same meaning as it has in the M57 Insolvency Act 1986 by virtue of section 399(1) of that Act;

"prescribed" means prescribed in regulations;

"regulations" means regulations made by the Secretary of State;

"relevant seam, vein or vein-system", in the case of any mine, means any seam, vein or vein-system for the purpose of, or in connection with, whose working any excavation constituting or comprised in the mine was made.

#### **Textual Amendments**

F9 Chapter IIA (ss. 91A-91B) inserted (subject to other provisions of the amending Act) (21.9.1995 for certain purposes and 1.7.1998 otherwise) by 1995 c. 25, s. 58 (with ss. 7(6), 115, 117); S.I. 1995/1983, art. 3 (with art. 4); S.I. 1998/604, art. 3

#### **Marginal Citations**

M53 1986 c. 45.

M54 1985 c. 66.

M55 1954 c. 70.

**M56** 1986 c. 45. **M57** 1986 c. 45.

# Mine operators to give the Agency six months' notice of any proposed abandonment.

- (1) If, in the case of any mine, there is to be an abandonment at any time after the expiration of the initial period, it shall be the duty of the operator of the mine to give notice of the proposed abandonment to the Agency at least six months before the abandonment takes effect.
- (2) A notice under subsection (1) above shall contain such information (if any) as is prescribed for the purpose, which may include information about the operator's opinion as to any consequences of the abandonment.
- (3) A person who fails to give the notice required by subsection (1) above shall be guilty of an offence and liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.
- (4) A person shall not be guilty of an offence under subsection (3) above if—
  - (a) the abandonment happens in an emergency in order to avoid danger to life or health; and
  - (b) notice of the abandonment, containing such information as may be prescribed, is given as soon as reasonably practicable after the abandonment has happened.
- (5) Where the operator of a mine is—
  - (a) the official receiver acting in a compulsory capacity, or
  - (b) the Accountant in Bankruptcy acting as permanent or interim trustee in a sequestration (within the meaning of the M58 Bankruptcy (Scotland) Act 1985),

he shall not be guilty of an offence under subsection (3) above by reason of any failure to give the notice required by subsection (1) above if, as soon as reasonably practicable (whether before or after the abandonment), he gives to the Agency notice of the abandonment or proposed abandonment, containing such information as may be prescribed.

- (6) Where a person gives notice under subsection (1), (4)(b) or (5) above, he shall publish prescribed particulars of, or relating to, the notice in one or more local newspapers circulating in the locality where the mine is situated.
- (7) Where the Agency—
  - (a) receives notice under this section or otherwise learns of an abandonment or proposed abandonment in the case of any mine, and
  - (b) considers that, in consequence of the abandonment or proposed abandonment taking effect, any land has or is likely to become contaminated land, within the meaning of Part IIA of the M59 Environmental Protection Act 1990,

it shall be the duty of the Agency to inform the local authority in whose area that land is situated of the abandonment or proposed abandonment.

(8) In this section—

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"the initial period" means the period of six months beginning with the day on which subsection (1) above comes into force;

"local authority" means-

- (a) any unitary authority;
- (b) any district council, so far as it is not a unitary authority;
- (c) the Common Council of the City of London and, as respects the Temples, the Sub-Treasurer of the Inner Temple and the Under-Treasurer of the Middle Temple respectively;

"unitary authority" means—

- (a) the council of a county, so far as it is the council of an area for which there are no district councils;
- (b) the council of any district comprised in an area for which there is no county council;
- (c) the council of a London borough;
- (d) the council of a county borough in Wales.]

#### **Textual Amendments**

**F10** Chapter IIA (ss. 91A-91B) inserted (subject to other provisions of the amending Act) (21.9.1995 for certain purposes and 1.7.1998 otherwise) by 1995 c. 25, s. 58 (with ss. 7(6), 115, 117); S.I. 1995/1983, art. 3 (with art. 4); S.I. 1998/604, art. 3

#### **Marginal Citations**

M58 1985 c. 66.

M59 1990 c. 43.

## **CHAPTER III**

# POWERS TO PREVENT AND CONTROL POLLUTION

# 92 Requirements to take precautions against pollution.

- (1) The Secretary of State may by regulations make provision—
  - (a) for prohibiting a person from having custody or control of any poisonous, noxious or polluting matter unless prescribed works and prescribed precautions and other steps have been carried out or taken for the purpose of preventing or controlling the entry of the matter into any controlled waters;
  - (b) for requiring a person who already has custody or control of, or makes use of, any such matter to carry out such works for that purpose and to take such precautions and other steps for that purpose as may be prescribed.
- (2) Without prejudice to the generality of the power conferred by subsection (1) above, regulations under that subsection may—
  - (a) confer power on the Authority—
    - (i) to determine for the purposes of the regulations the circumstances in which a person is required to carry out works or to take any precautions or other steps; and

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- (ii) by notice to that person, to impose the requirement and to specify or describe the works, precautions or other steps which that person is required to carry out or take;
- (b) provide for appeals to the Secretary of State against notices served by the Authority in pursuance of provision made by virtue of paragraph (a) above; and
- (c) provide that a contravention of the regulations shall be an offence the maximum penalties for which shall not exceed the penalties specified in subsection (6) of section 85 above.

#### **Modifications etc. (not altering text)**

C40 S. 92 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

# 93 Water protection zones.

- (1) Where the Secretary of State considers, after consultation (in the case of an area wholly or partly in England) with the Minister, that subsection (2) below is satisfied in relation to any area, he may by order make provision—
  - (a) designating that area as a water protection zone; and
  - (b) prohibiting or restricting the carrying on in the designated area of such activities as may be specified or described in the order.
- (2) For the purposes of subsection (1) above this subsection is satisfied in relation to any area if (subject to subsection (3) below) it is appropriate, with a view to preventing or controlling the entry of any poisonous, noxious or polluting matter into controlled waters, to prohibit or restrict the carrying on in that area of activities which the Secretary of State considers are likely to result in the pollution of any such waters.
- (3) The reference in subsection (2) above to the entry of poisonous, noxious or polluting matter into controlled waters shall not include a reference to the entry of nitrate into controlled waters as a result of, or of anything done in connection with, the use of any land for agricultural purposes
- (4) Without prejudice to the generality of the power conferred by virtue of subsection (1) above, an order under this section may—
  - (a) confer power on the Authority to determine for the purposes of the order the circumstances in which the carrying on of any activities is prohibited or restricted and to determine the activities to which any such prohibition or restriction applies;
  - (b) apply a prohibition or restriction in respect of any activities to cases where the activities are carried on without the consent of the Authority or in contravention of any conditions subject to which any such consent is given;
  - (c) provide that a contravention of a prohibition or restriction contained in the order or of a condition of a consent given for the purposes of any such prohibition or restriction shall be an offence the maximum penalties for which shall not exceed the penalties specified in subsection (6) of section 85 above;
  - (d) provide (subject to any regulations under section 96 below) for anything falling to be determined under the order by the Authority to be determined in accordance with such procedure and by reference to such matters and to the opinion of such persons as may be specified in the order;

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- (e) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
- (f) contain such supplemental, consequential and transitional provision as the Secretary of State considers appropriate.
- (5) The power of the Secretary of State to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament; but the Secretary of State shall not make such an order except on an application made by the Authority in accordance with Schedule 11 to this Act and otherwise in accordance with that Schedule.

#### **Modifications etc. (not altering text)**

- C41 S. 93 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I paa. 9 (with s. 46)
- C42 S. 93 extended (1.12.1991) by Water Consolidation (Consequential Provisions) Act 1991 (c.60, SIF 130), ss. 2(2), 4(2), Sch. 2 Pt I para 4(3)

#### 94 Nitrate sensitive areas.

- (1) Where the relevant Minister considers that it is appropriate to do so with a view to achieving the purpose specified in subsection (2) below in relation to any land, he may by order make provision designating that land, together with any other land to which he considers it appropriate to apply the designation, as a nitrate sensitive area.
- (2) The purpose mentioned in subsection (1) above is preventing or controlling the entry of nitrate into controlled waters as a result of, or of anything done in connection with, the use for agricultural purposes of any land.
- (3) Where it appears to the relevant Minister, in relation to any area which is or is to be designated by an order under this section as a nitrate sensitive area, that it is appropriate for provision for the imposition of requirements, prohibitions or restrictions to be contained in an order under this section (as well as for him to be able to enter into such agreements as are mentioned in section 95 below), he may, by a subsequent order under this section or, as the case may be, by the order designating that area—
  - (a) with a view to achieving the purpose specified in subsection (2) above, require, prohibit or restrict the carrying on, either on or in relation to any agricultural land in that area, of such activities as may be specified or described in the order; and
  - (b) provide for such amounts (if any) as may be specified in or determined under the order to be paid by one of the Ministers, to such persons as may be so specified or determined, in respect of the obligations imposed in relation to that area on those persons by virtue of paragraph (a) above.
- (4) Without prejudice to the generality of subsection (3) above, provision contained in an order under this section by virtue of that subsection may—
  - (a) confer power on either of the Ministers to determine for the purposes of the order the circumstances in which the carrying on of any activities is required, prohibited or restricted and to determine the activities to which any such requirement, prohibition or restriction applies;
  - (b) provide for any requirement to carry on any activity not to apply in cases where one of the Ministers has consented to a failure to carry on that activity and any conditions on which the consent has been given are complied with;

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- (c) apply a prohibition or restriction in respect of any activities to cases where the activities are carried on without the consent of one of the Ministers or in contravention of any conditions subject to which any such consent is given;
- (d) provide that a contravention of a requirement, prohibition or restriction contained in the order or in a condition of a consent given in relation to or for the purposes of any such requirement, prohibition or restriction shall be an offence the maximum penalties for which shall not exceed the penalties specified in subsection (6) of section 85 above;
- (e) provide for amounts paid in pursuance of any provision contained in the order to be repaid at such times and in such circumstances, and with such interest, as may be specified in or determined under the order; and
- (f) provide (subject to any regulations under section 96 below) for anything falling to be determined under the order by any person to be determined in accordance with such procedure and by reference to such matters and to the opinion of such persons as may be specified in the order.
- (5) An order under this section may—
  - (a) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
  - (b) contain such supplemental, consequential and transitional provision as the relevant Minister considers appropriate.
- (6) The power of the relevant Minister to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament; but the relevant Minister shall not make such an order except in accordance with any applicable provisions of Schedule 12 to this Act.
- (7) In this section and in Schedule 12 to this Act "the relevant Minister"—
  - (a) in relation to the making of an order in relation to an area which is wholly in England or which is partly in England and partly in Wales, means the Ministers; and
  - (b) in relation to the making of an order in relation to an area which is wholly in Wales, means the Secretary of State.

## 95 Agreements in nitrate sensitive areas.

- (1) Where—
  - (a) any area has been designated as a nitrate sensitive area by an order under section 94 above; and
  - (b) the relevant Minister considers that it is appropriate to do so with a view to achieving the purpose mentioned in subsection (2) of that section,

he may, subject to such restrictions (if any) as may be set out in the order, enter into an agreement falling within subsection (2) below.

- (2) An agreement falls within this subsection if it is one under which, in consideration of payments to be made by the relevant Minister—
  - (a) the owner of the freehold interest in any agricultural land in a nitrate sensitive area: or
  - (b) where the owner of the freehold interest in any such land has given his written consent to the agreement being entered into by any person having another interest in that land, that other person,

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accepts such obligations with respect to the management of that land or otherwise as may be imposed by the agreement.

- (3) An agreement such as is mentioned in subsection (2) above between the relevant Minister and a person having an interest in any land shall bind all persons deriving title from or under that person to the extent that the agreement is expressed to bind that land in relation to those persons.
- (4) In this section "the relevant Minister"—
  - (a) in relation to an agreement with respect to land which is wholly in England, means the Minister;
  - (b) in relation to an agreement with respect to land which is wholly in Wales, means the Secretary of State; and
  - (c) in relation to an agreement with respect to land which is partly in England and partly in Wales, means either of the Ministers.

### 96 Regulations with respect to consents required by virtue of section 93 or 94.

- (1) The Secretary of State may, for the purposes of any orders under section 93 above which require the consent of the Authority to the carrying on of any activities, by regulations make provision with respect to—
  - (a) applications for any such consent;
  - (b) the conditions of any such consent;
  - (c) the revocation or variation of any such consent;
  - (d) appeals against determinations on any such application;
  - (e) the exercise by the Secretary of State of any power conferred on the Authority by the orders;
  - (f) the imposition of charges where such an application has been made, such a consent has been given or anything has been done in pursuance of any such consent; and
  - (g) the registration of any such application or consent.
- (2) The Ministers may, for the purposes of any orders under section 94 above which require the consent of either of those Ministers to the carrying on of any activities or to any failure to carry on any activity, by regulations make provision with respect to—
  - (a) applications for any such consent;
  - (b) the conditions of any such consent;
  - (c) the revocation or variation of any such consent;
  - (d) the reference to arbitration of disputes about determinations on any such application;
  - (e) the imposition of charges where such an application has been made, such a consent has been given or there has been any act or omission in pursuance of any such consent; and
  - (f) the registration of any such application or consent.
- (3) Without prejudice to the generality of the powers conferred by the preceding provisions of this section, regulations under subsection (1) above may apply (with or without modifications) any enactment having effect in relation to consents under Chapter II of this Part.

#### 97 Codes of good agricultural practice.

86

- (1) The Ministers may by order made by statutory instrument approve any code of practice issued (whether by either or both of the Ministers or by another person) for the purpose of
  - giving practical guidance to persons engaged in agriculture with respect to (a) activities that may affect controlled waters; and
  - promoting what appear to them to be desirable practices by such persons for avoiding or minimising the pollution of any such waters,

and may at any time by such an order approve a modification of such a code or withdraw their approval of such a code or modification.

- (2) A contravention of a code of practice as for the time being approved under this section shall not of itself give rise to any criminal or civil liability, but the Authority shall take into account whether there has been or is likely to be any such contravention in determining when and how it should exercise
  - its power, by giving a notice under subsection (1) of section 86 above, to impose a prohibition under that section; and
  - any powers conferred on the Authority by regulations under section 92 above.
- (3) The Ministers shall not make an order under this section unless they have first consulted the Authority.

#### CHAPTER IV

#### SUPPLEMENTAL PROVISIONS WITH RESPECT TO WATER POLLUTIONS

#### 98 Radioactive substances.

- (1) Except as provided by regulations made by the Secretary of State under this section, nothing in this Part shall apply in relation to radioactive waste within the meaning of the M60 Radioactive Substances Act 1960.
- (2) The Secretary of State may by regulations
  - provide for prescribed provisions of this Part to have effect with such modifications as he considers appropriate for dealing with such waste;
  - make such modifications of the said Act of 1960 or, in relation to such waste, of any other enactment as he considers appropriate in consequence of the provisions of this Part and of any regulations made by virtue of paragraph (a) above.

# **Marginal Citations**

M60 1960 c. 34.

#### 99 Consents required by the Authority.

- (1) The Secretary of State may by regulations
  - make provision modifying the water pollution provisions of this Act in relation to cases in which consents under Chapter II of this Part are required by the Authority; and

Part III – Control of Pollution of Water Resources chapter IV - SUPPLEMENTAL PROVISIONS WITH RESPECT TO WATER POLLUTIONS

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- for the purposes of the application of the provisions of this Part in relation to discharges by the Authority, make such other modifications of those provisions as may be prescribed.
- (2) Without prejudice to the generality of subsection (1) above, regulations under this section may provide for such consents as are mentioned in paragraph (a) of that subsection to be required to be given by the Secretary of State (instead of by the Authority) and, in prescribed cases, to be deemed to have been so given.

### **Modifications etc. (not altering text)**

**C43** S. 99 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), **Sch. 3 Pt. I para.9** (with s. 46).

#### 100 Civil liability in respect of pollution and savings.

Except in so far as this Part expressly otherwise provides and subject to the provisions of section 18 of the M61 Interpretation Act 1978 (which relates to offences under two or more laws), nothing in this Part—

- confers a right of action in any civil proceedings (other than proceedings for the recovery of a fine) in respect of any contravention of this Part or any subordinate legislation, consent or other instrument made, given or issued under this Part;
- (b) derogates from any right of action or other remedy (whether civil or criminal) in proceedings instituted otherwise than under this Part; or
- affects any restriction imposed by or under any other enactment, whether public, local or private.

# **Marginal Citations**

M61 1978 c. 30.

#### 101 Limitation for summary offences under Part III.

Notwithstanding anything in section 127 of the M62 Magistrates' Courts Act 1980 (time limit for summary proceedings), a magistrates' court may try any summary offence under this Part, or under any subordinate legislation made under this Part, if the information is laid not more than twelve months after the commission of the offence.

# **Marginal Citations**

M62 1980 c. 43.

#### 102 Power to give effect to international obligations.

The Secretary of State shall have power by regulations to provide that the water pollution provisions of this Act shall have effect with such modifications as may be prescribed for the purpose of enabling Her Majesty's Government in the United Kingdom to give effect—

(a) to any Community obligations; or

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(b) to any international agreement to which the United Kingdom is for the time being a party.

## 103 Transitional pollution provisions.

The provisions of this Part shall have effect subject to the provisions of Schedule 13 to this Act (which reproduce transitional provision originally made in connection with the coming into force of provisions of the M63Water Act 1989).

**Marginal Citations** 

M63 1989 c. 15.

## Meaning of "controlled waters" etc. in Part III.

- (1) References in this Part to controlled waters are references to waters of any of the following classes—
  - (a) relevant territorial waters, that is to say, subject to subsection (4) below, the waters which extend seaward for three miles from the baselines from which the breadth of the territorial sea adjacent to England and Wales is measured;
  - (b) coastal waters, that is to say, any waters which are within the area which extends landward from those baselines as far as—
    - (a) the limit of the highest tide; or
    - (b) in the case of the waters of any relevant river or watercourse, the freshwater limit of the river or watercourse,

together with the waters of any enclosed dock which adjoins waters within that area;

- (c) inland freshwaters, that is to say, the waters of any relevant lake or pond or of so much of any relevant river or watercourse as is above the fresh-water limit;
- (d) ground waters, that is to say, any waters contained in underground strata; and, accordingly, in this Part "coastal waters", "controlled waters", "ground waters", "inland freshwaters" and "relevant territorial waters" have the meanings given by this subsection.
- (2) In this Part any reference to the waters of any lake or pond or of any river or watercourse includes a reference to the bottom, channel or bed of any lake, pond, river or, as the case may be, watercourse which is for the time being dry.
- (3) In this section—

"fresh-water limit", in relation to any river or watercourse, means the place for the time being shown as the fresh-water limit of that river or watercourse in the latest map deposited for that river or watercourse under section 192 below;

"miles" means international nautical miles of 1,852 metres;

"lake or pond" includes a reservoir of any description;

"relevant lake or pond" means (subject to subsection (4) below) any lake or pond which (whether it is natural or artificial or above or below ground) discharges into a relevant river or watercourse or into another lake or pond which is itself a relevant lake or pond;

"relevant river or watercourse" means (subject to subsection (4) below) any river or watercourse (including an underground river or watercourse and an

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artificial river or watercourse) which is neither a public sewer nor a sewer or drain which drains into a public sewer.

- (4) The Secretary of State may by order provide—
  - (a) that any area of the territorial sea adjacent to England and Wales is to be treated as if it were an area of relevant territorial waters for the purposes of this Part and of any other enactment in which any expression is defined by reference to the meanings given by this section;
  - (b) that any lake or pond which does not discharge into a relevant river or watercourse or into a relevant lake or pond is to be treated for those purposes as a relevant lake or pond;
  - (c) that a lake or pond which does so discharge and is of a description specified in the order is to be treated for those purposes as if it were not a relevant lake or pond;
  - (d) that a watercourse of a description so specified is to be treated for those purposes as if it were not a relevant river or watercourse.
- (5) An order under this section may—
  - (a) contain such supplemental, consequential and transitional provision as the Secretary of State considers appropriate; and
  - (b) make different provision for different cases, including different provision in relation to different persons, circumstances or localities.
- (6) The power of the Secretary of State to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.

#### **Modifications etc. (not altering text)**

- C44 S. 104 definition of "controlled waters" applied by London Docklands Railway Act 1991 (c. xxiii), s. 7(2)(a)
- C45 S. 104(1) modified (12.6.1997) by S.I. 1997/1331, reg. 6(2)
  - S. 104(1) modified (12.6.1997) by S.I. 1997/1332, reg. 6(2)
- C46 S. 104(1)(c) applied (with modifications) (6.1.1997) by S.I. 1996/3001, reg. 7(2)

#### PART IV

#### FLOOD DEFENCE

## **Modifications etc. (not altering text)**

C47 Pt. IV (ss. 105-113): functions transferred to the Environment Agency (subject to other provisions of the amending Act) (1.4.1996) by 1995 c. 25, s. 2(1)(a)(iii) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 3 (with art. 4)

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#### General

# 105 General functions with respect to flood defence.

- (1) Subject to section 106 below, the Authority shall in relation to England and Wales exercise a general supervision over all matters relating to flood defence.
- (2) For the purpose of carrying out its flood defence functions the Authority shall from time to time carry out surveys of the areas in relation to which it carries out those functions.
- (3) In the exercise of the powers conferred by the following provisions of this Part and the other flood defence provisions of this Act due regard shall be had to the interests of fisheries, including sea fisheries.
- (4) Nothing in the following provisions of this Part or the other flood defence provisions of this Act shall prejudice or affect the provisions of Part V of this Act or the M64 Salmon and Freshwater Fisheries Act 1975 or any right, power or duty conferred or imposed by that Part or that Act.

Marginal Citations M64 1975 c. 51.

# 106 Obligation to carry out flood defence functions through committees.

- (1) Without prejudice to any scheme for the appointment of local flood defence committees and subject to subsection (2) below, the Authority shall arrange for all its functions relating to flood defence under the following provisions of this Act and the M65Land Drainage Act 1991 to be carried out by regional flood defence committees, so that those functions of the Authority are carried out—
  - (a) in relation to the area of each regional flood defence committee, by the committee for that area; and
  - (b) in cases involving the areas of more than one regional flood defence committee, by such committee, or jointly by such committees, as may be determined in accordance with arrangements made by the Authority.
- (2) The Authority shall not make arrangements for the carrying out by any other body, or by any committee, of any of its functions with respect to—
  - (a) the issuing of levies (within the meaning of the M66Local Government Finance Act 1988); or
  - (b) the making of drainage charges under Chapter II of Part VI of this Act; and nothing in this section shall enable the Authority to authorise any such other body or any committee to borrow money for purposes connected with the Authority's functions relating to flood defence.
- (3) The Authority may give a regional flood defence committee a direction of a general or specific character as to the carrying out of any function relating to flood defence, other than one of its internal drainage functions, so far as the carrying out of that function appears to the Authority likely to affect materially the Authority's management of water for purposes other than flood defence.

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- (4) It shall be the duty of a regional flood defence committee to comply with any direction under subsection (3) above.
- (5) In subsection (3) above "internal drainage functions" means the functions of the Authority under sections 108, 139 and 140 below and the following provisions of the M67Land Drainage Act 1991, that is to say—
  - (a) sections 2 to 9 (transfer to the Authority and supervision by the Authority of the functions of internal drainage boards);
  - (b) sections 38, 39 and 47 (differential drainage rates and exemptions from such rates);
  - (c) sections 57 and 58(1) (provisions with respect to contributions by the Authority to the expenses of internal drainage boards and the expenses of the Authority as such a board).

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Marginal Citations
M65 1991 c. 59.
M66 1988 c. 41.
M67 1991 c. 59.
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### Main river functions

# 107 Main river functions under the Land Drainage Act 1991.

- (1) This section has effect for conferring functions in relation to main rivers on the Authority which are functions of drainage boards in relation to other watercourses.
- (2) Notwithstanding subsection (3) of section 21 of the Land Drainage Act 1991 (power to secure compliance with drainage obligations), the powers of the Authority in relation to a main river shall, by virtue of this section, include the powers which under that section are exercisable otherwise than in relation to a main river by the drainage board concerned; and the provisions of that section shall have effect accordingly.
- (3) The powers of the Authority in relation to a main river shall, by virtue of this section, include the powers which under section 25 of the Land Drainage Act 1991 (powers for securing the maintenance of flow of watercourses) are exercisable in relation to an ordinary watercourse by the drainage board concerned; and the provisions of that section and section 27 of that Act shall have effect accordingly.
- (4) Sections 33 and 34 of the Land Drainage Act 1991 (commutation of obligations) shall have effect where—
  - (a) any person is under an obligation imposed on him by reason of tenure, custom, prescription or otherwise to do any work in connection with the drainage of land (whether by way of repairing banks or walls, maintaining watercourses or otherwise); and
  - (b) that work is in connection with a main river,

as they have effect in relation to an obligation to do work otherwise than in connection with a main river but as if the Authority were under a duty to take steps to commute the obligation and the references in those sections to the drainage board for the internal drainage district where the works fall to be done were omitted.

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#### (5) In this section—

- (a) references to the exercise of a power in relation to a main river shall include a reference to its exercise in connection with a main river or in relation to the banks of such a river or any drainage works in connection with such a river; and
- (b) expressions used both in this section and in a provision applied by this section have the same meanings in this section as in that provision.
- (6) The functions of the Authority by virtue of this section are in addition to the functions of the Authority which by virtue of the provisions of the M68 Land Drainage Act 1991 are exercisable by the Authority concurrently with an internal drainage board.

Marginal Citations
M68 1991 c. 59.

# 108 Schemes for transfer to the Authority of functions in relation to main river.

- (1) The Authority may at any time prepare and submit to either of the Ministers for confirmation a scheme making provision for the transfer to the Authority from any drainage body of—
  - (a) all rights, powers, duties, obligations and liabilities (including liabilities incurred in connection with works) over or in connection with a main river; and
  - (b) any property held by the drainage body for the purpose of, or in connection with, any functions so transferred;

and the Authority shall prepare such a scheme and submit it to one of the Ministers if it is directed to do so by that Minister.

- (2) A scheme prepared and submitted under subsection (1) above may make provisions for any matter supplemental to or consequential on the transfers for which the scheme provides.
- (3) The Minister to whom a scheme is submitted under this section may by order made by statutory instrument confirm that scheme; and Schedule 14 to this Act shall have effect with respect to the procedure to be followed in connection with the making of such an order and with respect to challenges to such orders.
- (4) An order under this section may contain provisions with respect to the persons by whom all or any of the expenses incurred by the Ministers or other persons in connection with the making or confirmation of the order, or with the making of the scheme confirmed by the order, are to be borne.
- (5) Where, under a scheme made by the Authority under this section, liabilities incurred in connection with drainage works are transferred to the Authority from a local authority, the Authority may require the local authority to make contributions to the Authority towards the discharge of the liabilities.
- (6) If the amount to be paid by a local authority by way of contributions required under subsection (5) above is not agreed between the Authority and the local authority, it shall be referred to the arbitration of a single arbitrator appointed—
  - (a) by agreement between them; or

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- (b) in default of agreement, by the Ministers.
- (7) The relevant Minister shall by regulations provide for the payment, subject to such exceptions or conditions as may be specified in the regulations, of compensation by the Authority to any officer or other employee of a drainage body who suffers loss of employment or loss or diminution of emoluments which is attributable to a scheme under this section or anything done in pursuance of such a scheme.
- (8) Regulations under subsection (7) above may include provision—
  - (a) as to the manner in which and the persons to whom any claim for compensation by virtue of the regulations is to be made; and
  - (b) for the determination of all questions arising under the regulations.
- (9) In this section—

"drainage body" means an internal drainage board or any other body having power to make or maintain works for the drainage of land;

"the relevant Minister"—

- (a) in relation to employees of a drainage body wholly in Wales, means the Secretary of State;
- (b) in relation to employees of a drainage body partly in Wales, means the Ministers; and
- (c) in any other case, means the Minister.

