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## SCHEDULES

### SCHEDULE 6

Section 59.

#### RAILWAY ADMINISTRATION ORDERS

##### Modifications etc. (not altering text)

- C1** Sch. 6 modified (18.12.1996) by 1996 c. 61, s. 19(2)(b)(4)(5)  
Sch. 6 restricted (18.12.1996) by 1996 c. 61, s. 19(7)

#### PART I

##### MODIFICATIONS OF THE 1986 ACT

###### *General application of provisions of 1986 Act*

- 1 Where a railway administration order has been made, sections 11 to 23 and 27 of the 1986 Act (which relate to administration orders under Part II of that Act) shall apply, with the modifications specified in the following provisions of this Part of this Schedule—
- (a) as if references in those sections to an administration order were references to a railway administration order and references to an administrator were references to a special railway administrator;
- [<sup>F1</sup>(aa) as if references in those sections to the appropriate national authority were to be construed in accordance with section 59(6)(za) of this Act;] and
- (b) where the company in relation to which the order has been made is a protected railway company which [<sup>F2</sup>is not a company registered under the Companies Act 2006 in England and Wales or Scotland], as if references in those sections to a company included references to such a company.

##### Textual Amendments

- F1** Sch. 6 para. 1(aa) inserted (16.10.2005) by Railways Act 2005 (c. 14), ss. 49(5), 60; S.I. 2005/2812, art. 2(1), Sch. 1
- F2** Words in Sch. 6 para. 1(b) substituted (1.10.2009) by The Companies Act 2006 (Consequential Amendments, Transitional Provisions and Savings) Order 2009 (S.I. 2009/1941), art. 1(2), Sch. 1 para. 143(7)(a) (with art. 10)

###### *Effect of order*

- 2 In section 11 of the 1986 Act (effect of order), as applied by this Part of this Schedule,—

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- (a) the requirement in subsection (1)(a) that any petition for the winding up of the company shall be dismissed shall be without prejudice to the railway administration order in a case where the order is made by virtue of section 61 of this Act; and
- (b) the reference in subsection (3)(d) to proceedings shall include a reference to any proceedings under or for the purposes of section 55 [<sup>F3</sup>or 57A] of this Act.

#### Textual Amendments

**F3** Words in Sch. 6 para. 2(b) inserted (1.2.2001) by 2000 c. 38, s. 252, Sch. 27 para. 48; S.I. 2001/57, art. 3(1), Sch. 2 Pt. I (subject to savings and transitional provisions in Sch. 2 Pt. II)

#### *Appointment of special railway administrator*

- 3 In section 13 of the 1986 Act (appointment of administrator), as applied by this Part of this Schedule, for subsection (3) there shall be substituted the following subsection—

“(3) An application for an order under subsection (2) may be made—

- (a) by the [<sup>F4</sup>appropriate national authority] ;
- (b) <sup>F5</sup> .....
- (c) by any continuing special railway administrator of the company or, where there is no such special railway administrator, by the company, the directors or any creditor or creditors of the company.”

#### Textual Amendments

**F4** Words in Sch. 6 para. 3 substituted (16.10.2005) by Railways Act 2005 (c. 14), ss. {49(4)(c)}, 60; S.I. 2005/2812, art. 2(1), Sch. 1

**F5** Sch. 6 para. 3: "in the substituted subsection (3), paragraph (b)" repealed (24.7.2005) by Railways Act 2005 (c. 14), ss. 59, 60, Sch. 13 Pt. 1 (with s. 14(4)(5), Sch. 11 para. 11(2)); S.I. 2005/1909, art. 2, Sch.

#### *General powers of special railway administrator*

- 4 In section 14 of the 1986 Act (general powers of administrator), as applied by this Part of this Schedule,—

- (a) in subsection (1)(b), the reference to the powers specified in Schedule 1 to that Act shall be taken to include a reference to a power to act on behalf of the company for the purposes of this Act or any provision of a local or private Act which confers any power, or imposes any duty or obligation, on the company; and
- (b) in subsection (4), the reference to a power conferred by the company's [<sup>F6</sup>articles of association] —
  - (i) shall be taken to include a reference to any power conferred by any provision of a local or private Act which confers any power, or imposes any duty or obligation, on the company; and

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- (ii) in the case of a company which is an unregistered company, shall be taken also to include a reference to any power conferred by the company's constitution.

