Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

# SCHEDULES

### SCHEDULE 3

Section 12(1).

### DECISIONS AGAINST WHICH AN APPEAL LIES

### PART I

#### BENEFIT DECISIONS

# Entitlement to benefit without a claim

In such cases or circumstances as may be prescribed, a decision whether a person is entitled to a relevant benefit for which no claim is required.

#### **Commencement Information**

- I1 Sch. 3 para. 1 in force at 4.3.1999 for specified purposes by S.I. 1999/528, art. 2(a), Sch.
- I2 Sch. 3 para. 1 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), Sch. 1 (with art. 5, Sch. 12)
- I3 Sch. 3 para. 1 in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- I4 Sch. 3 para. 1 in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, Sch. 1 (with art. 3, Sch. 2)
- I5 Sch. 3 para. 1 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), Sch. 1 (with art. 4, Schs. 16-18)
- I6 Sch. 3 para. 1 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)
- 2 If so, a decision as to the amount to which he is entitled.

### **Commencement Information**

- I7 Sch. 3 para. 2 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), Sch. 1 (with art. 5, Sch. 12)
- 18 Sch. 3 para. 2 in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- 19 Sch. 3 para. 2 in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, Sch. 1 (with art. 3, Sch. 2)
- **I10** Sch. 3 para. 2 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), **Sch. 1** (with art. 4, Schs. 16-18)
- III Sch. 3 para. 2 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)

SCHEDULE 3 – Decisions against which an appeal lies Document Generated: 2024-09-16

#### Status: Point in time view as at 03/11/2008.

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

## Payability of benefit

- A decision whether a relevant benefit (or a component of a relevant benefit) to which a person is entitled is not payable by reason of—
  - (a) any provision of the Contributions and Benefits Act by which the person is disqualified for receiving benefit;
  - (b) regulations made under section 72(8) of that Act (disability living allowance);
  - (c) regulations made under section 113(2) of that Act (suspension of payment); or
  - (d) section 19 of the Jobseekers Act (jobseeker's allowance); F1...
  - $[^{F2}(e)]$  section 62 or 63 of the Child Support, Pensions and Social Security Act 2000];  $^{F3}$ ...
  - [F4(f) section 7, 8 or 9 of the Social Security Fraud Act 2001][F5; or
    - (g) section 18 of the Welfare Reform Act 2007].

#### **Textual Amendments**

- **F1** Word in Sch. 3 para. 3 repealed (30.4.2002) by Social Security Fraud Act 2001 (c. 11), s. 20(1), **Sch.**; S.I. 2002/1222, art. 2(i)
- F2 Sch. 3 para. 3(e) and preceding word inserted (15.10.2001 for specified purposes) by Child Support, Pensions and Social Security Act 2000 (c. 19), ss. 66, 86(1)(c)(2) (with s. 83(6)); S.I. 2001/2619, art. 2(1)(b)(v)(2)
- **F3** Word in Sch. 3 para. 3(e) repealed (27.10.2008) by Welfare Reform Act 2007 (c. 5), s. 70(1), **Sch. 8**; S.I. 2008/787, art. 2(4)(g)
- F4 Sch. 3 para. 3(f) and preceding word inserted (1.4.2002) by Social Security Fraud Act 2001 (c. 11), ss. 12(2), 20(1); S.I. 2001/3689, art. 2(2)
- F5 Sch. 3 para. 3(g) and preceding word inserted (27.10.2008) by Welfare Reform Act 2007 (c. 5), s. 70(2), Sch. 3 para. 17(9); S.I. 2008/787, art. 2(4)(f)

# **Commencement Information**

- I12 Sch. 3 para. 3(a)(c) in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), Sch. 1 (with art. 5, Sch. 12)
- 113 Sch. 3 para. 3(a)(c) in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- 114 Sch. 3 para. 3(a)(c) in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, Sch. 1 (with art. 3, Sch. 2)
- I15 Sch. 3 para. 3 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), Sch. 1 (with art. 4, Schs. 16-18)
- I16 Sch. 3 para. 3 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)

# Payments to third parties

Except in such cases or circumstances as may be prescribed, a decision whether the whole or part of a benefit to which a person is entitled is, by virtue of regulations, to be paid to a person other than him.

### **Commencement Information**

117 Sch. 3 para. 4 in force at 4.3.1999 for specified purposes by S.I. 1999/528, art. 2(a), Sch.

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- 118 Sch. 3 para. 4 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), Sch. 1 (with art. 5, Sch. 12)
- I19 Sch. 3 para. 4 in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- **I20** Sch. 3 para. 4 in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, **Sch. 1** (with art. 3, Sch. 2)
- **121** Sch. 3 para. 4 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), **Sch. 1** (with art. 4, Schs. 16-18)
- I22 Sch. 3 para. 4 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)

# Recovery of benefits

A decision whether payment is recoverable under section 71 or 71A of the Administration Act.