## 109 Structures in, over or under a main river.

- (1) No person shall erect any structure in, over or under a watercourse which is part of a main river except with the consent of and in accordance with plans and sections approved by the Authority.
- (2) No person shall, without the consent of the Authority, carry out any work of alteration or repair on any structure in, over or under a watercourse which is part of a main river if the work is likely to affect the flow of water in the watercourse or to impede any drainage work.
- (3) No person shall erect or alter any structure designed to contain or divert the floodwaters of any part of a main river except with the consent of and in accordance with plans and sections approved by the Authority.
- (4) If any person carries out any work in contravention of this section the Authority may—
  - (a) remove, alter, or pull down the work; and
  - (b) recover from that person the expenses incurred in doing so.
- (5) Subsections (1) and (2) above shall not apply to any work carried out in an emergency; but a person carrying out any work excepted from those subsections by this subsection shall inform the Authority in writing as soon as practicable—
  - (a) of the carrying out of the work; and
  - (b) of the circumstances in which it was carried out.
- (6) Nothing in this section shall be taken to affect any enactment requiring the consent of any government department for the erection of a bridge or any powers exercisable by any government department in relation to a bridge.

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Modifications etc. (not altering text)

C48 S. 109 modified (16.3.1992) by London Government Act 1992 (c. iii), s. 32(9)(10)

C49 S. 109 amended (16.3.1992) by Aire and Calder Navigation Act 1992 (c. iv), s. 33(12)

C50 S. 109 amended (18.6.1992) by British Railways (No. 2) Act 1992 (c. xi), s. 42(8)

C51 S. 109 amended (16.3.1992) by Midland Metro Act 1992 (c. vii), s. 21(9)

C52 S. 109 excluded (5.11.1993) by 1993 c. 42, s. 25(1) (with s. 30(1), Sch. 2 para. 9).

C53 S. 109 applied (21.7.1994) by 1994 c. xv, s. 43(11)
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### 110 Applications for consents and approvals under section 109.

- (1) The Authority may require the payment of an application fee by a person who applies to it for its consent under section 109 above; and the amount of that fee shall be £50 or such other sum as may be specified by order made by the Ministers.
- (2) A consent or approval required under section 109 above—
  - (a) shall not be unreasonably withheld;
  - (b) shall be deemed to have been given if it is neither given nor refused within the relevant period; and
  - (c) in the case of a consent, may be given subject to any reasonable condition as to the time at which and the manner in which any work is to be carried out.
- (3) For the purposes of subsection (2)(b) above the relevant period is—
  - (a) in the case of a consent, the period of two months after whichever is the later of—
    - (i) the day on which application for the consent is made; and
    - (ii) if at the time when that application is made an application fee is required to be paid, the day on which the liability to pay that fee is discharged;

and

- (b) in the case of an approval, the period of two months after application for the approval is made.
- (4) If any question arises under this section whether any consent or approval is unreasonably withheld or whether any condition imposed is reasonable, the question shall—
  - (a) if the parties agree to arbitration, be referred to a single arbitrator appointed by agreement between the parties or, in default of agreement, by the President of the Institution of Civil Engineers; and
  - (b) if the parties do not agree to arbitration, be referred to and determined by the Ministers or the Secretary of State, according to whether the determination falls to be made in relation to England or Wales.
- (5) The power of the Ministers to make an order under subsection (1) above shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.

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#### Arrangements with certain authorities

### 111 Arrangements with navigation and conservancy authorities.

- (1) Subject to subsection (2) below, the Authority, with a view to improving the drainage of any land, may enter into an arrangement with a navigation authority or conservancy authority for any of the following purposes, that is to say—
  - (a) the transfer to the Authority of—
    - (i) the whole or any part of the undertaking of the navigation authority or conservancy authority or of any of the rights, powers, duties, liabilities and obligations of that authority; or
    - (ii) any property vested in that authority as such;
  - (b) the alteration or improvement by the Authority of any of the works of the navigation authority or conservancy authority;
  - (c) the making of payments by the Authority to the navigation authority or conservancy authority or by that authority to the Authority in respect of any matter for which provision is made by the arrangement.
- (2) The exercise by the Authority of its power to enter into an arrangement under this section shall require the approval of the Ministers.
- (3) Where the Authority is intending to enter into an arrangement under this section it shall publish a notice of its intention in such manner as may be directed by either of the Ministers.
- (4) Where an arrangement has been made under this section, the Authority shall cause a notice under subsection (5) below to be published in the London Gazette in such form as may be prescribed by regulations made by one of the Ministers.
- (5) A notice under this subsection is a notice—
  - (a) stating that the arrangement has been made; and
  - (b) specifying the place at which a copy of the arrangement may be inspected by persons interested.

#### Supplemental

#### 112 Flood defence regulations.

The Ministers shall each have power by regulations to make provision generally for the purpose of carrying into effect the provisions of this Part and the other flood defence provisions of this Act.

# 113 Interpretation of Part IV.

(1) In this Part—

"banks" means banks, walls or embankments adjoining or confining, or constructed for the purposes of or in connection with, any channel or sea front, and includes all land and water between the bank and low-watermark;

"drainage" includes—

- (a) defence against water, including sea water;
- (b) irrigation other than spray irrigation; and

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### (c) warping;

"flood defence" means the drainage of land and the provision of flood warning systems;

"main river" (subject to section 137(4) below) means a watercourse shown as such on a main river map and includes any structure or appliance for controlling or regulating the flow of water into, in or out of the channel which—

- (a) is a structure or appliance situated in the channel or in any part of the banks of the channel; and
- (b) is not a structure or appliance vested in or controlled by an internal drainage board:

"watercourse" shall be construed as if for the words from "except" onwards in the definition in section 221(1) below there were substituted the words "except a public sewer".

- (2) If any question arises under this Part—
  - (a) whether any work is a drainage work in connection with a main river; or
  - (b) whether any proposed work will, if constructed, be such a drainage work, the question shall be referred to one of the Ministers for decision or, if either of the parties so requires, to arbitration.
- (3) Where any question is required under subsection (2) above to be referred to arbitration it shall be referred to the arbitration of a single arbitrator appointed—
  - (a) by agreement between the parties; or
  - (b) in default of agreement, by the President of the Institution of Civil Engineers, on the application of either party.
- (4) Nothing in this Part shall affect the powers exercisable by the Authority under any local Act, as they existed immediately before the coming into force of this Act.

#### **Modifications etc. (not altering text)**

C54 S. 113 definitions of "main river" and "banks" applied by London Docklands Railway Act 1991 (c. xxiii), s. 7(2)(b)

#### PART V

#### GENERAL CONTROL OF FISHERIES

# **Modifications etc. (not altering text)**

C55 Pt. V (ss. 114-116): certain functions transferred to the Environment Agency (subject to other provisions of the amending Act) (1.4.1996) by 1995 c. 25, s. 2(1)(a)(v) (with ss. 115, 117); S.I. 1996/186, art. 3 (with art. 4)

# 114 General fisheries duty of the Authority.

It shall be the duty of the Authority to maintain, improve and develop salmon fisheries, trout fisheries, freshwater fisheries and eel fisheries.

#### 115 Fisheries orders.

- (1) Subject to the following provisions of this section, each of the Ministers shall have power, on an application made to him by the Authority, by order made by statutory instrument to make provision in relation to an area defined by the order for the modification, in relation to the fisheries in that area—
  - (a) of any provisions of the M69 Salmon and Freshwater Fisheries Act 1975 relating to the regulation of fisheries;
  - (b) of section 156 below; or
  - (c) of any provisions of a local Act relating to any fishery in that area.
- (2) An order under this section—
  - (a) may contain such supplemental, consequential and transitional provision, including provision for the payment of compensation to persons injuriously affected by the order, as may appear to be necessary or expedient in connection with the other provisions of the order; but
  - (b) shall not apply to any waters in respect of which either of the Ministers has granted a licence under section 29 of the Salmon and Freshwater Fisheries Act 1975 (fish rearing licences).
- (3) Before either of the Ministers makes an order under this section he shall—
  - (a) send to the Authority a copy of the draft order; and
  - (b) notify the Authority of the time within which, and the manner in which, objections to the draft order may be made to him.
- (4) Neither of the Ministers shall make an order under this section unless the Authority has caused notice of—
  - (a) that Minister's intention to make the order;
  - (b) the place where copies of the draft order may be inspected and obtained; and
  - (c) the matters notified under subsection (3)(b) above,

to be published in the London Gazette and, if it is directed to do so by one of the Ministers, in such other manner as that Minister thinks best adapted for informing persons affected.

- (5) Before either of the Ministers makes an order under this section he-
  - (a) shall consider any objection which may be duly made to the draft order; and
  - (b) may cause a public local inquiry to be held with respect to any such objections.
- (6) A statutory instrument containing an order under this section shall be subject to annulment in pursuance of a resolution of either House of Parliament; and, where a statutory instrument is laid before Parliament for the purposes of this paragraph, a copy of the report of any local inquiry held with respect to objections considered in connection with the making of the order contained in that instrument shall be so laid at the same time.

#### (7) Where—

- (a) any fishery, land or foreshore proposed to be comprised in an order under this section; or
- (b) any fishery proposed to be affected by any such order; or
- (c) any land over which it is proposed to acquire an easement under any such order,

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belongs to Her Majesty in right of the Crown or forms part of the possessions of the Duchy of Lancaster or the Duchy of Cornwall or belongs to, or is under the management of, any government department, the order may be made by one of the Ministers only if he has previously obtained the consent of the appropriate authority.

- (8) In subsection (7) above "the appropriate authority"—
  - (a) in the case of any foreshore under the management of the Crown Estate Commissioners or of any fishery or land belonging to Her Majesty in right of the Crown, means those Commissioners;
  - (b) in the case of any foreshore, fishery or land forming part of the possessions of the Duchy of Lancaster, means the Chancellor of the Duchy;
  - (c) in the case of any foreshore, fishery or land forming part of the possessions of the Duchy of Cornwall, means the Duke of Cornwall or the persons for the time being empowered to dispose for any purpose of the land of the Duchy;
  - (d) in the case of any foreshore, fishery or land which belongs to or is under the management of a government department, means that government department.
- (9) In this section "foreshore" includes the shore and bed of the sea and of every channel, creek, bay, estuary and navigable river as far as the tide flows.

# **Marginal Citations**

M69 1975 c. 51.

#### 116 Power to give effect to international obligations.

Each of the Ministers shall have power by regulations to provide that the provisions of this Part or of any other enactment relating to the carrying out by the Authority of such of its functions as relate to fisheries shall have effect with such modifications as may be prescribed by the regulations for the purpose of enabling Her Majesty's Government in the United Kingdom to give effect—

- (a) to any Community obligations; or
- (b) to any international agreement to which the United Kingdom is for the time being a party.

#### PART VI

#### FINANCIAL PROVISIONS IN RELATION TO THE AUTHORITY

#### CHAPTER I

# GENERAL FINANCIAL PROVISIONS

# 117 General financial duties.

(1) Subject to section 118 below, the Ministers may, after consultation with the Authority and with the Treasury's approval, determine the financial duties of the Authority, and

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different determinations may be made for different functions and activities of the Authority.

- (2) The Ministers shall give the Authority notice of every determination under this section, and such a determination may—
  - (a) relate to a period beginning before the date on which it is made;
  - (b) contain supplemental provisions; and
  - (c) be varied by a subsequent determination.
- (3) Subject to sections 118(1) and 119(2) below, where it appears to the Secretary of State that the Authority has a surplus, whether on capital or revenue account, the Secretary of State may, after consultation with the Treasury and the Authority, direct the Authority to pay to him such amount not exceeding the amount of that surplus as may be specified in the direction.
- (4) Any sum received by the Secretary of State under subsection (3) above shall be paid into the Consolidated Fund.

# 118 Special duties with respect to flood defence revenue.

- (1) Revenue raised by the Authority as mentioned in subsection (2) below—
  - (a) shall, except for any amount falling within subsection (3) below, be spent only in the carrying out of the Authority's flood defence functions in or for the benefit of the local flood defence district in which it is raised; and
  - (b) shall be disregarded in determining the amount of any surplus for the purposes of section 117(3) above.
- (2) The revenue referred to in subsection (1) above is revenue raised by the Authority in a local flood defence district—
  - (a) by virtue of any regulations under section 74 of the M70 Local Government Finance Act 1988 (power to issue levies);
  - (b) by general drainage charges under sections 134 to 136 below;
  - (c) by special drainage charges under sections 137 and 138 below; or
  - (d) by contributions required under section 139(1) below.
- (3) An amount falls within this subsection if it is an amount which the Authority considers it appropriate—
  - (a) to set aside towards research or related activities or towards meeting the Authority's administrative expenses; or
  - (b) to be paid by way of contribution towards expenses incurred by the Authority or any regional flood defence committee under arrangements made for the purposes of section 106(1)(b) above.
- (4) Any amount specified in a resolution under section 58(1)(b) of the M71Land Drainage Act 1991 in relation to any local flood defence district (allocation of revenue in lieu of contributions) shall be treated for the purposes of this section as if it were revenue actually raised by contributions required under section 139(1) below.
- (5) For the purposes of this section, the following sums, that is to say—
  - (a) any sums held by the Authority by virtue of any transfer of property, rights or liabilities from a water authority in accordance with a scheme under Schedule 2 to the M72Water Act 1989, in so far as those sums represent amounts which the water authority was required by virtue of paragraph 31 of

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Schedule 3 to the M73Water Act 1973 to spend only in the discharge of their land drainage functions in or for the benefit of a particular local land drainage district; and

(b) any sums raised by the Authority in a flood defence district by virtue of a precept issued under section 46 of the M74Land Drainage Act 1976,

shall be treated as revenue raised by the Authority as mentioned in subsection (2) above in the corresponding local flood defence district or, as the case may be, in that local flood defence district.

(6) For the purposes of this section so much of the area of a regional flood defence committee as is an area in relation to which no local flood defence scheme is in force shall be treated as a single local flood defence district.

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Marginal Citations
M70 1988 c. 41.
M71 1991 c. 59.
M72 1989 c. 15.
M73 1973 c. 37.
M74 1976 c. 70.
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# 119 Duties with respect to certain funds raised under local enactments.

- (1) The funds which the Authority is required at the coming into force of this section under subsection (1) of section 88 of the M75 Water Resources Act 1963 (funds held for particular purposes under local statutory provisions) to use only for particular purposes and any interest in any such funds shall not be used except for the purposes for which they could be used by virtue of that subsection.
- (2) Any funds to which subsection (1) above applies shall be disregarded in determining the amount of any surplus under section 117(3) above.

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Marginal Citations
M75 1963 c. 38.
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#### 120 Contributions between the Authority and certain other authorities.

- (1) Where, on the application of a navigation authority, harbour authority or conservancy authority, it appears to the Authority that any works constructed or maintained by the applicants have made, or will make, a beneficial contribution towards the fulfilment of the purposes of the Authority's water resources functions, the Authority shall contribute towards the expenditure incurred or to be incurred by the applicants in constructing or maintaining those works.
- (2) Where, on the application of the Authority, it appears to a navigation authority, harbour authority or conservancy authority that any works constructed or maintained by the Authority in the carrying out of its water resources functions have made, or will make, a beneficial contribution towards the carrying out of the functions of the authority to whom the application is made, that authority shall contribute to the Authority

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towards the expenditure incurred or to be incurred by the Authority in constructing or maintaining those works.

- (3) Subject to the following provisions of this section, the sums to be paid by way of contribution and the terms and conditions on which they are to be paid shall be such as the Authority and the other authority concerned may agree to be appropriate.
- (4) If on any application under this section—
  - (a) the Authority or, as the case may be, the other authority to whom the application is made refuses to make a contribution; or
  - (b) the Authority and the other authority concerned are unable to agree as to the sums to be contributed or the terms and conditions on which they are to be contributed,

the Authority or the other authority concerned may refer the matter in dispute to the Secretary of State.

- (5) On a reference under subsection (4) above the Secretary of State may either—
  - (a) determine that matter himself; or
  - (b) refer it for determination to an arbitrator appointed by him for the purpose; and where any decision has been made by the Secretary of State or an arbitrator under this subsection, the decision shall be final and a contribution shall be made in accordance with the decision as if the sums, terms or conditions determined under this subsection had been agreed to be appropriate as mentioned in subsection (3) above.
- (6) Any expenditure incurred by a navigation authority, harbour authority or conservancy authority in paying any contribution under this section shall be defrayed in the like manner as any corresponding expenditure of that authority; and that authority shall have the same powers for the purpose of raising money required for paying any such contribution as they would have for the purpose of raising money required for defraying any corresponding expenditure of that authority.
- (7) In subsection (6) above the references to corresponding expenditure of a navigation authority, harbour authority or conservancy authority, in relation to the payment of a contribution in respect of any works, are references to expenditure incurred by the authority in performing the functions in respect of which it is claimed by the Authority that the works have made, or will make, such a beneficial contribution as is mentioned in subsection (2) above.
- (8) References in this section to the water resources functions of the Authority are references to the functions of the Authority under Part II of this Act or under any provisions not contained in that Part which are related water resources provisions in relation to Chapter II of that Part.

### 121 Accounts of the Authority.

- (1) It shall be the duty of the Authority—
  - (a) to keep proper accounts and proper records in relation to the accounts; and
  - (b) to prepare in respect of each accounting year a statement of accounts giving a true and fair view of the state of affairs and the income and expenditure of the Authority.
- (2) Every statement of accounts prepared by the Authority in accordance with this section shall comply with any requirement which the Ministers have, with the consent of the

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Treasury, notified in writing to the Authority and which relates to any of the following matters, namely—

- (a) the information to be contained in the statement;
- (b) the manner in which that information is to be presented;
- (c) the methods and principles according to which the statement is to be prepared.
- (3) Subject to subsection (4) below, in this section and section 122 below "accounting year", in relation to the Authority, means a financial year.
- (4) If the Secretary of State so directs in relation to any accounting year of the Authority, that accounting year shall end with such date other than the next 31st March as may be specified in the direction; and, where the Secretary of State has given such a direction, the following accounting year shall begin with the day after the date so specified and, subject to any further direction under this subsection, shall end with the next 31st March.

#### 122 Audit.

- (1) The accounts of the Authority shall be audited by auditors appointed for each accounting year by the Secretary of State.
- (2) A person shall not be qualified for appointment for the purposes of subsection (1) above unless he is—
  - (a) a member of a body of accountants established in the United Kingdom and recognised for the purposes of section 389(1)(a) of the M76Companies Act 1985; or
  - (b) a member of the Chartered Institute of Public Finance and Accountancy; but a firm may be so appointed if each of its members is qualified to be so appointed.
- (3) A copy of any accounts of the Authority which are audited under subsection (1) above and of the report made on those accounts by the auditors shall be sent to each of the Ministers as soon as reasonably practicable after the report is received by the Authority; and the Secretary of State shall lay a copy of any accounts or report sent to him under this subsection before Parliament.
- (4) The Comptroller and Auditor General shall be entitled to inspect the contents of all books, papers and other records of the Authority relating to, or to matters dealt with in, the accounts required to be kept by virtue of section 121 above; and, accordingly, section 6 of the M77National Audit Act 1983 (examinations of economy, efficiency and effectiveness) shall apply to the Authority.
- (5) In this section "accounts", in relation to the Authority, includes any statement under section 121 above.

#### **Marginal Citations**

M76 1985 c. 6.

**M77** 1983 c. 44.

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#### CHAPTER II

#### **REVENUE PROVISIONS**

## Water resources charges

#### 123 Power to make scheme imposing water resources charges.

- (1) Where—
  - (a) an application is made for any licence under Chapter II of Part II of this Act or for the variation of, or of the conditions of, any such licence;
  - (b) a licence under that Chapter to abstract water is granted to any person or there is a variation of any such licence or of the conditions of any such licence; or
  - (c) a licence under that Chapter to abstract water is for the time being in force, the Authority may require the payment to it of such charges as may be specified in or

the Authority may require the payment to it of such charges as may be specified in or determined under a scheme made by it under this section.

- (2) The persons who shall be liable to pay charges which are required to be paid by virtue of a scheme under this section shall be—
  - (a) in the case of a charge by virtue of subsection (1)(a) above, the person who makes the application; and
  - (b) in the case of a charge by virtue of subsection (1)(b) or (c) above, the person to whom the licence is granted or, as the case may be, the person holding the licence which is varied or is in force.
- (3) Provision made by a scheme for the purposes of subsection (1)(c) above may impose a single charge in respect of the whole period for which a licence is in force or separate charges in respect of different parts of that period or both such a single charge and such separate charges.
- (4) The Authority shall not make a scheme under this section unless its provisions have been approved by the Secretary of State under section 124 below.
- (5) A scheme under this section may—
  - (a) make provision with respect to the times and methods of payment of the charges which are required to be paid by virtue of the scheme;
  - (b) make different provision for different cases, including different provision in relation to different circumstances or localities; and
  - (c) contain supplemental, consequential and transitional provision for the purposes of the scheme;

and such a scheme may revoke or amend a previous scheme under this section.

- (6) It shall be the duty of the Authority to take such steps as it considers appropriate for bringing the provisions of any scheme under this section which is for the time being in force to the attention of persons likely to be affected by them.
- (7) A scheme under this section shall have effect subject to any provision made by or under section 58 above or sections 125 to 130 below.

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### 124 Approval of scheme under section 123.

- (1) Before submitting a scheme under section 123 above to the Secretary of State for his approval the Authority shall, in such manner as it considers appropriate for bringing it to the attention of persons likely to be affected by the scheme, publish a notice—
  - (a) setting out its proposals; and
  - (b) specifying the period within which representations or objections with respect to the proposals may be made to the Secretary of State.
- (2) Where any proposed scheme under section 123 above has been submitted to the Secretary of State for his approval, it shall be the duty of the Secretary of State, in determining whether or not to approve the scheme or to approve it subject to modifications—
  - (a) to consider any representations or objections duly made to him and not withdrawn; and
  - (b) to have regard to the matters specified in subsection (3) below.
- (3) The matters mentioned in subsection (2) above are—
  - (a) the desirability of ensuring that the amounts recovered by the Authority by way of charges fixed by or under schemes under section 123 above are the amounts which, taking one year with another, are required by the Authority for recovering such amounts as the Secretary of State may consider it appropriate to attribute to the expenses incurred by the Authority in carrying out its functions under Part II of this Act; and
  - (b) the need to ensure that no undue preference is shown, and that there is no undue discrimination, in the fixing of charges by or under any scheme under that section.
- (4) For the purposes of subsection (3)(a) above—
  - (a) the Secretary of State shall take into account any determinations under section 117 above in determining the amounts which he considers it appropriate to attribute to the expenses incurred by the Authority in carrying out its functions under Part II of this Act; and
  - (b) those amounts may include amounts in respect of the depreciation of, and the provision of a return on, such of the Authority's assets as are held by it for purposes connected with the carrying out of those functions.
- (5) The consent of the Treasury shall be required for the giving of an approval to a scheme under section 123 above.

# 125 Specific exemptions from water resources charges.

- (1) No charges, other than those for the purpose of recovering administrative expenses attributable to the exercise by the Authority of its functions in relation to the application for the licence, shall be levied in respect of water authorised by a licence to be abstracted for use in the production of electricity or any other form of power by any generating station or apparatus of a capacity of not more than five megawatts.
- (2) No charges shall be levied in respect of water authorised by a licence to be abstracted from underground strata, in so far as—
  - (a) the water is authorised to be abstracted for use for agricultural purposes other than spray irrigation; and

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(b) the quantity of water authorised to be abstracted from the strata in any period of twenty-four hours does not exceed twenty cubic metres in aggregate.

**Modifications etc. (not altering text)** 

C56 S. 125(2) modified (18.5.1992) by S.I. 1992/1096, arts. 3, 4,Sch.

# 126 Agreements containing exemptions from charges.

- (1) The Authority may, on the application of any person who is liable to pay charges to the Authority for the abstraction of water under a licence under Chapter II of Part II of this Act, make an agreement with him either exempting him from the payment of charges or providing for charges to be levied on him at reduced rates specified in the agreement.
- (2) In the exercise of its powers under subsection (1) above in relation to any person, the Authority shall have regard to—
  - (a) the extent to which any works constructed at any time by that person or any works to be constructed by him have made, or will make, a beneficial contribution towards the fulfilment of the purposes of the functions of the Authority under any enactment;
  - (b) any financial assistance which that person has rendered, or has agreed to render, towards the carrying out of works by the Authority in the performance of those functions; and
  - (c) any other material considerations.
- (3) The Secretary of State may give directions as to the exercise by the Authority of its powers under subsection (1) above.
- (4) Without prejudice to the exercise of the power conferred by subsection (3) above, if on any application under this section—
  - (a) the Authority refuses to make an agreement with the applicant as mentioned in subsection (1) above; or
  - (b) the applicant objects to the terms of such an agreement as proposed by the Authority and that objection is not withdrawn,

the applicant or the Authority may refer the question in dispute to the Secretary of State.

- (5) On a reference under subsection (4) above—
  - (a) the Secretary of State shall determine the question in dispute, having regard to the matters to which, in accordance with subsection (2) above, the Authority was required to have regard in relation to the applicant; and
  - (b) may give directions to the Authority requiring it to make an agreement with the applicant in accordance with his decision.
- (6) Section 68 above shall have effect for the purposes of so much of this section as relates to a reference to the Secretary of State as if references in that section to Chapter II of Part II of this Act included references to this section.
- (7) Any decision of the Secretary of State on a reference under subsection (4) above shall be final; and section 69 above shall apply in relation to the decision on a reference under this section as it applies in relation to a decision on a reference such as is

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mentioned in subsection (1)(b) of that section, but as if references to the other party were references to the applicant.

#### 127 Special charges in respect of spray irrigation.

- (1) Where a person ("the applicant") is for the time being the holder of a licence under Chapter II of Part II of this Act to abstract water ("the applicant's licence"), and in accordance with the provisions of that licence—
  - (a) the water is to be used on land of which the applicant is the occupier; and
  - (b) the purposes for which water abstracted in pursuance of the licence is to be used consist of or include spray irrigation,

the applicant may apply to the Authority to make an agreement with him under this section and, subject to the following provisions of this section and sections 128 and 129 below, the Authority may make such an agreement accordingly.

- (2) During any period for which an agreement under this section is in force, the following charges shall be payable by the applicant to the Authority in respect of the applicant's licence, in so far as it relates to water authorised to be abstracted and used on the relevant land, that is to say—
  - (a) basic charges calculated, in accordance with the agreement, by reference to the quantity of water authorised to be so abstracted and used from time to time in pursuance of the licence; and
  - (b) supplementary charges calculated, in accordance with the agreement, by reference to the quantity of water which is measured or assessed as being abstracted from time to time by or on behalf of the applicant from the source of supply to which the applicant's licence relates for use on the relevant land.

# (3) In determining—

- (a) whether to make an agreement with the applicant under this section; and
- (b) the charges to be leviable under such an agreement,

the Authority shall have regard to the extent to which, in any year within the period proposed to be specified in the agreement as the period for which it is made, the quantity of water referred to in paragraph (a) of subsection (2) above is likely to exceed the quantity referred to in paragraph (b) of that subsection.

- (4) Where the applicant's licence authorises water abstracted in pursuance of the licence to be used on the relevant land for purposes which include spray irrigation and other purposes—
  - (a) any agreement made under this section shall provide for apportioning, as between those purposes respectively, the quantity referred to in paragraph (a) of subsection (2) above and the quantity referred to in paragraph (b) of that subsection;
  - (b) subsection (2) above shall have effect as if in each of those paragraphs the reference to the quantity of water mentioned in that paragraph were a reference to so much of that quantity as in accordance with the agreement is apportioned to the purpose of spray irrigation; and
  - (c) in subsection (3) above any reference to either of those paragraphs shall be construed as a reference to that paragraph as modified by paragraph (b) of this subsection.
- (5) An application under subsection (1) above may be made by a person who has applied for, but is not yet the holder of, a licence under Chapter II of Part II of this Act to

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abstract water; and, in relation to an application so made or to an agreement made on such an application—

- (a) the reference in that subsection to the provisions of the applicant's licence shall be construed as a reference to the proposals contained in the application for a licence; and
- (b) any other reference in this section or in section 128 or 129 below to the applicant's licence shall be construed as a reference to any licence granted to the applicant in pursuance of the application mentioned in paragraph (a) above or in pursuance of an appeal consequential upon the application so mentioned.
- (6) In this section and sections 128 and 129 below—

"the applicant" and "the applicant's licence" shall be construed, subject to subsection (5) above, in accordance with subsection (1) above;

"the relevant land" means the land on which the applicant's licence, as for the time being in force, authorises water abstracted in pursuance of the licence to be used for purposes which consist of or include spray irrigation; and

"year" means a period of twelve months beginning-

- (a) with the date on which an agreement under this section comes into force or is proposed to come into force; or
- (b) with an anniversary of that date.