#### Textual Amendments

- F6** Words in *Sch. 6 para. 4(b)* substituted (1.10.2009) by *The Companies Act 2006 (Consequential Amendments, Transitional Provisions and Savings) Order 2009 (S.I. 2009/1941)*, art. 1(2), **Sch. 1 para. 143(7)(b)** (with art. 10)

#### *Power to deal with charged property*

- 5 (1) Section 15 of the 1986 Act (power to deal with charged property), as applied by this Part of this Schedule, shall have effect as follows.
- (2) In subsection (5)(b) (amount to be paid to chargeholder not to be less than open market value), for the words “in the open market by a willing vendor” there shall be substituted the words “for the best price which is reasonably available on a sale which is consistent with the purposes of the railway administration order”.

#### *Duties of special railway administrator*

- 6 (1) Section 17 of the 1986 Act (duties of administrator), as applied by this Part of this Schedule, shall have effect in accordance with the following provisions of this paragraph.
- (2) For subsection (2) there shall be substituted the following subsection—
- “(2) Subject to any directions of the court, it shall be the duty of the special railway administrator to manage the affairs, business and property of the company in accordance with proposals, as for the time being revised under section 23, which have been prepared for the purposes of that section by him or any predecessor of his.”
- (3) In subsection (3), paragraph (a) (right of creditors to require the holding of a creditors' meeting) shall be omitted.

#### *Discharge of order*

- 7 (1) Section 18 of the 1986 Act (discharge and variation of administration order), as applied by this Part of this Schedule, shall have effect as follows.
- (2) For subsections (1) and (2) there shall be substituted the following subsection—
- “(1) An application for a railway administration order to be discharged may be made—
- (a) by the special railway administrator, on the ground that the purposes of the order have been achieved; or
- (b) by the [F7 appropriate national authority]<sup>F8</sup> . . . on the ground that it is no longer necessary that the purposes of the order are achieved.”
- (3) In subsection (3), the words “or vary” shall be omitted.

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- <sup>F9</sup>(4) In subsection (4), the words “or varied” and “or variation” shall be omitted and for the words “to the registrar of companies” there shall be substituted—
- <sup>F10</sup>(a) where the company—
- (i) is registered under the Companies Act 2006, or
- (ii) is subject to a requirement imposed by regulations under section 1043 or 1046 of the Companies Act 2006 (unregistered UK companies or overseas companies) to deliver any documents to the registrar of companies,
- the words “to [<sup>F11</sup>the Office of Rail and Road], the appropriate national authority and the registrar of companies”; and]
- <sup>F10</sup>(b) where paragraph (a) above does not apply, the words “to [<sup>F11</sup>the Office of Rail and Road] and the appropriate national authority”.]

#### Textual Amendments

- F7** Words in Sch. 6 para. 7 substituted (16.10.2005) by [Railways Act 2005 \(c. 14\)](#), ss. {49(4)(c)}, 60; S.I. 2005/2812, [art. 2\(1\)](#), Sch. 1
- F8** Sch. 6 para. 7(2): "in the substituted section 18(1)(b)" words repealed (24.7.2005) by [Railways Act 2005 \(c. 14\)](#), ss. 59, 60, [Sch. 13 Pt. 1](#) (with s. 14(4)(5), Sch. 11 para. 11(2)); S.I. 2005/1909, [art. 2](#), Sch.
- F9** By [Railways Act 2005 \(c. 14\)](#), ss. [49\(6\)](#), 60; S.I. 2005/2812, {art. 2(1)}, Sch. 1 it is provided that for the words "the Strategic Rail Authority" the words "the appropriate national authority" are substituted in Sch. 6 para. 7(4) (16.10.2005)
- F10** Sch. 6 para. 7(4)(a)(b) substituted (1.10.2009) by [The Companies Act 2006 \(Consequential Amendments, Transitional Provisions and Savings\) Order 2009 \(S.I. 2009/1941\)](#), art. 1(2), [Sch. 1 para. 143\(7\)\(c\)](#) (with art. 10)
- F11** Words in Sch. 6 paras. 7-10 substituted (16.10.2015) by [The Office of Rail Regulation \(Change of Name\) Regulations 2015 \(S.I. 2015/1682\)](#), reg. 1(2), [Sch. para. 1\(ddd\)](#)

