



# Criminal Appeal Act 1995

## 1995 CHAPTER 35

### PART II

#### THE CRIMINAL CASES REVIEW COMMISSION

##### *The Commission*

#### **8 The Commission.**

- (1) There shall be a body corporate to be known as the Criminal Cases Review Commission.
- (2) The Commission shall not be regarded as the servant or agent of the Crown or as enjoying any status, immunity or privilege of the Crown; and the Commission's property shall not be regarded as property of, or held on behalf of, the Crown.
- (3) The Commission shall consist of not fewer than eleven members.
- (4) The members of the Commission shall be appointed by Her Majesty on the recommendation of the Prime Minister.
- (5) At least one third of the members of the Commission shall be persons who are legally qualified; and for this purpose a person is legally qualified if—
  - (a) he has a ten year general qualification, within the meaning of section 71 of the <sup>M1</sup>Courts and Legal Services Act 1990, or
  - (b) he is a member of the Bar of Northern Ireland, or [<sup>F1</sup>solicitor of the Court of Judicature of Northern Ireland], of at least ten years' standing.
- (6) At least two thirds of the members of the Commission shall be persons who appear to the Prime Minister to have knowledge or experience of any aspect of the criminal justice system and of them at least one shall be a person who appears to him to have knowledge or experience of any aspect of the criminal justice system in Northern Ireland; and for the purposes of this subsection the criminal justice system includes, in particular, the investigation of offences and the treatment of offenders.

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*Changes to legislation: There are currently no known outstanding effects for the Criminal Appeal Act 1995, Part II. (See end of Document for details)*

(7) Schedule 1 (further provisions with respect to the Commission) shall have effect.

#### **Textual Amendments**

**F1** Words in Act substituted (1.10.2009) by [Constitutional Reform Act 2005 \(c. 4\), s. 148\(1\), Sch. 11 para. 5](#); [S.I. 2009/1604, art. 2\(d\)](#)

#### **Commencement Information**

**II** S. 8 wholly in force at 1.1.1997; S. 8 not in force at Royal Assent see s. 32(1); S. 8 in force for certain purposes at 12.12.1996 by [S.I. 1996/3041, art. 2](#); s. 8 in force at 1.1.1997 insofar as not already in force by [S.I. 1996/3149, art. 3](#)

#### **Marginal Citations**

**M1** 1990 c. 41.

### *References to court*

## **9 Cases dealt with on indictment in England and Wales.**

- (1) Where a person has been convicted of an offence on indictment in England and Wales, the Commission—
  - (a) may at any time refer the conviction to the Court of Appeal, and
  - (b) (whether or not they refer the conviction) may at any time refer to the Court of Appeal any sentence (not being a sentence fixed by law) imposed on, or in subsequent proceedings relating to, the conviction.
- (2) A reference under subsection (1) of a person's conviction shall be treated for all purposes as an appeal by the person under section 1 of the 1968 Act against the conviction.
- (3) A reference under subsection (1) of a sentence imposed on, or in subsequent proceedings relating to, a person's conviction on an indictment shall be treated for all purposes as an appeal by the person under section 9 of the 1968 Act against—
  - (a) the sentence, and
  - (b) any other sentence (not being a sentence fixed by law) imposed on, or in subsequent proceedings relating to, the conviction or any other conviction on the indictment.
- (4) On a reference under subsection (1) of a person's conviction on an indictment the Commission may give notice to the Court of Appeal that any other conviction on the indictment which is specified in the notice is to be treated as referred to the Court of Appeal under subsection (1).
- (5) Where a verdict of not guilty by reason of insanity has been returned in England and Wales in the case of a person, the Commission may at any time refer the verdict to the Court of Appeal; and a reference under this subsection shall be treated for all purposes as an appeal by the person under section 12 of the 1968 Act against the verdict.
- (6) Where [<sup>F2</sup>in England and Wales there have been] findings that a person is under a disability and that he did the act or made the omission charged against him, the Commission may at any time refer either or both of those findings to the Court of Appeal; and a reference under this subsection shall be treated for all purposes as an

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appeal by the person under section 15 of the 1968 Act against the finding or findings referred.

#### Textual Amendments

- F2** Words in s. 9(6) substituted (31.3.2005) by [Domestic Violence, Crime and Victims Act 2004 \(c. 28\)](#), s. 60, [Sch. 10 para. 31](#); S.I. 2005/579, art. 3(g)

## 10 Cases dealt with on indictment in Northern Ireland.

- (1) Where a person has been convicted of an offence on indictment in Northern Ireland, the Commission—
  - (a) may at any time refer the conviction to the Court of Appeal, and
  - (b) (whether or not they refer the conviction) may at any time refer to the Court of Appeal any sentence (not being a sentence fixed by law) imposed on, or in subsequent proceedings relating to, the conviction.
- (2) A reference under subsection (1) of a person's conviction shall be treated for all purposes as an appeal by the person under section 1 of the 1980 Act against the conviction.
- (3) A reference under subsection (1) of a sentence imposed on, or in subsequent proceedings relating to, a person's conviction on an indictment shall be treated for all purposes as an appeal by the person under section 8 or 9 (as the case may be) of the 1980 Act against—
  - (a) the sentence, and
  - (b) any other sentence (not being a sentence fixed by law) imposed on, or in subsequent proceedings relating to, the conviction or any other conviction on the indictment.
- (4) On a reference under subsection (1) of a person's conviction on an indictment the Commission may give notice to the Court of Appeal that any other conviction on the indictment which is specified in the notice is to be treated as referred to the Court of Appeal under subsection (1).
- (5) On a reference under subsection (1) the Court of Appeal may not pass any sentence more severe than that passed by the Crown Court.
- (6) Where a finding of not guilty on the ground of insanity has been recorded in Northern Ireland in the case of a person, the Commission may at any time refer the finding to the Court of Appeal; and a reference under this subsection shall be treated for all purposes as an appeal by the person under section 12 of the 1980 Act against the finding.
- (7) Where [<sup>F3</sup>in Northern Ireland there has been] a finding that a person is unfit to be tried, the Commission may at any time refer the finding to the Court of Appeal; and a reference under this subsection shall be treated for all purposes as an appeal by the person under section 13A of the 1980 Act against the finding.