#### **Modifications etc. (not altering text)**

C57 S. 127(1)(4) modified (18.5.1992) by S.I. 1992/1096, arts. 3, 4,Sch.

#### 128 Duration of agreement under section 127.

- (1) The period specified in an agreement under section 127 above as the period for which it is made shall not be less than five years.
- (2) An agreement under section 127 above shall remain in force until the occurrence of whichever of the following events first occurs, that is to say—
  - (a) the period specified in the agreement, as mentioned in subsection (1) above, comes to an end;
  - (b) the applicant's licence expires or is revoked;
  - (c) the applicant ceases to be the occupier of the relevant land or, if he has previously ceased to be the occupier of a part or parts of that land, ceases to be the occupier of the remainder of it;
  - (d) the agreement is terminated under subsection (4) below.
- (3) At any time while an agreement under section 127 above is in force, the applicant may apply to the Authority to terminate the agreement.
- (4) If, on an application for the termination of an agreement under section 127 above, the Authority is satisfied that, by reason of any change of circumstances since the agreement was made, it ought to be terminated, it may terminate the agreement, either unconditionally or subject to such conditions (whether as to any payment to be made by the applicant or otherwise) as the Authority and the applicant may agree.

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# Directions and appeals with respect to exercise of powers under sections 127 and 128.

- (1) The Secretary of State may give directions as to the exercise by the Authority of its powers under sections 127 and 128 above.
- (2) Without prejudice to the exercise of the power conferred by subsection (1) above, if on any application under section 127 or 128 above—
  - (a) the Authority refuses to make or terminate an agreement under section 127 above; or
  - (b) the applicant objects to the proposals of the Authority—
    - (i) as to the terms of such an agreement; or
    - (ii) as to the conditions subject to which such an agreement is to be terminated,

and that objection is not withdrawn,

the applicant or the Authority may refer the question in dispute to the Secretary of State.

- (3) On a reference under subsection (2) above—
  - (a) the Secretary of State shall determine the question in dispute, having regard to the matters to which, in accordance with subsection (3) of section 127 above, the Authority would be required to have regard in relation to the applicant on an application under that section; and
  - (b) may give directions to the Authority requiring it to make an agreement with the applicant in accordance with his decision.
- (4) Section 68 above shall have effect for the purposes of so much of this section as relates to a reference to the Secretary of State as if references in that section to Chapter II of Part II of this Act included references to this section.
- (5) Any decision of the Secretary of State on a reference under subsection (2) above shall be final; and section 69 above shall apply in relation to the decision on a reference under this section as it applies in relation to a decision on a reference such as is mentioned in subsection (1)(b) of that section, but as if references to the other party were references to the applicant.

# 130 Charges in respect of abstraction from waters of British Waterways Board.

- (1) Where the British Waterways Board are the holders of a licence under Chapter II of Part II of this Act authorising abstraction from any inland waters to which section 66 above applies, then, the charges which, apart from this subsection, would be payable in respect of that licence either—
  - (a) shall be reduced to such extent, and as so reduced shall be payable subject to such conditions; or
  - (b) shall not be payable,

as the Board and the Authority may agree or, in default of such agreement, the Secretary of State may determine.

- (2) Where—
  - (a) a person other than the British Waterways Board is the holder of a licence under Chapter II of Part II of this Act authorising abstraction from any inland waters to which section 66 above applies; and

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(b) any charges in respect of that licence are payable,

the Authority shall pay to the Board such proportion of those charges, subject to such conditions, as the Board and the Authority may agree, or, in default of such agreement, the Secretary of State may determine.

Charges in connection with control of pollution

# 131 Power to make scheme of charges.

- (1) Where—
  - (a) an application is made to the Authority for a Part III consent;
  - (b) the Authority gives a Part III consent otherwise than in a case where an application for a consent was made under paragraph 1 of Schedule 10 to this Act; or
  - (c) a Part III consent is for the time being in force,

the Authority may require the payment to it of such charges as may be specified in or determined under a scheme made by it under this section.

- (2) The persons who shall be liable to pay charges which are required to be paid by virtue of a scheme under this section shall be—
  - (a) in the case of a charge by virtue of subsection (1)(a) above, the person who makes the application;
  - (b) in the case of a charge by virtue of subsection (1)(b) above, any person who is authorised to do anything by virtue of the consent and on whom the instrument giving the consent is served; and
  - (c) in the case of a charge by virtue of subsection (1)(c) above, any person who makes a discharge in pursuance of the consent at any time during the period to which, in accordance with the scheme, the charge relates.
- (3) Provision made by a scheme for the purposes of subsection (2)(c) above may impose a single charge in respect of the whole period for which the consent is in force or separate charges in respect of different parts of that period or both such a single charge and such separate charges.
- (4) The Authority shall not make a scheme under this section unless its provisions have been approved by the Secretary of State under section 132 below.
- (5) A scheme under this section may—
  - (a) make provision with respect to the times and methods of payment of the charges which are required to be paid by virtue of the scheme;
  - (b) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
  - (c) contain supplemental, consequential and transitional provision for the purposes of the scheme;

and such a scheme may revoke or amend a previous scheme under this section.

- (6) It shall be the duty of the Authority to take such steps as it considers appropriate for bringing the provisions of any scheme under this section which is for the time being in force to the attention of persons likely to be affected by them.
- (7) In this section "a Part III consent" means a consent for the purposes of section 88(1) (a), 89(4)(a) or 90 above.

#### Approval of scheme under section 131. 132

- (1) Before submitting a scheme under section 131 above to the Secretary of State for his approval the Authority shall, in such manner as it considers appropriate for bringing it to the attention of persons likely to be affected by it, publish a notice
  - setting out its proposals; and
  - specifying the period within which representations or objections with respect to the proposals may be made to the Secretary of State.
- (2) Where any proposed scheme under section 131 above has been submitted to the Secretary of State for his approval, it shall be the duty of the Secretary of State, in determining whether or not to approve the scheme or to approve it subject to modifications
  - to consider any representations or objections duly made to him and not withdrawn; and
  - to have regard to the matters specified in subsection (3) below.
- (3) The matters mentioned in subsection (2) above are
  - the desirability of ensuring that the amount recovered by the Authority by way of charges fixed by or under schemes under section 131 above does not exceed, taking one year with another, such amount as appears to the Secretary of State to be reasonably attributable to the expenses incurred by the Authority in carrying out its functions under the consent provisions and otherwise in relation to discharges into controlled waters; and
  - the need to ensure that no undue preference is shown, and that there is no undue discrimination, in the fixing of charges by or under the scheme.
- (4) The consent of the Treasury shall be required for the giving of the Secretary of State's approval to a scheme under section 131 above.
- (5) In this section—

"the consent provisions" means the provisions of Schedule 10 to this Act, together with the provisions of section 91 above and of this section and section 131 above;

"controlled waters" has the same meaning as in Part III of this Act.

Levies by the Authority on local authorities

#### 133 Power to authorise the Authority to issue levies.

For the purposes of its flood defence functions the Authority shall be a levying body within the meaning of section 74 of the M78 Local Government Finance Act 1988 (power to make regulations authorising a levying body to issue a levy); and that section shall have effect accordingly.

**Marginal Citations** M78 1988 c. 41.

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# General drainage charges

# 134 Raising of general drainage charges.

- (1) Subject to subsection (2) below, the Authority may raise at an amount per hectare of chargeable land in a local flood defence district a charge to be known as a general drainage charge and to be levied in accordance with sections 135 and 136 below.
- (2) The Authority shall not levy a general drainage charge in respect of any local flood defence district unless the regional flood defence committee for the area in which that district is situated have recommended that such a charge should be raised.
- (3) For the purposes of this section and sections 135 and 136 below the area of a regional flood defence committee in relation to which no local flood defence scheme is in force shall be treated as a single local flood defence district; and any parts of such an area in relation to which no such scheme is in force shall be treated as included in a single such district.

#### **Modifications etc. (not altering text)**

C58 S. 134 restricted (1.12.1991) by Water Consolidation (Consequential Provisions) Act 1991 (c. 60, SIF 130), ss. 2(2), 4(2), Sch. 2 Pt. I para. 2(2)

# 135 Amount, assessment etc. of general drainage charge.

- (1) A general drainage charge raised by the Authority for a local flood defence district for any year shall be at a uniform amount per hectare of chargeable land in that district.
- (2) The uniform amount referred to in subsection (1) above shall be ascertained, subject to subsection (3) below, by multiplying the relevant quotient determined in accordance with section 136 below by one penny and by such number as may be specified by either of the Ministers by order made for the purposes of this subsection.
- (3) The number specified in an order under this section for the purposes of subsection (2) above shall (apart from any adjustment made to it to take account of rough grazing land) be such as the Minister making the order considers will secure, so far as reasonably practicable, that the amount specified in paragraph (a) below will be equal to the amount specified in paragraph (b) below, that is to say—
  - (a) the aggregate amount produced by any charge levied by reference to a relevant quotient determined under section 136 below; and
  - (b) the aggregate amount which, if the chargeable land in the local flood defence district had been liable to be rated for the financial year beginning in 1989, would have been produced by a rate levied on the land at an amount in the pound (of rateable value) equal to that quotient multiplied by one penny.
- (4) An order under this section may be made so as to apply either—
  - (a) to all general drainage charges; or
  - (b) to the general drainage charges proposed to be raised in any one or more local flood defence districts specified in the order;

and any such order applying to more than one local flood defence district may make different provision as respects the different districts to which it applies.

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- (5) Schedule 15 to this Act shall have effect with respect to the assessment, incidence, payment and enforcement of general drainage charges.
- (6) The power of each of the Ministers to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.

#### **Modifications etc. (not altering text)**

C59 S. 135 restricted (1.12.1991) by Water consolidation (Consequential Provisions) Act 1991 (c. 60, SIF 130), ss. 2(2), 4(2), Sch. 2 Pt. I para. 2(2)

# 136 Determination of the relevant quotient.

(1) The relevant quotient for the purposes of section 135(2) above shall, in relation to any local flood defence district, be determined by the application of the following formula—

$$\left(\frac{A}{B} \times \frac{D}{E}\right) \div C = \text{relevant quotient}$$

where-

"A" means the aggregate amount demanded by the precepts issued in respect of that district under subsection (3) of section 46 of the M79 Land Drainage Act 1976 in respect of the financial year beginning in 1989;

"B" means the aggregate amount of the estimated penny rate products on the basis of which the aggregate amount so demanded was apportioned in pursuance of subsection (1) of that section in respect of that financial year;

"C" means the amount ascertained by dividing the aggregate amount so demanded by the number of the relevant population of that district for the financial year beginning in 1990;

"D" means the aggregate amount of the levies issued by the Authority in respect of that district under the M80 National Rivers Authority (Levies) Regulations 1990 for the financial year in respect of which the drainage charge in question is raised; and

"E" means the relevant population of that district for the financial year in respect of which that charge is raised.

- (2) For the purposes of this section the relevant population of a local flood defence district for any financial year is the aggregate of—
  - (a) the relevant population for that year of the area of each charging authority the whole of whose area falls within that district; and
  - (b) the relevant population of such parts of the areas of any other charging authorities as fall within that district.
- (3) For the purposes of subsection (2) above—
  - (a) the relevant population for any financial year of the area of an English charging authority shall be taken to be the relevant population of that area for

Part VI – FINANCIAL PROVISIONS IN RELATION TO THE AUTHORITY

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- that year as calculated under paragraph 4 of Schedule 12A to the M81Local Government Finance Act 1988;
- (b) the relevant population for any financial year of the area of a Welsh charging authority shall be taken to be the relevant population of that area for that year as calculated in accordance with rules for the time being effective (as regards that year) under regulations made under paragraph 5(1) of that Schedule;
- (c) the relevant population for any financial year of any part of the area of a charging authority shall be taken to be the relevant population of that part of that area for that year as calculated in accordance with rules for the time being effective (as regards that year) under regulations made under paragraph 6(2) of that Schedule;

and, accordingly, any such regulations as are mentioned in paragraph (b) or (c) above shall have effect for the purposes of this section as they have effect for the purposes of section 69 of that Act.

(4) In this section "charging authority" has the same meaning as in the M82Local Government Finance Act 1988.

## **Modifications etc. (not altering text)**

C60 S. 136 restricted (1.12.1991) by Water Consolidation (Consequential Provisions) Act 1991 (c. 60, SIF 130), ss. 2(2), 4(2), sch. 2 Pt. I para. 2(2)

# **Marginal Citations**

**M79** 1976 c. 70.

**M80** S.I. 1990/118.

M81 1988 c. 41.

M82 1988 c. 41.

# Special drainage charges

# 137 Special drainage charges in interests of agriculture.

- (1) Where it appears to the Authority that the interests of agriculture require the carrying out, improvement or maintenance of drainage works in connection with any watercourses in the area of any regional flood defence committee, the Authority may submit to either of the Ministers for confirmation a scheme under this section with respect to those watercourses.
- (2) A scheme under this section with respect to any watercourses is a scheme—
  - (a) designating those watercourses, and any watercourses connected with them, for the purposes of this section; and
  - (b) making provision for the raising, in accordance with section 138 below, of a charge (known as a "special drainage charge") for the purpose of meeting the expenses of drainage works in connection with the designated watercourses and any expenses arising from such works.
- (3) A scheme under this section shall designate for the purposes of the special drainage charge so much of the area of the regional flood defence committee as consists of land which, in the opinion of the Authority, is agricultural land that would benefit from drainage works in connection with the designated watercourses.

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- (4) The watercourses designated in any scheme under this section shall, if the scheme is confirmed, be treated for the purposes of this Act and the M83Land Drainage Act 1991 as part of a main river.
- (5) A scheme under this section-
  - (a) may make provision for any of the matters referred to in subsections (1) and (2) of section 108 above; and
  - (b) may provide for the revocation or amendment of, and for the retransfer of property, rights, powers, duties, obligations and liabilities transferred by, any previous scheme under this section.
- (6) Schedule 16 to this Act shall have effect with respect to the making and confirmation of schemes under this section.
- (7) For the purposes of this section—
  - (a) the reference to expenses of drainage works is a reference to expenses incurred in the construction, improvement or maintenance of drainage works;
  - (b) the expenses of any drainage works which may be necessary in consequence of other drainage works, and so much of any contribution made under section 57 of the M84Land Drainage Act 1991 as is fairly attributable to such expenses, shall be deemed to be expenses arising from those other drainage works; and
  - (c) the expenses of any drainage works shall be taken (without prejudice to section 221(5) below) to include a proper proportion of the cost of the officers and buildings and establishment of the authority carrying them out.
- (8) In this section and Schedule 16 to this Act "watercourse" has the same meaning as in Part IV of this Act.

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Marginal Citations
M83 1991 c. 59.
M84 1991 c. 59.
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#### 138 Levving and amount of special drainage charge.

- (1) A special drainage charge shall be levied by the Authority in respect of chargeable land included in the area designated for the purposes of the charge by the scheme authorising it ("the relevant chargeable land").
- (2) The special drainage charge raised for any year shall be at a uniform amount per hectare of the relevant chargeable land.
- (3) The uniform amount referred to in subsection (2) above shall be determined by the regional flood defence committee for the area which includes the relevant chargeable land but shall exceed neither—
  - (a) an amount to be specified in the scheme as the maximum amount of the charge or such greater amount as may be authorised for the purposes of the scheme by an order made by one of the Ministers on the application of the Authority; nor
  - (b) twenty-five pence or such other amount as may be substituted for twenty-five pence by an order made by one of the Ministers and approved by a resolution of the House of Commons.

Part VI – FINANCIAL PROVISIONS IN RELATION TO THE AUTHORITY

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- (4) Before either of the Ministers makes an order under subsection (3)(a) above he shall—
  - (a) consult with such of the associations and persons concerned as he considers appropriate;
  - (b) cause a notice of his intention to make the order, and of the time (which shall not be less than thirty days) within which objections to the proposed order may be made to him, to be published in such manner as he thinks best adapted for informing persons affected;
  - (c) if he considers it necessary, afford such persons an opportunity of appearing before and being heard by a person appointed by him for the purpose; and
  - (d) consider the report of the person so appointed and any objections duly made.
- (5) An order under subsection (3)(b) above may be made so as to apply—
  - (a) to special drainage charges in general; or
  - (b) to the special drainage charges proposed to be raised in respect of such areas of regional flood defence committees as may be specified in the order; or
  - (c) to special drainage charges proposed to be raised in pursuance of one or more schemes made under section 137 above and so specified;

and any such order applying to the charges proposed to be raised in respect of more than one area of a regional flood defence committee, or authorised by more than one such scheme, may make different provision for the charges in respect of different areas or, as the case may be, the charges authorised by the different schemes.

- (6) The power of each of the Ministers to make an order under subsection (3)(b) above shall be exercisable by statutory instrument; and section 14 of the M85 Interpretation Act 1978 (power to revoke or amend orders made by statutory instrument) shall apply to the power to make orders under subsection (3)(a) above as it applies, by virtue of this subsection, to the power to make orders under subsection (3)(b) above.
- (7) Schedule 15 to this Act shall have effect with respect to the assessment, incidence, payment and enforcement of special drainage charges.

Marginal Citations M85 1978 c. 30.

# Revenue from internal drainage boards

# 139 Contributions from internal drainage boards.

- (1) Subject to subsections (2) and (3) below, the Authority shall by resolution require every internal drainage board to make towards the expenses of the Authority such contribution as the Authority may consider to be fair.
- (2) Subject to subsection (3) below, where an internal drainage district ("the main internal drainage district") comprises two or more other internal districts ("minor internal drainage districts"), the Authority shall not require the drainage board for that district to make any contribution towards the expenses of the Authority except in respect of such part, if any, of that district as is not situated within any minor internal drainage district.

- (3) Notwithstanding subsection (2) above, the Authority, after determining what contribution should be made by the drainage board for each of the minor internal drainage districts, may, if it thinks fit, require the drainage board for the main internal drainage district to pay direct to the Authority an amount equal to the aggregate of those contributions.
- (4) If the Authority make a requisition under subsection (3) above, the drainage board of the main internal drainage district shall raise the amount paid by them under that subsection to the Authority by means of drainage rates levied by them within, or special levies issued in respect of, the main internal drainage district or, as the case may be, such part of that district as is situated within a minor internal drainage district.
- (5) Without prejudice to subsection (3) of section 140 below, a resolution under this section may be acted upon by the Authority forthwith, notwithstanding that the time for bringing an appeal under that section has not expired or that an appeal so brought is pending.

# 140 Appeals in respect of resolutions under section 139.

(1) If—

- (a) an internal drainage board is aggrieved by a resolution of the Authority under section 139 above determining the amount of any contribution; or
- (b) the council of any county or London borough is aggrieved by any such resolution on the ground that the amount of the contribution required to be made by an internal drainage board is inadequate,

the board or council may, within six weeks after the date on which notice of the resolution is given by the Authority to the internal drainage board in question, appeal to the relevant Minister against the resolution.

- (2) On an appeal under this section the relevant Minister may, after—
  - (a) considering any objections made to him; and
  - (b) if he thinks fit, holding a local public inquiry,

make such an order in the matter as he thinks just.

- (3) Where the Authority has acted on a resolution by virtue of section 139(5) above and an appeal is brought in respect of the resolution, the relevant Minister shall by his order direct such adjustment to be made in respect of any sums recovered or paid in pursuance of the resolution as may be necessary for giving effect to his decision.
- (4) Where the relevant Minister makes an order under this section, he shall lay before Parliament particulars of the matter in respect of which the appeal was made and of the reasons for his order.
- (5) Compliance with any order made by the relevant Minister under this section may be enforced by mandamus.
- (6) In this section "the relevant Minister"—
  - (a) in relation to an internal drainage district wholly in Wales or the drainage board for such a district, means the Secretary of State;
  - (b) in relation to an internal drainage district partly in Wales or the drainage board for such a district, means the Ministers; and
  - (c) in any other case, means the Minister.

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#### **Modifications etc. (not altering text)**

C61 S. 140 extended (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1) ss. 58(7), 76(2)

# 141 Precepts for recovery of contributions from internal drainage boards.

- (1) The Authority may issue precepts to internal drainage boards requiring payment of any amount required to be contributed by those boards under section 139 above.
- (2) An internal drainage board shall pay, in accordance with any precept issued to them under this section, the amount thereby demanded.
- (3) It shall be the duty of the Authority to prepare, in such form as the relevant Minister may direct, a statement of—
  - (a) the purposes to which the amount demanded by any precept issued by the Authority under this section is intended to be applied; and
  - (b) the basis on which it is calculated:
  - and an internal drainage board shall not be liable to pay the amount demanded by any such precept until they have received such a statement.
- (4) Compliance with any precept issued by the Authority in accordance with this section may be enforced by mandamus.
- (5) In this section "the relevant Minister" has the same meaning as in section 140 above.

#### Fisheries contributions

#### 142 Fisheries contributions.

- (1) Each of the Ministers shall have power, on an application made to him by the Authority, by order made by statutory instrument to make provision in relation to an area defined by the order—
  - (a) for the imposition on the owners and occupiers of fisheries in that area of requirements to pay contributions to the Authority, of such amounts as may be determined under the order, in respect of the expenses of the carrying out in relation to that area of the Authority's functions with respect to fisheries;
  - (b) for such contributions to be paid or recovered in such manner, and to be refundable, in such circumstances as may be specified in or determined under the order.
- (2) Subsections (2) to (9) of section 115 above shall have effect in relation to the power conferred by subsection (1) above as they have effect in relation to the power conferred by subsection (1) of that section.
- (3) The reference in this section to the owners and occupiers of fisheries shall have the same meaning as any such reference in the M86Salmon and Freshwater Fisheries Act 1975.

## **Marginal Citations**

M86 1975 c. 51.

# Navigation tolls

# 143 Power of Authority to levy navigation tolls.

- (1) Where any navigable waters—
  - (a) in England and Wales; or
  - (b) in so much of the territorial sea adjacent to England and Wales as is included in the area of a regional flood defence committee,

are not subject to the control of any navigation authority, harbour authority or conservancy authority, the Authority may apply to the Secretary of State for an order imposing tolls in respect of the navigation of vessels in those waters.

- (2) An order under this section shall not be made unless the Secretary of State is satisfied that the cost of the maintenance or works in connection with the waters to which the order relates has been or will be increased as a result of the use of those waters for purposes of navigation.
- (3) Schedule 17 to this Act shall have effect with respect to the making of orders under this section.
- (4) Any tolls payable under this section in respect of the navigation of a vessel in any water referred to in subsection (1) above—
  - (a) may be demanded from the person in charge of the vessel by any person authorised for that purpose by the Authority; and
  - (b) if not paid on demand, may be recovered from either the person in charge of the vessel or the owner of the vessel.

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Modifications etc. (not altering text)

C62 S. 143 modified (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 56(1), 76(2)

C63 S. 143(4) modified (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 56(2), 76(2)
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*Incidental power of the Authority to impose charges* 

# 144 Incidental power of the Authority to impose charges.

Without prejudice to the generality of its powers by virtue of section 4(1)(a) above and subject to any such express provision with respect to charging by the Authority as is contained in the preceding provisions of this Chapter or any other enactment, the Authority shall have power to fix and recover charges for services and facilities provided in the course of carrying out its functions.

#### Interpretation of Chapter II

# 145 Interpretation of Chapter II.

In this Chapter—

"agricultural buildings" has the meaning provided by section 26(4) of the M87General Rate Act 1967 as amended by the M88Rating Act 1971;

"agricultural land" means—

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- (a) land used as arable, meadow or pasture ground only;
- (b) land used for a plantation or a wood or for the growth of saleable underwood; and
- (c) land exceeding one tenth of a hectare used for the purpose of poultry farming, market gardens, nursery grounds, orchards or allotments, including allotment gardens within the meaning of the M89 Allotments Act 1922,

but does not include land occupied together with a house as a park, gardens (other than as aforesaid) or pleasure grounds, land kept or preserved mainly or exclusively for purposes of sport or recreation or land used as a racecourse;

"chargeable land" means the agricultural land and agricultural buildings in so much of the area of a regional flood defence committee as does not fall within an internal drainage district, excluding rough grazing land and woodlands other than commercial woodlands;

"commercial woodlands" means woodlands managed on a commercial basis with a view to the realisation of profits;

"drainage" has the same meaning as in Part IV above;

"drainage charge" means general drainage charge or special drainage charge;

"rough grazing land" means land of either of the following descriptions, that is to say-

- (a) land used as pasture ground on which the vegetation consists solely or mainly of one or more of the following, that is to say, bracken, gorse, heather, rushes and sedge; and
- (b) land so used which is unsuitable for moving by machine and on which the vegetation consists solely or mainly of grass of poor feeding value; and

"spray irrigation" has the same meaning as in Chapter II of Part II of this Act.

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Marginal Citations
 M87 1967 c. 9.
 M88 1971 c. 39.
 M89 1922 c. 51.
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#### CHAPTER III

# **GRANTS AND LOANS**

Grants to the Authority

#### 146 Revenue grants.

- (1) The Secretary of State may, with the approval of the Treasury, make grants to the Authority of such amounts as he thinks fit.
- (2) The payment by the Secretary of State of a grant under this section shall be on such terms as he may, with the approval of the Treasury, provide.
- (3) The Secretary of State shall—

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- (a) prepare in respect of each financial year an account of the sums paid by him to the Authority under this section; and
- (b) before the end of September in the following financial year send that account to the Comptroller and Auditor General;

and the form of the account and the manner of preparing it shall be such as the Treasury may direct.

(4) The Comptroller and Auditor General shall examine, certify and report on each account sent to him under this section and shall lay copies of it and of his report before each House of Parliament.

# 147 Grants for drainage works.

- (1) Subject to subsection (2) below, the relevant Minister may make grants towards expenditure incurred by the Authority in—
  - (a) the improvement of existing drainage works; or
  - (b) the construction of new drainage works.
- (2) Grants under subsection (1) above shall be—
  - (a) of such amounts as the Treasury may from time to time sanction; and
  - (b) subject to such conditions as may, with the approval of the Treasury, be prescribed by regulations made by the relevant Minister.
- (3) No grant shall be made under subsection (1) above towards expenditure incurred in connection with any improvement or construction unless—
  - (a) the plans and sections for it have been approved by the relevant Minister; and
  - (b) the relevant Minister is satisfied that the work is being or has been properly carried out.
- (4) The relevant Minister may, with the approval of the Treasury, make grants to the Authority in respect of expenditure properly incurred by it with a view to carrying out drainage works, being expenditure towards which, if the works had been properly carried out, a grant would have been payable under subsection (1) above.
- (5) Where the Authority is about to incur—
  - (a) such expenditure in respect of any work as is expenditure towards which, if the work is properly carried out, a grant will be payable under subsection (1) above; or
  - (b) expenditure in respect of which it appears to the relevant Minister that a grant will be payable under subsection (4) above,

the relevant Minister may, with the approval of the Treasury, make advances to the Authority on account of the expenditure.

- (6) In this section "the relevant Minister"—
  - (a) in relation to Wales, means the Secretary of State; and
  - (b) in relation to England, means the Minister.

#### 148 Grants towards cost of flood warning systems.

(1) The relevant Minister may make grants, of such amounts as the Treasury may from time to time sanction, towards expenditure incurred by the Authority in providing or

installing apparatus, or carrying out other engineering or building operations, for the purposes of a flood warning system.

