



Welfare Reform and Pensions Act 1999

1999 CHAPTER 30

PART V

WELFARE

CHAPTER I

SOCIAL SECURITY BENEFITS

Additional pensions

52 Preservation of rights in respect of additional pensions.

- (1) The Secretary of State may by regulations make such provision as is authorised by one or more of subsections (2) to (4).
- (2) The regulations may provide for any prescribed provision of Part II of the Contributions and Benefits Act (contributory benefits) which relates to additional pension for [^{F1}widows, widowers or surviving civil partners] to have effect, in relation to persons of any prescribed description, with such modifications as may be prescribed for securing—
 - (a) that any such additional pension, or
 - (b) in the case of any provision of Schedule 5 to that Act (increase of pension [^{F2}or payment of lump sum] where entitlement is deferred), that any constituent element of an increase [^{F3}or of a lump sum] provided for by that Schedule, is increased by such percentage as may be prescribed (which may be 100 per cent.).
- [^{F4}(3) The regulations may amend (or further amend) any prescribed provision set out in section 39(2) of the Child Support, Pensions and Social Security Act 2000 (which sets out provisions falling within subsection (2) of this section) so as to substitute a reference to a later date for—
 - (a) any reference in that provision to 5th October 2002 or 6th October 2002; or

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- (b) any reference to a date inserted in that provision by a substitution made by virtue of this subsection.]
- (4) The regulations may make provision for and in connection with—
- (a) the establishment, for a prescribed period, of a scheme for dealing with claims made by persons on the grounds that, in reliance on any incorrect or incomplete information provided by a government department with respect to the SERPS reduction (however that information came to their knowledge), they—
- (i) failed to take any, or any particular, relevant steps which they would have taken, or
- (ii) took any steps which they would not have taken,
- had they instead received correct and complete information with respect to that reduction; and
- (b) securing that, where persons have made successful claims under the scheme, surviving spouses of those persons (or, as the case may be, those persons themselves) will not be affected by the SERPS reduction.
- [^{F5}(4A) The regulations may provide, for the purposes of any provision made by virtue of subsection (4), for a case in which a person who, as a consequence of receiving incorrect or incomplete information, did not give any consideration to—
- (a) the taking of a step which is a step he might have taken had he considered the matter on the basis of correct and complete information, or
- (b) refraining from taking a step which is a step he did take but might have refrained from taking had he considered the matter on that basis,
- to be treated as a case in which his failure to take the step, or his taking of the step he did take, was in reliance on the incorrect or incomplete information and as a case in which that step is one which he would have taken, or (as the case may be) would not have taken, had the information been correct and complete.]
- (5) In subsection (4) “relevant steps”, in relation to a person, means steps towards safeguarding the financial position of that person’s spouse in the event of the spouse becoming that person’s surviving spouse or (as the case may be) towards safeguarding that person’s own financial position in the event of that person becoming a surviving spouse (whether or not, in either case, that person was at any material time already married); and “the SERPS reduction” means—
- (a) (in the context of subsection (4)(a)) the operation of any of—
- (i) the provisions of section 19 of the ^{M1}Social Security Act 1986, or
- (ii) the provisions of Part II of the Contributions and Benefits Act reproducing the effect of those provisions;
- (b) (in the context of subsection (4)(b)) the operation of any of the provisions of the Contributions and Benefits Act mentioned in paragraph (a)(ii) above or of section 39C(4) or 48BB(7) of that Act.
- (6) Regulations under subsection (4) may, in particular, make provision—
- (a) with respect to the time within which, and the manner in which, claims under the scheme are to be made;
- (b) for requiring claimants—
- (i) to supply such information in connection with their claims as may be prescribed or reasonably requested by any person for the purpose of dealing with their claims,

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- (ii) to attend interviews at such time and place as may be reasonably specified by any person for that purpose;
 - (c) for a claim to be disallowed where the claimant fails to comply with a requirement imposed by virtue of paragraph (a) or (b) above and does not show within the prescribed period that he had good cause for that failure;
 - (d) prescribing—
 - (i) matters which are or are not to be taken into account in determining whether a person does or does not have good cause for any failure to comply with any such requirement, or
 - (ii) circumstances in which a person is or is not to be regarded as having or not having good cause for any such failure;
 - (e) prescribing the conditions which must be satisfied in relation to any claim in order for it to be a successful claim under the scheme;
 - [^{F6}(ea) prescribing the matters that may be relied on, and the presumptions that may be made, in the determination of whether or not the prescribed conditions have been satisfied;]
 - (f) with respect to—
 - (i) the manner in which decisions under the scheme are to be made (which may include authorising decisions of any prescribed description to be made by a computer), and
 - (ii) the time within which, and the manner in which, such decisions are to be notified to claimants;
 - (g) for provisions of Chapter II of Part I of the ^{M2}Social Security Act 1998 (social security decisions and appeals) to apply in relation to decisions under the scheme with such modifications as may be prescribed;
 - (h) for provisions of Part II of the Contributions and Benefits Act to apply in relation to—
 - (i) surviving spouses of persons who have made successful claims under the scheme, or
 - (ii) persons who have themselves made such claims,
 with such modifications as may be prescribed.
- (7) If no regulations under this section are in force on 6th April 2000, then until such time as any such regulations come into force—
- (a) any provisions of Part II of the Contributions and Benefits Act which (whether alone or together with other provisions) would otherwise result in a reduction of one-half in the amount payable by way of additional pension in cases where a person's spouse dies after 5th April 2000 shall be taken—
 - (i) as not applying, or
 - (ii) as providing for the full amount to be payable by way of additional pension,
 as the case may require; and
 - (b) in Schedule 5 to that Act—
 - (i) any provision which is expressed to apply in relation to deaths occurring after that date shall not apply, and
 - (ii) any provision which (with or without any other limitation) is expressed to apply in relation to deaths occurring before 6th April 2000 shall be taken as applying also in relation to deaths occurring on or after that date.

