



# Education Act 1996

## 1996 CHAPTER 56

### PART VII

#### INDEPENDENT SCHOOLS

#### CHAPTER II

##### REGISTRATION OF INDEPENDENT SCHOOLS

##### *Registration*

#### **464 Separate registration for England and for Wales.**

- (1) A register of all independent schools in England shall be kept by an officer of the Secretary of State who is appointed by the Secretary of State to be Registrar of Independent Schools for England.
- (2) A register of all independent schools in Wales shall be kept by an officer of the Secretary of State who is appointed by the Secretary of State to be Registrar of Independent Schools for Wales.
- (3) Each register shall be open to public inspection at all reasonable times.
- (4) In this Part “the Registrar of Independent Schools” (or “the Registrar”) means—
  - (a) in relation to a school in England, the Registrar of Independent Schools for England, and
  - (b) in relation to a school in Wales, the Registrar of Independent Schools for Wales,

and references, in relation to a school, to the register or to registration are to the register kept by the relevant Registrar or to registration in that register.

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### **465 Provisional and final registration of a school.**

- (1) Subject to subsection (2), the Registrar of Independent Schools shall enter in the register the name of any independent school whose proprietor—
  - (a) makes an application for registration in such manner as may be prescribed, and
  - (b) provides such particulars as may be prescribed.
- (2) A school shall not be registered if—
  - (a) by virtue of an order made under section 470 or 471, the proprietor is disqualified from being the proprietor of an independent school or the school premises are disqualified from being used as a school, or
  - (b) the school premises are used or proposed to be used for any purpose for which they are disqualified by virtue of such an order.
- (3) The registration of a school shall initially be provisional only, and shall remain so until such time as the Secretary of State, after the school has been inspected on his behalf under Part I of the <sup>M1</sup>School Inspections Act 1996, gives notice to the proprietor that the registration is final.
- (4) In this Part—
 

“provisionally registered school” means an independent school whose registration is provisional only, and

“registered school” means an independent school whose registration is final.
- (5) In this section “proprietor”, in relation to a school, includes any person or body of persons proposing to be the proprietor.

#### **Marginal Citations**

**M1** 1996 c. 57.

#### **466 Enforcement of registration: offences.**

- (1) Subject to subsection (2), a person is guilty of an offence if he conducts an independent school which is not a registered school or a provisionally registered school.
- (2) A person is not guilty of an offence under subsection (1) by reason of conducting a school at any time within the period of one month from the date on which it was first conducted (whether by that person or another) if an application for the registration of the school has been duly made under section 465 within that period.
- (3) The proprietor of an independent school is guilty of an offence if, while it is a provisionally registered school, he does any act calculated to lead to the belief that it is a registered school.

#### **467 Provision of information about registered and provisionally registered schools.**

- (1) Regulations may make provision for requiring the proprietor of a registered or provisionally registered school to provide the Registrar of Independent Schools from time to time with such particulars relating to the school as may be prescribed.

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (2) Regulations made under this section may in particular require the proprietor of a school to furnish the Registrar with such information as is required by the local authority for the purpose of determining whether the school is a children's home (within the meaning of the <sup>F1</sup>Care Standards Act 2000).
- (3) Regulations may make provision for enabling the Secretary of State to order the deletion from the register of the name of any school in respect of which any requirement imposed by or under regulations made under this section is not complied with.
- (4) Subsection (9) of section 537 (general power of Secretary of State to require information from governing bodies etc.) confers power on the Secretary of State to make similar provision in relation to non-compliance with any requirement imposed by or under regulations under that section.

#### Textual Amendments

- F1** Words in s. 467(2) substituted (1.4.2002) by 2000 c. 14, ss. 116, 122, Sch. 4 para. 24(2); S.I. 2001/4150, art. 3(3)(a) (subject to art. 4); S.I. 2002/920, art. 3(3)(d) (subject to art. 3(2), Schs. 1-3 and with art. 3(4)-(10))

#### 468 School may be struck off for contravention of regulations about employment of teachers.

Where the Secretary of State is satisfied that a person whose employment is prohibited or restricted by virtue of regulations under section 218(6) of the <sup>M2</sup>Education Reform Act 1988 (employment prohibited or restricted on medical grounds or for misconduct etc.)—

- (a) is employed in a registered or provisionally registered school in contravention of those regulations, or
- (b) is the proprietor of such a school,

he may order that the school be struck off the register or (as the case may be) that the Registrar is not to register the school.