#### Textual Amendments

- F3** Words in s. 10(7) substituted (31.3.2005) by [Domestic Violence, Crime and Victims Act 2004 \(c. 28\)](#), s. 60, [Sch. 10 para. 32](#); S.I. 2005/579, art. 3(g)

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## 11 Cases dealt with summarily in England and Wales.

- (1) Where a person has been convicted of an offence by a magistrates' court in England and Wales, the Commission—
  - (a) may at any time refer the conviction to the Crown Court, and
  - (b) (whether or not they refer the conviction) may at any time refer to the Crown Court any sentence imposed on, or in subsequent proceedings relating to, the conviction.
- (2) A reference under subsection (1) of a person's conviction shall be treated for all purposes as an appeal by the person under section 108(1) of the <sup>M2</sup>Magistrates' Courts Act 1980 against the conviction (whether or not he pleaded guilty).
- (3) A reference under subsection (1) of a sentence imposed on, or in subsequent proceedings relating to, a person's conviction shall be treated for all purposes as an appeal by the person under section 108(1) of the Magistrates' Courts Act 1980 against—
  - (a) the sentence, and
  - (b) any other sentence imposed on, or in subsequent proceedings relating to, the conviction or any related conviction.
- (4) On a reference under subsection (1) of a person's conviction the Commission may give notice to the Crown Court that any related conviction which is specified in the notice is to be treated as referred to the Crown Court under subsection (1).
- (5) For the purposes of this section convictions are related if they are convictions of the same person by the same court on the same day.
- (6) On a reference under this section the Crown Court may not award any punishment more severe than that awarded by the court whose decision is referred.
- (7) The Crown Court may grant bail to a person whose conviction or sentence has been referred under this section; and any time during which he is released on bail shall not count as part of any term of imprisonment or detention under his sentence.

### Marginal Citations

M2 1980 c. 43.

## 12 Cases dealt with summarily in Northern Ireland.

- (1) Where a person has been convicted of an offence by a magistrates' court in Northern Ireland, the Commission—
  - (a) may at any time refer the conviction to a county court, and
  - (b) (whether or not they refer the conviction) may at any time refer to a county court any sentence imposed on, or in subsequent proceedings relating to, the conviction.
- (2) A reference under subsection (1) of a person's conviction shall be treated for all purposes as an appeal by the person under Article 140(1) of the <sup>M3</sup>Magistrates' Courts (Northern Ireland) Order 1981 against the conviction (whether or not he pleaded guilty).

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- (3) A reference under subsection (1) of a sentence imposed on, or in subsequent proceedings relating to, a person's conviction shall be treated for all purposes as an appeal by the person under Article 140(1) of the Magistrates' Courts (Northern Ireland) Order 1981 against—
  - (a) the sentence, and
  - (b) any other sentence imposed on, or in subsequent proceedings relating to, the conviction or any related conviction.
- (4) On a reference under subsection (1) of a person's conviction the Commission may give notice to the county court that any related conviction which is specified in the notice is to be treated as referred to the county court under subsection (1).
- (5) For the purposes of this section convictions are related if they are convictions of the same person by the same court on the same day.
- (6) On a reference under this section a county court may not award any punishment more severe than that awarded by the court whose decision is referred.
- (7) The High Court may grant bail to a person whose conviction or sentence has been referred to a county court under this section; and any time during which he is released on bail shall not count as part of any term of imprisonment or detention under his sentence.

**Marginal Citations**

M3 [S.I. 1981/1675 \(N.I.26\)](#).

**[<sup>F4</sup>12A Cases dealt with by the Court Martial**

- (1) Where a person has been convicted by the Court Martial (including on an appeal brought from the Service Civilian Court), the Commission—
  - (a) may at any time refer the conviction to the Court Martial Appeal Court, and
  - (b) (whether or not they refer the conviction) may at any time refer to the Court Martial Appeal Court any sentence (other than one fixed by law) imposed by the Court Martial on, or in subsequent proceedings relating to, the conviction.
- (2) Where a person has been convicted by the Service Civilian Court and sentenced by the Court Martial on an appeal against sentence only, the Commission may at any time refer to the Court Martial Appeal Court that sentence of the Court Martial and any other sentence imposed by the Court Martial in respect of a connected conviction within the meaning given by section 12B(6).
- (3) A reference under subsection (1) of a person's conviction shall be treated for all purposes as an appeal by the person under section 8 of the Court Martial Appeals Act against the conviction.
- (4) On a reference under subsection (1) of a person's conviction, the Commission may give notice to the Court Martial Appeal Court that any related conviction specified in the notice is to be treated as referred to that court under subsection (1).
- (5) A reference under subsection (1) of a sentence imposed on, or in subsequent proceedings relating to, a person's conviction shall be treated for all purposes as an appeal by the person under section 8 of the Court Martial Appeals Act against—

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- (a) the sentence, and
  - (b) any other sentence (other than one fixed by law) imposed by the Court Martial on, or in subsequent proceedings relating to, the conviction or any related conviction.
- (6) A reference under subsection (2) of a person's sentence shall be treated for all purposes as an appeal by the person under section 8 of the Court Martial Appeals Act against—
- (a) the sentence, and
  - (b) any other sentence imposed by the Court Martial in respect of a connected conviction within the meaning given by section 12B(6).
- (7) Where a finding of not guilty by reason of insanity has been made by the Court Martial in the case of a person, the Commission may at any time refer the finding to the Court Martial Appeal Court; and a reference under this subsection shall be treated for all purposes as an appeal by the person under section 21 of the Court Martial Appeals Act against the finding.
- (8) Where the Court Martial has found that a person is under a disability and that he did the act or made the omission charged against him, the Commission may at any time refer either or both of those findings to the Court Martial Appeal Court; and a reference under this subsection shall be treated for all purposes as an appeal by the person under section 24 of the Court Martial Appeals Act against the finding or findings referred.
- (9) For the purposes of this section convictions are “related” if they are of the same person in the same proceedings.