#### **Commencement Information**

- 123 Sch. 3 para. 5 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), Sch. 1 (with art. 5, Sch. 12)
- I24 Sch. 3 para. 5 in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- 125 Sch. 3 para. 5 in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, Sch. 1 (with art. 3, Sch. 2)
- **I26** Sch. 3 para. 5 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), **Sch. 1** (with art. 4, Schs. 16-18)
- 127 Sch. 3 para. 5 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)
- 6 If so, a decision as to the amount of payment recoverable.

#### **Commencement Information**

- **128** Sch. 3 para. 6 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), **Sch. 1** (with art. 5, Sch. 12)
- 129 Sch. 3 para. 6 in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- **I30** Sch. 3 para. 6 in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, **Sch. 1** (with art. 3, Sch. 2)
- I31 Sch. 3 para. 6 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), Sch. 1 (with art. 4, Schs. 16-18)
- **I32** Sch. 3 para. 6 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), **Sch. 1** (with art. 4, Schs. 21-23)

### *Industrial injuries benefit*

A decision whether an accident was an industrial accident for the purposes of industrial injuries benefit.

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

#### **Commencement Information**

- **I33** Sch. 3 para. 7 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), **Sch. 1** (with art. 5, Sch. 12)
- I34 Sch. 3 para. 7 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)

# Jobseekers' agreements

A decision in relation to a jobseeker's agreement as proposed to be made under section 9 of the Jobseekers Act, or as proposed to be varied under section 10 of that Act

#### **Commencement Information**

- I35 Sch. 3 para. 8 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), Sch. 1 (with art. 4, Schs. 16-18)
- 136 Sch. 3 para. 8 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)

# **I**<sup>F6</sup>State pension credit

# **Textual Amendments**

- F6 Sch. 3 paras. 8A-8D and cross-heading inserted (2.7.2002 for specified purposes, 7.4.2003 in so far as not already in force) by State Pension Credit Act 2002 (c. 16), s. 22(3), Sch. 1 para. 12; S.I. 2002/1691, art. 2(i); S.I. 2003/966, art. 2(a)
- A decision whether to specify a period as an assessed income period under section 6 of the State Pension Credit Act 2002.
- 8B If so, a decision as to the period to be so specified.
- A decision whether an assessed income period comes to an end by virtue of section 9(4) or (5) of that Act.
- 8D If so, a decision as to when the assessed income period so ends.]

## Power to prescribe other decisions

9 Such other decisions relating to a relevant benefit as may be prescribed.

# **Commencement Information**

- 137 Sch. 3 para. 9 in force at 4.3.1999 for specified purposes by S.I. 1999/528, art. 2(a), Sch.
- 138 Sch. 3 para. 9 in force at 5.7.1999 for specified purposes by S.I. 1999/1958, art. 2(1)(b), Sch. 1 (with art. 5, Sch. 12)
- 139 Sch. 3 para. 6 in force at 6.9.1999 for specified purposes by S.I. 1999/2422, art. 2(c), Sch. 1 (with art. 4, Sch. 14)
- **I40** Sch. 3 para. 9 in force at 5.10.1999 for specified purposes by S.I. 1999/2739, art. 2, **Sch. 1** (with art. 3, Sch. 2)

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- **I41** Sch. 3 para. 9 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), **Sch. 1** (with art. 4, Schs. 16-18)
- **I42** Sch. 3 para. 9 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), **Sch. 1** (with art. 4, Schs. 21-23)

## PART II

CONTRIBUTIONS DECISIONS						
Categorisation of earners						
<sup>F7</sup> 10						
Textu	nal Amendments					
F7	Sch. 3 paras. 10-15 repealed (1.4.1999) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, <b>Sch. 10 Pt. I</b> (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6)					
<sup>F7</sup> 11						
Textu	nal Amendments					
F7	Sch. 3 paras. 10-15 repealed (1.4.1999) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6)					
	Compulsory contributions					
<sup>F7</sup> 12						
Textu	nal Amendments					
F7	Sch. 3 paras. 10-15 repealed (1.4.1999) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6)					
<sup>F7</sup> 13						
Textu	nal Amendments					
F7	Sch. 3 paras. 10-15 repealed (1.4.1999) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6)					

Voluntary contributions

<sup>F7</sup>14

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)



F7 Sch. 3 paras. 10-15 repealed (1.4.1999) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6)

<sup>F7</sup>15 ......

### **Textual Amendments**

F7 Sch. 3 paras. 10-15 repealed (1.4.1999) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6)

## Responsibilities at home

A decision whether a person was (within the meaning of regulations) precluded from regular employment by responsibilities at home.