<sup>F2</sup>(2) Where the Secretary of State is satisfied that a person who is included (otherwise than provisionally) in the list kept under section 1 of the <sup>M3</sup>Protection of Children Act 1999 (individuals considered unsuitable to work with children) or is subject to an order under section 28 or 29 of the Criminal Justice and Court Services Act 2000 (disqualification from working with children)—

- (a) is employed in a registered or provisionally registered school, or
- (b) is the proprietor of such a school,

he may order that the school be struck off the register or (as the case may be) that the Registrar is not to register the school.]

#### Textual Amendments

- F2** S. 468(2) inserted (11.1.2001) by 2000 c. 43, s. 74, Sch. 7 Pt. II para. 128; S.I. 2000/3302, art. 2(b)

#### Marginal Citations

- M2** 1988 c. 40.

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

**M3** 1999 c. 14.

*Complaints about registered and provisionally registered schools*

**469 Notice of complaint by Secretary of State.**

- (1) This section applies where the Secretary of State is satisfied that one or more of the following grounds of complaint apply in relation to a registered or provisionally registered school—
  - (a) the school premises or any parts of them are unsuitable for a school;
  - (b) the accommodation provided at the school premises is inadequate or unsuitable having regard to the number, ages, and sex of the pupils attending the school;
  - (c) efficient and suitable instruction is not being provided at the school having regard to the ages and sex of the pupils attending it;
  - [<sup>F3</sup>(d) the proprietor of the school or any teacher or other employee employed in the school—
    - (i) is unsuitable to work with children; or
    - (ii) is for any other reason not a proper person to be the proprietor of an independent school or (as the case may be) to be a teacher or other employee in any school;]
  - (e) there has been a failure, in relation to a child provided with accommodation by the school, to comply with the duty imposed by section 87 of the <sup>M4</sup>Children Act 1989 (welfare of children accommodated in independent schools).
- (2) The Secretary of State shall serve on the proprietor of the school a notice of complaint stating the grounds of complaint which apply together with full particulars of the matters complained of.
- (3) Unless any of those matters are stated in the notice to be in the opinion of the Secretary of State irremediable, the notice shall specify—
  - (a) the measures necessary in the opinion of the Secretary of State to remedy those matters, and
  - (b) the time, not being less than six months after the service of the notice, within which those measures are required to be taken.
- (4) If it is alleged by the notice that a person employed as a teacher or other employee at the school is [<sup>F4</sup>unsuitable to work with children or is for any other reason] not a proper person to be a teacher or other employee in any school—
  - (a) that person shall be named in the notice,
  - (b) the particulars given in the notice shall specify the grounds of the allegation, and
  - (c) a copy of the notice shall be served on him.
- (5) Any notice of complaint, or copy of a notice of complaint, served under this section shall limit the time, not being less than one month after the service of the notice or copy, within which the complaint may be referred to an Independent Schools Tribunal under section 470.

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (6) In this section and sections 470 to 473 “employee” means a person employed in work which brings him regularly into contact with persons who have not attained the age of 19.

#### Textual Amendments

- F3** S. 469(1)(d) substituted (2.10.2000) by 2000 c. 14, s. 100(1); S.I. 2000/2544, art. 2(2)(d)  
**F4** Words in s. 469(4) inserted (1. 4.2002) by 2000 c. 14, ss. 116, 122, Sch. 4 para. 24(3); S. I. 2002/920, {art. 2, 3(3)(d)} (subject to savings and transitional provisions in Schs. 1-3)

#### Marginal Citations

- M4** 1989 c. 41.

### 470 Determination of complaint by an Independent Schools Tribunal.

- (1) Any person on whom a notice of complaint or copy of a notice of complaint is served under section 469 may, within the time limited by the notice or copy, appeal against the notice by referring the complaint to an Independent Schools Tribunal.
- (2) On the complaint being so referred, the tribunal, after giving all parties concerned an opportunity of being heard and after considering such evidence as may be tendered by them or on their behalf, may—
- (a) order that the complaint be annulled;
  - (b) order that the school to which the complaint relates be struck off the register;
  - (c) order that the school be so struck off unless the requirements of the notice (subject to such modifications, if any, as may be specified in the order) are complied with to the satisfaction of the Secretary of State before the expiry of such time as may be specified in the order;
  - (d) if satisfied—
    - (i) that any premises alleged by the notice to be unsuitable for use as a school are in fact unsuitable for such use, or
    - (ii) that any part of such premises is in fact unsuitable for such use, by order disqualify the premises, or that part, from being so used;
  - (e) if satisfied that the accommodation provided at the school premises is inadequate or unsuitable having regard to the number, ages and sex of the pupils attending the school, by order disqualify the premises from being used as a school for pupils exceeding such number or of such age or sex as may be specified in the order;
  - [<sup>F5</sup>(f) if satisfied that any person alleged by the notice of complaint to be a person who—
    - (i) is unsuitable to work with children; or
    - (ii) is for any other reason not a proper person to be the proprietor of an independent school or to be a teacher or other employee in any school, is in fact such a person, by order disqualify that person from being the proprietor of any independent school or (as the case may be) from being a teacher or other employee in any school.]