- (2) No grant shall be payable under this section towards expenditure incurred in connection with any work unless—
  - (a) the work has been approved by the relevant Minister; and
  - (b) the relevant Minister is satisfied that the work is being or has been properly carried out.
- (3) Grants under this section shall be made subject to such conditions as may be imposed by the relevant Minister with the approval of the Treasury.
- (4) Where any such expenditure as is mentioned in subsection (1) above is about to be incurred by the Authority, the relevant Minister may, with the approval of the Treasury, make advances to the Authority on account of the expenditure.
- (5) In this section—

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"flood warning system" means any system whereby, for the purpose of providing warning of any danger of flooding, information with respect to—

- (a) rainfall, as measured at a particular place within a particular period; or
- (b) the level or flow of any inland water, or part of an inland water, at a particular time; or
- (c) other matters appearing to the Authority to be relevant for that purpose,

is obtained and transmitted, whether automatically or otherwise, with or without provision for carrying out calculations based on such information and for transmitting the results of those calculations;

"inland water" means any of the following in any part of Great Britain, that is to say—

- (a) any river, stream or other watercourse, whether natural or artificial and whether tidal or not:
- (b) any lake or pond, whether natural or artificial, and any reservoir or dock; and
- (c) any channel, creek, bay, estuary or arm of the sea;
  - "rainfall" includes any fall of snow, hail or sleet; and
  - "the relevant Minister" has the same meaning as in section 147 above.

# Other grants in respect of exercise of powers under Part VII for drainage purposes.

- (1) The relevant Minister may, with the approval of the Treasury, make to the Authority grants in respect of expenditure incurred by the Authority, and advances on account of expenditure to be incurred by the Authority, in connection with the Authority's functions by virtue of section 165(1)(b) or (c) below—
  - (a) in making payments arising from the exercise of any power of the Authority by virtue of this Act to acquire land by agreement or compulsorily;
  - (b) in providing housing accommodation for persons employed or to be employed by the Authority in controlling works of such a kind or so located that those persons are or will be required to reside in the vicinity of the works;
  - (c) for making payments by virtue of any provision having effect under section 177 below in respect of injury sustained by any person by reason of the exercise by the Authority of any powers under section 165 below;

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- (d) in paying compensation by virtue of any provision having effect under section 177 below in respect of injury sustained by reason of the exercise by the Authority of its powers under section 167 below.
- (2) The relevant Minister may, with the approval of the Treasury, make to the Authority grants in respect of, or advances on account of, expenditure incurred or to be incurred in carrying out works for the rebuilding or repair of any bridge maintained by the Authority, other than works appearing to the relevant Minister to be maintenance works of a routine kind.
- (3) The relevant Minister may, with the approval of the Treasury, make to the Authority grants in respect of the cost of any works executed by the Authority in pursuance of section 165(4) below.
- (4) In this section "the relevant Minister" has the same meaning as in section 147 above.

# 150 Grants for national security purposes.

- (1) The Secretary of State may make grants to the Authority for the purpose of defraying or contributing towards any losses it may sustain by reason of compliance with directions given under section 207 below in the interests of national security.
- (2) The approval of the Treasury shall be required for the making of grants under this section.

### Borrowing by the Authority

# 151 Borrowing powers of the Authority.

- (1) The Authority shall be entitled to borrow in accordance with the following provisions of this section, but not otherwise.
- (2) Subject to subsection (4) below, the Authority may, with the consent of either of the Ministers and with the approval of the Treasury, borrow temporarily in sterling, by way of overdraft or otherwise, from persons other than the Ministers, such sums as it may require for meeting its obligations and carrying out its functions.
- (3) Subject to subsection (4) below, the Authority may borrow, otherwise than by way of temporary loan, such sums in sterling from either of the Ministers as it may require for capital purposes in connection with the carrying out of its flood defence functions.
- (4) The aggregate amount outstanding in respect of the principal of sums borrowed under this section by the Authority shall not at any time exceed £100 million or such greater sum, not exceeding £160 million, as the Ministers may specify by order made by statutory instrument.
- (5) No order shall be made under subsection (4) above unless a draft of the order has been laid before the House of Commons and has been approved by a resolution of that House.

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#### 152 Loans to the Authority.

- (1) Each of the Ministers shall have power, with the approval of the Treasury, to lend any sums to the Authority which the Authority has power to borrow under section 151(3) above.
- (2) Any loan made by one of the Ministers under this section shall be repaid to him at such times and by such methods, and interest on the loan shall be paid to him at such rates and at such times, as that Minister may with the approval of the Treasury from time to time determine.
- (3) Any sums required by either of the Ministers for making a loan under this section shall be paid out of money provided by Parliament; and any sums received by either of them in pursuance of subsection (2) above shall be paid into the Consolidated Fund.
- (4) Each of the Ministers shall
  - prepare in respect of each financial year an account of the sums lent by him to the Authority under this section; and
  - before the end of September in the following financial year send that account to the Comptroller and Auditor General;

and the form of the account and the manner of preparing it shall be such as the Treasury may direct.

(5) The Comptroller and Auditor General shall examine, certify and report on each account sent to him under this section and shall lay copies of it and of his report before each House of Parliament.

#### 153 Treasury guarantees of the Authority's borrowing.

- (1) Each of the Ministers shall have power, with the consent of the Treasury, to guarantee, in such manner and on such conditions as he may think fit, the repayment of the principal of, the payment of interest on and the discharge of any other financial obligation in connection with any sum which the Authority borrows from any person.
- (2) Immediately after a guarantee is given under this section the Minister who gave it shall lay a statement of the guarantee before each House of Parliament.
- (3) Where any sum is paid out for fulfilling a guarantee under this section the Minister who gave the guarantee shall, as soon as possible after the end of each financial year (beginning with that in which the sum is paid out and ending with that in which all liability in respect of the principal of the sum and in respect of the interest thereon is finally discharged), lay before each House of Parliament a statement relating to that
- (4) Any sums required by either of the Ministers for fulfilling a guarantee under this section shall be paid out of money provided by Parliament.
- (5) If any sums are paid out in fulfilment of a guarantee under this section, the Authority shall make to the Minister who gave the guarantee, at such times and in such manner as that Minister may from time to time direct
  - payments of such amounts as that Minister may so direct in or towards repayment of the sums so paid out; and
  - payments of interest, at such rate as that Minister may so direct, on what is outstanding for the time being in respect of sums so paid out;

and the consent of the Treasury shall be required for the giving of a direction under this subsection.

(6) Any sums received by either of the Ministers under subsection (5) above shall be paid into the Consolidated Fund.

#### PART VII

#### LAND AND WORKS POWERS

# **Modifications etc. (not altering text)**

C64 Pt. VII (ss. 154-186): Transfer of functions (1.4.1996) to the Agency by 1995 c. 25, s. 2(1)(a)(iv) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 3

#### CHAPTER I

# POWERS OF THE AUTHORITY

Provisions in relation to land

# 154 Compulsory purchase etc.

- (1) The Authority may be authorised by either of the Ministers to purchase compulsorily any land anywhere in England and Wales which is required by the Authority for the purposes of, or in connection with, the carrying out of its functions.
- (2) The power of each of the Ministers under subsection (1) above shall include power—
  - (a) to authorise the acquisition of interests in, and rights over, land by the creation of new interests and rights; and
  - (b) by authorising the acquisition by the Authority of any rights over land which is to be or has been acquired by the Authority, to provide for the extinguishment of those rights.
- (3) Without prejudice to the generality of subsection (1) above, the land which the Authority may be authorised under that subsection to purchase compulsorily shall include land which is or will be required for the purpose of being given in exchange for, or for any right over, any other land which for the purposes of the M90 Acquisition of Land Act 1981 is or forms part of a common, open space or a fuel or field garden allotment.
- (4) Subject to section 182 below, the Acquisition of Land Act 1981 shall apply to any compulsory purchase under subsection (1) above of any land by the Authority; and Schedule 3 to the said Act of 1981 shall apply to the compulsory acquisition under that subsection of rights by the creation of new rights.
- (5) Schedule 18 to this Act shall have effect for the purpose of modifying enactments relating to compensation and the provisions of the M9I Compulsory Purchase Act 1965 in their application in relation to the compulsory acquisition under subsection (1) above of a right over land by the creation of a new right.

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- (6) The provisions of Part I of the M92 Compulsory Purchase Act 1965 (so far as applicable), other than sections 4 to 8, 10, 21, 27(1) and 31 and Schedule 4, shall apply in relation to any power to acquire land by agreement which is conferred, by virtue of any provision of this Act (including section 4 above) or otherwise, on the Authority as if—
  - (a) any reference in those provisions to the acquiring authority were a reference to the Authority; and
  - (b) any reference to land subject to compulsory purchase were a reference to land which may be purchased by agreement under that power.

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Marginal Citations
M90 1981 c. 67.
M91 1965 c. 56.
M92 1965 c. 56.
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# 155 Accretions of land resulting from drainage works.

- (1) If the relevant Minister certifies that, as the result of—
  - (a) any drainage works carried out or improved, or proposed to be carried out or improved, by the Authority in connection with the tidal waters of a main river; or
  - (b) any drainage works transferred from a drainage body to the Authority in pursuance of this Act or the M93Land Drainage Act 1991,

there has been or is likely to be any accretion of land, the powers of the Authority by virtue of this Act, for the purpose of carrying out its functions, to acquire land or any interest in or right over land by agreement or compulsorily shall include power so to acquire the land mentioned in subsection (2) below.

- (2) The land mentioned in subsection (1) above is—
  - (a) the accretion of land or the land to which the accretion will, if it takes place, be added, together with any right to reclaim or embank the accretion; and
  - (b) such other land as is reasonably required for the purpose of reclamation of the accretion or for the enjoyment of it when reclaimed.
- (3) An agreement or order with respect to the acquisition of any land or rights by virtue of this section may provide for the transfer to the Authority of any liability for the upkeep, maintenance and repair of any bank or drainage work or of any other like liability.
- (4) Where the value of any land or right is increased by the carrying out or proposed carrying out of drainage works by the Authority the amount of the increase shall not be taken into account in assessing the compensation in respect of the compulsory acquisition of it.
- (5) Where, by reason of a certificate having been given by the relevant Minister under this section in relation to any drainage works, the Authority has acquired any land or right and a grant has been made out of public moneys for defraying the cost or part of the cost of the carrying out of the works, the Authority shall—
  - (a) on being so required by the Crown Estate Commissioners; and
  - (b) on payment by the Commissioners to the Authority of the sum paid by the Authority in respect of the acquisition of the land or right, together with

the amount of any costs incurred by the Authority in connection with the acquisition,

transfer the land or right to the Commissioners or to any person nominated by them.

- (6) If the Authority, on being so required by the Crown Estate Commissioners in pursuance of subsection (5) above, fail to transfer to the Commissioners any land or right, the relevant Minister may by a vesting order transfer the land or right to the Commissioners or to a person nominated by them; and, for the purposes of this subsection, the relevant Minister shall be deemed to be a competent authority within the meaning of section 9 of the M94Law of Property Act 1925.
- (7) In this section—
  - "banks" has the same meaning as in Part IV of this Act;
  - "drainage body" has the same meaning as in section 108 above;
  - "the relevant Minister"—
  - (a) in relation to England, means the Minister; and
  - (b) in relation to Wales, means the Secretary of State.

# **Marginal Citations**

**M93** 1991 c. 59.

M94 1925 c. 20.

# 156 Acquisition of land etc. for fisheries purposes.

- (1) Without prejudice to section 4 above, the powers conferred on the Authority by that section and section 154 above include power to purchase or take on lease (either by agreement or, if so authorised, compulsorily)—
  - (a) any dam, fishing weir, fishing mill dam, fixed engine or other artificial obstruction and any fishery attached to or worked in connection with any such obstruction;
  - (b) so much of the bank adjoining a dam as may be necessary for making or maintaining a fish pass for the purposes of section 10 of the M95Salmon and Freshwater Fisheries Act 1975; and
  - (c) for the purpose of erecting and working a fixed engine, any fishery land or foreshore together with any easement over any adjoining land necessary for securing access to the fishery land or foreshore so acquired.
- (2) Without prejudice to section 4 above, the Authority may—
  - (a) either alter or remove an obstruction acquired in the exercise of the powers mentioned in subsection (1) above; or
  - (b) by itself or its lessees use or work in any lawful manner the obstruction for fishing purposes and exercise the right by any fishery so acquired,

subject, in the case of an obstruction or fishery acquired by way of lease, to the terms of the lease.

(3) Expressions used in this section and in the Salmon and Freshwater Fisheries Act 1975 have the same meanings in this section as in that Act.

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M95 1975 c. 51.

# 157 Restriction on disposals of compulsorily acquired land.

- (1) The Authority shall not dispose of any of its compulsorily acquired land, or of any interest or right in or over any of that land, except with the consent of, or in accordance with a general authorisation given by, one of the Ministers.
- (2) A consent or authorisation for the purposes of this section—
  - (a) shall be set out in a notice served on the Authority by the Minister who is giving the consent or authorisation; and
  - (b) in the case of an authorisation, may be combined with an authorisation for the purposes of section 156 of the M96Water Industry Act 1991 (restrictions on disposals of land by a water or sewerage undertaker).
- (3) A consent or authorisation for the purposes of this section may be given on such conditions as the Minister who is giving it considers appropriate.
- (4) Without prejudice to the generality of subsection (3) above, the conditions of a consent or authorisation for the purposes of this section may include a requirement that, before there is any disposal, an opportunity of acquiring the land in question, or an interest or right in or over that land, is to be made available to such person as may be specified in or determined under provision contained in the notice setting out the consent or authorisation in question.
- (5) A requirement under subsection (4) above may require the opportunity to be made available in such manner and on such terms as may be specified in or determined under provision contained in the notice setting out the consent or authorisation in question.
- (6) In this section "compulsorily acquired land", in relation to the Authority, means any land of the Authority which—
  - (a) was acquired by the Authority compulsorily under the provisions of section 154 above or of an order under section 168 below;
  - (b) was acquired by the Authority at a time when it was authorised under those provisions to acquire the land compulsorily;
  - (c) being land which has been transferred to the Authority in accordance with a scheme under Schedule 2 to the M97Water Act 1989, was acquired by a predecessor of the Authority compulsorily under so much of any enactment in force at any time before 1st September 1989 as conferred powers of compulsory acquisition; or
  - (d) being land which has been so transferred, was acquired by such a predecessor at a time when it was authorised to acquire the land by virtue of any such powers as are mentioned in paragraph (c) above.

#### **Marginal Citations**

**M96** 1991 c. 56.

**M97** 1989 c. 15.

#### Works agreements for water resources purposes

# 158 Works agreements for water resources purposes.

- (1) Without prejudice to the generality of the powers of the Authority by virtue of section 4 above but subject to subsection (2) below, those powers shall include power to enter into an agreement with any water undertaker, with any sewerage undertaker, with any local authority or joint planning board, or with the owner or occupier of any land, with respect to any one or more of the following matters, that is to say—
  - (a) the carrying out by any party to the agreement of works which the Authority considers necessary or expedient in connection with the carrying out of any of the Authority's functions by virtue of Part II of this Act;
  - (b) the maintenance by any party to the agreement of works carried out in pursuance of the agreement;
  - (c) provision for the Authority to use, or have access to, any land for any purpose connected with the carrying out of any of those functions;
  - (d) the manner in which any reservoir is to be operated.
- (2) The Secretary of State may by a direction to the Authority direct that, in such cases or classes of cases as are specified in the direction, the Authority shall not enter into any such agreement as is mentioned in subsection (1) above except with his consent.
- (3) An agreement such as is mentioned in subsection (1) above may contain such incidental and consequential provisions (including provisions of a financial character) as appear to the Authority necessary or expedient for the purposes of the agreement.
- (4) Where an agreement such as is mentioned in subsection (1) above is made with an owner of land, other than registered land, and the agreement provides that the provisions of this subsection shall have effect in relation to the agreement—
  - (a) the agreement may be registered as a land charge under the M98 Land Charges Act 1972 as if it were a charge affecting land falling within paragraph (iii) of Class D;
  - (b) the provisions of section 4 of that Act (which relates to the effect of non-registration) shall apply as if the agreement were such a land charge; and
  - (c) subject to the provisions of section 4 of that Act, the agreement shall be binding upon any successor of that owner to the same extent as it is binding upon that owner, notwithstanding that it would not have been binding upon that successor apart from the provisions of this paragraph.
- (5) Where an agreement such as is mentioned in subsection (1) above is made with an owner of land which is registered land, and the agreement provides that the provisions of this subsection shall have effect in relation to the agreement—
  - (a) notice of the agreement may be registered under section 59(2) of the M99Land Registration Act 1925 as if it were a land charge (other than a local land charge) within the meaning of that Act;
  - (b) the provisions of that Act shall apply accordingly as if the agreement were such a land charge; and
  - (c) where notice of the agreement has been so registered, the agreement shall be binding upon any successor of that owner to the same extent as it is binding upon that owner, notwithstanding that it would not have been binding upon that successor apart from the provisions of this paragraph.
- (6) In this section—

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> "registered land" has the same meaning as in the Land Registration Act 1925; and

> "successor", in relation to an agreement with the owner of any land, means a person deriving title or otherwise claiming under that owner, otherwise than in right of an interest or charge to which the interest of the owner was subject immediately before the following time, that is to say—

- where the land is not registered land, the time when the agreement was made;
- where the land is registered land, the time when the notice of the agreement (b) was registered.

# **Marginal Citations** M98 1972 c. 61. **M99** 1925 c. 21.

# General pipe-laying powers

#### 159 Powers to lay pipes in streets.

- (1) Subject to the following provisions of this Part, the Authority shall, for the purpose of carrying out its functions, have power
  - to lay a relevant pipe in, under or over any street and to keep that pipe there;
  - to inspect, maintain, adjust, repair or alter any relevant pipe which is in, under or over any street; and
  - to carry out any works requisite for, or incidental to, the purposes of any works falling within paragraph (a) or (b) above, including for those purposes the following kinds of works, that is to say—
    - (i) breaking up or opening a street;
    - (ii) tunnelling or boring under a street;
    - (iii) breaking up or opening a sewer, drain or tunnel;
    - (iv) moving or removing earth and other materials.
- (2) Without prejudice to the generality of subsection (1)(c) above, the Authority shall have power to erect and keep in any street notices indicating the position of such underground accessories for its relevant pipes as may be used for controlling the flow of water in those pipes.
- (3) The power conferred by subsection (2) above shall include power to attach any such notice as is mentioned in that subsection to any building, fence or other structure which is comprised in premises abutting on the street in question.
- (4) Until the coming into force of its repeal by the M100 New Roads and Street Works Act 1991 section 20 of the M101 Highways Act 1980 (works in special roads) shall have effect as if the reference in that section to a power under any enactment to lay down or erect apparatus included a reference to any power to lay any pipe which is conferred by this section.
- (5) In this section references to a relevant pipe are references to a resource main or discharge pipe and references to laying such a pipe shall include references-

- (a) to the laying of any drain or sewer for any of the purposes specified in subsection (6) below; and
- (b) to the construction of a watercourse for any of those purposes.
- (6) The purposes mentioned in subsection (5) above are—
  - (a) intercepting, treating or disposing of any foul water arising or flowing upon any land; or
  - (b) otherwise preventing the pollution—
    - (i) of any waters, whether on the surface or underground, which belong to the Authority or any water undertaker or from which the Authority or any water undertaker is authorised to take water;
    - (ii) without prejudice to sub-paragraph (i) above, of any reservoir which belongs to or is operated by the Authority or any water undertaker or which the Authority or any water undertaker is proposing to acquire or construct for the purpose of being so operated; or
    - (iii) of any underground strata from which the Authority or any water undertaker is for the time being authorised to abstract water in pursuance of a licence under Chapter II of Part II of this Act.
- (7) References in this section to maintaining a pipe include references to cleansing it and references to altering a pipe include references to altering its size or course, to moving or removing it and to replacing it with a pipe which is of the same description of relevant pipe as the pipe replaced.

# **Marginal Citations**

M100 1991 c. 22.

M101 1980 c. 66.

# 160 Power to lay pipes in other land.

- (1) Subject to the following provisions of this Part, the Authority shall, for the purpose of carrying out its functions, have power—
  - (a) to lay a relevant pipe (whether above or below the surface) in any land which is not in, under or over a street and to keep that pipe there;
  - (b) to inspect, maintain, adjust, repair or alter any relevant pipe which is in any such land:
  - (c) to carry out any works requisite for, or incidental to, the purposes of any works falling within paragraph (a) or (b) above.
- (2) The powers conferred by this section shall be exercisable only after reasonable notice of the proposed exercise of the power has been given to the owner and to the occupier of the land where the power is to be exercised.
- (3) Subject to subsection (4) below, in relation to any exercise of the powers conferred by this section for the purpose of laying or altering a relevant pipe, the minimum period that is capable of constituting reasonable notice for the purposes of subsection (2) above shall be deemed—
  - (a) where the power is exercised for the purpose of laying a relevant pipe otherwise than in substitution for an existing pipe of the same description, to be three months; and

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- (b) where the power is exercised for the purpose of altering an existing pipe, to be forty-two days.
- (4) In this section references to a relevant pipe are references to a resource main or discharge pipe; and subsection (7) of section 159 above shall apply for the purposes of this section as it applies for the purposes of that section.

# Anti-pollution works

# 161 Anti-pollution works and operations.

- (1) Subject to subsection (2) below, where it appears to the Authority that any poisonous, noxious or polluting matter or any solid waste matter is likely to enter, or to be or to have been present in, any controlled waters, the Authority shall be entitled to carry out the following works and operations, that is to say-
  - (a) in a case where the matter appears likely to enter any controlled waters, works and operations for the purpose of preventing it from doing so; or
  - (b) in a case where the matter appears to be or to have been present in any controlled waters, works and operations for the purpose—
    - (i) of removing or disposing of the matter;
    - (ii) of remedying or mitigating any pollution caused by its presence in the waters; or
    - (iii) so far as it is reasonably practicable to do so, of restoring the waters, including any flora and fauna dependent on the aquatic environment of the waters, to their state immediately before the matter became present in the waters.
- (2) Nothing in subsection (1) above shall entitle the Authority to impede or prevent the making of any discharge in pursuance of a consent given under Chapter II of Part III of this Act.
- (3) Where the Authority carries out any such works or operations as are mentioned in subsection (1) above, it shall, subject to subsection (4) below, be entitled to recover the expenses reasonably incurred in doing so from any person who, as the case may be—
  - (a) caused or knowingly permitted the matter in question to be present at the place from which it was likely, in the opinion of the Authority, to enter any controlled waters; or
  - (b) caused or knowingly permitted the matter in question to be present in any controlled waters.
- (4) No such expenses shall be recoverable from a person for any works or operations in respect of water from an abandoned mine which that person permitted to reach such a place as is mentioned in subsection (3) above or to enter any controlled waters.
- (5) Nothing in this section—
  - (a) derogates from any right of action or other remedy (whether civil or criminal) in proceedings instituted otherwise than under this section; or
  - (b) affects any restriction imposed by or under any other enactment, whether public, local or private.
- (6) In this section—
  - "controlled waters" has the same meaning as in Part III of this Act; and

"mine" has the same meaning as in the M102 Mines and Quarries Act 1954.

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Modifications etc. (not altering text)

C65 S. 161 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

s. 161 applied (with modifications) (21.7.1994) by 1994 c. xiii, s. 14

Ss. 161-161D modified (1.2.1996) by 1995 c. 25, s. 5(5)(g) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 2

Marginal Citations

M102 1954 c. 70.
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#### VALID FROM 21/09/1995

# [F11161ANotices requiring persons to carry out anti-pollution works and operations.

- (1) Subject to the following provisions of this section, where it appears to the Agency that any poisonous, noxious or polluting matter or any solid waste matter is likely to enter, or to be or to have been present in, any controlled waters, the Agency shall be entitled to serve a works notice on any person who, as the case may be,—
  - (a) caused or knowingly permitted the matter in question to be present at the place from which it is likely, in the opinion of the Agency, to enter any controlled waters; or
  - (b) caused or knowingly permitted the matter in question to be present in any controlled waters.
- (2) For the purposes of this section, a "works notice" is a notice requiring the person on whom it is served to carry out such of the following works or operations as may be specified in the notice, that is to say—
  - (a) in a case where the matter in question appears likely to enter any controlled waters, works or operations for the purpose of preventing it from doing so; or
  - (b) in a case where the matter appears to be or to have been present in any controlled waters, works or operations for the purpose—
    - (i) of removing or disposing of the matter;
    - (ii) of remedying or mitigating any pollution caused by its presence in the waters; or
    - (iii) so far as it is reasonably practicable to do so, of restoring the waters, including any flora and fauna dependent on the aquatic environment of the waters, to their state immediately before the matter became present in the waters.
- (3) A works notice—
  - (a) must specify the periods within which the person on whom it is served is required to do each of the things specified in the notice; and
  - (b) is without prejudice to the powers of the Agency by virtue of section 161(1A) (a) above.
- (4) Before serving a works notice on any person, the Agency shall reasonably endeavour to consult that person concerning the works or operations which are to be specified in the notice.

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- (5) The Secretary of State may by regulations make provision for or in connection with—
  - (a) the form or content of works notices;
  - (b) requirements for consultation, before the service of a works notice, with persons other than the person on whom that notice is to be served;
  - (c) steps to be taken for the purposes of any consultation required under subsection (4) above or regulations made by virtue of paragraph (b) above; or
  - (d) any other steps of a procedural nature which are to be taken in connection with, or in consequence of, the service of a works notice.
- (6) A works notice shall not be regarded as invalid, or as invalidly served, by reason only of any failure to comply with the requirements of subsection (4) above or of regulations made by virtue of paragraph (b) of subsection (5) above.
- (7) Nothing in subsection (1) above shall entitle the Agency to require the carrying out of any works or operations which would impede or prevent the making of any discharge in pursuance of a consent given under Chapter II of Part III of this Act.
- (8) No works notice shall be served on any person requiring him to carry out any works or operations in respect of water from an abandoned mine or an abandoned part of a mine which that person permitted to reach such a place as is mentioned in subsection (1)(a) above or to enter any controlled waters.
- (9) Subsection (8) above shall not apply to the owner or former operator of any mine or part of a mine if the mine or part in question became abandoned after 31st December 1999.
- (10) Subsections (3B) and (3C) of section 89 above shall apply in relation to subsections (8) and (9) above as they apply in relation to subsections (3) and (3A) of that section.
- (11) Where the Agency—
  - (a) carries out any such investigations as are mentioned in section 161(1) above, and
  - (b) serves a works notice on a person in connection with the matter to which the investigations relate,

it shall (unless the notice is quashed or withdrawn) be entitled to recover the costs or expenses reasonably incurred in carrying out those investigations from that person.

- (12) The Secretary of State may, if he thinks fit in relation to any person, give directions to the Agency as to whether or how it should exercise its powers under this section.
- (13) In this section—

"controlled waters" has the same meaning as in Part III of this Act; "mine" has the same meaning as in the M103 Mines and Quarries Act 1954.]

# **Textual Amendments**

F11 Ss. 161A-161D inserted (21.9.1995 for specified purposes, 16.3.1999 for other specified purposes and otherwise 29.4.1999) by 1995 c. 25, s. 120, Sch. 22 para. 162 (with ss. 7(6), 115, 117); S.I. 1995/1983, art. 3; S.I. 1999/803, art. 2; S.I. 1999/1301, art. 2

### **Marginal Citations**

M103 1954 c. 70.

# VALID FROM 21/09/1995

# F12161B Grant of, and compensation for, rights of entry etc.

- (1) A works notice may require a person to carry out works or operations in relation to any land or waters notwithstanding that he is not entitled to carry out those works or operations.
- (2) Any person whose consent is required before any works or operations required by a works notice may be carried out shall grant, or join in granting, such rights in relation to any land or waters as will enable the person on whom the works notice is served to comply with any requirements imposed by the works notice.
- (3) Before serving a works notice, the Agency shall reasonably endeavour to consult every person who appears to it—
  - (a) to be the owner or occupier of any relevant land, and
  - (b) to be a person who might be required by subsection (2) above to grant, or join in granting, any rights,

concerning the rights which that person may be so required to grant.

