

*Status: Point in time view as at 12/10/2005.*

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## SCHEDULES

### SCHEDULE 1A

Section 1(3).

#### THE WELSH COMMISSIONER

#### <sup>F1</sup><sup>F2</sup> Appointment

##### Textual Amendments

- F1** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**
- F2** Sch. 1A repealed (12.10.2005 for specified purposes) by Public Services Ombudsman (Wales) Act 2005 (c. 10), s. 40, Sch. 6 para. 54, **Sch. 7** (with s. 38); S.I. 2005/2800, art. 3(b), Sch. 1 Pt. 2 (with art. 7)

- <sup>F3</sup>1 (1) The Health Service Commissioner for Wales shall be appointed by Her Majesty.
- (2) Subject to sub-paragraphs (3) and (4), the Health Service Commissioner for Wales shall hold office until the end of the year of service in which he attains the age of 65.
- (3) Her Majesty may relieve the Health Service Commissioner for Wales of office before the end of that year of service—
- (a) at his request, or
- (b) on Her Majesty being satisfied that he is incapable for medical reasons of performing the duties of his office and of requesting to be relieved of it.
- (4) Her Majesty may remove the Health Service Commissioner for Wales from office before the end of the year of service in which he attains the age of 65 if, on the ground of misbehaviour, the Secretary of State recommends that Her Majesty should do so; but the Secretary of State shall not so recommend without consulting the Assembly.

##### Textual Amendments

- F3** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F4</sup> Status

##### Textual Amendments

- F4** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F5</sup>2 (1) The Health Service Commissioner for Wales shall be regarded as holding office under Her Majesty and as exercising his functions on behalf of the Crown.

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- (2) Service as the Health Service Commissioner for Wales shall not be service in Her Majesty's Home Civil Service but he shall be taken to be a Crown servant for the purposes of the <sup>M1</sup>Official Secrets Act 1989.

**Textual Amendments**

**F5** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

**Marginal Citations**

**M1** 1989 c. 6.

<sup>F6</sup>*Appointment of acting Commissioner*

**Textual Amendments**

**F6** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F73</sup> (1) Where the office of Health Service Commissioner for Wales becomes vacant, Her Majesty may, at any time during the period of twelve months beginning with the date on which the vacancy arose, appoint a person to act as that Commissioner.
- (2) Subject to sub-paragraphs (3) to (5), an acting Health Service Commissioner for Wales shall hold office in accordance with the terms of his appointment.
- (3) An acting Health Service Commissioner for Wales shall not hold office after—
- (a) the appointment of a new Health Service Commissioner for Wales, or
  - (b) the end of the period of twelve months beginning with the date on which the vacancy arose,
- whichever occurs first.
- (4) Her Majesty may at any time relieve an acting Health Service Commissioner for Wales of office—
- (a) at his request, or
  - (b) on Her Majesty being satisfied that he is incapable for medical reasons of performing the duties of his office and of requesting to be relieved of it.
- (5) Her Majesty may remove an acting Health Service Commissioner for Wales from office at any time if, on the ground of misbehaviour, the Secretary of State recommends that Her Majesty should do so; but the Secretary of State shall not so recommend without consulting the Assembly.
- (6) A person appointed under this paragraph shall, while he holds office, be regarded for all purposes (except those of paragraph 1 and this paragraph) as the Health Service Commissioner for Wales.

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#### Textual Amendments

- F7** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F8</sup>*Ineligibility of certain persons for appointment*

#### Textual Amendments

- F8** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F9</sup>4 (1) A person who is a member of a body mentioned in section 2(1) or (2) shall not be appointed as Health Service Commissioner for Wales or acting Health Service Commissioner for Wales; and a person so appointed shall not, during his appointment, become a member of such a body.
- (2) A person mentioned in section 2A(1) or (2) shall not be appointed as Health Service Commissioner for Wales or acting Health Service Commissioner for Wales; and a person so appointed shall not, during his appointment, become a person so mentioned.