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

#### Textual Amendments

**F5** S. 470(2)(f) substituted (2.10.2000) by 2000 c. 14, s. 100(2); S.I. 2000/2544, art. 2(2)(d)

### 471 Determination of complaint by Secretary of State.

(1) Where—

- (a) a notice of complaint has been served on the proprietor of a school under section 469, and
- (b) the complaint is not referred by him to an Independent Schools Tribunal under section 470 within the time limited by the notice,

the Secretary of State may (subject to subsection (2)) make any order which such a tribunal would have had power to make if the complaint had been so referred.

(2) If—

- (a) it is alleged by the notice that a person employed as a teacher or other employee at the school is [<sup>F6</sup>unsuitable to work with children or is for any other reason] not a proper person to be a teacher or other employee in any school, and
- (b) that person has, within the time limited by the copy of the notice served on him, referred the complaint to an Independent Schools Tribunal under section 470,

the Secretary of State may not make an order disqualifying him from being a teacher or other employee in any school.

#### Textual Amendments

**F6** Words in s. 471(2)(a) inserted (1.4.2002) by 2000 c. 14, ss. 116, 122, Sch. 4 para. 24(4); S. I. 2002/920, {arts. 2, 3(3)(d)} (subject to savings and transitional provisions in Schs. 1-3)

### 472 Effect of personal disqualification.

Where, by virtue of an order made—

- (a) by an Independent Schools Tribunal under section 470, or
- (b) by the Secretary of State under section 471,

a person is disqualified either from being the proprietor of an independent school or from being a teacher or other employee in any school, then (unless the order otherwise directs) he shall by virtue of the order be disqualified both from being the proprietor of an independent school and from being a teacher or other employee in any school.

### 473 Enforcement of disqualification.

(1) A person is guilty of an offence if he uses any premises for purposes for which they are disqualified by virtue of an order made under section 470 or 471.

(2) A person is guilty of an offence if he—

- (a) acts as the proprietor of an independent school, or
- (b) accepts or endeavours to obtain employment as a teacher or other employee in any school,

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

while he is disqualified from so acting or from being so employed by virtue of an order made under section 470 or 471.

**[<sup>F7</sup>473A Removal of disqualification: persons no longer unsuitable to work with children.**

- (1) Subject to section 473B, a person to whom this section applies may make an application under this section to the Tribunal.
- (2) This section applies to any person who is disqualified, by an order made under section 470 or 471 on the grounds that he is unsuitable to work with children—
  - (a) from being the proprietor of any independent school; or
  - (b) from being a teacher or other employee in any school.
- (3) On an application under this section the Tribunal shall determine whether or not the individual shall continue to be subject to the order.
- (4) If the Tribunal is satisfied that the individual is no longer unsuitable to work with children, it shall direct that the order shall cease to have effect; otherwise it shall dismiss the application.
- (5) In this section and section 473B, “the Tribunal” means the tribunal established by section 9 of the <sup>M5</sup>Protection of Children Act 1999.]

**Textual Amendments**

**F7** Ss. 473A, 473B inserted (11.1.2001) by 2000 c. 43, s. 74, **Sch. 7 Pt. II para. 129**; S.I. 2000/3302, **art. 2(b)**

**Marginal Citations**

**M5** 1999 c. 14.

**[<sup>F8</sup>473B Conditions for application under section 473A.**

- (1) A person may only make an application under section 473A with the leave of the Tribunal.
- (2) An application for leave under this section may not be made unless the appropriate conditions are satisfied in the person’s case.
- (3) In the case of a person who was a child when the order was made, the appropriate conditions are satisfied if—
  - (a) at least five years have elapsed since the order was made; and
  - (b) in the period of five years ending with the time when he makes the application under this section, he has made no other such application.
- (4) In the case of any other person, the appropriate conditions are satisfied if—
  - (a) at least ten years have elapsed since the order was made; and
  - (b) in the period of ten years ending with the time when he makes the application under this section, he has made no other such application.
- (5) The Tribunal shall not grant an application under this section unless it considers—
  - (a) that the person’s circumstances have changed since the order was made, or, as the case may be, since he last made an application under this section; and

*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (b) that the change is such that leave should be granted.