- (4) A works notice shall not be regarded as invalid, or as invalidly served, by reason only of any failure to comply with the requirements of subsection (3) above.
- (5) A person who grants, or joins in granting, any rights pursuant to subsection (2) above shall be entitled, on making an application within such period as may be prescribed and in such manner as may be prescribed to such person as may be prescribed, to be paid by the person on whom the works notice in question is served compensation of such amount as may be determined in such manner as may be prescribed.
- (6) Without prejudice to the generality of the regulations that may be made by virtue of subsection (5) above, regulations by virtue of that subsection may make such provision in relation to compensation under this section as may be made by regulations by virtue of subsection (4) of section 35A of the M104 Environmental Protection Act 1990 in relation to compensation under that section.
- (7) In this section—

"prescribed" means prescribed in regulations made by the Secretary of State;

"relevant land" means—

- (a) any land or waters in relation to which the works notice in question requires, or may require, works or operations to be carried out; or
- (b) any land adjoining or adjacent to that land or those waters;

"works notice" means a works notice under section 161A above.

## **Textual Amendments**

F12 Ss. 161A-161D inserted (21.9.1995 for specified purposes, 16.3.1999 for other specified purposes and otherwise 29.4.1999) by 1995 c. 25, s. 120, Sch. 22 para. 162 (with ss. 7(6), 115, 117);S.I. 1995/1983, art. 3; S.I. 1999/803, art. 2; S.I. 1999/1301, art. 2

Water Resources Act 1991 (c. 57)
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chapter I – POWERS OF THE AUTHORITY
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#### **Marginal Citations**

M104 1990 c. 43.

# VALID FROM 21/09/1995

# F13161CAppeals against works notices.

- (1) A person on whom a works notice is served may, within the period of twenty-one days beginning with the day on which the notice is served, appeal against the notice to the Secretary of State.
- (2) On any appeal under this section the Secretary of State—
  - (a) shall quash the notice, if he is satisfied that there is a material defect in the notice; but
  - (b) subject to that, may confirm the notice, with or without modification, or quash it.
- (3) The Secretary of State may by regulations make provision with respect to—
  - (a) the grounds on which appeals under this section may be made; or
  - (b) the procedure on any such appeal.
- (4) Regulations under subsection (3) above may (among other things)—
  - (a) include provisions comparable to those in section 290 of the M105 Public Health Act 1936 (appeals against notices requiring the execution of works);
  - (b) prescribe the cases in which a works notice is, or is not, to be suspended until the appeal is decided, or until some other stage in the proceedings;
  - (c) prescribe the cases in which the decision on an appeal may in some respects be less favourable to the appellant than the works notice against which he is appealing;
  - (d) prescribe the cases in which the appellant may claim that a works notice should have been served on some other person and prescribe the procedure to be followed in those cases;
  - (e) make provision as respects—
    - (i) the particulars to be included in the notice of appeal;
    - (ii) the persons on whom notice of appeal is to be served and the particulars, if any, which are to accompany the notice; or
    - (iii) the abandonment of an appeal.
- (5) In this section "works notice" means a works notice under section 161A above.
- (6) This section is subject to section 114 of the 1995 Act (delegation or reference of appeals).

# **Textual Amendments**

F13 Ss. 161A-161D inserted (21.9.1995 for specified purposes, 16.3.1999 for other specified purposes and otherwise 29.4.1999) by 1995 c. 25, s. 120, Sch. 22 para. 162 (with ss. 7(6), 115, 117);S.I. 1995/1983, art. 3; S.I. 1999/803, art. 2; S.I. 1999/1301, art. 2

## **Modifications etc. (not altering text)**

C66 S. 161C: power to delegate functions conferred (1.4.1996) by 1995 c. 25, s. 114(2)(a)(v) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 3

#### **Marginal Citations**

M105 1936 c. 49.

#### VALID FROM 21/09/1995

# F14161DConsequences of not complying with a works notice.

- (1) If a person on whom the Agency serves a works notice fails to comply with any of the requirements of the notice, he shall be guilty of an offence.
- (2) A person who commits an offence under subsection (1) above shall be liable—
  - (a) on summary conviction, to imprisonment for a term not exceeding three months or to a fine not exceeding £20,000 or to both;
  - (b) on conviction on indictment to imprisonment for a term not exceeding two years or to a fine or to both.
- (3) If a person on whom a works notice has been served fails to comply with any of the requirements of the notice, the Agency may do what that person was required to do and may recover from him any costs or expenses reasonably incurred by the Agency in doing it.
- (4) If the Agency is of the opinion that proceedings for an offence under subsection (1) above would afford an ineffectual remedy against a person who has failed to comply with the requirements of a works notice, the Agency may take proceedings in the High Court for the purpose of securing compliance with the notice.
- (5) In this section "works notice" means a works notice under section 161A above.

# **Textual Amendments**

**F14** Ss. 161A-161D inserted (21.9.1995 for specified purposes, 16.3.1999 for other specified purposes and otherwise 29.4.1999) by 1995 c. 25, s. 120, **Sch. 22 para. 162** (with ss. 7(6), 115, 117); S.I. 1995/1983, **art. 3**; S.I. 1999/803, **art. 2**; S.I. 1999/1301, **art. 2** 

### 162 Other powers to deal with foul water and pollution.

- (1) Without prejudice to the powers conferred by section 161 above and subsections (2) and (3) below, the Authority shall have power, on any land—
  - (a) which belongs to the Authority; or
  - (b) over or in which the Authority has acquired the necessary easements or rights, to construct and maintain drains, sewers, watercourses, catchpits and other works for the purpose of intercepting, treating or disposing of any foul water arising or flowing on that land or of otherwise preventing any such pollution as is mentioned in section 159(6)(b) above.

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- (2) Subject to the following provisions of this Part, the Authority shall, for the purpose of carrying out its functions, have power—
  - (a) to carry out in a street all such works as are requisite for securing that the water in any relevant waterworks is not polluted or otherwise contaminated; and
  - (b) to carry out any works requisite for, or incidental to, the purposes of any works falling within paragraph (a) above, including for those purposes the following kinds of works, that is to say—
    - (i) breaking up or opening a street;
    - (ii) tunnelling or boring under a street;
    - (iii) breaking up or opening a sewer, drain or tunnel;
    - (iv) moving or removing earth and other materials;

and the provisions of section 159 above shall, so far as applicable, have effect in relation to the powers conferred by this subsection as they have effect in relation to the powers conferred by subsection (1) of that section.

- (3) Subject to the following provisions of this Part, the Authority shall, for the purpose of carrying out its functions, have power—
  - (a) to carry out on any land which is not in, under or over a street all such works as are requisite for securing that the water in any relevant waterworks is not polluted or otherwise contaminated; and
  - (b) to carry out any works requisite for, or incidental to, the purposes of any works falling within paragraph (a) above;

and the provisions of section 160 above shall, so far as applicable, have effect in relation to the powers conferred by this subsection as they have effect in relation to the powers conferred by subsection (1) of that section.

- (4) Without prejudice to the provisions of sections 178 to 184 below, nothing in subsection (1) above shall authorise the Authority, without the consent of the navigation authority in question, to intercept or take any water which a navigation authority are authorised to take or use for the purposes of their undertaking.
- (5) Any dispute as to whether any consent for the purposes of subsection (4) above is being unreasonably withheld shall be referred to the arbitration of a single arbitrator to be appointed by agreement between the parties to the dispute or, in default of agreement, by the President of the Institution of Civil Engineers.
- (6) In this section—

"relevant waterworks" means any waterworks which contain water which is or may be used by a water undertaker for providing a supply of water to any premises;

"service pipe" and "water main" have the same meanings as in the  $^{M106}$ Water Industry Act 1991;

"waterworks" includes any water main, resource main, service pipe or discharge pipe and any spring, well, adit, borehole, service reservoir or tank.

# **Marginal Citations**

M106 1991 c. 56.

Powers to discharge water

# 163 Discharges for works purposes.

- (1) Subject to the following provisions of this section and to section 164 below, where the Authority—
  - (a) is carrying out, or is about to carry out, the construction, alteration, repair, cleaning, or examination of any reservoir, well, borehole or other work belonging to or used by the Authority for the purposes of, or in connection with, the carrying out of any of its functions; or
  - (b) is exercising or about to exercise any power conferred by section 159, 160 or 162(2) or (3) above,

the Authority may cause the water in any relevant pipe or in any such reservoir, well, borehole or other work to be discharged into any available watercourse.

- (2) Nothing in this section shall authorise any discharge which—
  - (a) damages or injuriously affects the works or property of any railway company or navigation authority; or
  - (b) floods or damages any highway.
- (3) If the Authority fails to take all necessary steps to secure that any water discharged by it under this section is as free as may be reasonably practicable from—
  - (a) mud and silt;
  - (b) solid, polluting, offensive or injurious substances; and
  - (c) any substances prejudicial to fish or spawn, or to spawning beds or food of fish,

it shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 3 on the standard scale.

(4) In this section—

"railway company" means the British Railways Board, London Regional Transport or any other person authorised by any enactment, or by any order, rule or regulation made under any enactment, to construct, work or carry on a railway; and

"relevant pipe" has the same meaning as in section 159 above.

# 164 Consents for certain discharges under section 163.

- (1) Except in an emergency, no discharge through any pipe the diameter of which exceeds two hundred and twenty-nine millimetres shall be made under section 163 above except with such consent as may be prescribed.
- (2) Where the Authority makes an application to any person for a consent for the purposes of this section—
  - (a) that application shall be accompanied or supplemented by all such information as that person may reasonably require; and
  - (b) the Authority shall serve a copy of the application, and of any consent given on that application, on every person who—
    - (i) is registered with the Authority in respect of any premises which are within three miles of the place where the discharge to which the

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application relates is proposed to be made and are not upstream from that place; and

- (ii) has not agreed in writing that he need not be served with such a copy; but, subject to subsection (4) below and without prejudice to the effect (if any) of any other contravention of the requirements of this section in relation to such an application, a failure to provide information in pursuance of the obligation to supplement such an application shall not invalidate the application.
- (3) Subject to subsection (4) below, an application for a consent for the purposes of this section shall be determined—
  - (a) in the case of an application with respect to a particular discharge, before the end of the period of seven days beginning with the day after the application is made; and
  - (b) in any other case, before the end of the period of three months beginning with that day;

and, subject to that subsection, where an application for any consent is required to be determined within the period specified in paragraph (a) above and is not so determined, the consent applied for shall be deemed to have been given unconditionally.

#### (4) Where—

- (a) the Authority, having made an application to any person for a consent for the purposes of this section, has failed to comply with its obligation under subsection (2)(a) above to supplement that application with information required by that person; and
- (b) that requirement was made by that person at such a time before the end of the period within which he is required to determine the application as gave the Authority a reasonable opportunity to provide the required information within that period,

that person may delay his determination of the application until a reasonable time after the required information is provided.

- (5) A consent for the purposes of this section may relate to a particular discharge or to discharges of a particular description and may be made subject to such reasonable conditions as may be specified by the person giving it; but a consent for those purposes shall not be unreasonably withheld.
- (6) Any dispute as to whether a consent for the purposes of this section should be given or withheld, or as to whether the conditions to which any such consent is made subject are reasonable, shall be referred to the arbitration of a single arbitrator appointed by agreement between the parties to the dispute or, in default of agreement, by the President of the Institution of Civil Engineers.
- (7) Where any discharge under section 163 above is made in an emergency without the consent which, if there were no emergency, would be required by virtue of this section, the Authority shall, as soon as practicable after making the discharge, serve a notice which—
  - (a) states that the discharge has been made; and
  - (b) gives such particulars of the discharge and of the emergency as the persons served with the notice might reasonably require,

on every person on whom the Authority would have been required to serve the application for that consent or any copy of that application.

- (8) If the Authority contravenes, without reasonable excuse, any of the requirements of this section or any condition of a consent given for the purposes of this section, it shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 3 on the standard scale.
- (9) Nothing in this section shall require any consent to be obtained, or any notice to be served, in respect of any discharge if the requirements of section 34 of the M107 Water Act 1945 (temporary discharges into watercourses) in relation to that discharge had been satisfied before 1st September 1989.

Marginal Citations M107 1945 c. 42.

Flood defence and drainage works

# 165 General powers to carry out flood defence and drainage works.

- (1) The Authority shall have power, in connection with a main river—
  - (a) to maintain existing works, that is to say, to cleanse, repair or otherwise maintain in a due state of efficiency any existing watercourse or any drainage work;
  - (b) to improve any existing works, that is to say, to deepen, widen, straighten or otherwise improve any existing watercourse or remove or alter mill dams, weirs or other obstructions to watercourses, or raise, widen or otherwise improve any existing drainage work;
  - (c) to construct new works, that is to say, to make any new watercourse or drainage work or erect any machinery or do any other act (other than an act referred to in paragraph (a) or (b) above) required for the drainage of any land.
- (2) The Authority shall also have power, irrespective of whether the works are in connection with a main river, to maintain, improve or construct drainage works for the purpose of defence against sea water or tidal water; and that power shall be exercisable both above and below the low-water mark.
- (3) The Authority may construct all such works and do all such things in the sea or in any estuary as may, in its opinion, be necessary to secure an adequate outfall for a main river.
- (4) The Authority may by agreement with any person carry out, improve or maintain, at that person's expense, any drainage works which that person is entitled to carry out, improve or maintain; but for the purposes of this subsection the expense to be borne by that person shall not include the amount of any grant paid under section 149(3) above in respect of the works in question.
- (5) The Authority may enter into an agreement with any local authority or with any navigation authority for the carrying out by that authority, on such terms as to payment or otherwise as may be specified in the agreement, of any work in connection with a main river which the Authority is authorised to carry out.
- (6) Nothing in subsections (1) to (3) above authorises any person to enter on the land of any person except for the purpose of maintaining existing works.

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- (7) In this section "watercourse" has the same meaning as in Part IV of this Act; and subsections (2) and (3) of section 113 above shall apply for the purposes of determining any question arising under this section as to—
  - (a) whether any work is a drainage work in connection with a main river; or
  - (b) whether any proposed work will, if constructed, be such a drainage work, as they apply for the purposes of that Part.

#### Modifications etc. (not altering text)

C67 S. 165 excluded (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 11(2), 76(2)

C68 S. 165(1)(a)(b) explained (16.3.1992) by Avon Weir Act 1992 (c. v), s. 7(4) (with s. 61)

# Power to carry out works for purpose of providing flood warning system.

- (1) Without prejudice to its other powers by virtue of section 4 above, Part IV of this Act and this Part, the Authority shall have power—
  - (a) to provide and operate flood warning systems;
  - (b) to provide, install and maintain apparatus required for the purposes of such systems;
  - (c) to carry out any other engineering or building operations so required.
- (2) Subsection (1) above shall not be construed as authorising, on the part of the Authority, any act or omission which, apart from that subsection, would be actionable at the suit of any person on any grounds other than a limitation imposed by law on the capacity of the Authority by virtue of its constitution.
- (3) The Authority may exercise the powers conferred by subsection (1)(b) or (c) above in an area in Scotland as if—
  - (a) its functions in relation to the areas of the regional flood defence committees whose areas are adjacent to Scotland were functions in relation to that area in Scotland; and
  - (b) that area in Scotland were included in the areas of each of those committees; but the powers conferred by this subsection are subject (except in the case of a power to maintain apparatus) to prior consultation with the local authority (within the meaning of section 1 of the M108 Flood Prevention (Scotland) Act 1961) for the area in Scotland in question.
- (4) In this section "flood warning system" has the same meaning as in section 148 above.

Marginal Citations M108 1961 c. 41.

#### 167 Power to dispose of spoil in connection with flood defence works.

- (1) Subject to subsection (2) below, the Authority may—
  - (a) without making payment for it, appropriate and dispose of any matter removed in the course of the carrying out of any work for widening, deepening or dredging any watercourse; and

- (b) deposit any matter so removed on the banks of the watercourse, or on such width of land adjoining the watercourse as is sufficient to enable the matter in question to be removed and deposited by mechanical means in one operation.
- (2) Subsection (1) above shall not authorise the deposit of any matter if the matter deposited would constitute a statutory nuisance within the meaning of Part III of the M109 Environmental Protection Act 1990.
- (3) The Authority and the council of any district or London borough may enter into an agreement providing—
  - (a) for the disposal by the council of any matter removed as mentioned in subsection (1) above; and
  - (b) for the payment by the Authority to the council, in respect of the disposal of the matter by the council, of such sum as may be provided by the agreement.
- (4) In this section "banks" and "watercourse" have the same meanings as in Part IV of this Act.

Marginal Citations M109 1990 c. 43.

# Compulsory works orders

## 168 Compulsory works orders.

- (1) Where the Authority is proposing, for the purposes of, or in connection with, the carrying out of any of its functions—
  - (a) to carry out any engineering or building operations; or
  - (b) to discharge water into any inland waters or underground strata,

the Authority may apply to either of the Ministers for an order under this section ("a compulsory works order").

- (2) Subject to the following provisions of this section, the Ministers shall each have power, on an application under subsection (1) above, by order made by statutory instrument—
  - (a) to confer such compulsory powers; and
  - (b) to grant such authority,

as he considers necessary or expedient for the purpose of enabling any engineering or building operations or discharges of water to be carried out or made for the purposes of, or in connection with, the carrying out of the functions with respect to which the application was made.

- (3) Schedule 19 to this Act shall have effect with respect to applications for compulsory works orders and with respect to such orders.
- (4) Subject to the provisions of Schedule 19 to this Act, a compulsory works order may—
  - (a) without prejudice to section 154 above, confer power to acquire compulsorily any land, including—
    - (i) power to acquire interests in and rights over land by the creation of new rights and interests; and

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- (ii) power, by the compulsory acquisition by the Authority of any rights over land which is to be or has been acquired by the Authority, to extinguish any such rights;
- (b) apply for the purposes of the order, either with or without modifications, any of the relevant provisions of this Part which do not apply for those purposes apart from by virtue of this paragraph;
- (c) make any authority granted by the order subject to such conditions as may be specified in the order;
- (d) amend or repeal any local statutory provision;
- (e) contain such supplemental, consequential and transitional provision as the Minister making the order considers appropriate;

and section 156(1) above shall apply in relation to the powers conferred by virtue of this section as it applies in relation to the power conferred by section 154 above.

- (5) Without prejudice to any duty imposed by virtue of section 184 below, where—
  - (a) either of the Ministers makes a compulsory works order authorising the Authority to carry out works for or in connection with the construction or operation of a reservoir or conferring compulsory powers for that purpose on the Authority; and
  - (b) it appears to him that the works to be carried out may permanently affect the area in which they are situated and are not primarily intended to benefit the inhabitants of that area,

he may include in the order provision with respect to facilities for recreation or other leisure-time occupation for the benefit of those inhabitants.

- (6) Nothing in any compulsory works order shall exempt the Authority from any restriction imposed by Chapter II of Part II of this Act.
- (7) It is hereby declared that a compulsory works order may grant authority for discharges of water by the Authority where the Authority has no power to take water, or to require discharges to be made, from the inland waters or other source from which the discharges authorised by the order are intended to be made; but nothing in so much of any such order as grants authority for any discharges of water shall have the effect of conferring any such power.
- (8) In this section the reference to the relevant provisions of this Part is a reference to the provisions of this Part except sections 155 to 158 and 165 to 167 above.

# **CHAPTER II**

#### POWERS OF ENTRY

# 169 Powers of entry for enforcement purposes.

- (1) Any person designated in writing for the purpose by either of the Ministers or by the Authority may—
  - (a) enter any premises or vessel for the purpose of ascertaining whether any provision of an enactment to which this section applies, of any subordinate legislation or other instrument made by virtue of any such enactment or of any byelaws made by the Authority is being or has been contravened; and

- (b) carry out such inspections, measurements and tests on any premises or vessel entered by that person or of any articles found on any such premises or vessel, and take away such samples of water or effluent or of any land or articles, as that Minister or the Authority—
  - (i) considers appropriate for the purpose mentioned in paragraph (a) above; and
  - (ii) has authorised that person to carry out or take away.
- (2) The powers which by virtue of subsection (1) above are conferred in relation to any premises for the purpose of enabling either of the Ministers or the Authority to determine whether any provision made by or under any of the water pollution provisions of this Act is being or has been contravened shall include power, in order to obtain the information on which that determination may be made—
  - (a) to carry out experimental borings or other works on those premises; and
  - (b) to install and keep monitoring and other apparatus there.
- (3) This section applies to any enactment contained in this Act and to any other enactment under or for the purposes of which the Authority carries out functions.

# 170 Power of entry for certain works purposes.

- (1) Any person designated in writing for the purpose by the Authority may enter any premises for any of the purposes specified in subsection (2) below.
- (2) The purposes mentioned in subsection (1) above are—
  - (a) the carrying out of any survey or tests for the purpose of determining—
    - (i) whether it is appropriate and practicable for the Authority to exercise any relevant works power; or
    - (ii) how any such power should be exercised;
  - (b) the exercise of any such power.
- (3) The power by virtue of subsection (1) above of a person designated by the Authority to enter any premises for the purposes of carrying out any survey or tests shall include power—
  - (a) to carry out experimental borings or other works for the purpose of ascertaining the nature of the sub-soil; and
  - (b) to take away and analyse such samples of water or effluent or of any land or articles as the Authority considers necessary for the purpose of determining either of the matters mentioned in subsection (2)(a) above and has authorised that person to take away and analyse.
- (4) In this section "relevant works power" means any power conferred by any of the provisions of sections 159, 160, 162(2) and (3) and 163 above.

# 171 Power to carry out surveys and to search for water.

- (1) Without prejudice to the rights and powers conferred by the other provisions of this Chapter, any person designated in writing under this section by the Authority may enter any premises for any of the purposes specified in subsection (2) below.
- (2) The purposes mentioned in subsection (1) above are the carrying out of any survey or tests for the purpose of determining—

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- (a) whether it would be appropriate for the Authority to acquire any land, or any interest or right in or over land, for purposes connected with the carrying out of its functions; or
- (b) whether it would be appropriate for the Authority to apply for an order under section 168 above and what compulsory powers it would be appropriate to apply for under that section.
- (3) The power by virtue of subsection (1) above of a person designated under this section to enter any premises for the purpose of carrying out any survey or tests shall include power—
  - (a) to carry out experimental borings or other works for the purpose of ascertaining the nature of the sub-soil, the presence of underground water in the sub-soil or the quantity or quality of any such water;
  - (b) to install and keep monitoring or other apparatus on the premises for the purpose of obtaining the information on which any such determination as is mentioned in subsection (2) above may be made; and
  - (c) to take away and analyse such samples of water or of any land or articles as the Authority considers necessary for any of the purposes so mentioned and has authorised that person to take away and analyse.
- (4) The powers conferred by this section shall not be exercised in any case for purposes connected with the determination of—
  - (a) whether, where or how a reservoir should be constructed; or
  - (b) whether, where or how a borehole should be sunk for the purpose of abstracting water from or discharging water into any underground strata,

unless the Secretary of State has, in accordance with subsection (5) below, given his written authorisation in relation to that case for the exercise of those powers for those purposes.

- (5) The Secretary of State shall not give his authorisation for the purposes of subsection (4) above unless—
  - (a) he is satisfied that notice of the proposal to apply for the authorisation has been given to the owner and to the occupier of the premises in question; and
  - (b) he has considered any representation or objections with respect to the proposed exercise of the powers under this section which—
    - (i) have been duly made to him by the owner or occupier of those premises, within the period of fourteen days beginning with the day after the giving of the notice; and
    - (ii) have not been withdrawn.

#### 172 Powers of entry for other purposes.

- (1) Any person designated in writing for the purpose by either of the Ministers or the Authority may enter any premises or vessel for the purpose of—
  - (a) determining whether, and if so in what manner, any power or duty conferred or imposed on either of the Ministers or on the Authority by virtue of any enactment to which this section applies (including a power of either or both of the Ministers to make subordinate legislation) should be exercised or, as the case may be, performed; or
  - (b) exercising or performing any power or duty which is so conferred or imposed.

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- (2) Any person designated in writing for the purpose by either of the Ministers or the Authority may—
  - (a) carry out such inspections, measurements and tests on any premises or vessel entered by that person under this section or of any articles found on any such premises or vessel; and
  - (b) take away such samples of water or effluent or of any land or articles, as that Minister or the Authority considers appropriate for any purpose mentioned in subsection (1) above and has authorised that person to carry out or take away.
- (3) The powers which by virtue of subsections (1) and (2) above are conferred in relation to any premises for the purpose of enabling either of the Ministers or the Authority to determine whether or in what manner to exercise or perform any power or duty conferred or imposed on him or it by or under the water pollution provisions of this Act shall include power, in order to obtain the information on which that determination may be made—
  - (a) to carry out experimental borings or other works on those premises; and
  - (b) to install and keep monitoring and other apparatus there.
- (4) This section applies to any enactment contained in this Act and to any other enactment under or for the purposes of which the Authority carries out functions.

### 173 Powers of entry: supplemental provisions.

Schedule 20 to this Act shall have effect with respect to the powers of entry and related powers which are conferred by the preceding provisions of this Chapter.

#### 174 Impersonation of persons exercising powers of entry.

- (1) A person who, without having been designated or authorised for the purpose by the Authority, purports to be entitled to enter any premises or vessel in exercise of a power exercisable in pursuance of any such designation or authorisation shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 4 on the standard scale.
- (2) For the purposes of this section it shall be immaterial, where a person purports to be entitled to enter any premises or vessel, that the power which that person purports to be entitled to exercise does not exist, or would not be exercisable, even if that person had been designated or authorised by the Authority.

#### **CHAPTER III**

#### PROVISIONS SUPPLEMENTAL TO LAND AND WORK POWERS

Vesting of pipes in the Authority

### 175 Vesting of pipes in the Authority.

(1) Subject to any provision to the contrary contained in an agreement between the Authority and the person in whom an interest in the pipe is or is to be vested, every pipe which—

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- (a) is a relevant pipe for the purposes of section 159 or 160 above; and
- (b) has been laid, in exercise of any power conferred by Chapter I of this Part or otherwise, by the Authority,

shall vest in the Authority.

(2) Subsection (1) above is without prejudice to the vesting of anything in the Authority by virtue of the exercise by the Authority of any power to acquire property by agreement or compulsorily.

Offence of interference with works etc.

#### 176 Offence of interference with works etc.

- (1) Subject to subsection (2) below, if any person without the consent of the Authority—
  - (a) intentionally or recklessly interferes with any resource main or other pipe vested in the Authority or with any structure, installation or apparatus belonging to the Authority; or
  - (b) by any act or omission negligently interferes with any such main or other pipe or with any such structure, installation or apparatus so as to damage it or so as to have an effect on its use or operation,

that person shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 3 on the standard scale.

- (2) A person shall not be guilty of an offence under subsection (1) above—
  - (a) by reason of anything done in an emergency to prevent loss or damage to persons or property; or
  - (b) by reason of his opening or closing the stopcock fitted to a service pipe by means of which water is supplied to any premises by a water undertaker if—
    - (i) he has obtained the consent of every consumer whose supply is affected by the opening or closing of that stopcock or, as the case may be, of every other consumer whose supply is so affected; and
    - (ii) in the case of opening a stopcock, the stopcock was closed otherwise than by the undertaker.
- (3) Any person who without the consent of the Authority—
  - (a) attaches any pipe or apparatus to any resource main or other pipe vested in the Authority; or
  - (b) subject to subsection (4) below, uses any pipe or apparatus which has been attached or altered in contravention of this section,

shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 3 on the standard scale.

- (4) In proceedings against any person for an offence by virtue of paragraph (b) of subsection (3) above it shall be a defence for that person to show that he did not know, and had no grounds for suspecting, that the pipe or apparatus in question had been attached or altered as mentioned in that subsection.
- (5) An offence under subsection (1) or (3) above shall constitute a breach of a duty owed to the Authority; and any such breach of duty which causes the Authority to sustain loss or damage shall be actionable at the suit of the Authority.

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- (6) The amount recoverable by virtue of subsection (5) above from a person who has committed an offence under subsection (3) above shall include such amount as may be reasonable in respect of any water wasted, misused or improperly consumed in consequence of the commission of the offence.
- (7) In this section "service pipe" and "stopcock" have the same meanings as in the MIIOWater Industry Act 1991, and "consumer" has the same meaning as in Part III of that Act.

