

# Railways Act 1993

# **1993 CHAPTER 43**

# PART I

## THE PROVISION OF RAILWAY SERVICES

Other functions of the Regulator

## 68 Investigatory functions.

- (1) Subject to subsection (2) below, it shall be the duty of [<sup>F1</sup>the Office of Rail Regulation] to investigate any alleged or apprehended contravention of—
  - (a) a condition of a licence  $F^2$ ...,  $F^3$ ...
  - F4(b) .....

if the alleged or apprehended contravention is the subject of a representation (other than one appearing to him to be frivolous or vexatious) made to  $[^{F5}it]$  by or on behalf of a person who appears to  $[^{F1}the Office of Rail Regulation]$  to have an interest in the matter.

- (2) [<sup>F1</sup>the Office of Rail Regulation] may, if [<sup>F5</sup>it] thinks fit, require [<sup>F6</sup>the Rail Passengers' Council]to investigate and report to [<sup>F5</sup>it] on any matter falling within subsection (1) above which relates to—
  - (a) the provision of services for the carriage of passengers by railway, or
  - (b) the provision of station services,

and which it would otherwise have been [<sup>F7</sup>its] duty to investigate.

#### **Textual Amendments**

- F1 Words in s. 68 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(a) Table}; S.I. 2004/827, art. 4(g)
- F2 Words in s. 68(1)(a) repealed (24.7.2005) by Railways Act 2005 (c. 14), ss. 59, 60, Sch. 13 Pt. 1 (with s. 14(4)(5), Sch. 11 para. 11(2)); S.I. 2005/1909, art. 2, Sch.

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Act 1993, Cross Heading: Other functions of the Regulator. (See end of Document for details)

- F3 S. 68(1)(b) and word immediately preceding it repealed (1.2.2001) by 2000 c. 38, s. 274, Sch. 31 Pt. IV; S.I. 2001/57, art. 3(1), Sch. 2 Pt. I (subject to savings and transitional provisions in Sch. 2 Pt. II)
- F4 S. 68(1)(b) omitted (1.2.2001) by virtue of 2000 c. 38, s. 234(7) (with Sch. 28 paras. 12, 17); S.I. 2001/57, art. 3(1), Sch. 2 Pt. I (subject to savings and transitional provisions in Sch. 2 Pt. II)
- F5 Words in s. 68 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(b) Table}; S.I. 2004/827, art. 4(g)
- F6 Words in s. 68(2) substitued (24.7.2005) by Railways Act 2005 (c. 14), s. 21(2); S.I. 2005/1909, art. 2, Sch.
- F7 Word in s. 68 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(c) Table}; S.I. 2004/827, **art. 4(g)**

#### Modifications etc. (not altering text)

C1 S. 68 applied (with modifications) (28.11.2005) by The Railway (Licensing of Railway Undertakings) Regulations 2005 (S.I. 2005/3050), reg. 14, Sch. 3 para. 1(d)

#### 69 General functions.

- (1) It shall be the duty of [<sup>F8</sup>the Office of Rail Regulation], so far as it appears to [<sup>F9</sup>it] practicable from time to time to do so—
  - (a) to keep under review the provision, both in Great Britain and elsewhere, of railway services; and
  - (b) to collect information with respect to the provision of those services, with a view to facilitating the exercise of [<sup>F10</sup>its] functions under this Part.
- (2) The Secretary of State may give general directions indicating-
  - (a) considerations to which [<sup>F8</sup>the Office of Rail Regulation] should have particular regard in determining the order of priority in which matters are to be brought under review in performing [<sup>F10</sup>its] duty under subsection (1)(a) or (b) above; and
  - (b) considerations to which, in cases where it appears to [<sup>F8</sup>the Office of Rail Regulation] that any of [<sup>F10</sup>its] functions under this Part are exercisable, [<sup>F9</sup>it] should have particular regard in determining whether to exercise those functions.
- (3) It shall be the duty of [<sup>F8</sup>the Office of Rail Regulation], where either [<sup>F9</sup>it] considers it expedient or [<sup>F9</sup>it] is requested by the Secretary of State or [<sup>F11</sup>the OFT] to do so, to give information, advice and assistance to the Secretary of State or [<sup>F11</sup>the OFT] with respect to any matter in respect of which any function of [<sup>F8</sup>the Office of Rail Regulation] under this Part is exercisable.
- (4) If [<sup>F8</sup>the Office of Rail Regulation]—
  - (a) is requested to do so by the  $[^{F12}$ Authority], or
  - (b) considers it appropriate to do so,