#### *Notice of making of order*

- <sup>F12g</sup> In section 21(2) of the 1986 Act (notice of order to be given by administrator), as applied by this Part of this Schedule, for the words “to the registrar of companies” there shall be substituted—
- <sup>F13</sup>(a) where the company—
- (i) is registered under the Companies Act 2006, or
- (ii) is subject to a requirement imposed by regulations under section 1043 or 1046 of the Companies Act 2006 (unregistered UK companies or overseas companies) to deliver any documents to the registrar of companies,
- the words “to [<sup>F11</sup>the Office of Rail and Road], the appropriate national authority and the registrar of companies”; and]
- <sup>F13</sup>(b) where paragraph (a) above does not apply, the words “to [<sup>F11</sup>the Office of Rail and Road] and the appropriate national authority”.]

#### Textual Amendments

- F11** Words in Sch. 6 paras. 7-10 substituted (16.10.2015) by [The Office of Rail Regulation \(Change of Name\) Regulations 2015 \(S.I. 2015/1682\)](#), reg. 1(2), [Sch. para. 1\(ddd\)](#)

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- F12** By Railways Act 2005 (c. 14), ss. 49(6), 60; S.I. 2005/2812, {art. 2(1)}, Sch. 1 it is provided that for the words "the Strategic Rail Authority" the words "the appropriate national authority" are substituted in Sch. 6 para. 8 (16.10.2005)
- F13** Sch. 6 para. 8(a)(b) substituted (1.10.2009) by The Companies Act 2006 (Consequential Amendments, Transitional Provisions and Savings) Order 2009 (S.I. 2009/1941), art. 1(2), Sch. 1 para. 143(7)(c) (with art. 10)

### Statement of proposals

9 In section 23 of the 1986 Act (statement of proposals), as applied by this Part of this Schedule, for subsections (1) and (2) there shall be substituted the following subsections—

“(1) Where a railway administration order has been made, the special railway administrator shall, within 3 months (or such longer period as the court may allow) after the making of the order, send a statement of his proposals for achieving the purposes of the order—

- (a) to the Secretary of State ;
- (b) to [F11the Office of Rail and Road] ;
- (c) F14 .....
- (d) so far as he is aware of their addresses, to all creditors of the company; and
- (e) except where the company is an unregistered company which is not subject to a requirement imposed under or by virtue of section 691(1) or 718 of the M1Companies Act 1985 to deliver any documents to the registrar of companies, to the registrar of companies;

and may from time to time revise those proposals.

(2) If at any time—

- (a) the special railway administrator proposes to make revisions of the proposals for achieving the purposes of the railway administration order, and
- (b) those revisions appear to him to be substantial,

the special railway administrator shall, before making those revisions, send a statement of the proposed revisions to the persons specified in subsection (2A).

(2A) The persons mentioned in subsection (2) are—

- (a) the Secretary of State;
- [F11(b) the Office of Rail and Road]
- (c) F14 .....
- (d) all creditors of the company, so far as the special railway administrator is aware of their addresses; and
- [F15(e) where the company—
  - (i) is registered under the Companies Act 2006, or
  - (ii) is subject to a requirement imposed by regulations under section 1043 or 1046 of the Companies Act 2006 (unregistered UK companies or overseas companies) to deliver any documents to the registrar of companies,

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the registrar of companies.]

- (2B) Where the special railway administrator is required by subsection (1) or (2) to send any person a statement before the end of any period or before making any revision of any proposals, he shall also, before the end of that period or, as the case may be, before making those revisions either—
- (a) send a copy of the statement (so far as he is aware of their addresses) to all members of the company; or
  - (b) publish in the prescribed manner a notice stating an address to which members should write for copies of the statement to be sent to them free of charge.”