Marginal Citations M110 1991 c. 56.

Compensation etc. in respect of exercise of works powers

## 177 Compensation etc. in respect of exercise of works powers.

Schedule 21 to this Act shall have effect for making provision for imposing obligations as to the payment of compensation in respect of the exercise of the powers conferred on the Authority by sections 159 to 167 above and otherwise for minimising the damage caused by the exercise of those powers.

#### Protective provisions

## 178 Protection for particular undertakings.

Schedule 22 to this Act shall have effect for the protection of particular undertakings in connection with the carrying out of works and other activities by the Authority.

#### 179 Protective provisions in respect of flood defence works and watercourses etc.

- (1) Nothing in this Act shall confer power on any person to do anything, except with the consent of the person who so uses them, which interferes—
  - (a) with any sluices, floodgates, groynes, sea defences or other works used by any person for draining, preserving or improving any land under any local statutory provision; or
  - (b) with any such works used by any person for irrigating any land.
- (2) Where the Authority proposes, otherwise than in exercise of any compulsory powers—
  - (a) to construct or alter any such inland waters in any internal drainage district as do not form part of a main river; or
  - (b) to construct or alter any works on or in any such inland waters, the Authority shall consult the internal drainage board for that district before doing so.
- (3) A consent for the purposes of subsection (1) above may be given subject to reasonable conditions but shall not be unreasonably withheld.
- (4) Any dispute—

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- (a) as to whether anything done or proposed to be done interferes or will interfere as mentioned in subsection (1) above;
- (b) as to whether any consent for the purposes of this section is being unreasonably withheld; or
- (c) as to whether any condition subject to which any such consent has been given was reasonable.

shall be referred to the arbitration of a single arbitrator to be appointed by agreement between the parties or, in default of agreement, by the President of the Institution of Civil Engineers.

(5) The provisions of this section shall be without prejudice to the provisions of Schedule 22 to this Act.

**Modifications etc. (not altering text)** 

C69 S. 179 applied (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 67(2), 76(2)

## 180 Power of navigation authorities etc to divert the Authority's watercourses.

- (1) Where any watercourses under the control of the Authority pass under or interfere with, or with the improvement or alteration of, any river, canal, dock, harbour, basin or other work (including any towing-path adjacent thereto) which belongs to or is under the jurisdiction of any relevant authority, the relevant authority may, at their own expense and on substituting for those watercourses other equally effective watercourses—
  - (a) take up, divert or alter the level of those watercourses; and
  - (b) do all such matters and things as may be necessary in connection with the works authorised to be done by them under this section.
- (2) If any question arises under this section between the Authority and any relevant authority as to whether any watercourses substituted or proposed to be substituted by the relevant authority for any existing watercourses are as effective as the existing watercourses, that question shall be referred to the arbitration of a single arbitrator appointed by agreement between the parties or, in default of agreement, by the President of the Institution of Civil Engineers on the application of either party.
- (3) In this section—

"relevant authority" means any navigation authority, harbour authority or conservancy authority; and

"watercourse" has the same meaning as in Part IV of this Act.

#### 181 Works in tidal lands etc.

- (1) Nothing in any of the provisions of this Part relating to any relevant works power shall authorise the Authority to carry out any works at any place below the place to which the tide flows at mean high water springs, except in accordance with such plans and sections, and subject to such restrictions, as may, before the works are commenced, have been approved by the Secretary of State.
- (2) An approval for the purposes of subsection (1) above shall be given to the Authority by the service on the Authority of a notice containing the approval.

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- (3) Section 38 of the MIII Salmon and Freshwater Fisheries Act 1975 (tidal lands etc.) shall apply to any proposed construction, alteration or extension under section 156 above as it applies to any proposed construction, alteration or extension under that Act.
- (4) Section 74 of the M112Land Drainage Act 1991 (application to Crown and tidal lands), so far as it relates to lands below the high-water mark of ordinary spring tides shall apply, as it applies in relation to that Act, to the flood defence provisions of this Act.
- (5) In subsection (1) above the reference to a relevant works power is a reference to a power conferred by any of sections 159, 160, 162(2) and (3) and 163 above.

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Marginal Citations
M111 1975 c. 51.
M112 1991 c. 59.
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## 182 Mineral rights.

Schedule 23 to this Act (which makes provision with respect to the acquisition of mineral rights by the Authority and with respect to the working of mines and minerals where pipes, sewers or other related works are affected) shall have effect and, in the case of the compulsory acquisition of land by virtue of this Act, shall have effect instead of Schedule 2 to the M113 Acquisition of Land Act 1981 (mineral rights etc. in relation to compulsory purchase orders).

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Marginal Citations
M113 1981 c. 67.
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#### 183 Saving for planning controls etc.

- (1) Without prejudice to the operation of section 90 of the M114 Town and Country Planning Act 1990 (planning permission deemed to be granted in certain cases) in relation to any provision made by or under this Act or any other enactment which by virtue of this Act or the M115 Water Act 1989 relates to the functions of the Authority, nothing in this Act or in any such enactment shall be construed as authorising the carrying out of any development (within the meaning of that Act of 1990) without the grant of such planning permission as may be required by that Act of 1990.
- (2) Nothing in the flood defence provisions of this Act shall authorise any person to carry out any works or do anything in contravention of any of the provisions of the M116 Ancient Monuments and Archaelogical Areas Act 1979.

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Modifications etc. (not altering text)
C70 S. 183 applied (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1) ss. 67(2), 76(2)

Marginal Citations
M114 1990 c. 8.
M115 1989 c. 15.
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M116 1979 c. 46.

# Duties to make recreational facilities available when building reservoirs in Wales.

- (1) Where the Authority carries out any works for or in connection with the construction or operation of a reservoir in Wales which—
  - (a) permanently affect one or more communities; and
  - (b) are not primarily intended by the Authority to benefit the inhabitants of that or those communities,

it shall be the duty of the Authority to make available facilities for recreation or other leisure-time occupation for the benefit of those inhabitants or to assist others to make such facilities available.

- (2) It shall be the duty of the Authority, in performing its duty under subsection (1) above, to consult—
  - (a) the community councils of the communities affected, in the case of communities having such councils; and
  - (b) in any case, the council of any district in which any community affected is situated.

Savings in respect of existing drainage obligations

### 185 Savings in respect of existing drainage obligations.

- (1) Nothing in the flood defence provisions of this Act shall operate to release any person from an obligation to which section 21 of the M117Land Drainage Act 1991 applies.
- (2) The functions of the Authority as respects the doing of any work under the flood defence provisions of this Act are not to be treated as in any way limited by the fact that some other person is under an obligation, by reason of tenure, custom, prescription or otherwise, to do that work.

Marginal Citations M117 1991 c. 59.

Interpretation of Part VII

#### 186 Interpretation of Part VII.

(1) In this Part—

"discharge pipe" means a pipe from which discharges are or are to be made under section 163 above;

"resource main" means any pipe, not being a trunk main within the meaning of the MII8 Water Industry Act 1991, which is or is to be used for the purpose of—

(a) conveying water from one source of supply to another, from a source of supply to a regulating reservoir or from a regulating reservoir to a source of supply; or

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- (b) giving or taking a supply of water in bulk.
- (2) In subsection (1) above—

"source of supply" shall be construed without reference to the definition of that expression in section 221 below; and

"supply of water in bulk" has the same meaning as in section 3 above.

(3) The powers conferred by Chapter I of this Part shall be without prejudice to the powers conferred on the Authority by any other enactment or by any agreement.

Marginal Citations
M118 1991 c. 56.

#### PART VIII

#### INFORMATION PROVISIONS

Annual report and publication of information

#### 187 Annual report of the Authority.

- (1) As soon as reasonably practicable after the end of each financial year the Authority shall prepare a report on its activities during that year and shall send a copy of that report to each of the Ministers.
- (2) Every such report shall set out any directions under section 5 above which have been given to the Authority during the year to which the report relates.
- (3) The Secretary of State shall lay a copy of every such report before each House of Parliament and shall arrange for copies of every such report to be published in such manner as he considers appropriate.
- (4) The Authority's annual report shall be in such form and contain such information as may be specified in any direction given to the Authority by the Ministers.

## 188 Duty of Authority to publish certain information.

It shall be the duty of the Authority—

- (a) to collate and publish information from which assessments can be made of the actual and prospective demand for water, and of actual and prospective water resources, in England and Wales; and
- (b) so far as it considers it appropriate to do so, to collaborate with others in collating and publishing any such information or any similar information in relation to places outside England and Wales.

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#### Registers etc. to be kept by the Authority

#### 189 Register of abstraction and impounding licences.

- (1) The Authority shall keep, in such manner as may be prescribed, registers containing such information as may be prescribed with respect—
  - (a) to applications made for the grant, revocation or variation of licences under Chapter II of Part II of this Act, including information as to the way in which such applications have been dealt with; and
  - (b) to persons becoming the holders of such licences by virtue of section 49 above of this Act or regulations made under section 50 above.
- (2) Every register kept by the Authority under this section shall also contain such information as may be prescribed with respect—
  - (a) to applications made in accordance with regulations under section 64 above; and
  - (b) to licences granted or deemed to be granted, and licences revoked or varied, in accordance with regulations made under that section.
- (3) Subject to any regulations under this section, the information which the Authority is required to keep in registers under this section shall continue to include the information which immediately before 1st September 1989 was contained in a register kept by a water authority under section 53 of the MII9Water Resources Act 1963.
- (4) The contents of every register kept under this section shall be available, at such place as may be prescribed, for inspection by the public at all reasonable hours.

## **Marginal Citations**

M119 1963 c. 38.

## 190 Pollution control register.

- (1) It shall be the duty of the Authority to maintain, in accordance with regulations made by the Secretary of State, registers containing prescribed particulars of—
  - (a) any notices of water quality objectives or other notices served under section 83 above;
  - (b) applications made for consents under Chapter II of Part III of this Act;
  - (c) consents given under that Chapter and the conditions to which the consents are subject;
  - (d) certificates issued under paragraph 1(7) of Schedule 10 to this Act;
  - (e) the following, that is to say—
    - (i) samples of water or effluent taken by the Authority for the purposes of any of the water pollution provisions of this Act;
    - (ii) information produced by analyses of those samples;
    - (iii) such information with respect to samples of water or effluent taken by any other person, and the analyses of those samples, as is acquired by the Authority from any person under arrangements made by the Authority for the purposes of any of those provisions; and

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(iv) the steps taken in consequence of any such information as is mentioned in any of sub-paragraphs (i) to (iii) above;

and

- (f) any matter about which particulars are required to be kept in any register under section 20 of the M120 Environmental Protection Act 1990 (particulars about authorisations for prescribed processes etc.) by the chief inspector under Part I of that Act.
- (2) It shall be the duty of the Authority—
  - (a) to secure that the contents of registers maintained by the Authority under this section are available, at all reasonable times, for inspection by the public free of charge; and
  - (b) to afford members of the public reasonable facilities for obtaining from the Authority, on payment of reasonable charges, copies of entries in any of the registers.
- (3) Section 101 above shall have effect in relation to any regulations under this section as it has effect in relation to any subordinate legislation under Part III of this Act.

## **Modifications etc. (not altering text)**

C71 S. 190 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para. 9 (with s. 46).

## **Marginal Citations**

M120 1990 c. 43.

## 190 Pollution control register. E+W

- (1) It shall be the duty of the Authority to maintain, in accordance with regulations made by the Secretary of State, registers containing prescribed particulars of—
  - (a) any notices of water quality objectives or other notices served under section 83 above;
  - (b) applications made for consents under Chapter II of Part III of this Act;
  - (c) consents given under that Chapter and the conditions to which the consents are subject;
  - (d) certificates issued under paragraph 1(7) of Schedule 10 to this Act;
  - (e) the following, that is to say—
    - (i) samples of water or effluent taken by the Authority for the purposes of any of the water pollution provisions of this Act;
    - (ii) information produced by analyses of those samples;
    - (iii) such information with respect to samples of water or effluent taken by any other person, and the analyses of those samples, as is acquired by the Authority from any person under arrangements made by the Authority for the purposes of any of those provisions; and
    - (iv) the steps taken in consequence of any such information as is mentioned in any of sub-paragraphs (i) to (iii) above;

and

(f) any matter about which particulars are required to be kept in any register under section 20 of the M180 Environmental Protection Act 1990 (particulars about

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authorisations for prescribed processes etc.) by the chief inspector under Part I of that Act.

- (2) It shall be the duty of the Authority—
  - (a) to secure that the contents of registers maintained by the Authority under this section are available, at all reasonable times, for inspection by the public free of charge; and
  - (b) to afford members of the public reasonable facilities for obtaining from the Authority, on payment of reasonable charges, copies of entries in any of the registers.
- (3) Section 101 above shall have effect in relation to any regulations under this section as it has effect in relation to any subordinate legislation under Part III of this Act.

#### **Modifications etc. (not altering text)**

C85 S. 190 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

#### **Marginal Citations**

M180 1990 c. 43.

## 191 Register for the purposes of works discharges.

- (1) The Authority shall keep a register of persons and premises for the purposes of section 164 above.
- (2) The Authority shall enter the name and address of a person in that register in respect of any premises which abut on any watercourse if that person has requested to be so registered and is either—
  - (a) the owner or occupier of those premises; or
  - (b) an officer of an association of owners or occupiers of premises which abut on that watercourse and include those premises.
- (3) If the Authority contravenes, without reasonable excuse, any of the requirements of this section, it shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 3 on the standard scale.

#### VALID FROM 21/11/1996

## [F15191AExclusion from registers of information affecting national security.

- (1) No information shall be included in a register kept or maintained by the Agency under any provision of this Act if and so long as, in the opinion of the Secretary of State, the inclusion in such a register of that information, or information of that description, would be contrary to the interests of national security.
- (2) The Secretary of State may, for the purpose of securing the exclusion from registers of information to which subsection (1) above applies, give to the Agency directions—
  - (a) specifying information, or descriptions of information, to be excluded from their registers; or

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(b) specifying descriptions of information to be referred to the Secretary of State for his determination;

and no information referred to the Secretary of State in pursuance of paragraph (b) above shall be included in any such register until the Secretary of State determines that it should be so included.

- (3) The Agency shall notify the Secretary of State of any information it excludes from a register in pursuance of directions under subsection (2) above.
- (4) A person may, as respects any information which appears to him to be information to which subsection (1) above may apply, give a notice to the Secretary of State specifying the information and indicating its apparent nature; and, if he does so—
  - (a) he shall notify the Agency that he has done so; and
  - (b) no information so notified to the Secretary of State shall be included in any such register until the Secretary of State has determined that it should be so included.]

#### **Textual Amendments**

F15 S. 191A inserted (21.11.1996 for certain purposes and 31.12.1996 otherwise) by 1995 c. 25, s. 120(1), Sch. 22 para. 170 (with ss. 7(6), 115, 117); S.I. 1996/2909, arts. 2, 3 (subject to saving provisions in art. 4)

#### **Modifications etc. (not altering text)**

C72 S. 191A applied (with modifications) (1.4.1999) by S.I. 1998/2746, reg. 14(3)

#### VALID FROM 21/11/1996

## [F16191BExclusion from registers of certain confidential information.

- (1) No information relating to the affairs of any individual or business shall, without the consent of that individual or the person for the time being carrying on that business, be included in a register kept or maintained by the Agency under any provision of this Act, if and so long as the information—
  - (a) is, in relation to him, commercially confidential; and
  - (b) is not required to be included in the register in pursuance of directions under subsection (7) below;

but information is not commercially confidential for the purposes of this section unless it is determined under this section to be so by the Agency or, on appeal, by the Secretary of State.

- (2) Where information is furnished to the Agency for the purpose of—
  - (a) an application for a discharge consent or for the variation of a discharge consent.
  - (b) complying with any condition of a discharge consent, or
  - (c) complying with a notice under section 202 below,

then, if the person furnishing it applies to the Agency to have the information excluded from any register kept or maintained by the Agency under any provision of this Act, on the ground that it is commercially confidential (as regards himself

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or another person), the Agency shall determine whether the information is or is not commercially confidential.

- (3) A determination under subsection (2) above must be made within the period of fourteen days beginning with the date of the application and if the Agency fails to make a determination within that period it shall be treated as having determined that the information is commercially confidential.
- (4) Where it appears to the Agency that any information (other than information furnished in circumstances within subsection (2) above) which has been obtained by the Agency under or by virtue of any provision of any enactment might be commercially confidential, the Agency shall—
  - (a) give to the person to whom or whose business it relates notice that that information is required to be included in a register kept or maintained by the Agency under any provision of this Act, unless excluded under this section; and
  - (b) give him a reasonable opportunity—
    - (i) of objecting to the inclusion of the information on the ground that it is commercially confidential; and
    - (ii) of making representations to the Agency for the purpose of justifying any such objection;

and, if any representations are made, the Agency shall, having taken the representations into account, determine whether the information is or is not commercially confidential.

- (5) Where, under subsection (2) or (4) above, the Agency determines that information is not commercially confidential—
  - (a) the information shall not be entered on the register until the end of the period of twenty-one days beginning with the date on which the determination is notified to the person concerned; and
  - (b) that person may appeal to the Secretary of State against the decision; and, where an appeal is brought in respect of any information, the information shall not be entered on the register until the end of the period of seven days following the day on which the appeal is finally determined or withdrawn.
- (6) Subsections (2A), (2C) and (2K) of section 91 above shall apply in relation to appeals under subsection (5) above; but—
  - (a) subsection (2C) of that section shall have effect for the purposes of this subsection with the substitution for the words from "(which may" onwards of the words "(which must be held in private)"; and
  - (b) subsection (5) above is subject to section 114 of the 1995 Act (delegation or reference of appeals etc).
- (7) The Secretary of State may give to the Agency directions as to specified information, or descriptions of information, which the public interest requires to be included in registers kept or maintained by the Agency under any provision of this Act notwithstanding that the information may be commercially confidential.
- (8) Information excluded from a register shall be treated as ceasing to be commercially confidential for the purposes of this section at the expiry of the period of four years beginning with the date of the determination by virtue of which it was excluded; but the person who furnished it may apply to the Agency for the information to remain

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- excluded from the register on the ground that it is still commercially confidential and the Agency shall determine whether or not that is the case.
- (9) Subsections (5) and (6) above shall apply in relation to a determination under subsection (8) above as they apply in relation to a determination under subsection (2) or (4) above.

been made appear in the content and are referenced with annotations. (See end of Document for details)

- (10) The Secretary of State may by regulations substitute (whether in all cases or in such classes or descriptions of case as may be specified in the regulations) for the period for the time being specified in subsection (3) above such other period as he considers appropriate.
- (11) Information is, for the purposes of any determination under this section, commercially confidential, in relation to any individual or person, if its being contained in the register would prejudice to an unreasonable degree the commercial interests of that individual or person.
- (12) In this section "discharge consent" has the same meaning as in section 91 above.]

#### **Textual Amendments**

**F16** S. 191B inserted (21.11.1996 for certain purposes and 31.12.1996 otherwise) by 1995 c. 25, s. 120(1), **Sch. 22 para. 170** (with ss. 7(6), 115, 117); S.I. 1996/2909, **arts. 2**, 3 (subject to saving provisions in art. 4)

## **Modifications etc. (not altering text)**

- C73 S. 191B applied (with modifications) (1.4.1999) by S.I. 1998/2746, reg. 14(3)
- C74 S. 191B(5): power to delegate functions conferred (1.4.1996) by 1995 c. 25, s. 114(2)(a)(v) (with ss. 7(6), 115, 117); S.I. 1996/186, art. 3 (with art. 4)

#### 192 Maps of fresh-water limits.

- (1) The Secretary of State—
  - (a) shall deposit maps with the Authority showing what appear to him to be the fresh-water limits of every relevant river or watercourse; and
  - (b) may from time to time, if he considers it appropriate to do so by reason of any change of what appears to him to be the fresh-water limit of any river or watercourse, deposit a map showing a revised limit for that river or watercourse.
- (2) It shall be the duty of the Authority to keep any maps deposited with it under subsection (1) above available, at all reasonable times, for inspection by the public free of charge.
- (3) In this section "relevant river or watercourse" has the same meaning as in section 104 above.

## 193 Main river maps.

- (1) Subject to section 194 below, the Authority shall—
  - (a) keep the main river map for the area of a regional flood defence committee at the principal office of the Authority for that area; and

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(b) provide reasonable facilities for inspecting that map and taking copies of and extracts from it;

and any local authority whose area is wholly or partly within the area of a regional flood defence committee shall, on application to the Authority, be entitled to be furnished with copies of the main river map for the area of that committee on payment of such sum as may be agreed between the Authority and that local authority.

- (2) For the purposes of this Act a main river map is a map relating to the area of a regional flood defence committee which—
  - (a) shows by a distinctive colour the extent to which any watercourse in that area is to be treated as a main river, or part of a main river, for the purposes of this Act; and
  - (b) indicates (by a distinctive colour or otherwise) which (if any) of those watercourses are watercourses designated in a scheme made under section 137 above;

and, subject to section 194 below, references in this Act to a main river map, in relation to the area of a regional flood defence committee, include so much of any map as, by virtue of paragraph 38 of Schedule 26 to the Water Act 1989, has effect as such a map at the coming into force of this Act.

- (3) A main river map—
  - (a) shall be conclusive evidence for all purposes as to what is a main river; and
  - (b) shall be taken for the purposes of the M121 Documentary Evidence Act 1868, as it applies to either of the Ministers, to be a document within the meaning of that Act and to have been issued by that Minister.
- (4) In this section and section 194 below "watercourse" has the same meaning as in Part IV of this Act.

Marginal Citations M121 1868 c. 37.

#### 194 Amendment of main river maps.

- (1) Either of the Ministers may at any time send the Authority one or more new maps to be substituted for the whole or part of a main river map and containing a statement to that effect.
- (2) A statement contained in a map in pursuance of subsection (1) above shall specify the date on which the substitution is to take effect and the substitution shall take effect in accordance with the statement.
- (3) Where—
  - (a) the area of a regional flood defence committee is altered so as to affect any of the particulars shown on the main river map for that area; or
  - (b) one of the Ministers confirms a scheme under section 137 above; or
  - (c) the Authority applies to one of the Ministers for the variation of a main river map, so far as it shows the extent to which any watercourse is to be treated as a main river or part of a main river,

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the Ministers shall each be under a duty to ensure that such action as he considers appropriate is taken under subsection (4) below.

- (4) The action referred to in subsection (3) above is action by one of the Ministers—
  - (a) requiring the Authority to send him any part of the main river map in question, altering it and sending it back to the Authority; or
  - (b) preparing a new main river map and sending it to the Authority; or
  - (c) notifying the Authority that he does not intend to vary the main river map in question.
- (5) Before one of the Ministers alters a map or prepares a new map by virtue of subsection (3)(c) above, he shall—
  - (a) give notice of his intention to do so in such manner as he thinks best adapted for informing persons affected; and
  - (b) consider any objections made to him within the time and in the manner specified in that notice;

and he may then alter or prepare the map either in accordance with the proposals contained in the notice or otherwise.

#### **Modifications etc. (not altering text)**

C75 S. 194(5) excluded (16.3.1992) by Aire and Calder Navigation Act 1992 (c. iv), s. 15(3)

## 195 Maps of waterworks.

- (1) Subject to subsections (4) and (5) below, it shall be the duty of the Authority to keep records of the location of—
  - (a) every resource main or discharge pipe which is for the time being vested in the Authority; and
  - (b) any other underground works which are for the time being vested in the Authority.
- (2) It shall be the duty of the Authority to secure that the contents of any records for the time being kept by it under this section are available, at all reasonable times, for inspection by the public free of charge at an office of the Authority.
- (3) Any information which is required under this section to be made available by the Authority for inspection by the public shall be so made available in the form of a map.
- (4) For the purpose of determining whether any failure to make a modification of any records kept under this section constitutes a breach of the duty imposed by subsection (1) above, that duty shall be taken to require any modification of the records to be made as soon as reasonably practicable after the completion of the works which make the modification necessary; and, where records kept under this section are modified, the date of the modification and of the completion of the works making the modification necessary shall be incorporated in the records.
- (5) Nothing in this section shall require the Authority, at any time before 1st September 1999, to keep records of—
  - (a) any pipe which was laid before 1st September 1989; or
  - (b) any underground works which were completed before 1st September 1989,

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unless those particulars were shown on 31st August 1989 on a map kept by a water authority or statutory water company under section 12 of Schedule 3 to the M122Water Act 1945 (maps of underground works).

- (6) The reference in subsection (5) above to section 12 of Schedule 3 to the Water Act 1945 shall have effect, without prejudice to section 20(2) of the M123 Interpretation Act 1978 (references to enactments to include references to enactments as amended, extended or applied), as including a reference to that section as applied, with or without modifications, by any local statutory provision.
- (7) In this section-

"discharge pipe" and "resource main" have the same meanings as in Part VII of this Act;

"underground works" does not include a service pipe within the meaning of the  $^{M124}$ Water Industry Act 1991.

# **Marginal Citations** M122 1945 c. 42. M123 1978 c. 30. M124 1991 c. 56.

Provision and acquisition of information etc.

#### 196 **Provision of information by the Authority to the Ministers.**

- (1) It shall be the duty of the Authority to furnish the Secretary of State or the Minister with all such information relating to
  - the Authority's property;
  - the carrying out and proposed carrying out of its functions; and
  - its responsibilities generally,

as he may reasonably require.

- (2) Information required under this section shall be furnished in such form and manner, and be accompanied or supplemented by such explanations, as the Secretary of State or the Minister may reasonably require.
- (3) The information which the Authority may be required to furnish to either of the Ministers under this section shall include information which, although it is not in the possession of the Authority or would not otherwise come into the possession of the Authority, is information which it is reasonable to require the Authority to obtain.
- (4) A requirement for the purposes of this section shall be contained in a direction which
  - may describe the information to be furnished in such manner as the Secretary of State or the Minister considers appropriate; and
  - may require the information to be furnished on a particular occasion, in particular circumstances or from time to time.
- (5) For the purposes of this section the Authority shall—

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- (a) permit any person authorised by one of the Ministers for the purpose to inspect and make copies of the contents of any accounts or other records of the Authority; and
- (b) give such explanation of them as that person or the Secretary of State or the Minister may reasonably require.

#### 197 Provision of information about water flow etc.

- (1) It shall be the duty of the Authority—
  - (a) to provide a water undertaker with all such information to which this section applies as is in the possession of the Authority and is reasonably requested by the undertaker for purposes connected with the carrying out of its functions; and
  - (b) to provide reasonable facilities to all persons—
    - (i) for the inspection of the contents of any records kept by the Authority and containing information to which this section applies; and
    - (ii) for the taking of copies of, or of extracts from, any such records.
- (2) It shall be the duty of every water undertaker to provide the Authority with all such information to which this section applies as is in the possession of the undertaker and is reasonably requested by the Authority for purposes connected with the carrying out of any of its functions.
- (3) Where records of the flow, level or volume of any inland waters, other than discrete waters, are kept by a person other than a water undertaker, the Authority shall have the right at all reasonable times—
  - (a) to inspect the contents of any of those records; and
  - (b) to take copies of, or of extracts from, the contents of any of those records; and any person who, without reasonable excuse, refuses or fails to permit the Authority to exercise its right under this subsection shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 1 on the standard scale.
- (4) Information provided to a water undertaker or to the Authority under subsection (1) or (2) above shall be provided in such form and in such manner and at such times as the undertaker or, as the case may be, the Authority may reasonably require; and the duties of the Authority under subsection (1) above shall extend to information provided to or obtained by the Authority under subsection (2) or (3) above.
- (5) Information or facilities provided under subsection (1) or (2) above to the Authority, to a water undertaker, to a local authority or joint planning board, or to an internal drainage board, shall be provided free of charge; and facilities provided under subsection (1) above to other persons may be provided on terms requiring the payment by persons making use of the facilities of such reasonable charges as the Authority may determine.
- (6) The duties of a water undertaker under subsection (2) above shall be enforceable under section 18 of the M125 Water Industry Act 1991 by the Secretary of State.
- (7) This section applies to information about the flow, level or volume of any inland waters or any water contained in underground strata, about rainfall or any fall of snow, hail or sleet or about the evaporation of any water.