#### Textual Amendments

- F9** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F10</sup>*Remuneration*

#### Textual Amendments

- F10** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F11</sup>5 (1) The Assembly shall—
- (a) pay the Health Service Commissioner for Wales such salary and any such allowances, and
  - (b) make any such payments towards the provision of superannuation benefits for or in respect of him,
- as may be provided for by or under the terms of his appointment.
- (2) But where the Health Service Commissioner for Wales is also—
- (a) Parliamentary Commissioner, or
  - (b) Welsh Administration Ombudsman,
- he shall not be entitled to any salary as Health Service Commissioner for Wales.
- (3) Where the Health Service Commissioner for Wales also holds [<sup>F12</sup>the other office] of Health Service Commissioner, he shall be entitled only to the salary pertaining to such one of the offices of Health Service Commissioner as he selects.

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- (4) The Assembly shall pay to or in respect of a person who has ceased to hold office as Health Service Commissioner for Wales such amounts (if any) by way of—
- (a) pension or gratuities, or
  - (b) provision for those benefits,
- as may have been provided for by or under the terms of his appointment.
- (5) In Schedule 1 to the <sup>M2</sup>Superannuation Act 1972 (offices etc. to which section 1 of that Act applies), in the list of “Offices” insert—
- “Health Service Commissioner for Wales.”
- (6) The Assembly shall pay to the Minister for the Civil Service, at such times as he may direct, such sums as he may determine in respect of any increase attributable to subparagraph (5) in the sums payable out of money provided by Parliament under the <sup>M3</sup>Superannuation Act 1972.

#### Textual Amendments

- F11** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**
- F12** Words in Sch. 1A para. 5(3) substituted (14.7.2004) by *The Scottish Public Services Ombudsman Act 2002 (Consequential Provisions and Modifications) Order 2004* (S.I. 2004/1823), arts. 1, **17(12)(a)**

#### Marginal Citations

- M2** 1972 c. 11.
- M3** 1972 c. 11.

#### <sup>F13</sup>*Staff and advisers*

#### Textual Amendments

- F13** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F14</sup><sub>6</sub> (1) The Health Service Commissioner for Wales may appoint such staff as he considers necessary for assisting him in the exercise of his functions.
- (2) The Health Service Commissioner for Wales shall include among his staff such persons having a command of the Welsh language as he considers are needed to enable him to investigate complaints in Welsh.
- (3) Service as a member of the staff of the Health Service Commissioner for Wales shall be service in Her Majesty’s Home Civil Service.
- (4) The Assembly shall pay to the Minister for the Civil Service, at such times as he may direct, such sums as he may determine in respect of any increase attributable to subparagraph (3) in the sums payable out of money provided by Parliament under the <sup>M4</sup>Superannuation Act 1972.
- (5) Any function of the Health Service Commissioner for Wales may be exercised by—
- (a) a member of his staff,

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- (b) a member of the staff of the Welsh Administration Ombudsman, or
- (c) an officer of the Parliamentary Commissioner or of [<sup>F15</sup>the other] Health Service Commissioner,

if authorised by the Health Service Commissioner for Wales for that purpose; and references in any enactment to a member of the staff of the Health Service Commissioner for Wales include any person exercising any function of his by virtue of paragraph (b) or (c).

- (6) To assist him in the exercise of his functions the Health Service Commissioner for Wales may obtain advice from any person who, in his opinion, is qualified to give it.
- (7) The Health Service Commissioner for Wales may pay to any person from whom he obtains advice under sub-paragraph (6) any such fees or allowances as he may determine.
- (8) No arrangements shall be made—
  - (a) for any of the functions of the Health Service Commissioner for Wales or of the Assembly to be exercised by the other or by a member of the other's staff, or
  - (b) for the provision of any administrative, professional or technical services by the Health Service Commissioner for Wales or the Assembly for the other.

#### Textual Amendments

**F14** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

**F15** Words in Sch. 1A para. 6(5)(c) substituted (14.7.2004) by [The Scottish Public Services Ombudsman Act 2002 \(Consequential Provisions and Modifications\) Order 2004 \(S.I. 2004/1823\)](#), arts. 1, **17(12)(b)**

#### Marginal Citations

**M4** 1972 c. 11.

#### <sup>F16</sup>Reports

#### Textual Amendments

**F16** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F17</sup> (1) The Health Service Commissioner for Wales—
  - (a) shall annually prepare and lay before the Assembly a general report on the performance of his functions, and
  - (b) may from time to time prepare and lay before the Assembly such other reports with respect to his functions as he thinks fit.
- (2) The Assembly shall, and the Health Service Commissioner for Wales may, publish reports laid before the Assembly under this paragraph.