#### **Textual Amendments**

- F4** Ss. 12A, 12B inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\), s. 383\(2\), Sch. 11 para. 2](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4

#### **Modifications etc. (not altering text)**

- C1** S. 12A(1) modified (24.4.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [The Armed Forces Act 2006 \(Transitional Provisions etc\) Order 2009 \(S.I. 2009/1059\), arts. 1\(3\), 184\(1\)](#)
- C2** S. 12A(2) modified (24.4.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [The Armed Forces Act 2006 \(Transitional Provisions etc\) Order 2009 \(S.I. 2009/1059\), arts. 1\(3\), 184\(2\)](#)

## **12B Cases dealt with by the Service Civilian Court**

- (1) Where a person has been convicted of an offence by the Service Civilian Court, the Commission—
- (a) may at any time refer the conviction to the Court Martial; and
  - (b) (whether or not they refer the conviction) may at any time refer to the Court Martial any sentence imposed by the Service Civilian Court on, or in subsequent proceedings relating to, the conviction.
- (2) A reference under subsection (1) of a person's conviction shall be treated for all purposes as an appeal by the person under section 285 of the Armed Forces Act 2006 against the conviction (whether or not he pleaded guilty).

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- (3) A reference under subsection (1) of a sentence imposed on, or in subsequent proceedings relating to, a person's conviction shall be treated for all purposes as an appeal by the person under section 285 of the Armed Forces Act 2006 against—
  - (a) the sentence, and
  - (b) any other sentence imposed by the Service Civilian Court on, or in subsequent proceedings relating to, the conviction or any connected conviction.
- (4) On a reference under subsection (1) of a person's conviction, the Commission may give notice to the Court Martial that any connected conviction which is specified in the notice is to be treated as referred to the Court Martial under subsection (1).
- (5) On a reference under this section the Court Martial may not impose a sentence more severe than that imposed by the Service Civilian Court.
- (6) For the purposes of this section convictions are “connected” if they are of the same person by the same court on the same day.]

#### Textual Amendments

**F4** Ss. 12A, 12B inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 2](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4

#### Modifications etc. (not altering text)

**C3** [S. 12B\(1\)](#) modified (24.4.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [The Armed Forces Act 2006 \(Transitional Provisions etc\) Order 2009 \(S.I. 2009/1059\)](#), arts. 1(3), [184\(3\)](#)

### 13 Conditions for making of references.

- (1) A reference of a conviction, verdict, finding or sentence shall not be made under any of sections 9 to <sup>F5</sup>12B] unless—
  - (a) the Commission consider that there is a real possibility that the conviction, verdict, finding or sentence would not be upheld were the reference to be made,
  - (b) the Commission so consider—
    - (i) in the case of a conviction, verdict or finding, because of an argument, or evidence, not raised in the proceedings which led to it or on any appeal or application for leave to appeal against it, or
    - (ii) in the case of a sentence, because of an argument on a point of law, or information, not so raised, and
  - (c) an appeal against the conviction, verdict, finding or sentence has been determined or leave to appeal against it has been refused.
- (2) Nothing in subsection (1)(b)(i) or (c) shall prevent the making of a reference if it appears to the Commission that there are exceptional circumstances which justify making it.

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### Textual Amendments

**F5** Word in s. 13(1) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 3](#); [S.I. 2009/812](#), art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); [S.I. 2009/1167](#), art. 4

## 14 Further provisions about references.

- (1) A reference of a conviction, verdict, finding or sentence may be made under any of sections 9 to [F6]12B] either after an application has been made by or on behalf of the person to whom it relates or without an application having been so made.
- (2) In considering whether to make a reference of a conviction, verdict, finding or sentence under any of sections 9 to [F7]12B] the Commission shall have regard to—
  - (a) any application or representations made to the Commission by or on behalf of the person to whom it relates,
  - (b) any other representations made to the Commission in relation to it, and
  - (c) any other matters which appear to the Commission to be relevant.
- (3) In considering whether to make a reference under section [F8]9, 10 or 12A] the Commission may at any time refer any point on which they desire the assistance of the Court of Appeal [F9]or, as the case may be, of the Court Martial Appeal Court] to that Court for the Court's opinion on it; and on a reference under this subsection the [F10]court to which the reference is made] shall consider the point referred and furnish the Commission with the Court's opinion on the point.
- (4) Where the Commission make a reference under any of sections 9 to [F11]12B] the Commission shall—
  - (a) give to the court to which the reference is made a statement of the Commission's reasons for making the reference, and
  - (b) send a copy of the statement to every person who appears to the Commission to be likely to be a party to any proceedings on the appeal arising from the reference.
- [F12]4A) Subject to subsection (4B), where a reference under section [F13]9, 10 or 12A] is treated as an appeal against any conviction, verdict, finding or sentence, the appeal may not be on any ground which is not related to any reason given by the Commission for making the reference.
- 4B) The Court of Appeal [F14]or, as the case may be, the Court Martial Appeal Court] may give leave for an appeal mentioned in subsection (4A) to be on a ground relating to the conviction, verdict, finding or sentence which is not related to any reason given by the Commission for making the reference.]
- (5) Where a reference under [F15]section][F16]11, 12 or 12B] is treated as an appeal against any conviction, verdict, finding or sentence, the appeal may be on any ground relating to the conviction, verdict, finding or sentence (whether or not the ground is related to any reason given by the Commission for making the reference).
- (6) In every case in which—
  - (a) an application has been made to the Commission by or on behalf of any person for the reference under any of sections 9 to [F17]12B] of any conviction, verdict, finding or sentence, but



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- (b) the Commission decide not to make a reference of the conviction, verdict, finding or sentence,  
the Commission shall give a statement of the reasons for their decision to the person who made the application.