 $[^{F9}it]$  may provide the  $[^{F12}Authority]$  with any information which  $[^{F9}it]$  has which relates to the functions of the  $[^{F12}Authority]$ .

#### **Textual Amendments**

**F8** Words in s. 69 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(a) Table}; S.I. 2004/827, art. 4(g)

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- F9 Words in s. 69 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(b) Table}; S.I. 2004/827, art. 4(g)
- F10 Words in s. 69 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(c) Table}; S.I. 2004/827, art. 4(g)
- F11 Words in s. 69(3) substituted (1.4.2003) by 2002 c. 40, ss. 278, 279, Sch. 25 para. 30(10); S.I 2003/766, {art. 2}, Sch. (with transitional and transitory provision in art. 3)
- F12 Words in s. 69(4) substituted (1.2.2001) by 2000 c. 38, s. 215, Sch. 16 para. 42; S.I. 2001/57, art. 3(1), Sch. 2 Pt. I (subject to savings and transitional provisions in Sch. 2 Pt. II) (which S.I. was amended by S.I. 2001/115, art. 2(2))

<sup>F13</sup>70 .....

#### **Textual Amendments**

**F13** S. 70 repealed (1.2.2001) by 2000 c. 38, ss. 216, 274, Sch. 17 para. 28(2), **Sch. 31 Pt. IV**; S.I. 2001/57, art. 3(1), **Sch. 2 Pt. I** (subject to savings and transitional provisions in Sch. 2 Pt. II)

### 71 Publication of information and advice.

- (1) [<sup>F14</sup>the Office of Rail Regulation] may arrange for the publication, in such form and in such manner as [<sup>F15</sup>it] considers appropriate, of such information and advice as it may appear to [<sup>F15</sup>it] expedient to give to users or potential users of railway services in Great Britain.
- (2) In arranging for the publication of any such information or advice [<sup>F14</sup>the Office of Rail Regulation] shall have regard to the need for excluding, so far as that is practicable—
  - (a) any matter which relates to the affairs of an individual, where publication of that matter would or might, in the opinion of [<sup>F14</sup>the Office of Rail Regulation], seriously and prejudicially affect the interests of that individual; and
  - (b) any matter which relates specifically to the affairs of a particular body of persons, whether corporate or unincorporate, where publication of that matter would or might, in the opinion of [<sup>F14</sup>the Office of Rail Regulation], seriously and prejudicially affect the interests of that body.
- (3) [<sup>F16</sup>The OFT] shall consult [<sup>F14</sup>the Office of Rail Regulation] before publishing under [<sup>F17</sup>section 6 of the Enterprise Act 2002] any information or advice which may be published by [<sup>F14</sup>the Office of Rail Regulation] under this section.

#### **Textual Amendments**

- F14 Words in s. 71 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(a) Table}; S.I. 2004/827, art. 4(g)
- F15 Word in s. 71 substituted (5.7.2004) by Railways and Transport Safety Act 2003 (c. 20), ss. 16, 120, {Sch. 2 para. 3(b) Table}; S.I. 2004/827, art. 4(g)
- **F16** Words in s. 71(3) substituted (1.4.2003) by 2002 c. 40, ss. 278, 279, **Sch. 25 para. 30(11)(a)**; S.I 2003/766, {art. 2}, Sch. (with transitional and transitory provision in art. 3)
- **F17** Words in s. 71(3) substituted (20.6.2003) by 2002 c. 40, ss. 278, 279, Sch. 25 para. 30(11)(b); S.I. 2003/1397, art. 2(1), Sch.

# Status:

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## Changes to legislation:

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