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#### Textual Amendments

**F17** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F18</sup>Expenses

#### Textual Amendments

**F18** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F19</sup>8 (1) The expenses of the Health Service Commissioner for Wales shall, so far as they cannot be met out of income received by him, be met by the Assembly.
- (2) Those expenses include any sums payable by the Health Service Commissioner for Wales in consequence of a breach, in the course of the performance of any of his functions, of any contractual or other duty (whether that breach occurs by reason of his act or omission or that of a member of his staff or any other person assisting him in the exercise of his functions).

#### Textual Amendments

**F19** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F20</sup>Estimates

#### Textual Amendments

**F20** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F21</sup>9 (1) For each financial year after the first financial year of the Assembly the Health Service Commissioner for Wales shall prepare, and submit to the executive committee, an estimate of the income and expenses of his office.
- (2) Each such estimate shall be submitted to the executive committee at least five months before the beginning of the financial year to which it relates.
- (3) The executive committee shall examine each such estimate submitted to it and, after having done so, shall lay the estimate before the Assembly with any such modifications as the committee thinks fit.
- (4) Where the executive committee proposes to lay such an estimate before the Assembly with modifications, the committee shall first consult the Secretary of State and have regard to any advice which he may give.
- (5) In this paragraph “the executive committee” means the committee of the Assembly referred to as the executive committee in the Government of Wales Act 1998.

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#### Textual Amendments

**F21** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F22</sup>Accounts

#### Textual Amendments

**F22** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F23</sup>10 (1) The Health Service Commissioner for Wales shall keep proper accounting records.
- (2) The Health Service Commissioner for Wales shall, for each financial year, prepare accounts in accordance with directions given to him by the Treasury.
- (3) The directions which the Treasury may give under sub-paragraph (2) include, in particular, directions as to—
- (a) the information to be contained in the accounts and the manner in which it is to be presented,
  - (b) the methods and principles in accordance with which the accounts are to be prepared, and
  - (c) the additional information (if any) that is to accompany the accounts.

#### Textual Amendments

**F23** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### <sup>F24</sup>Audit

#### Textual Amendments

**F24** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F25</sup>11 (1) The accounts prepared by the Health Service Commissioner for Wales for any financial year shall be submitted by him to the Auditor General for Wales [<sup>F26</sup>no later than 30th November of the following financial year].
- (2) The Auditor General for Wales shall—
- (a) examine and certify any accounts submitted to him under this paragraph, and
  - (b) no later than four months after the accounts are submitted to him, lay before the Assembly a copy of them as certified by him together with his report on them.
- (3) In examining any accounts submitted to him under this paragraph, the Auditor General for Wales shall, in particular, satisfy himself that the expenditure to which

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the accounts relate has been incurred lawfully and in accordance with the authority which governs it.

#### Textual Amendments

- F25** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**
- F26** Words in Sch. 1A para. 11(1) substituted (1.4.2001) by 2000 c. 20, s. 29, **Sch. 1 para. 19**; S.I. 2000/3349, **art. 3(1)(a)** (subject to transitional provisions in arts. 4, 5)

#### Modifications etc. (not altering text)

- C1** Sch. 1A para. 11(1): power to amend conferred (1.4.2001) by 2000 c. 20, s. 29, Sch. 1 paras. 19, 27; S.I. 2000/3349, **art. 3(1)(a)** (subject to transitional provisions in arts. 4, 5)