#### Textual Amendments

- F6** Word in s. 14(1) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(2\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F7** Word in s. 14(2) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(3\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F8** Words in s. 14(3) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(4\)\(a\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F9** Words in s. 14(3) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(4\)\(b\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F10** Words in s. 14(3) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(4\)\(c\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F11** Word in s. 14(4) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(5\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F12** S. 14(4A)(4B) inserted (4.4.2005) by [Criminal Justice Act 2003 \(c. 44\)](#), [ss. 315\(2\)](#), 336(3)(4); S.I. 2005/950, art. 2(1), [Sch. 1 para. 25](#) (with [Sch. 2](#)) (as explained (29.7.2005) by S.I. 2005/2122, art. 2; and as amended: (14.7.2008) by 2008 c. 4, [Sch. 26 para. 78](#), [Sch. 28 Pt. 2](#); S.I. 2008/1586, [Sch. 1 paras. 48\(s\)](#), 50(2)(d); (30.11.2009) by S.I. 2009/3111, art. 2; (3.12.2012) by S.I. 2012/2905, art. 4; (3.12.2012) by 2012 c. 10, [Sch. 14 para. 17](#); S.I. 2012/2906, art. 2(l))
- F13** Words in s. 14(4A) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(6\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F14** Words in s. 14(4B) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(7\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F15** Words in s. 14(5) substituted (4.4.2005) by [Criminal Justice Act 2003 \(c. 44\)](#), [ss. 315\(3\)](#), 336(3)(4); S.I. 2005/950, art. 2(1), [Sch. 1 para. 25](#) (with [Sch. 2](#)) (as explained (29.7.2005) by S.I. 2005/2122, art. 2; and as amended: (14.7.2008) by 2008 c. 4, [Sch. 26 para. 78](#), [Sch. 28 Pt. 2](#); S.I. 2008/1586, [Sch. 1 paras. 48\(s\)](#), 50(2)(d); (30.11.2009) by S.I. 2009/3111, art. 2; (3.12.2012) by S.I. 2012/2905, art. 4; (3.12.2012) by 2012 c. 10, [Sch. 14 para. 17](#); S.I. 2012/2906, art. 2(l))
- F16** Words in s. 14(5) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(8\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F17** Word in s. 14(6) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 4\(9\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4

#### Modifications etc. (not altering text)

- C4** S. 14 extended (27.7.1999) by 1999 c. 25, [s. 1\(3\)](#)

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*Changes to legislation: There are currently no known outstanding effects for the Criminal Appeal Act 1995, Part II. (See end of Document for details)*

### *Investigations and assistance*

## **15 Investigations for Court of Appeal <sup>F18</sup> and Court Martial Appeal Court].**

- (1) Where a direction <sup>F19</sup>(a relevant direction) is given by the Court of Appeal under section 23A(1) of the 1968 Act or section 25A(1) of the 1980 Act <sup>F20</sup>or by the Court Martial Appeal Court under section 29A(1) of the Court Martial Appeals Act,] the Commission shall investigate the matter specified in the direction in such manner as the Commission think fit.
- (2) Where, in investigating a matter specified in such a direction, it appears to the Commission that—
  - (a) another matter (a “related matter”) which is relevant to the determination of the <sup>F21</sup>appeal or application for leave to appeal] by the <sup>F22</sup>relevant Court] ought, if possible, to be resolved before the <sup>F21</sup>appeal or application for leave to appeal] is determined by that Court, and
  - (b) an investigation of the related matter is likely to result in the Court’s being able to resolve it,
 the Commission may also investigate the related matter.
- (3) The Commission shall—
  - (a) keep the <sup>F23</sup>relevant Court] informed as to the progress of the investigation of any matter specified in a <sup>F24</sup>relevant direction], and
  - (b) if they decide to investigate any related matter, notify the <sup>F25</sup>relevant Court] of their decision and keep the Court informed as to the progress of the investigation.
- (4) The Commission shall <sup>F26</sup>report to the relevant Court on the investigation of any matter specified in a relevant direction] when—
  - (a) they complete the investigation of that matter and of any related matter investigated by them, or
  - (b) they are directed to do so by the <sup>F27</sup>relevant Court],
 whichever happens first.
- (5) A report under subsection (4) shall include details of any inquiries made by or for the Commission in the investigation of the matter specified in the direction or any related matter investigated by them.
- (6) Such a report shall be accompanied—
  - (a) by any statements and opinions received by the Commission in the investigation of the matter specified in the direction or any related matter investigated by them, and
  - (b) subject to subsection (7), by any reports so received.
- (7) Such a report need not be accompanied by any reports submitted to the Commission under section 20(6) by an investigating officer.
- <sup>F28</sup>(8) In this section “relevant Court”, in relation to a direction, means the court that gave the direction.]

*Status: Point in time view as at 27/03/2014.*

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### Textual Amendments

- F18** Words in s. 15 heading inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(2\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F19** Words in s. 15(1) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(3\)\(a\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F20** Words in s. 15(1) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(3\)\(b\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F21** Words in s. 15(2)(a) substituted (1.9.2004) by [Criminal Justice Act 2003 \(c. 44\)](#), s. 336(3)(4), [Sch. 36 para. 97](#); S.I. 2004/1629, art. 3(1)(2)(g)
- F22** Words in s. 15(2) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(4\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F23** Words in s. 15(3)(a) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(5\)\(a\)\(i\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F24** Words in s. 15(3)(a) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(5\)\(a\)\(ii\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F25** Words in s. 15(3)(b) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(5\)\(b\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F26** Words in s. 15(4) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(6\)\(a\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F27** Words in s. 15(4) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(6\)\(b\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4
- F28** S. 15(8) added (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 5\(7\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#)); S.I. 2009/1167, art. 4

## 16 Assistance in connection with prerogative of mercy.

- (1) Where the Secretary of State refers to the Commission any matter which arises in the consideration of whether to recommend the exercise of Her Majesty's prerogative of mercy in relation to a conviction and on which he desires their assistance, the Commission shall—
- consider the matter referred, and
  - give to the Secretary of State a statement of their conclusions on it;
- and the Secretary of State shall, in considering whether so to recommend, treat the Commission's statement as conclusive of the matter referred.
- (2) Where in any case the Commission are of the opinion that the Secretary of State should consider whether to recommend the exercise of Her Majesty's prerogative of mercy in relation to the case they shall give him the reasons for their opinion.