**Textual Amendments**

**F8** Ss. 473A, 473B inserted (11.1.2001) by 2000 c. 43, s. 74, **Sch. 7 Pt. II para. 129**; S.I. 2000/3302, art. 2(b)

**474** [<sup>F9</sup>**Removal of disqualification: other cases**].

- (1) If on the application of any person the Secretary of State is satisfied that any disqualification imposed by an order made under section 470 or 471 is, by reason of any change of circumstances, no longer necessary, he may by order remove the disqualification .

[<sup>F10</sup>But this subsection does not apply in relation to the disqualification of a person to whom section 473A applies.]

- (2) Any person who is aggrieved by the refusal of the Secretary of State to remove any such disqualification may appeal to an Independent Schools Tribunal within such time after the refusal has been communicated to him as may be limited by rules made under section 476.

**Textual Amendments**

**F9** S. 474: sidenote substituted (11.1.2001) by 2000 c. 43, s. 74, **Sch. 7 Pt. II para. 130(b)**; S.I. 2000/3302, **art. 2(b)**

**F10** Words inserted (11.1.2001) at the end of s. 474(1) by 2000 c. 43, s. 74, **Sch. 7 Pt. II para. 130(a)**; S.I. 2000/3302, **art. 2(b)**

**475** **Duty of Registrar to comply with order for the deletion of a school from the register.**

Where an order directing that a school be struck off the register is made—

- (a) by the Secretary of State under section 468 or 471, or  
 (b) by an Independent Schools Tribunal under section 470,

the Registrar of Independent Schools shall strike the school off the register as from the date on which the direction takes effect.

*Independent Schools Tribunals*

**476** **Constitution and proceedings of Independent Schools Tribunals.**

- (1) Schedule 34 has effect in relation to the (1) constitution of Independent Schools Tribunals and the remuneration of their members.
- (2) The Lord Chancellor may, with the concurrence of the Lord President of the Council, make rules as to—
- (a) the practice and procedure to be followed with respect to the constitution of Independent Schools Tribunals;
- (b) the manner of making appeals to such tribunals; and



*Status: Point in time view as at 01/04/2002.*

*Changes to legislation: Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (c) proceedings before such tribunals and matters incidental to or consequential on such proceedings.
- (3) The rules may, in particular, make provision—
  - (a) requiring such a tribunal to sit at such places as may be directed in accordance with the rules; and
  - (b) as to appearance before such tribunals by counsel or a solicitor.
- (4) Part I of the <sup>M6</sup>Arbitration Act 1996 shall not apply to any proceedings before an Independent Schools Tribunal, except so far as any provisions of that Act may be applied, with or without modifications, to such proceedings by the rules.
- (5) Every order of an Independent Schools Tribunal shall be registered by the Registrar of Independent Schools and shall be open to public inspection at all reasonable times.

**Marginal Citations**

**M6** 1996 c. 23.

*Supplementary*

**477 Disqualification in Scotland.**

For the purposes of this Part, except section 474, a person who is disqualified by an order made (or having effect as if made) under section 100 of the <sup>M7</sup>Education (Scotland) Act 1980—

- (a) from being the proprietor of an independent school within the meaning of that Act, or
- (b) from being a teacher in any school,

shall be taken to be disqualified from being the proprietor of an independent school within the meaning of this Act, or (as the case may be) from being a teacher in any school, by virtue of an order made under section 470 or 471.

**Marginal Citations**

**M7** 1980 c. 44.

**478 Offences: institution of proceedings and punishment.**

- (1) No proceedings shall be instituted for an offence under section 466 or 473 except by or on behalf of the Secretary of State.
- (2) A person guilty of an offence under section 466 or 473 is liable on summary conviction—
  - (a) to a fine not exceeding level 4 on the standard scale, or
  - (b) to imprisonment for a term not exceeding three months,or both.

**Status:**

Point in time view as at 01/04/2002.

**Changes to legislation:**

Education Act 1996, Chapter II is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.