#### Textual Amendments

- F11** Words in Sch. 6 paras. 7-10 substituted (16.10.2015) by [The Office of Rail Regulation \(Change of Name\) Regulations 2015 \(S.I. 2015/1682\)](#), reg. 1(2), **Sch. para. 1(ddd)**
- F14** Sch. 6 para. 9: words in subsections (1)(c)(2A)(c) "of the substituted section 23" repealed (24.7.2005) by [Railways Act 2005 \(c. 14\)](#), ss. 59, 60, **Sch. 13 Pt. 1** (with s. 14(4)(5), Sch. 11 para. 11(2)); S.I. 2005/1909, **art. 2**, Sch.
- F15** Words in Sch. 6 para. 9 substituted (1.10.2009) by [The Companies Act 2006 \(Consequential Amendments, Transitional Provisions and Savings\) Order 2009 \(S.I. 2009/1941\)](#), art. 1(2), **Sch. 1 para. 143(7)(d)** (with art. 10)

#### Marginal Citations

- M1** 1985 c. 6.

#### *Applications to court*

- 10 (1) Section 27 of the 1986 Act (protection of interests of creditors and members), as applied by this Part of this Schedule, shall have effect as follows.
- (2) After subsection (1) there shall be inserted the following subsections—
- “(1A) At any time when a railway administration order is in force the Secretary of State<sup>F16</sup> . . . may apply to the High Court or the Court of Session by petition for an order under this section on the ground specified in subsection (1B).
- (1B) The ground mentioned in subsection (1A) is that the special railway administrator has exercised or is exercising, or proposing to exercise, his powers in relation to the company in a manner which—
- (a) will not best ensure the achievement of the purposes of the order; or
  - (b) without prejudice to paragraph (a) above, involves a contravention of any of the conditions of any licence under Part I of the Railways Act 1993 held by the company.
- (1C) Where an application is made under subsection (1) in respect of a company in relation to which a railway administration order is in force—
- (a) notice of the application shall be given to the Secretary of State; and
  - (b) he shall be entitled to be heard by the court in connection with that application.”

(3) Subsection (3) (order not to prejudice or prevent voluntary arrangements or administrator’s proposals) shall be omitted.

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(4) In subsection (4) (provision that may be made in an order), the words “Subject as above” shall be omitted and for paragraph (d) there shall be substituted—

“(d) without prejudice to the powers exercisable by the court in making a railway administration order—

(i) provide that the railway administration order is to be discharged as from such date as may be specified in the order unless, before that date, such measures are taken as the court thinks fit for the purpose of protecting the interests of creditors; and

(ii) make such consequential provision as the court thinks fit.”

<sup>F17</sup>(5) For subsection (6) there shall be substituted—

“(6) Where a railway administration order is discharged in consequence of such provision in an order under this section as is mentioned in subsection (4) (d)(i), the special railway administrator shall, within 14 days after the date on which the discharge takes effect, send [<sup>F18</sup>a copy] of the order under this section—

(a) to [<sup>F11</sup>the Office of Rail and Road];

(b) to the Director of Passenger Rail Franchising; and

[<sup>F19</sup>(c) where the company—

(i) is registered under the Companies Act 2006, or

(ii) is subject to a requirement imposed by regulations under section 1043 or 1046 of the Companies Act 2006 (unregistered UK companies or overseas companies) to deliver any documents to the registrar of companies,

to the registrar of companies.]

and if, without reasonable excuse, the special railway administrator fails to comply with this subsection, he is liable to a fine and, for continued contravention, to a daily default fine.”

#### Textual Amendments

**F11** Words in Sch. 6 paras. 7-10 substituted (16.10.2015) by [The Office of Rail Regulation \(Change of Name\) Regulations 2015 \(S.I. 2015/1682\)](#), reg. 1(2), **Sch. para. 1(ddd)**

**F16** Sch. 6 para. 10(2): words “in the substituted subsection 21(1A)” repealed (24.7.2005) by [Railways Act 2005 \(c. 14\)](#), ss. 59, 60, **Sch. 13 Pt. 1** (with s. 14(4)(5), Sch. 11 para. 11(2)); S.I. 2005/1909, **art. 2**, Sch.