[<sup>F29</sup>(2A) Where the Minister in charge of the Department of Justice in Northern Ireland refers to the Commission any matter which arises in the consideration of whether Her Majesty's

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prerogative of mercy should be exercised on Her behalf under section 23(2) of the Northern Ireland Act 1998 in relation to a conviction and on which the Minister desires the Commission's assistance, the Commission shall—

- (a) consider the matter referred, and
- (b) give to the Minister a statement of their conclusions on it;

and for the purposes of the consideration of whether Her Majesty's prerogative of mercy should be so exercised, the Commission's statement shall be treated as conclusive of the matter referred.

(2B) Where in any case the Commission are of the opinion that Her Majesty's prerogative of mercy should be so exercised, they shall give the Minister reasons for their opinion.]

[<sup>F30</sup>(3) In subsection (1) "conviction" includes a conviction by the Court Martial or the Service Civilian Court, and in subsection (2) "case" includes the case of such a conviction.]

#### **Textual Amendments**

**F29** S. 16(2A)(2B) inserted (12.4.2010) by [The Northern Ireland Act 1998 \(Devolution of Policing and Justice Functions\) Order 2010 \(S.I. 2010/976\)](#), art. 1(2), **Sch. 6 para. 6(2)** (with arts. 28-31, Sch. 6 para. 6(3))

**F30** S. 16(3) added (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), **Sch. 11 para. 6**; [S.I. 2009/812](#), art. 3(a)(b) (with transitional provisions in [S.I. 2009/1059](#); [S.I. 2009/1167](#), art. 4

### *Supplementary powers*

#### **17 Power to obtain documents etc.**

- (1) This section applies where the Commission believe that a person serving in a public body has possession or control of a document or other material which may assist the Commission in the exercise of any of their functions.
- (2) Where it is reasonable to do so, the Commission may require the person who is the appropriate person in relation to the public body—
  - (a) to produce the document or other material to the Commission or to give the Commission access to it, and
  - (b) to allow the Commission to take away the document or other material or to make and take away a copy of it in such form as they think appropriate,
 and may direct that person that the document or other material must not be destroyed, damaged or altered before the direction is withdrawn by the Commission.
- (3) The documents and other material covered by this section include, in particular, any document or other material obtained or created during any investigation or proceedings relating to—
  - (a) the case in relation to which the Commission's function is being or may be exercised, or
  - (b) any other case which may be in any way connected with that case (whether or not any function of the Commission could be exercised in relation to that other case).

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- (4) The duty to comply with a requirement under this section is not affected by any obligation of secrecy or other limitation on disclosure (including any such obligation or limitation imposed by or by virtue of an enactment) which would otherwise prevent the production of the document or other material to the Commission or the giving of access to it to the Commission.

## **18 Government documents etc. relating to current or old cases.**

- (1) Section 17 does not apply to any document or other material in the possession or control of a person serving in a government department if the document or other material—
- (a) is relevant to a case to which this subsection applies, and
  - (b) is in the possession or control of the person in consequence of the Secretary of State's consideration of the case.
- (2) Subsection (1) applies to a case if the Secretary of State—
- (a) is, immediately before the day on which the repeal by this Act of section 17 of the 1968 Act or of section 14 of the 1980 Act comes into force, considering the case with a view to deciding whether to make a reference under that section or whether to recommend the exercise of Her Majesty's prerogative of mercy in relation to a conviction by a magistrates' court, or
  - (b) has at any earlier time considered the case with a view to deciding whether to make such a reference or whether so to recommend [<sup>F31</sup>or
  - (c) is considering the case, or has at any earlier time considered the case, with a view to deciding whether to make a reference under section 34 of the Court Martial Appeals Act or whether to recommend the exercise of Her Majesty's prerogative of mercy in relation to a conviction by the Court Martial or the Service Civilian Court].
- (3) The Secretary of State shall give to the Commission any document or other material which—
- (a) contains representations made to him in relation to any case to which this subsection applies, or
  - (b) was received by him in connection with any such case otherwise than from a person serving in a government department,
- and may give to the Commission any document or other material which is relevant to any such case but does not fall within paragraph (a) or (b).
- (4) Subsection (3) applies to a case if—
- (a) the Secretary of State is, immediately before the day on which the repeal by this Act of section 17 of the 1968 Act or of section 14 of the 1980 Act comes into force, considering the case with a view to deciding whether to make a reference under that section or whether to recommend the exercise of Her Majesty's prerogative of mercy in relation to a conviction by a magistrates' court, or
  - (b) the Secretary of State has at any earlier time considered the case with a view to deciding whether to make such a reference, or whether so to recommend, and the Commission at any time notify him that they wish subsection (3) to apply to the case.

- [<sup>F32</sup>(5) The Secretary of State shall, if required by the Commission to do so, give to the Commission any document or other material which—

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- (a) contains representations made to him in relation to any case to which this subsection applies, or
  - (b) was received by him in connection with any such case otherwise than from a person serving in a government department,
- and may give to the Commission any document or other material which is relevant to any such case but does not fall within paragraph (a) or (b).
- (6) Subsection (5) applies to a case if the Secretary of State is considering the case, or has at any earlier time considered the case, as mentioned in subsection (2)(c).]