#### <sup>F27</sup> *Accounting officer*

#### Textual Amendments

- F27** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F28</sup>12 (1) The accounting officer for the Office of the Health Service Commissioner for Wales shall be the Health Service Commissioner for Wales.
- (2) But where—
- (a) the Health Service Commissioner for Wales is incapable of discharging his responsibilities as accounting officer, or
  - (b) the office of Health Service Commissioner for Wales is vacant (and there is no acting Health Service Commissioner for Wales),
- the Treasury may designate a member of the staff of the Health Service Commissioner for Wales to be the accounting officer for so long as paragraph (a) or (b) applies.
- (3) The accounting officer for the Office of the Health Service Commissioner for Wales shall have, in relation to the accounts of the Health Service Commissioner for Wales and the finances of the Office of the Health Service Commissioner for Wales, the responsibilities which are from time to time specified by the Treasury.
- (4) In this paragraph references to responsibilities include in particular—
- (a) responsibilities in relation to the signing of accounts,
  - (b) responsibilities for the propriety and regularity of the finances of the Office of the Health Service Commissioner for Wales, and
  - (c) responsibilities for the economy, efficiency and effectiveness with which the resources of the Office of the Health Service Commissioner for Wales are used.
- (5) The responsibilities which may be specified under this paragraph include responsibilities owed to—
- (a) the Assembly, the executive committee or the Audit Committee of the Assembly, or
  - (b) the House of Commons or its Committee of Public Accounts.



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- (6) If requested to do so by the House of Commons Committee of Public Accounts, the Audit Committee of the Assembly may—
- (a) on behalf of the Committee of Public Accounts take evidence from the accounting officer for the Office of the Health Service Commissioner for Wales, and
  - (b) report to the Committee of Public Accounts and transmit to that Committee any evidence so taken.
- (7) In this paragraph and paragraphs 13 and 14 “the Office of the Health Service Commissioner for Wales” means the Health Service Commissioner for Wales and the members of his staff; and in this paragraph “the executive committee” has the same meaning as in paragraph 9.

**Textual Amendments**

**F28** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

*F29 Examinations into use of resources*

**Textual Amendments**

**F29** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F30</sup>13 (1) The Auditor General for Wales may carry out examinations into the economy, efficiency and effectiveness with which the Health Service Commissioner for Wales has used the resources of the Office of the Health Service Commissioner for Wales in discharging his functions.
- (2) Sub-paragraph (1) shall not be construed as entitling the Auditor General for Wales to question the merits of the policy objectives of the Health Service Commissioner for Wales.
- (3) In determining how to exercise his functions under this paragraph, the Auditor General for Wales shall take into account the views of the Audit Committee of the Assembly as to the examinations which he should carry out under this paragraph.
- (4) The Auditor General for Wales may lay before the Assembly a report of the results of any examination carried out by him under this paragraph.

<sup>F31</sup>(5) .....

**Textual Amendments**

**F30** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

**F31** Sch. 1A para. 13(5) repealed (1.4.2005) by Public Audit (Wales) Act 2004 (c. 23), s. 73, Sch. 2 para. 16, **Sch. 4**; S.I. 2005/558, art. 2, Sch. 1

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### <sup>F32</sup>Examinations by the Comptroller and Auditor General

#### Textual Amendments

**F32** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

- <sup>F33</sup>14 (1) For the purpose of enabling him to carry out examinations into, and report to Parliament on, the finances of the Office of the Health Service Commissioner for Wales, the Comptroller and Auditor General—
- (a) shall have a right of access at all reasonable times to all such documents in the custody or under the control of the Health Service Commissioner for Wales, or of the Auditor General for Wales, as he may reasonably require for that purpose, and
  - (b) shall be entitled to require from any person holding or accountable for any of those documents any assistance, information or explanation which he reasonably thinks necessary for that purpose.
- (2) The Comptroller and Auditor General shall—
- (a) consult the Auditor General for Wales, and
  - (b) take into account any relevant work done or being done by the Auditor General for Wales,
- before he acts in reliance on sub-paragraph (1) or carries out an examination in respect of the Health Service Commissioner for Wales under section 7 of the <sup>M5</sup>National Audit Act 1983 (economy etc. examinations).]

#### Textual Amendments

**F33** Sch. 1A inserted (1.7.1999) by 1998 c. 38, s. 112, **Sch. 10 para. 17** (with ss. 139(2), 143(2)); S.I. 1999/1290, **art. 4**

#### Marginal Citations

**M5** 1983 c. 44.

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