**F17** By [Railways Act 2005 \(c. 14\)](#), ss. 49(6), 60; S.I. 2005/2812, {art. 2(1)}, Sch. 1 it is provided that for the words “the Strategic Rail Authority” the words “the appropriate national authority” are substituted in Sch. 6 para. 10(5) (16.10.2005)

**F18** Words in Sch. 6 para. 10(5) substituted (1.10.2009) by [The Companies Act 2006 \(Consequential Amendments, Transitional Provisions and Savings\) Order 2009 \(S.I. 2009/1941\)](#), art. 1(2), **Sch. 1 para. 143(7)(e)(i)** (with art. 10)

**F19** Words in Sch. 6 para. 10(5) substituted (1.10.2009) by [The Companies Act 2006 \(Consequential Amendments, Transitional Provisions and Savings\) Order 2009 \(S.I. 2009/1941\)](#), art. 1(2), **Sch. 1 para. 143(7)(e)(ii)** (with art. 10)



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*Particular powers of special railway administrator*

- 11 In the application of Schedule 1 to the 1986 Act (which sets out certain powers of the administrator) by virtue of section 14 of that Act, as applied by this Part of this Schedule in relation to a company which is an unregistered company, paragraph 22 shall be omitted.

**PART II**

FURTHER MODIFICATIONS OF THE 1986 ACT:  
 APPLICATION IN RELATION TO FOREIGN COMPANIES

*Introductory*

- 12 (1) Where a railway administration order has been made in relation to a company which is a foreign company, sections 11 to 23 and 27 of the 1986 Act (as applied by Part I of this Schedule) shall apply in relation to that foreign company with the further modifications set out in the following provisions of this Part of this Schedule.
- (2) In this Part of this Schedule, “foreign company” means a company incorporated outside Great Britain.

*Effect of order*

- 13 (1) Section 11 of the 1986 Act (effect of administration order), as applied by this Part of this Schedule in relation to a foreign company, shall have effect as follows.
- (2) In subsection (1), paragraph (b) shall be omitted.
- (3) Subsection (2) shall be omitted.
- (4) In subsection (3)—
- (a) paragraphs (a) and (b) shall be omitted; and
  - (b) in paragraph (d)—
    - (i) the reference to the commencement or continuation of proceedings shall be taken as a reference to the commencement or continuation of proceedings in Great Britain; and
    - (ii) the reference to the levying of distress against the company shall be taken as a reference to the levying of distress against the foreign company to the extent of its property in England and Wales;
 and any reference to property or goods shall be taken as a reference to property or (as the case may be) goods for the time being situated within Great Britain.
- (5) Subsections (4) and (5) shall be omitted.
- (6) At the end of that section there shall be added—
- “(6) Where a railway administration order is in force in relation to a company which is a foreign company within the meaning of section 65 of the Railways Act 1993—
- (a) any person appointed to perform functions equivalent to those of an administrative receiver, and



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- (b) if the special railway administrator so requires, any person appointed to perform functions equivalent to those of a receiver,

shall refrain from performing those functions in Great Britain in relation to the foreign company and any of the company's property for the time being situated in Great Britain, during the period for which that order is in force or, in the case of such a person as is mentioned in paragraph (b) above, during so much of that period as falls after the date on which he is required to do so."

#### *Notification of order*

- 14 In section 12 of the 1986 Act (notification of order), as applied by this Part of this Schedule in relation to a foreign company, the reference to a statement that the affairs, business and property of the company are being managed by the administrator shall be taken as a reference to a statement that—
- (a) the affairs and business of the foreign company so far as carried on in Great Britain, and
  - (b) the property of the foreign company so far as that property is for the time being situated within Great Britain,
- are being managed by the special railway administrator.