#### Textual Amendments

- F31** S. 18(2)(c) and preceding word added (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 7\(2\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F32** S. 18(5)(6) added (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 7\(3\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4

## 19 Power to require appointment of investigating officers.

- (1) Where the Commission believe that inquiries should be made for assisting them in the exercise of any of their functions in relation to any case they may require the appointment of an investigating officer to carry out the inquiries.
- (2) Where any offence to which the case relates was investigated by persons serving in a public body, a requirement under this section may be imposed—
  - (a) on the person who is the appropriate person in relation to the public body, or
  - (b) where the public body has ceased to exist, on any chief officer of police or on the person who is the appropriate person in relation to any public body which appears to the Commission to have functions which consist of or include functions similar to any of those of the public body which has ceased to exist.
- (3) Where no offence to which the case relates was investigated by persons serving in a public body, a requirement under this section may be imposed on any chief officer of police [<sup>F33</sup>or Provost Marshal].
- (4) A requirement under this section imposed on a chief officer of police may be—
  - (a) a requirement to appoint a person serving in the police force in relation to which he is the chief officer of police, or
  - (b) a requirement to appoint a person serving [<sup>F34</sup>either in another police force selected by the chief officer or in a service police force selected by him].
- [<sup>F35</sup>(4A) A requirement under this section imposed on a Provost Marshal may be—
  - (a) a requirement to appoint a person serving in the service police force in relation to which he is Provost Marshal, or
  - (b) a requirement to appoint a person serving either in a police force selected by the Provost Marshal or in another service police force selected by him.]
- (5) A requirement under this section [<sup>F36</sup>imposed otherwise than on a chief officer of police or a Provost Marshal may be]—

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- (a) a requirement to appoint a person serving in the public body [<sup>F37</sup>in relation to which the person on whom the requirement is imposed is the appropriate person], or
  - (b) a requirement to appoint a person serving in a [<sup>F38</sup>police force or service police force, or in a public body (other than such a force) having] functions which consist of or include the investigation of offences, selected by the appropriate person.
- (6) The Commission may direct—
- (a) that a person shall not be appointed, or
  - (b) that a police force [<sup>F39</sup>or service police force] or other public body shall not be selected,
- under subsection (4)[<sup>F40</sup>, (4A)] or (5) without the approval of the Commission.
- (7) Where an appointment is made under this section by the person who is the appropriate person in relation to any public body, that person shall inform the Commission of the appointment; and if the Commission are not satisfied with the person appointed they may direct that—
- (a) the person who is the appropriate person in relation to the public body shall, as soon as is reasonably practicable, select another person in his place and notify the Commission of the proposal to appoint the other person, and
  - (b) the other person shall not be appointed without the approval of the Commission.

#### Textual Amendments

- F33** Words in s. 19(3) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(2\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F34** Words in s. 19(4)(b) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(3\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F35** S. 19(4A) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(4\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F36** Words in s. 19(5) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(5\)\(a\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F37** Words in s. 19(5)(a) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(5\)\(b\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F38** Words in s. 19(5)(b) substituted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(5\)\(c\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F39** Words in s. 19(6) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(6\)\(a\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F40** Words in s. 19(6) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by [Armed Forces Act 2006 \(c. 52\)](#), s. 383(2), [Sch. 11 para. 8\(6\)\(b\)](#); S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4

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## **20 Inquiries by investigating officers.**

- (1) A person appointed as the investigating officer in relation to a case shall undertake such inquiries as the Commission may from time to time reasonably direct him to undertake in relation to the case.
- (2) A person appointed as an investigating officer shall be permitted to act as such by the person who is the appropriate person in relation to the public body in which he is serving.
- (3) Where the chief officer of an England and Wales police force appoints a member of the Royal Ulster Constabulary as an investigating officer, the member appointed shall have in England and Wales the same powers and privileges as a member of the police force has there as a constable; and where the Chief Constable of the Royal Ulster Constabulary appoints a member of an England and Wales police force as an investigating officer, the member appointed shall have in Northern Ireland the same powers and privileges as a member of the Royal Ulster Constabulary has there as a constable.
- (4) The Commission may take any steps which they consider appropriate for supervising the undertaking of inquiries by an investigating officer.
- (5) The Commission may at any time direct that a person appointed as the investigating officer in relation to a case shall cease to act as such; but the making of such a direction shall not prevent the Commission from imposing a requirement under section 19 to appoint another investigating officer in relation to the case.
- (6) When a person appointed as the investigating officer in relation to a case has completed the inquiries which he has been directed by the Commission to undertake in relation to the case, he shall—
  - (a) prepare a report of his findings,
  - (b) submit it to the Commission, and
  - (c) send a copy of it to the person by whom he was appointed.
- (7) When a person appointed as the investigating officer in relation to a case submits to the Commission a report of his findings he shall also submit to them any statements, opinions and reports received by him in connection with the inquiries which he was directed to undertake in relation to the case.

## **21 Other powers.**

Sections 17 to 20 are without prejudice to the taking by the Commission of any steps which they consider appropriate for assisting them in the exercise of any of their functions including, in particular—

- (a) undertaking, or arranging for others to undertake, inquiries, and
- (b) obtaining, or arranging for others to obtain, statements, opinions and reports.

## **22 Meaning of “public body” etc.**

- (1) In sections 17, 19 and 20 and this section “public body” means—
  - (a) any police force,
  - (b) any government department, local authority or other body constituted for purposes of the public service, local government or the administration of justice, or