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#### **Marginal Citations**

M125 1991 c. 56.

#### 198 Information about underground water.

- (1) Any person who, for the purpose of searching for or abstracting water, proposes to sink a well or borehole intended to reach a depth of more than fifty feet below the surface shall, before he begins to do so, give notice to the Natural Environment Research Council of his intention to do so.
- (2) Any person sinking any such well or borehole as is mentioned in subsection (1) above shall
  - keep a journal of the progress of the work and, on completion or abandonment (a) of the work, send a complete copy of the journal to the Natural Environment Research Council;
  - send to that Council particulars of any test made before completion or abandonment of the work of the flow of water;
  - allow any person authorised by that Council for the purpose, on production of some duly authenticated document showing his authority, at all reasonable times to exercise any of the rights specified in subsection (5) below.
- (3) The journal required to be kept under this section shall include measurements of
  - the strata passed through; and
  - the levels at which water is struck and subsequently rests.
- (4) The particulars required to be sent to the Natural Environment Research Council under subsection (2)(b) above shall specify
  - the rate of flow throughout the test;
  - (b) the duration of the test:
  - where practicable, the water levels during the test and afterwards until the water returns to its natural level; and
  - where the well or borehole is sunk in connection with an existing pumping station, the rate of pumping at the existing works during the test.
- (5) The rights mentioned in subsection (2)(c) above are the rights, subject to section 205 below-
  - (a) to have free access to the well or borehole;
  - (b) to inspect the well or borehole and the material extracted from it;
  - to take specimens of any such material and of water abstracted from the well or borehole; and
  - to inspect and take copies of or extracts from the journal required to be kept under this section.
- (6) Where the person sinking a well or borehole on any land is not the occupier of the land, the obligation imposed on that person by virtue of subsection (2)(c) above shall be the obligation of the occupier as well.
- (7) Where
  - any person contracts to sink any well or borehole on land belonging to or occupied by another; and

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- (b) the carrying out of the work is under the control of the contractor, the contractor and no other person shall be deemed for the purposes of this section to be the person sinking the well or borehole.
- (8) Any person who fails to comply with any obligation imposed on him by this section shall be guilty of an offence and liable, on summary conviction—
  - (a) to a fine not exceeding level 3 on the standard scale; and
  - (b) where the offence continues after conviction, to a further fine of £20 for every day during which it so continues.

## 199 Notice etc. of mining operations which may affect water conservation.

- (1) Where a person proposes to construct or extend a boring for the purpose of searching for or extracting minerals, he shall, before he begins to construct or extend the boring, give to the Authority a notice of his intention in the prescribed form.
- (2) The provisions of subsections (2) and (3) of section 30 above and of section 31 above shall apply where a notice is served under subsection (1) above as they apply where a notice is served under subsection (1) of that section 30 but as if the references in subsection (3) of that section 30 and in subsection (1) of that section 31 to interference with the protection of the underground works in question were a reference to interference with the winning of minerals.
- (3) Sections 68 to 70 above shall apply for the purposes of subsection (2) above as they apply for the purposes of the provisions applied by that subsection.
- (4) Any person who contravenes subsection (1) above or fails to comply with a conservation notice given by virtue of subsection (2) above shall be guilty of an offence and liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine.

### 200 Gauges and records kept by other persons.

- (1) Subject to subsection (3) below, any person other than the Authority, who proposes to install a gauge for measuring and recording the flow, level or volume of any inland waters other than discrete waters—
  - (a) shall give notice to the Authority of his proposal to install the gauge; and
  - (b) shall not begin the work of installing it before the end of the period of three months beginning with the date of service of the notice or such shorter period as the Authority may in any particular case allow.
- (2) Not more than one month after any such work as is mentioned in paragraph (b) of subsection (1) above is completed, the person required to give notice under that subsection shall give notice to the Authority stating where the records obtained by means of the gauge are to be kept.
- (3) Subsections (1) and (2) above shall not apply—
  - (a) to any gauge installed for the sole purpose of indicating the level of any inland waters for the benefit of persons who fish in them; or
  - (b) to any gauge which is removed at or before the end of the period of twenty-eight days beginning with the date on which it is installed.

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(4) Any person who contravenes subsection (1) or (2) above shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 1 on the standard scale.

## 201 Power to require information with respect to abstraction.

- (1) The Authority may give directions requiring any person who is abstracting water from a source of supply, at such times and in such form as may be specified in the directions, to give such information to the Authority as to the abstraction as may be so specified.
- (2) Where—
  - (a) directions are given to any person under this section; and
  - (b) that person considers that they are unreasonable or unduly onerous, he may make representations to the Secretary of State with respect to the directions.
- (3) Subject to subsection (4) below, where representations are made to the Secretary of State under subsection (2) above, he may, if he thinks fit, give a direction under this section requiring the Authority to revoke or modify the direction.
- (4) Subsection (3) above shall not apply to any directions in so far as they require the occupier of any land to give any prescribed particulars as to the quantity or quality of water abstracted by him or on his behalf from any source of supply.
- (5) Any person who fails to comply with any directions given by the Authority under this section shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 1 on the standard scale.

#### 202 Information and assistance required in connection with the control of pollution.

- (1) It shall be the duty of the Authority, if and so far as it is requested to do so by either of the Ministers, to give him all such advice and assistance as appears to it to be appropriate for facilitating the carrying out by him of his functions under the water pollution provisions of this Act.
- (2) Subject to subsection (3) below, either of the Ministers or the Authority may serve on any person a notice requiring that person to furnish him or, as the case may be, it, within a period or at times specified in the notice and in a form and manner so specified, with such information as is reasonably required by the Minister in question or by the Authority for the purpose of carrying out any of his or, as the case may be, its functions under the water pollution provisions of this Act.
- (3) Each of the Ministers shall have power by regulations to make provision for restricting the information which may be required under subsection (2) above and for determining the form in which the information is to be so required.
- (4) A person who fails without reasonable excuse to comply with the requirements of a notice served on him under this section shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 5 on the standard scale.
- (5) Notwithstanding anything in section 127 of the M126 Magistrates' Courts Act 1980 (time limit for summary proceedings), a magistrates' court may try any summary offence under this section if the information is laid not more than twelve months after the commission of the offence.

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Modifications etc. (not altering text)
C76 S. 202 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

Marginal Citations
M126 1980 c. 43.
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## 203 Exchange of information with respect to pollution incidents etc.

- (1) It shall be the duty of the Authority to provide a water undertaker with all such information to which this section applies as is in the possession of the Authority and is reasonably requested by the undertaker for purposes connected with the carrying out of its functions.
- (2) It shall be the duty of every water undertaker to provide the Authority with all such information to which this section applies as is in the possession of the undertaker and is reasonably requested by the Authority for purposes connected with the carrying out of any of its functions.
- (3) Information provided to a water undertaker or to the Authority under subsection (1) or (2) above shall be provided in such form and in such manner and at such times as the undertaker or, as the case may be, the Authority may reasonably require.
- (4) Information provided under subsection (1) or (2) above to a water undertaker or to the Authority shall be provided free of charge.
- (5) The duties of a water undertaker under subsection (2) above shall be enforceable under section 18 of the M127Water Industry Act 1991 by the Secretary of State.
- (6) This section applies to information—
  - (a) about the quality of any controlled waters or of any other waters; or
  - (b) about any incident in which any poisonous, noxious or polluting matter or any solid waste matter has entered any controlled waters or other waters.
- (7) In this section "controlled waters" has the same meaning as in Part III of this Act.

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Modifications etc. (not altering text)
C77 S. 203 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

Marginal Citations
M127 1991 c. 56.
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Restriction on disclosure of information

#### 204 Restriction on disclosure of information.

- (1) Subject to the following provisions of this section, no information with respect to any particular business which—
  - (a) has been obtained by virtue of any of the provisions of this Act; and
  - (b) relates to the affairs of any individual or to any particular business,

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shall, during the lifetime of that individual or so long as that business continues to be carried on, be disclosed without the consent of that individual or the person for the time being carrying on that business.

- (2) Subsection (1) above does not apply to any disclosure of information which is made—
  - (a) for the purpose of facilitating the carrying out by either of the Ministers, the Authority, the Director General of Water Services, the Monopolies Commission or a local authority of any of his, its or, as the case may be, their functions by virtue of this Act, any of the other consolidation Acts or the M128 Water Act 1989;
  - (b) for the purpose of facilitating the performance by a water undertaker or sewerage undertaker of any of the duties imposed on it by or under this Act, any of the other consolidation Acts or the Water Act 1989;
  - (c) in pursuance of any duty imposed by section 197(1)(a) or (2) or 203(1) or (2) above or of any arrangements made by the Director General of Water Services under section 29(6) of the Water Industry Act 1991;
  - (d) for the purpose of facilitating the carrying out by any person mentioned in Part I of Schedule 24 to this Act of any of his functions under any of the enactments or instruments specified in Part II of that Schedule;
  - (e) for the purpose of enabling or assisting the Secretary of State to exercise any powers conferred on him by the M129 Financial Services Act 1986 or by the enactments relating to companies, insurance companies or insolvency or for the purpose of enabling or assisting any inspector appointed by him under the enactments relating to companies to carry out his functions;
  - (f) for the purpose of enabling an official receiver to carry out his functions under the enactments relating to insolvency or for the purpose of enabling or assisting a recognised professional body for the purposes of section 391 of the M130 Insolvency Act 1986 to carry out its functions as such;
  - (g) for the purpose of facilitating the carrying out by the Health and Safety Commission or the Health and Safety Executive of any of its functions under any enactment or of facilitating the carrying out by any enforcing authority, within the meaning of Part I of the MI31 Health and Safety at Work etc. Act 1974, of any functions under a relevant statutory provision, within the meaning of that Act;
  - (h) for the purpose of facilitating the carrying out by the Comptroller and Auditor General of any of his functions under any enactment;
  - (i) in connection with the investigation of any criminal offence or for the purposes of any criminal proceedings;
  - (j) for the purposes of any civil proceedings brought under or by virtue of this Act, any of the other consolidation Acts, the M132 Water Act 1989 or any of the enactments or instruments specified in Part II of Schedule 24 to this Act, or of any arbitration under this Act, any of the other consolidation Acts or that Act of 1989; or
  - (k) in pursuance of a Community obligation.
- (3) Nothing in subsection (1) above shall be construed—
  - (a) as limiting the matters which may be included in, or made public as part of, a report of—
    - (i) the Authority;
    - (ii) the Director General of Water Services;

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- (iii) a customer service committee maintained under the M133Water Industry Act 1991; or
- (iv) the Monopolies Commission,

under any provision of this Act or that Act of 1991;

- (b) as limiting the matters which may be published under section 201 of that Act;
- (c) as applying to any information which has been made public as part of such a report or has been so published or to any information exclusively of a statistical nature.
- (4) Subject to subsection (5) below, nothing in subsection (1) above shall preclude the disclosure of information—
  - (a) if the disclosure is of information relating to a matter connected with the carrying out of the functions of a water undertaker or sewerage undertaker and is made by one Minister of the Crown or government department to another; or
  - (b) if the disclosure is for the purpose of enabling or assisting any public or other authority for the time being designated for the purposes of this section by an order made by the Secretary of State to discharge any functions which are specified in the order.
- (5) The power to make an order under subsection (4) above shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament; and where such an order designates an authority for the purposes of paragraph (b) of that subsection, the order may—
  - (a) impose conditions subject to which the disclosure of information is permitted by virtue of that paragraph; and
  - (b) otherwise restrict the circumstances in which disclosure is so permitted.
- (6) Any person who discloses any information in contravention of the preceding provisions of this section shall be guilty of an offence and liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding two years or to a fine or to both.
- (7) In this section "the other consolidation Acts" means the M134Water Industry Act 1991, the M135Statutory Water Companies Act 1991, the M136Land Drainage Act 1991 and the M137Water Consolidation (Consequential Provisions) Act 1991.

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Modifications etc. (not altering text)

C78 S. 204 applied (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 70, 76(2)

Marginal Citations

M128 1989 c. 15.

M129 1986 c. 60.

M130 1986 c. 45.

M131 1974 c. 37.

M132 1989 c. 15.

M133 1991 c. 56.

M134 1991 c. 56.

M135 1991 c. 58.

M136 1991 c. 59.
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M137 1991 c. 60.

## 205 Confidentiality of information relating to underground water etc.

- (1) The person sinking any such well or borehole as is mentioned in section 198 above or, if it is a different person, the owner or occupier of the land on which any such well or borehole is sunk may by notice to the Natural Environment Research Council require that Council to treat as confidential—
  - (a) any copy of or extract from the journal required to be kept under that section; or
  - (b) any specimen taken in exercise of the rights specified in subsection (5) of that section.
- (2) Subject to subsections (3) and (4) below, the Natural Environment Research Council shall not, without the consent of the person giving the notice, allow any matter to which any notice under subsection (1) above relates to be published or shown to any person who is not an officer of that Council or of a department of the Secretary of State.
- (3) Subsection (2) above shall not prohibit any matter from being published or shown to any person in so far as it contains or affords information as to water resources and supplies.
- (4) If at any time the Natural Environment Research Council give notice to any person that in their opinion his consent for the purposes of subsection (2) above is being unreasonably withheld—
  - (a) that person may, within three months after the giving of the notice, appeal to the High Court for an order restraining that Council from acting as if consent had been given; and
  - (b) that Council may proceed as if consent had been given if either no such appeal is brought within that period or the High Court, after hearing the appeal, do not make such an order.
- (5) Any person who fails to comply with any obligation imposed on him by the preceding provisions of this section shall be guilty of an offence and liable, on summary conviction—
  - (a) to a fine not exceeding level 3 on the standard scale; and
  - (b) where the offence continues after conviction, to a further fine of £20 for every day during which it so continues.
- (6) If any person who is admitted to any premises in compliance with section 198(2)(c) above discloses to any person any information obtained by him there with regard to any manufacturing process or trade secret, he shall, unless the disclosure is in performance of his duty, be guilty of an offence and liable—
  - (a) on summary conviction, to imprisonment for a term not exceeding three months or to a fine not exceeding the statutory maximum or to both;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding three months or to a fine or to both.

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#### Making of false statements etc.

## 206 Making of false statements etc.

- (1) If, in furnishing any information or making any application under or for the purposes of any of the following provisions of this Act, that is to say, the provisions of—
  - (a) Part I, other than Schedule 2;
  - (b) sections 19 and 20 and Chapter III of Part II;
  - (c) Part III;
  - (d) Part VI, other than sections 133 to 143 and 147 to 149;
  - (e) Part VII, other than sections 155, 156, 158, 165 to 167 and the other provisions of that Part so far as they relate to those sections;
  - (f) this Part, other than sections 189, 193, 194, 198 to 201 and 205; and
  - (g) Part IX, except so far as it relates to a provision of this Act in relation to which this subsection does not apply,

any person makes any statement which he knows to be false in a material particular, or recklessly makes any statement which is false in a material particular, he shall be guilty of an offence under this section.

## (2) If—

- (a) in giving any information which he is required to give under Chapter II of Part II of this Act or any of the related water resources provisions or under Schedule 2 to this Act, any person knowingly or recklessly makes a statement which is false in a material particular; or
- (b) for the purpose of obtaining a licence under that Chapter, any person knowingly makes a statement which is false in a material particular,

that person shall be guilty of an offence under this section.

### (3) Where—

- (a) the provisions contained in a licence under Chapter II of Part II of this Act in pursuance of paragraph (b) of subsection (2) of section 46 above, or of that paragraph as modified by subsection (6) of that section, require the use of a meter, gauge or other device; and
- (b) such a device is used for the purposes of those provisions,

any person who wilfully alters or interferes with that device so as to prevent it from measuring correctly shall be guilty of an offence under this section.

- (4) If, in keeping any record or journal or in furnishing any information which he is required to keep or furnish under section 198 or 205 above, any person knowingly or recklessly makes any statement which is false in a material particular, he shall be guilty of an offence under this section.
- (5) A person who is guilty of an offence under this section by virtue of subsection (1) above shall be liable—
  - (a) on summary conviction, to a fine not exceeding the statutory maximum;
  - (b) on conviction on indictment, to a fine;

and proceedings for an offence by virtue of subsection (1) above shall not be instituted except by or with the consent of one of the Ministers or the Director of Public Prosecutions.

Water Resources Act 1991 (c. 57)
Part IX – MISCELLANEOUS AND SUPPLEMENTAL
chapter III – PROVISIONS SUPPLEMENTAL TO LAND AND WORK POWERS

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- (6) A person who is guilty of an offence under this section by virtue of subsection (2) or (3) above shall be liable—
  - (a) on summary conviction, to imprisonment for a term not exceeding three months or to a fine not exceeding the statutory maximum or to both;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding two years or to a fine or to both.
- (7) A person who is guilty of an offence under this section by virtue of subsection (4) above shall be liable—
  - (a) on summary conviction, to imprisonment for a term not exceeding three months or to a fine not exceeding the statutory maximum or to both;
  - (b) on conviction on indictment, to imprisonment for a term not exceeding three months or to a fine or to both.

#### PART IX

#### MISCELLANEOUS AND SUPPLEMENTAL

#### Miscellaneous

## 207 Directions in the interests of national security etc.

- (1) The Secretary of State may, after consultation with the Authority, give to the Authority such directions of a general character as appear to the Secretary of State to be requisite or expedient in the interests of national security or for the purpose of mitigating the effects of any civil emergency which may occur.
- (2) If it appears to the Secretary of State to be requisite or expedient to do so in the interests of national security or for the purpose of mitigating the effects of any civil emergency which has occurred or may occur, he may, after consultation with the Authority, give to the Authority a direction requiring it to do, or not to do, a particular thing specified in the direction.
- (3) The duty of the Authority to comply with a direction under this section is a duty which has effect notwithstanding any other duty imposed on it (whether or not by or under this Act).
- (4) The Secretary of State shall lay before each House of Parliament a copy of every direction given under this section unless he is of the opinion that disclosure of the direction is against the interests of national security.
- (5) A person shall not disclose, or be required by virtue of any enactment or otherwise to disclose, anything done by virtue of this section if the Secretary of State has notified him that the Secretary of State is of the opinion that disclosure of that thing is against the interests of national security.
- (6) Any person who discloses any matter in contravention of subsection (5) above shall be guilty of an offence and liable, on conviction on indictment, to imprisonment for a term not exceeding two years or to a fine or to both.

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- (7) Any reference in this section to a civil emergency is a reference to any natural disaster or other emergency which, in the opinion of the Secretary of State, is or may be likely, in relation to any area—
  - (a) so to disrupt water supplies or sewerage services; or
  - (b) to involve such destruction of or damage to life or property in that area, as seriously and adversely to affect all the inhabitants of that area, or a substantial number of them, whether by depriving them of any of the essentials of life or otherwise.
- (8) In this section "sewerage services" has the same meaning as in the M138 Water Industry Act 1991.

Marginal Citations M138 1991 c. 56.

## 208 Civil liability of the Authority for escapes of water etc.

- (1) Where an escape of water, however caused, from a pipe vested in the Authority causes loss or damage, the Authority shall be liable, except as otherwise provided in this section, for the loss or damage.
- (2) The Authority shall not incur any liability under subsection (1) above if the escape was due wholly to the fault of the person who sustained the loss or damage or of any servant, agent or contractor of his.
- (3) The Authority shall not incur any liability under subsection (1) above in respect of any loss or damage for which the Authority would not be liable apart from that subsection and which is sustained—
  - (a) by any water undertaker or sewerage undertaker or by any statutory undertakers, within the meaning of section 336(1) of the M139Town and Country Planning Act 1990;
  - (b) by any public gas supplier within the meaning of Part I of the M140 Gas Act 1986 or the holder of a licence under section 6(1) of the M141 Electricity Act 1989;
  - (c) by any highway authority; or
  - (d) by any person on whom a right to compensation is conferred by section 82 of the M142 New Roads and Street Works Act 1991.
- (4) The M143 Law Reform (Contributory Negligence) Act 1945, the M144 Fatal Accidents Act 1976 and the M145 Limitation Act 1980 shall apply in relation to any loss or damage for which the Authority is liable under this section, but which is not due to the Authority's fault, as if it were due to its fault.
- (5) Nothing in subsection (1) above affects any entitlement which the Authority may have to recover contribution under the M146Civil Liability (Contribution) Act 1978; and for the purposes of that Act, any loss for which the Authority is liable under that subsection shall be treated as if it were damage.
- (6) Where the Authority is liable under any enactment or agreement passed or made before 1st April 1982 to make any payment in respect of any loss or damage the Authority shall not incur liability under subsection (1) above in respect of the same loss or damage.

Water Resources Act 1991 (c. 57)
Part IX – MISCELLANEOUS AND SUPPLEMENTAL
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- (7) In this section "fault" has the same meaning as in the M147 Law Reform (Contributory Negligence) Act 1945.
- (8) Until the coming into force of section 82 of the M148New Roads and Street Works Act 1991, subsection (3) above shall have effect as if for paragraph (d) there were substituted the following paragraphs—
  - "(d) by any bridge authority, bridge managers, street authority or street managers within the meaning of the M149 Public Utilities Street Works Act 1950; or
  - (e) by any person on whom a right to compensation under section 26 of that Act of 1950 is conferred."; but nothing in this section shall be taken to prejudice the power of the Secretary of State under that Act of 1991 to make an order bringing section 82 of that Act into force on different days for different purposes (including the purposes of this section).

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Modifications etc. (not altering text)
C79 S. 208(3)(b) amended (1.3.1996) by 1995 c. 45, s. 16(1), Sch. 4 para. 2(2)(n); S.I. 1996/218, art. 2

Marginal Citations
M139 1990 c. 8.
M140 1986 c. 44.
M141 1989 c. 29.
M142 1991 c. 22.
M143 1945 c. 28.
M144 1976 c. 30.
M145 1980 c. 58.
M146 1978 c. 47.
M147 1945 c. 28.
M148 1991 c. 22.
M148 1991 c. 22.
M149 1950 c. 39.
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## 209 Evidence of samples and abstractions.

- (1) Subject to subsection (2) below, the result of the analysis of any sample taken on behalf of the Authority in exercise of any power conferred by this Act shall not be admissible in any legal proceedings in respect of any effluent passing from any land or vessel unless the person who took the sample—
  - (a) on taking the sample notified the occupier of the land or the owner or master of the vessel of his intention to have it analysed;
  - (b) there and then divided the sample into three parts and caused each part to be placed in a container which was sealed and marked; and
  - (c) delivered one part to the occupier of the land or the owner or master of the vessel and retained one part, apart from the one he submitted to be analysed, for future comparison.
- (2) If it is not reasonably practicable for a person taking a sample to comply with the requirements of subsection (1) above on taking the sample, those requirements shall be treated as having been complied with if they were complied with as soon as reasonably practicable after the sample was taken.

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- (3) Where, in accordance with the provisions contained in a licence in pursuance of paragraph (b) of subsection (2) of section 46 above, or in pursuance of that paragraph as read with subsection (6) of that section, it has been determined what quantity of water is to be taken—
  - (a) to have been abstracted during any period from a source of supply by the holder of the licence; or
  - (b) to have been so abstracted at a particular point or by particular means, or for use for particular purposes,

that determination shall, for the purposes of any proceedings under Chapter II of Part II of this Act or any of the related water resources provisions, be conclusive evidence of the matters to which it relates.

(4) In relation to any proceedings in respect of effluent passing from a public sewer or other outfall belonging to a sewerage undertaker into any water, this section shall have effect as if the references to the occupier of the land were references to the sewerage undertaker in which the sewer or outfall is vested.

#### **Byelaws**

## 210 Byelaw-making powers of the Authority.

- (1) Schedule 25 to this Act shall have effect for conferring powers on the Authority to make byelaws for purposes connected with the carrying out of its functions.
- (2) Schedule 26 to this Act shall have effect in relation to byelaws made by the Authority, whether by virtue of subsection (1) above or by virtue of any other enactment.

## 211 Enforcement of byelaws.

- (1) If any person contravenes any byelaws made by virtue of paragraph 1 of Schedule 25 to this Act, he shall be guilty of an offence and liable, on summary conviction—
  - (a) to a fine not exceeding level 1 on the standard scale; and
  - (b) if the contravention is continued after conviction, to a fine not exceeding £5 for each day on which it is so continued.
- (2) Byelaws made by virtue of paragraph 2 or 3 of that Schedule may contain provision providing for a contravention of the byelaws to constitute a summary offence punishable, on summary conviction, by a fine not exceeding level 5 on the standard scale or such smaller sum as may be specified in the byelaws.
- (3) A person who contravenes any byelaws made by virtue of paragraph 4 or 6 of that Schedule shall be guilty of an offence and liable, on summary conviction, to a fine not exceeding level 4 on the standard scale or, in the case of byelaws made by virtue of paragraph 4, such smaller sum as may be specified in the byelaws.
- (4) If any person acts in contravention of any byelaw made by virtue of paragraph 5 of that Schedule he shall be guilty of an offence and liable, on summary conviction—
  - (a) to a fine not exceeding level 5 on the standard scale; and
  - (b) if the contravention is continued after conviction, to a further fine not exceeding £40 for each day on which it is so continued.

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- (5) Without prejudice to any proceedings by virtue of subsection (1) or (4) above, the Authority may—
  - (a) take such action as it considers necessary to remedy the effect of any contravention of byelaws made by virtue of paragraph 1 of Schedule 25 to this Act;
  - (b) take such action as may be necessary to remedy the effect of any person's contravention of byelaws made by virtue of paragraph 5 of that Schedule; and
  - (c) recover the expenses reasonably incurred by the Authority in taking any action under paragraph (a) or (b) above from the person in default.
- (6) So much of the M150Salmon and Freshwater Fisheries Act 1975 as makes provision with respect to or by reference to offences under that Act shall have effect as if an offence consisting in a contravention of byelaws made by virtue of paragraph 6 of Schedule 25 to this Act were an offence under that Act.
- (7) Section 70 above shall apply in relation to any restrictions imposed by byelaws made by virtue of paragraph 1 of Schedule 25 to this Act as it applies in relation to restrictions imposed by the provisions of Chapter II of Part II of this Act which are mentioned in that section; and sections 100 and 101 above shall have effect in relation to contraventions of byelaws made by virtue of paragraph 4 of that Schedule as they have effect in relation to contraventions of provisions of Part III of this Act.

## **Marginal Citations**

M150 1975 c. 51.

#### 212 Compensation in respect of certain fisheries byelaws.

- (1) Where—
  - (a) the owner or occupier of any fishery by notice to the Authority claims that the fishery is injuriously affected by a byelaw made for any of the purposes specified in subsection (2) below; and
  - (b) that claim is made at any time before the end of twelve months after the confirmation of the byelaw,

the claim and the amount of compensation to be paid, by way of annual payment or otherwise, for the damage (if any) to the fishery shall be determined, in default of agreement, by a single arbitrator appointed by one of the Ministers.

- (2) The purposes mentioned in subsection (1)(a) above are the following purposes specified in paragraph 6(2) of Schedule 25 to this Act, that is to say—
  - (a) prohibiting the use for taking salmon, trout, or freshwater fish of any instrument (not being a fixed engine) in such waters and at such times as are prescribed by the byelaw;
  - (b) specifying the nets and other instruments (not being fixed engines) which may be used for taking salmon, trout, freshwater fish and eels and imposing requirements as to the use of such nets and other instruments;
  - (c) imposing requirements as to the construction, design, material and dimensions of any such nets or instruments, including in the case of nets the size of mesh.
- (3) Where by virtue of this section any compensation is payable under any award by way of an annual payment—

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- (a) the Authority or the person entitled to the annual payment may at any time after the end of five years from the date of the award require it to be reviewed by a single arbitrator appointed by one of the Ministers; and
- (b) the compensation to be paid after the review shall be such, if any, as may be determined by that arbitrator.
- (4) Expressions used in this section and in the Salmon and Freshwater Fisheries Act 1975 have the same meanings in this section as in that Act.