#### *General powers of special railway administrator*

- 15 (1) Section 14 of the 1986 Act (general powers of administrator), as applied by this Part of this Schedule in relation to a foreign company, shall have effect as follows.
- (2) In subsection (1)(a), the reference to the affairs, business and property of the company shall be taken as a reference to—
- (a) the affairs and business of the foreign company so far as carried on in Great Britain, and
  - (b) the property of that company so far as that property is for the time being situated within Great Britain.
- (3) Subsection (2)(a) shall be omitted.
- (4) In subsection (4)—
- (a) the reference to any power conferred on the company or its officers shall be taken to include any power conferred on the foreign company or its officers under the law under which the foreign company is incorporated; and
  - (b) any reference (however expressed) to the exercise of any power conferred on the company or its officers shall be taken as a reference to the exercise of that power so far as it relates to—
    - (i) the affairs and business of the foreign company so far as carried on in Great Britain, or
    - (ii) the property of that company so far as that property is for the time being situated within Great Britain.

#### *Power to deal with charged property*

- 16 In section 15 of the 1986 Act (power of administrator to deal with charged property etc), as applied by this Part of this Schedule in relation to a foreign company, any reference to property or goods shall be taken as a reference to property or (as the case may be) goods for the time being situated within Great Britain.

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*Duties of special railway administrator*

- 17 In section 17 of the 1986 Act (general duties of administrator), as applied by this Part of this Schedule in relation to a foreign company,—
- (a) in subsection (1), the reference to property shall be taken as a reference to property for the time being situated within Great Britain; and
  - (b) in subsection (2), the reference to the affairs, business and property of the company shall be taken as a reference to—
    - (i) the affairs and business of the foreign company so far as carried on in Great Britain, and
    - (ii) the property of that company so far as that property is for the time being situated within Great Britain.

*Statement as to company's affairs*

- 18 In section 22(1) of the 1986 Act (power of administrator to require certain persons to provide him with a statement as to company's affairs), as applied by this Part of this Schedule in relation to a foreign company, the reference to the affairs of the company shall be taken as a reference to the affairs of the foreign company so far as they are carried on in Great Britain, or relate to property of that company for the time being situated within Great Britain.

*Particular powers of special railway administrator*

- 19 (1) The powers conferred on a special railway administrator by virtue of Schedule 1 to the 1986 Act (which sets out certain powers of an administrator), as that Schedule applies by virtue of section 14 of that Act, as applied by this Part of this Schedule in relation to a foreign company, shall be exercisable only in relation to—
- (a) the affairs and business of that company, so far as carried on in Great Britain; and
  - (b) the property of that company, so far as that property is for the time being situated within Great Britain.
- (2) In that Schedule, as it so applies,—
- (a) without prejudice to sub-paragraph (1) above, references to the property of that company shall be taken as references to that property, so far as that property is for the time being situated within Great Britain; and
  - (b) paragraph 19 shall be omitted.

**PART III**

SUPPLEMENTAL

*General adaptations and saving*

- 20 (1) Subject to the preceding provisions of this Schedule, references in the 1986 Act (except in sections 8 to 10 and 24 to 26), or in any other enactment passed before this Act, to an administration order under Part II of that Act, to an application for such an order and to an administrator shall include references, respectively, to a railway administration order, to an application for a railway administration order and to a special railway administrator.

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- (2) Subject as aforesaid and to sub-paragraph (3) below, references in the 1986 Act, or in any other enactment passed before this Act, to an enactment contained in Part II of that Act shall include references to that enactment as applied by section 60, 61, 62 or 65 of this Act or Part I or II of this Schedule.
- (3) Sub-paragraphs (1) and (2) above shall apply in relation to a reference in an enactment contained in Part II of the 1986 Act only so far as necessary for the purposes of the operation of the provisions of that Part as so applied.
- (4) The provisions of this Schedule shall be without prejudice to the power conferred by section 411 of the 1986 Act (company insolvency rules), as modified by sub-paragraphs (1) and (2) above.

#### *Interpretation*

- 21 (1) In this Schedule “the 1986 Act” means the <sup>M2</sup>Insolvency Act 1986.
- (2) In this Schedule, and in any modification of the 1986 Act made by this Schedule, “special railway administrator”, in relation to a railway administration order, means any person appointed in relation to that order for the purposes of section 59(1) of this Act; and in any such modification “railway administration order” has the same meaning as in this Act.

#### **Marginal Citations**

**M2** 1986 c. 45.

**Status:**

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**Changes to legislation:**

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