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- (c) any other body whose members are appointed by Her Majesty, any Minister or any government department or whose revenues consist wholly or mainly of money provided by Parliament or appropriated by Measure of the Northern Ireland Assembly.
- (2) In sections 19 and 20 and this section—
- (a) “police force” includes the Royal Ulster Constabulary and the Royal Ulster Constabulary Reserve <sup>F41</sup>... and any body of constables maintained otherwise than by a [<sup>F42</sup>local policing body],
  - [<sup>F43</sup>(b) references to the chief officer of police—
    - (i) in relation to the [<sup>F44</sup>Police Service of Northern Ireland] and the [<sup>F44</sup>Police Service of Northern Ireland Reserve], are to the Chief Constable of the Constabulary, [<sup>F45</sup>and]
    - <sup>F46</sup>(ii) .....
    - (iii) in relation to any other police force maintained otherwise than by a [<sup>F47</sup>local policing body], are to the chief constable, [<sup>F48</sup>and]]
  - (c) references to an England and Wales police force are to a police force maintained under [<sup>F49</sup>section 2 of the Police Act 1996], the metropolitan police force [<sup>F50</sup>or the City of London police force].
  - <sup>F51</sup>(d) .....
  - <sup>F52</sup>(e) .....
- (3) In section 18 and this section—
- (a) references to a government department include a Northern Ireland department and the [<sup>F53</sup>Public Prosecution Service for Northern Ireland], and
  - (b) “Minister” means a Minister of the Crown as defined by section 8 of the Ministers of the <sup>M4</sup>Crown Act 1975 but also includes the head of a Northern Ireland department.
- (4) In sections 17, 19 and 20 “the appropriate person” means<sup>F54</sup>, subject to subsection (4B)]—
- (a) in relation to a police force, the chief officer of police,
  - [<sup>F55</sup>(aa) in relation to the [<sup>F56</sup>National Crime Agency], the Director General of that Agency,]
  - (b) in relation to the Crown Prosecution Service, the Director of Public Prosecutions,
  - (c) in relation to the [<sup>F57</sup>Public Prosecution Service for Northern Ireland, the Director of Public Prosecutions for Northern Ireland],
  - (d) in relation to the Serious Fraud Office, the Director of the Serious Fraud Office,
  - [<sup>F58</sup>(e) in relation to Her Majesty’s Revenue and Customs, the Commissioners for Her Majesty’s Revenue and Customs,]
  - <sup>F59</sup>(f) .....
  - (g) in relation to any government department not within any of the preceding paragraphs, the Minister in charge of the department, and
  - (h) in relation to any public body not within any of the preceding paragraphs, the public body itself (if it is a body corporate) or the person in charge of the public body (if it is not).

[<sup>F60</sup>(4A) Subsection (4B) applies in relation to—

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- (a) the Royal Navy, the Royal Marines, the Royal Fleet Reserve, the Royal Naval Reserve and the Royal Marines Reserve,
- (b) the regular army (within the meaning of the Armed Forces Act 2006), the Army Reserve and the Territorial Army, and
- (c) the Royal Air Force, the Royal Air Force Reserve and the Royal Auxiliary Air Force.

(4B) In relation to a public body mentioned in subsection (4A), “the appropriate person” means—

- (a) in section 17, the Secretary of State;
- (b) in sections 19 and 20—
  - (i) in the case of a body mentioned in subsection (4A)(a), the Provost Marshal for the Royal Navy Police,
  - (ii) in the case of a body mentioned in subsection (4A)(b), the Provost Marshal for the Royal Military Police,
  - (iii) in the case of a body mentioned in subsection (4A)(c), the Provost Marshal for the Royal Air Force Police.

(4C) In section 19 “service police force” has the same meaning as in the Armed Forces Act 2006.]

<sup>F61</sup>(5) .....

#### Textual Amendments

- F41** Words in s. 22(2)(a) repealed (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), Sch. 4 para. 63(2)(a), **Sch. 17 Pt. 2**; S.I. 2006/378, art. 4(1), Sch. paras. 1013(w)
- F42** Words in s. 22(2)(a) substituted (16.1.2012) by Police Reform and Social Responsibility Act 2011 (c. 13), s. 157(1), **Sch. 16 para. 218**; S.I. 2011/3019, art. 3, Sch. 1
- F43** S. 22(2)(b) substituted (1.4.1998) by 1997 c. 50, s. 134(1), **Sch. 9 para. 71(2)(b)**; S.I. 1998/354, art. **2(1)(2)(ay)**
- F44** Words in s. 22(2)(b)(i) substituted (4.11.2001) by 1997 c. 50, s. 134(1), **Sch. 9 para. 71(2)(b)** (as amended by 2000 c. 32, ss. 79(1), 78, **Sch. 6 para. 20(2)(a)(b)**; S.R. 2001/396, art. 2, **Sch.**)
- F45** Word in s. 22(b)(i) inserted (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), **Sch. 4 para. 63(2)(b)(i)**; S.I. 2006/378, art. 4(1), Sch. para. 10
- F46** S. 22(2)(b)(ii) repealed (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), Sch. 4 para. 63(2)(b)(ii), **Sch. 17 Pt. 2**; S.I. 2006/378, art. 4(1), Sch. paras. 1013(w)
- F47** Words in s. 22(2)(b)(iii) substituted (16.1.2012) by Police Reform and Social Responsibility Act 2011 (c. 13), s. 157(1), **Sch. 16 para. 218**; S.I. 2011/3019, art. 3, Sch. 1
- F48** Word in s. 22(2)(b)(iii) inserted (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), **Sch. 4 para. 63(2)(b)(iii)**; S.I. 2006/378, art. 4(1), Sch. para. 10
- F49** Words in s. 22(2)(c) substituted (22.8.1996) by 1996 c. 16, ss. 103, 104(1), **Sch. 7 Pt. II**, para. 47
- F50** Words in s. 22(2)(c) substituted (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), **Sch. 4 para. 63(2)(c)**; S.I. 2006/378, art. 4(1), Sch. para. 10
- F51** S. 22(2)(d) repealed (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), Sch. 4 para. 63(2)(d), **Sch. 17 Pt. 2**; S.I. 2006/378, art. 4(1), Sch. paras. 1013(w)
- F52** S. 22(2)(e) repealed (1.4.2006) by Serious Organised Crime and Police Act 2005 (c. 15), s. 178(8), Sch. 4 para. 63(2)(d), **Sch. 17 Pt. 2**; S.I. 2006/378, art. 4(1), Sch. paras. 1013(w)
- F53** Words in s. 22(3)(a) substituted (13.6.2005) by Justice (Northern Ireland) Act 2002 (c. 26), s. 87(1), **Sch. 12 para. 49(2)**; S.R. 2005/281, art. 2(1), Sch. 1 para. 13(d)