### **Commencement Information**

- **I43** Sch. 3 para. 16 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), **Sch. 1** (with art. 4, Schs. 16-18)
- I44 Sch. 3 para. 16 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), Sch. 1 (with art. 4, Schs. 21-23)

## Earnings and contributions credits

A decision whether a person is entitled to be credited with earnings or contributions in accordance with regulations made under section 22(5) of the Contributions and Benefits Act.

# **Commencement Information**

- I45 Sch. 3 para. 17 in force at 18.10.1999 for specified purposes by S.I. 1999/2860, art. 2(c), Sch. 1 (with art. 4, Schs. 16-18)
- **146** Sch. 3 para. 17 in force at 29.11.1999 for specified purposes by S.I. 1999/3178, art. 2(1)(a)(2), **Sch. 1** (with art. 4, Schs. 21-23)

Statutory sick pay

<sup>F8</sup>18 .....

## **Textual Amendments**

F8 Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para. 23, 5.7.1999 in so far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2),

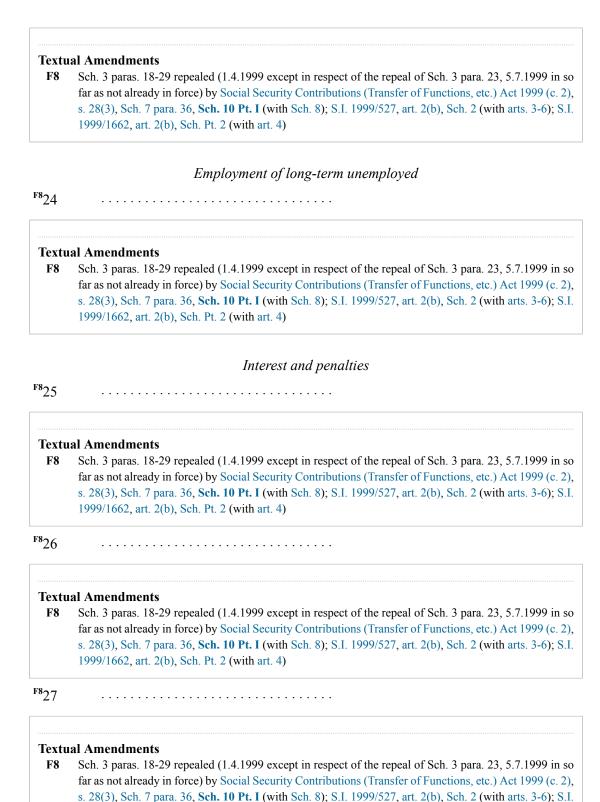
Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

	s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I.
	1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)
<sup>F8</sup> 19	
Textu	nal Amendments
F8	Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para. 23, 5.7.1999 in so
	far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2),
	s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I.
	1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)
	Statutory maternity pay
F820	
Textu	nal Amendments
F8	Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para. 23, 5.7.1999 in so
	far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2),
	s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I.
	1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)
<sup>F8</sup> 21	
Tout	ial Amendments
F8	Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para, 23, 5.7.1999 in so
10	far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2),
	s. 28(3), Sch. 7 para. 36, <b>Sch. 10 Pt. I</b> (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I.
	1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)
	Liability of directors etc. for company's contributions
T-0	Liability of directors etc. for company's contributions
F822	
	nal Amendments
F8	Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para. 23, 5.7.1999 in so
	far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2),
	s. 28(3), Sch. 7 para. 36, <b>Sch. 10 Pt. I</b> (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I. 1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)
	TUUU/1667 ort 7/h) Soh Pt 7/mith ort /l)

Preserved rights to benefit etc.

F823

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)



<sup>F8</sup>28 .....

1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)

Changes to legislation: Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

### **Textual Amendments**

F8 Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para. 23, 5.7.1999 in so far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I. 1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)

Power to	prescribe	other	decisions
10110110	preservee	oure	ciccisto its

<sup>F8</sup>29 .....

### **Textual Amendments**

F8 Sch. 3 paras. 18-29 repealed (1.4.1999 except in respect of the repeal of Sch. 3 para. 23, 5.7.1999 in so far as not already in force) by Social Security Contributions (Transfer of Functions, etc.) Act 1999 (c. 2), s. 28(3), Sch. 7 para. 36, Sch. 10 Pt. I (with Sch. 8); S.I. 1999/527, art. 2(b), Sch. 2 (with arts. 3-6); S.I. 1999/1662, art. 2(b), Sch. Pt. 2 (with art. 4)

## **Status:**

Point in time view as at 03/11/2008.

# **Changes to legislation:**

Social Security Act 1998, SCHEDULE 3 is up to date with all changes known to be in force on or before 16 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.