#### Local inquiries

## 213 General powers to hold local inquiries.

- (1) Without prejudice to any other provision of this Act by virtue of which a local inquiry is authorised or required to be held, each of the Ministers shall have power to cause a local inquiry to be held in any case where it appears to him expedient to do so—
  - (a) in connection with any matter arising under Chapter II of Part II of this Act or the related water resources provisions; or
  - (b) otherwise in connection with any of the Authority's functions.
- (2) Without prejudice as aforesaid, the Secretary of State may cause a local inquiry to be held in any case in which he considers it appropriate for such an inquiry to be held—
  - (a) for the purposes of the establishment or review under section 83 above of any water quality objectives or otherwise in connection with any of the water pollution provisions of this Act;
  - (b) with a view to preventing or dealing with pollution of any controlled waters; or
  - (c) in relation to any other matter relevant to the quality of any such waters.
- (3) In this section "controlled waters" has the same meaning as in Part III of this Act.

## Modifications etc. (not altering text)

C80 S. 213 amended (27.8.1993) by 1993 c. 12, ss. 40, 51(2), Sch. 3 Pt. I para.9 (with s. 46).

## 214 Power to hold inquiries for flood defence purposes etc.

- (1) Each of the Ministers shall have power to cause such inquiries to be held as he considers necessary or desirable for the purposes of the flood defence provisions of this Act.
- (2) Subject to subsection (3) below, the person appointed to hold any inquiry under subsection (1) above or otherwise under the flood defence provisions of this Act may for the purposes of the inquiry—
  - (a) by summons require any person to attend, at a time and place stated in the summons, to give evidence or to produce any documents in his custody or under his control relating to any matter in question at the inquiry; and
  - (b) take evidence on oath and for that purpose administer oaths.
- (3) No person shall be required, in obedience to a summons under this section, to attend to give evidence or to produce any documents, unless the necessary expenses of his attendance are paid or tendered to him; and nothing in this section shall empower a

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person holding an inquiry to require the production of the title, or of any instrument relating to the title, of any land which is not the property of a local authority.

#### (4) Any person who—

- (a) refuses or deliberately fails to attend in obedience to a summons under this section, or to give evidence; or
- (b) deliberately alters, suppresses, conceals, destroys, or refuses to produce any book or other document which he is required or is liable to be required to produce for the purposes of this section,

shall be guilty of an offence and liable, on summary conviction, to imprisonment for a term not exceeding six months or to a fine not exceeding level 3 on the standard scale or to both.

- (5) Where either of the Ministers causes an inquiry to be held under this section—
  - (a) the costs incurred by him in relation to the inquiry shall be paid by such authority or party to the inquiry as he may direct; and
  - (b) the Minister in question may cause the amount of the costs so incurred to be certified;

and any amount so certified and directed to be paid by any authority or person shall be recoverable from that authority or person by that Minister summarily as a civil debt.

- (6) Where either of the Ministers causes an inquiry to be held under this section—
  - (a) he may make orders as to the costs of the parties at the inquiry and as to the parties by whom the costs are to be paid; and
  - (b) every such order may be made a rule of the High Court on the application of any party named in the order.
- (7) Section 42 of the MI51 Housing and Planning Act 1986 (recovery of Minister's costs in connection with inquiries) shall apply where either of the Ministers is authorised by virtue of subsection (5) above to recover costs incurred by him in relation to an inquiry as it applies where a Minister is so authorised by virtue of an enactment specified in subsection (1) of that section.

## Marginal Citations M151 1986 c. 63.

## 215 Procedure at local inquiries.

- (1) Subject to subsection (2) below, subsections (2) to (5) of section 250 of the M152 Local Government Act 1972 (which contain supplementary provisions with respect to local inquiries held in pursuance of that section) shall apply to local inquiries under any provision of this Act, other than a provision in relation to which section 214 above has effect, as they apply to inquiries under that section of that Act of 1972.
- (2) Subsection (4) of section 250 of that Act of 1972 shall apply in accordance with subsection (1) above in relation to such local inquiries under this Act as are held with respect to any matter affecting the carrying out of any function of the Authority as if the reference to a local authority in that subsection included a reference to the Authority.

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#### Modifications etc. (not altering text)

**C81** S. 215 extended (1.12.1991) by Water Consolidation (Consequential Provisions) Act 1991 (c. 60, SIF 130), ss. 2(1), 4(2), **Sch. 1 para. 30(5)** 

#### **Marginal Citations**

M152 1972 c. 70.

#### Offences etc.

## 216 Enforcement: powers and duties.

- (1) Without prejudice to its powers of enforcement in relation to the other provisions of this Act, it shall be the duty of the Authority to enforce the provisions to which this section applies.
- (2) No proceedings for any offence under any provision to which this section applies shall be instituted except—
  - (a) by the Authority; or
  - (b) by, or with the consent of, the Director of Public Prosecutions.
- (3) This section applies to Chapter II of Part II of this Act and the related water resources provisions.

#### 217 Criminal liabilities of directors and other third parties.

- (1) Where a body corporate is guilty of an offence under this Act and that offence is proved to have been committed with the consent or connivance of, or to be attributable to any neglect on the part of, any director, manager, secretary or other similar officer of the body corporate or any person who was purporting to act in any such capacity, then he, as well as the body corporate, shall be guilty of that offence and shall be liable to be proceeded against and punished accordingly.
- (2) Where the affairs of a body corporate are managed by its members, subsection (1) above shall apply in relation to the acts and defaults of a member in connection with his functions of management as if he were a director of the body corporate.
- (3) Without prejudice to subsections (1) and (2) above, where the commission by any person of an offence under the water pollution provisions of this Act is due to the act or default of some other person, that other person may be charged with and convicted of the offence whether or not proceedings for the offence are taken against the first-mentioned person.

#### Judicial disqualification

#### 218 Judicial disqualification.

No judge of any court or justice of the peace shall be disqualified from acting in relation to any proceedings to which the Authority is a party by reason only that he is or may become liable to pay a charge to the Authority in respect of any services or facilities that are not the subject-matter of the proceedings.

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#### Powers to make regulations

## 219 Powers to make regulations.

- (1) Any power of one or both of the Ministers to make regulations under any provision of this Act shall be exercisable by statutory instrument subject (except in the case of regulations made by virtue of paragraph 1(3) of Schedule 15 to this Act) to annulment in pursuance of a resolution of either House of Parliament.
- (2) Subject to subsection (3) below, the provisions of any regulations made by one or both the Ministers under this Act may include-
  - (a) provision for any duty or other requirement imposed by the regulations on a water undertaker or sewerage undertaker to be enforceable under section 18 of the M153Water Industry Act 1991 by the Secretary of State, by the Director or by either of them;
  - (b) provision, where such a duty or requirement is so enforceable by either of them, for enforcement by the Director to be subject to such consent or authorisation as may be prescribed;
  - (c) provision which, in relation to the furnishing of any information or the making of any application under the regulations, makes provision corresponding to section 206(1) and (5) above;
  - (d) provision for anything that may be prescribed by the regulations to be determined under the regulations and for anything falling to be so determined to be determined by such persons, in accordance with such procedure and by reference to such matters, and to the opinion of such persons, as may be prescribed;
  - (e) different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
  - (f) such supplemental, consequential and transitional provision as the Minister or Ministers exercising the power considers or consider appropriate.
- (3) The powers to make regulations under any of the provisions of Chapter II of Part II, under any of the flood defence provisions of this Act or under section 189 or 199 above or paragraph 10 of Schedule 2 to this Act—
  - (a) shall not, except to the extent that they would do so apart from this section, include any of the powers conferred by subsection (2) above; but
  - (b) in the case of the powers conferred by section 108(7) above and paragraph 10 of Schedule 2 to this Act, shall include power to make different provision in relation to different classes of person.

Marginal Citations M153 1991 c. 56.

## Construction of Act

## 220 Provisions relating to service of documents.

(1) Any document required or authorised by virtue of this Act to be served on any person may be served—

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- (a) by delivering it to him or by leaving it at his proper address or by sending it by post to him at that address; or
- (b) if the person is a body corporate, by serving it in accordance with paragraph (a) above on the secretary or clerk of that body; or
- (c) if the person is a partnership, by serving it in accordance with paragraph (a) above on a partner or a person having the control or management of the partnership business.
- (2) For the purposes of this section and section 7 of the M154 Interpretation Act 1978 (which relates to the service of documents by post) in its application to this section, the proper address of any person on whom a document is to be served shall be his last known address, except that—
  - (a) in the case of service on a body corporate or its secretary or clerk, it shall be the address of the registered or principal office of the body;
  - (b) in the case of service on a partnership or a partner or a person having the control or management of a partnership business, it shall be the address of the principal office of the partnership;

and for the purposes of this subsection the principal office of a company registered outside the United Kingdom, or of a partnership carrying on business outside the United Kingdom, is its principal office within the United Kingdom.

- (3) If a person to be served by virtue of this Act with any document by another has specified to that other an address within the United Kingdom other than his proper address (as determined in pursuance of subsection (2) above) as the one at which he or someone on his behalf will accept documents of the same description as that document, that address shall also be treated as his proper address for the purposes of this section and for the purposes of the said section 7 in its application to this section.
- (4) Where under any provision of this Act any document is required to be served on the owner, on a lessee or on the occupier of any premises then—
  - (a) if the name or address of the owner, of the lessee or, as the case may be, of the occupier of the premises cannot after reasonable inquiry be ascertained; or
  - (b) in the case of service on the occupier, if the premises appear to be or are unoccupied,

that document may be served either by leaving it in the hands of a person who is or appears to be resident or employed on the land or by leaving it conspicuously affixed to some building or object on the land.

(5) This section shall not apply to any document in relation to the service of which provision is made by rules of court.

**Modifications etc. (not altering text)** 

C82 S. 220 applied (21.7.1994) by 1994 c. xiii, s. 29(4)

**Marginal Citations** 

M154 1978 c. 30.

#### 221 General interpretation.

(1) In this Act, except in so far as the context otherwise requires—

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"abstraction", in relation to water contained in any source of supply, means the doing of anything whereby any of that water is removed from that source of supply, whether temporarily or permanently, including anything whereby the water is so removed for the purpose of being transferred to another source of supply; and "abstract" shall be construed accordingly;

"accessories", in relation to a main, sewer or other pipe, includes any manholes, ventilating shafts, inspection chambers, settling tanks, wash-out pipes, pumps, ferrules or stopcocks for the main, sewer or other pipe, or any machinery or other apparatus which is designed or adapted for use in connection with the use or maintenance of the main, sewer or other pipe or of another accessory for it, but does not include any telecommunication apparatus (within the meaning of Schedule 2 to the MISS Telecommunications Act 1984) unless it—

- (a) is or is to be situated inside or in the close vicinity of the main, sewer or other pipe or inside or in the close vicinity of another accessory for it; and
- (b) is intended to be used only in connection with the use or maintenance of the main, sewer or other pipe or of another accessory for it;

and in this definition "stopcock" has the same meaning as in the M156Water Industry Act 1991;

"agriculture" has the same meaning as in the M157 Agriculture Act 1947 and "agricultural" shall be construed accordingly;

"analyse", in relation to any sample of land, water or effluent, includes subjecting the sample to a test of any description, and cognate expressions shall be construed accordingly;

"the Authority" means the National Rivers Authority;

"conservancy authority" means any person who has a duty or power under any enactment to conserve, maintain or improve the navigation of a tidal water and is not a navigation authority or harbour authority;

"constituent council", in relation to regional flood defence committees and local flood defence committees, shall be construed in accordance with sections 10(5) and 13(7) above, respectively;

"contravention" includes a failure to comply, and cognate expressions shall be construed accordingly;

"damage", in relation to individuals, includes death and any personal injury (including any disease or impairment of physical or mental condition);

"discrete waters" means inland waters so far as they comprise—

- (a) a lake, pond or reservoir which does not discharge to any other inland waters; or
- (b) one of a group of two or more lakes, ponds or reservoirs (whether near to or distant from each other) and of watercourses or mains connecting them, where none of the inland waters in the group discharges to any inland waters outside the group;

"disposal"—

- (a) in relation to land or any interest or right in or over land, includes the creation of such an interest or right and a disposal effected by means of the surrender or other termination of any such interest or right; and
- (b) in relation to sewage, includes treatment;

and cognate expressions shall be construed accordingly;

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"drain" has, subject to subsection (2) below, the same meaning as in the M158Water Industry Act 1991;

"drainage" in the expression "drainage works" has the meaning given by section 113 above for the purposes of Part IV of this Act;

"drought order" means an ordinary drought order under subsection (1) of section 73 above or an emergency drought order under subsection (2) of that section;

"effluent" means any liquid, including particles of matter and other substances in suspension in the liquid;

"enactment" includes an enactment contained in this Act or in any Act passed after this Act;

"engineering or building operations", without prejudice to the generality of that expression, includes—

- (a) the construction, alteration, improvement, maintenance or demolition of any building or structure or of any reservoir, watercourse, dam, weir, well, borehole or other works; and
- (b) the installation, modification or removal of any machinery or apparatus;

"financial year" means the twelve months ending with 31st March;

"flood defence functions", in relation to the Authority, means the functions of the Authority mentioned in section 2(1)(c) above and any other functions of the Authority under any of the flood defence provisions of this Act;

"flood defence provisions", in relation to this Act, means any of the following provisions of this Act, that is to say—

- (a) Part IV;
- (b) sections 133 to 141 (including Schedule 15), 143, 147 to 149, 155, 165 to 167, 180, 193, 194, 214, Schedule 4 and paragraph 5 of Schedule 25; and
- (c) any other provision so far as it relates to a provision falling within paragraph (a) or (b) above;

"harbour" has the same meaning for the purposes of the flood defence provisions of this Act as in the M159 Merchant Shipping Act 1894;

"harbour authority" (except in the flood defence provisions of this Act, in which it has the same meaning as in the Merchant Shipping Act 1894) means a person who is a harbour authority within the meaning of the M160 Prevention of Oil Pollution Act 1971 and is not a navigation authority;

"highway" has the same meaning as in the M161 Highways Act 1980:

"information" includes anything contained in any records, accounts, estimates or returns;

"inland waters" means the whole or any part of—

- (a) any river, stream or other watercourse (within the meaning of Chapter II of Part II of this Act), whether natural or artificial and whether tidal or not;
- (b) any lake or pond, whether natural or artificial, or any reservoir or dock, in so far as the lake, pond, reservoir or dock does not fall within paragraph (a) of this definition; and
- (c) so much of any channel, creek, bay, estuary or arm of the sea as does not fall within paragraph (a) or (b) of this definition;

"joint planning board" has the same meaning as in the M162 Town and Country Planning Act 1990;

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"local authority" means the council of any county, district or London borough or the Common Council of the City of London;

"local statutory provision" means—

- (a) a provision of a local Act (including an Act confirming a provisional order);
- (b) a provision of so much of any public general Act as has effect with respect to a particular area, with respect to particular persons or works or with respect to particular provisions falling within any paragraph of this definition;
- (c) a provision of an instrument made under any provision falling within paragraph (a) or (b) above; or
  - (d) a provision of any other instrument which is in the nature of a local enactment;

"main river" means a main river within the meaning of Part IV of this Act; "main river map" has, subject to section 194 above, the meaning given by

section 193(2) above;

"micro-organism" includes any microscopic, biological entity which is capable of replication;

"minimum acceptable flow", in relation to any inland waters, means (except in sections 21 and 22 above and subject to section 23(3) above) the minimum acceptable flow as for the time being contained in provisions which are in force under section 21(7) above in relation to those waters;

"the Minister" means the Minister of Agriculture, Fisheries and Food;

"the Ministers" means the Secretary of State and the Minister;

"modifications" includes additions, alterations and omissions, and cognate expressions shall be construed accordingly;

"mortgage" includes any charge or lien on any property for securing money or money's worth, and "mortgagee" shall be construed accordingly;

"navigation authority" means any person who has a duty or power under any enactment to work, maintain, conserve, improve or control any canal or other inland navigation, navigable river, estuary, harbour or dock;

"notice" means notice in writing;

"owner", in relation to any premises, means the person who—

- (a) is for the time being receiving the rack-rent of the premises, whether on his own account or as agent or trustee for another person; or
- (b) would receive the rack-rent if the premises were let at a rack-rent,

but for the purposes of Schedule 2 to this Act, Chapter II of Part II of this Act and the related water resources provisions does not include a mortgagee not in possession, and cognate expressions shall be construed accordingly;

"prescribed" means prescribed by regulations made by the Secretary of State or, in relation to regulations made by the Minister, by those regulations;

"public authority" means any Minister of the Crown or government department, the Authority, any local authority or any person certified by the Secretary of State to be a public authority for the purposes of this Act;

"public sewer" means a sewer for the time being vested in a sewerage undertaker in its capacity as such, whether vested in that undertaker by virtue of a scheme under Schedule 2 to the M163Water Act 1989, section 179 of or Schedule 2 to the M164Water Industry Act 1991 or otherwise;

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"records" includes computer records and any other records kept otherwise than in a document;

"the related water resources provisions", in relation to Chapter II of Part II of this Act, means the provisions of sections 21 to 23 above (including Schedule 5), of sections 120, 123 to 130, 158, 189, 199 to 201, 206(2) and (3), 209(3), 211(1), 213(1), and 216 above and of paragraph 1 of Schedule 25 to this Act;

"sewage effluent" includes any effluent from the sewage disposal or sewerage works of a sewerage undertaker but does not include surface water;

"sewer" has, subject to subsection (2) below, the same meaning as in the Water Industry Act 1991;

"source of supply" means—

- (a) any inland waters except, without prejudice to subsection (3) below in its application to paragraph (b) of this definition, any which are discrete waters; or
- (b) any underground strata in which water is or at any time may be contained; "street" has, subject to subsection (4) below, the same meaning as in Part III of the M165 New Roads and Street Works 1991;

"subordinate legislation" has the same meaning as in the M166Interpretation Act 1978;

"substance" includes micro-organisms and any natural or artificial substance or other matter, whether it is in solid or liquid form or in the form of a gas or vapour;

"surface water" includes water from roofs;

"trade effluent" includes any effluent which is discharged from premises used for carrying on any trade or industry, other than surface water and domestic sewage, and for the purposes of this definition any premises wholly or mainly used (whether for profit or not) for agricultural purposes or for the purposes of fish farming or for scientific research or experiment shall be deemed to be premises used for carrying on a trade;

"underground strata" means strata subjacent to the surface of any land;

"vessel" includes a hovercraft within the meaning of the M167 Hovercraft Act 1968;

"watercourse" includes (subject to sections 72(2) and 113(1) above) all rivers, streams, ditches, drains, cuts, culverts, dykes, sluices, sewers and passages through which water flows, except mains and other pipes which—

- (a) belong to the Authority or a water undertaker; or
- (b) are used by a water undertaker or any other person for the purpose only of providing a supply of water to any premises;

"water pollution provisions", in relation to this Act, means the following provisions of this Act—

- (a) the provisions of Part III of this Act;
- (b) sections 161, 190, 202, 203 and 213(2) above; and
- (c) paragraph 4 of Schedule 25 to this Act and section 211 above so far as it relates to byelaws made under that paragraph.
- (2) References in this Act to a pipe, including references to a main, a drain or a sewer, shall include references to a tunnel or conduit which serves or is to serve as the pipe in question and to any accessories for the pipe; and, accordingly, references to the laying of a pipe shall include references to the construction of such a tunnel or conduit, to the

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construction or installation of any such accessories and to the making of a connection between one pipe and another.

- (3) Any reference in this Act to water contained in underground strata is a reference to water so contained otherwise than in a sewer, pipe, reservoir, tank or other underground works constructed in any such strata; but for the purposes of this Act water for the time being contained in—
  - (a) a well, borehole or similar work, including any adit or passage constructed in connection with the well, borehole or work for facilitating the collection of water in the well, borehole or work; or
  - (b) any excavation into underground strata, where the level of water in the excavation depends wholly or mainly on water entering it from those strata,

shall be treated as water contained in the underground strata into which the well, borehole or work was sunk or, as the case may be, the excavation was made.

- (4) Until the coming into force of Part III of the M168 New Roads and Street Works Act 1991, the definition of "street" in subsection (1) above shall have effect as if the reference to that Part were a reference to the M169 Public Utilities Street Works Act 1950; but nothing in this section shall be taken—
  - (a) to prejudice the power of the Secretary of State under that Act of 1991 to make an order bringing Part III of that Act into force on different days for different purposes (including the purposes of this section); or
  - (b) in the period before the coming into force of that Part, to prevent references in this Act to a street, where the street is a highway which passes over a bridge or through a tunnel, from including that bridge or tunnel.
- (5) For the purposes of any provision of this Act by or under which power is or may be conferred on any person to recover the expenses incurred by that person in doing anything, those expenses shall be assumed to include such sum as may be reasonable in respect of establishment charges or overheads.
- (6) References in this Act to the later or latest of two or more different times or days are, in a case where those times or days coincide, references to the time at which or, as the case may be, the day on which they coincide.
- (7) For the purposes of this Act—
  - (a) references in this Act to more than one Minister of the Crown, in relation to anything falling to be done by those Ministers, are references to those Ministers acting jointly; and
  - (b) any provision of this Act by virtue of which any function of a Minister of the Crown is exercisable concurrently by different Ministers, shall have effect as providing for that function also to be exercisable jointly by any two or more of those Ministers.
- (8) Sub-paragraph (1) of paragraph 1 of Schedule 2 to the M170Water Consolidation (Consequential Provisions) Act 1991 has effect (by virtue of sub-paragraph (2)(b) of that paragraph) so that references in this Act to things done under or for the purposes of provisions of this Act, the M171Water Industry Act 1991 or the M172Land Drainage Act 1991 include references to things done, or treated as done, under or for the purposes of the corresponding provisions of the law in force before the commencement of this Act.
- (9) Subject to any provision to the contrary which is contained in Schedule 26 to the M173Water Act 1989 or in the M174Water Consolidation (Consequential Provisions) Act 1991, nothing in any local statutory provision passed or made before 1st September

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1989 shall be construed as relieving any water undertaker or sewerage undertaker from any liability arising by virtue of this Act in respect of any act or omission occurring on or after that date.

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Modifications etc. (not altering text)
 C83 S. 221(1): definition applied (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 61(2), 67(2)
       (6), 76(2)
Marginal Citations
 M155 1984 c. 12.
 M156 1991 c. 56.
 M157 1947 c. 48.
 M158 1991 c. 56.
 M159 1894 c. 60.
 M160 1971 c. 60.
 M161 1980 c. 66.
 M162 1990 c. 8.
 M163 1989 c. 15.
 M164 1991 c. 56.
 M165 1991 c. 22.
 M166 1978 c. 30.
 M167 1968 c. 59.
 M168 1991 c. 22.
 M169 1950 c. 39.
 M170 1991 c. 60.
 M171 1991 c. 56.
 M172 1991 c. 59.
 M173 1989 c. 15.
 M174 1991 c. 60.
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### Other supplemental provisions

## 222 Crown application.

- (1) Subject to the following provisions of this section, the provisions of this Act shall have effect in relation to land in which there is a Crown or Duchy interest as they have effect in relation to land in which there is no such interest.
- (2) Chapter II of Part II of this Act and the related water resources provisions shall not apply—
  - (a) to anything done by or on behalf of the Crown; or
  - (b) to any land which is in the occupation of a government department or any other land in which there is a Crown or Duchy interest and which is occupied in right of that interest.
- (3) Nothing in this Act, as read with the other provisions of this section, shall be construed—
  - (a) as conferring any power of levying drainage charges in respect of lands below the high-water mark of ordinary spring tides; or

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- (b) as authorising the Authority to require the Crown to make any payment to the Authority in respect of any premises.
- (4) Subject to subsection (2) and (3) above and to subsection (5) below, where a power is conferred in relation to land by or under any provision of this Act other than one of the flood defence provisions—
  - (a) that power shall be exercisable in relation to any land in which there is a Crown or Duchy interest only with the consent of the appropriate authority; and
  - (b) a consent for the purposes of this subsection may be given on such financial and other conditions as the appropriate authority giving the consent may consider appropriate.
- (5) Subsection (4) above shall not require any consent to be given—
  - (a) for the exercise of any power in relation to any land in which there is a Crown or Duchy interest to the extent that that power would be so exercisable apart from subsection (1) above; or
  - (b) for the purposes of any provision having effect by virtue of so much of section 168 above and Schedule 19 to this Act as relates to the granting of authority for discharges of water.
- (6) Section 74 of the M175Land Drainage Act 1991 (Crown application), so far as it relates to land in which there is a Crown or Duchy interest, shall apply in relation to the flood defence provisions of this Act as it applies in relation to that Act; but nothing in this subsection shall affect any power conferred by this Act for the purposes both of the Authority's functions under those provisions and of other functions of the Authority.
- (7) In this section—

"the appropriate authority" has the same meaning as in section 293 of the  $^{\rm M176}$  Town and Country Planning Act 1990; and

"Crown or Duchy interest" means an interest belonging to Her Majesty in right of the Crown or of the Duchy of Lancaster, or to the Duchy of Cornwall, or belonging to a government department or held in trust for Her Majesty for the purposes of a government department.

- (8) The provisions of subsection (3) of section 293 of the Town and Country Planning Act 1990 (questions relating to Crown application) as to the determination of questions shall apply for the purposes of this section.
- (9) Nothing in this section shall be construed as requiring any provision of this Act having effect otherwise than in relation to land to be construed as imposing any liability on the Crown to which the Crown would not be subject apart from this section.

#### **Modifications etc. (not altering text)**

C84 S. 222 applied (1.12.1991) by Land Drainage Act 1991 (c. 59, SIF 73:1), ss. 74(5), 76(2)

#### **Marginal Citations**

**M175** 1991 c. 59.

M176 1990 c. 8.

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#### 223 Exemption for visiting forces.

- (1) Chapter II of Part II of this Act and the related water resources provisions shall not apply—
  - (a) to anything done by a member of a visiting force in his capacity as a member of that force; or
  - (b) to any land occupied by or for the purposes of a visiting force.
- (2) In this section "visiting force" means any such body, contingent or detachment of the forces of any country as is a visiting force for the purposes of any of the provisions of the M177 Visiting Forces Act 1952.

## Marginal Citations M177 1952 c. 67.

#### 224 Application to Isles of Scilly.

- (1) Subject to the provisions of any order under this section, nothing in this Act shall require or authorise any function, duty or power to be carried out, performed or exercised in relation to the Isles of Scilly by the Authority; and references in the preceding provisions of this Act to England and Wales shall not include references to those Isles.
- (2) The Secretary of State may, on the application of the Council of the Isles of Scilly, by order make provision with respect to the carrying out in those Isles of functions falling under this Act to be carried out in relation to other parts of England and Wales by the Authority.
- (3) Without prejudice to the generality of the power conferred by subsection (2) above, an order under this section may apply any provision of this Act, of the M178 Water Consolidation (Consequential Provisions) Act 1991 or of the M179 Water Act 1989 in relation to the Isles of Scilly with or without modifications.
- (4) The power of the Secretary of State to make an order under this section shall be exercisable by statutory instrument subject to annulment in pursuance of a resolution of either House of Parliament.
- (5) An order under this section may—
  - (a) make different provision for different cases, including different provision in relation to different persons, circumstances or localities; and
  - (b) contain such supplemental, consequential and transitional provision as the Secretary of State considers appropriate, including provision saving provision repealed by or under any enactment.

#### Marginal Citations M178 1991 c. 60.

M179 1989 c. 15.

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#### 225 Short title, commencement and extent.

- (1) This Act may be cited as the Water Resources Act 1991.
- (2) This Act shall come into force on 1st December 1991.
- (3) Subject to subsections (4) to (6) of section 2 and to section 224 above, to the extension of section 166(3) above to Scotland and to the extension, by virtue of any other enactment, of any provision of this Act to the territorial sea, this Act extends to England and Wales only.
- (4) Nothing in this Act, so far as it extends to Scotland, shall authorise the Authority to acquire any land in Scotland compulsorily.

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