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- F54** Words in s. 22(4) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by **Armed Forces Act 2006 (c. 52)**, s. 383(2), **Sch. 11 para. 9(2)**; S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F55** S. 22(4)(aa) substituted (1.4.2006) by **Serious Organised Crime and Police Act 2005 (c. 15)**, s. 178(8), **Sch. 4 para. 63(3)**; S.I. 2006/378, art. 4(1), Sch. para. 10
- F56** Words in s. 22(4)(aa) substituted (7.10.2013) by **Crime and Courts Act 2013 (c. 22)**, s. 61(2), **Sch. 8 para. 186**; S.I. 2013/1682, art. 3(v)
- F57** Words in s. 22(4)(c) substituted (13.6.2005) by **Justice (Northern Ireland) Act 2002 (c. 26)**, s. 87(1), **Sch. 12 para. 49(3)**; S.R. 2005/281, art. 2(1), Sch. 1 para. 13(d)
- F58** S. 22(4)(e)(f) substituted (18.4.2005) by **Commissioners for Revenue and Customs Act 2005 (c. 11)**, s. 53(1), **Sch. 4 para. 62**; S.I. 2005/1126, art. 2(2)(h)
- F59** S. 22(4)(f) omitted (27.3.2014) by virtue of **The Public Bodies (Merger of the Director of Public Prosecutions and the Director of Revenue and Customs Prosecutions) Order 2014 (S.I. 2014/834)**, art. 1(1), **Sch. 2 para. 10**
- F60** S. 22(4A)-(4C) inserted (28.3.2009 for specified purposes, 31.10.2009 in so far as not already in force) by **Armed Forces Act 2006 (c. 52)**, s. 383(2), **Sch. 11 para. 9(3)**; S.I. 2009/812, art. 3(a)(b) (with transitional provisions in S.I. 2009/1059); S.I. 2009/1167, art. 4
- F61** S. 22(5) repealed (1.4.2005) by **Courts Act 2003 (c. 39)**, s. 110(1), Sch. 8 para. 367, **Sch. 10**; S.I. 2005/910, art. 3(y)

#### Marginal Citations

**M4** 1975 c. 26.

### *Disclosure of information*

#### **23 Offence of disclosure.**

- (1) A person who is or has been a member or employee of the Commission shall not disclose any information obtained by the Commission in the exercise of any of their functions unless the disclosure of the information is excepted from this section by section 24.
- (2) A person who is or has been an investigating officer shall not disclose any information obtained by him in his inquiries unless the disclosure of the information is excepted from this section by section 24.
- (3) A member of the Commission shall not authorise—
  - (a) the disclosure by an employee of the Commission of any information obtained by the Commission in the exercise of any of their functions, or
  - (b) the disclosure by an investigating officer of any information obtained by him in his inquiries,unless the authorisation of the disclosure of the information is excepted from this section by section 24.
- (4) A person who contravenes this section is guilty of an offence and liable on summary conviction to a fine of an amount not exceeding level 5 on the standard scale.

#### **24 Exceptions from obligations of non-disclosure.**

- (1) The disclosure of information, or the authorisation of the disclosure of information, is excepted from section 23 by this section if the information is disclosed, or is authorised to be disclosed—

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- (a) for the purposes of any criminal, disciplinary or civil proceedings,
  - (b) in order to assist in dealing with an application made to the Secretary of State [F62 or the Department of Justice in Northern Ireland] for compensation for a miscarriage of justice,
  - (c) by a person who is a member or an employee of the Commission either to another person who is a member or an employee of the Commission or to an investigating officer,
  - (d) by an investigating officer to a member or an employee of the Commission,
  - (e) in any statement or report required by this Act,
  - (f) in or in connection with the exercise of any function under this Act, or
  - (g) in any circumstances in which the disclosure of information is permitted by an order made by the Secretary of State.
- (2) The disclosure of information is also excepted from section 23 by this section if the information is disclosed by an employee of the Commission, or an investigating officer, who is authorised to disclose the information by a member of the Commission.
- (3) The disclosure of information, or the authorisation of the disclosure of information, is also excepted from section 23 by this section if the information is disclosed, or is authorised to be disclosed, for the purposes of—
- (a) the investigation of an offence, or
  - (b) deciding whether to prosecute a person for an offence,
- unless the disclosure is or would be prevented by an obligation of secrecy or other limitation on disclosure (including any such obligation or limitation imposed by or by virtue of an enactment) arising otherwise than under that section.
- (4) Where the disclosure of information is excepted from section 23 by subsection (1) or (2), the disclosure of the information is not prevented by any obligation of secrecy or other limitation on disclosure (including any such obligation or limitation imposed by or by virtue of an enactment) arising otherwise than under that section.
- (5) The power to make an order under subsection (1)(g) is exercisable by statutory instrument which shall be subject to annulment in pursuance of a resolution of either House of Parliament.

#### **Textual Amendments**

**F62** Words in s. 24(1)(b) inserted (12.4.2010) by [The Northern Ireland Act 1998 \(Devolution of Policing and Justice Functions\) Order 2010 \(S.I. 2010/976\)](#), art. 1(2), **Sch. 6 para. 7** (with arts. 28-31)

## **25 Consent to disclosure.**

- (1) Where a person on whom a requirement is imposed under section 17 notifies the Commission that any information contained in any document or other material to which the requirement relates is not to be disclosed by the Commission without his prior consent, the Commission shall not disclose the information without such consent.
- (2) Such consent may not be withheld unless—
- (a) (apart from section 17) the person would have been prevented by any obligation of secrecy or other limitation on disclosure from disclosing the information to the Commission, and

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- (b) it is reasonable for the person to withhold his consent to disclosure of the information by the Commission.
- (3) An obligation of secrecy or other limitation on disclosure which applies to a person only where disclosure is not authorised by another person shall not be taken for the purposes of subsection (2)(a) to prevent the disclosure by the person of information to the Commission unless—
- (a) reasonable steps have been taken to obtain the authorisation of the other person, or
  - (b) such authorisation could not reasonably be expected to be obtained.

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**Changes to legislation:**

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