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- (8) No regulations shall be made under this section unless a draft of the regulations has been laid before, and approved by a resolution of, each House of Parliament.
- (9) In this section “prescribed” means prescribed by regulations under subsection (2), (3) or (4), as the case may be.

Textual Amendments

- F1** Words in s. 52(2) substituted (26.7.2005 for specified purposes) by [Civil Partnership \(Pensions and Benefit Payments\) \(Consequential, etc. Provisions\) Order 2005 \(S.I. 2005/2053\)](#), art. 1(2)(c), (3), [Sch. para. 26](#)
- F2** Words in s. 52(2)(b) inserted (18.11.2004 for specified purposes, 6.4.2005 in so far as not already in force) by [Pensions Act 2004 \(c. 35\)](#), s. 322(3)(a), [Sch. 11 para. 25\(a\)](#)
- F3** Words in s. 52(2)(b) inserted (18.11.2004 for specified purposes, 6.4.2005 in so far as not already in force) by [Pensions Act 2004 \(c. 35\)](#), s. 322(3)(a), [Sch. 11 para. 25\(b\)](#)
- F4** S. 52(3) substituted (28.7.2000) by [2000 c. 19](#), [ss. 39\(3\)](#), 86(1)(b) (with s. 83(6))
- F5** S. 52(4A) inserted (28.7.2000) by [2000 c. 19](#), [ss. 39\(4\)](#), 86(1)(b) (with s. 83(6))
- F6** S. 52(6)(ea) inserted (28.7.2000) by [2000 c. 19](#), [ss. 39\(5\)](#), 86(1)(b) (with s. 83(6))

Marginal Citations

- M1** [1986 c. 50](#).
- M2** [1998 c. 14](#).

State maternity allowance

53 Extension of entitlement to state maternity allowance.

- (1) In section 35 of the Contributions and Benefits Act (state maternity allowance), for subsections (1) and (1A) there shall be substituted—

“(1) A woman shall be entitled to a maternity allowance, at the appropriate weekly rate determined under section 35A below, if—

- (a) she has become pregnant and has reached, or been confined before reaching, the commencement of the 11th week before the expected week of confinement; and
- (b) she has been engaged in employment as an employed or self-employed earner for any part of the week in the case of at least 26 of the 66 weeks immediately preceding the expected week of confinement; and
- (c) (within the meaning of section 35A) her average weekly earnings are not less than the maternity allowance threshold; and
- (d) she is not entitled to statutory maternity pay for the same week in respect of the same pregnancy.”

- (2) In subsection (3) of that section—

- (a) for “Schedule 3, Part I, paragraph 3” there shall be substituted “ section 35A below ”; and

^{F7}(b)

- (3) After that section there shall be inserted—

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“35A Appropriate weekly rate of maternity allowance.

- (1) For the purposes of section 35(1) above the appropriate weekly rate is that specified in whichever of subsection (2) or (3) below applies.
- (2) Where the woman’s average weekly earnings are not less than the lower earnings limit for the relevant tax year, the appropriate weekly rate is a weekly rate equal to the lower rate of statutory maternity pay for the time being prescribed under section 166(3) below.
- (3) Where the woman’s average weekly earnings—
 - (a) are less than the lower earnings limit for the relevant tax year, but
 - (b) are not less than the maternity allowance threshold for that tax year,the appropriate weekly rate is a weekly rate equivalent to 90 per cent. of her average weekly earnings or (if lower) the rate specified in subsection (2) above.
- (4) For the purposes of this section a woman’s “average weekly earnings” shall be taken to be the average weekly amount (as determined in accordance with regulations) of specified payments which—
 - (a) were made to her or for her benefit as an employed earner, or
 - (b) are (in accordance with regulations) to be treated as made to her or for her benefit as a self-employed earner,during the specified period.
- (5) Regulations may, for the purposes of subsection (4) above, provide—
 - (a) for the amount of any payments falling within paragraph (a) or (b) of that subsection to be calculated or estimated in such manner and on such basis as may be prescribed;
 - (b) for a payment made outside the specified period to be treated as made during that period where it was referable to that period or any part of it;
 - (c) for a woman engaged in employment as a self-employed earner to be treated as having received a payment in respect of a week—
 - (i) equal to the lower earnings limit in force on the last day of the week, if she paid a Class 2 contribution in respect of the week, or
 - (ii) equal to the maternity allowance threshold in force on that day, if she was excepted (under section 11(4) above) from liability for such a contribution in respect of the week;
 - (d) for aggregating payments made or treated as made to or for the benefit of a woman where, either in the same week or in different weeks, she was engaged in two or more employments (whether, in each case, as an employed earner or a self-employed earner).
- (6) In this section—
 - (a) “the maternity allowance threshold”, in relation to a tax year, means (subject to subsection (7) below) £30;
 - (b) “the relevant tax year” means the tax year in which the beginning of the period of 66 weeks mentioned in section 35(1)(b) above falls; and

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- (c) “specified” (except in subsections (7) and (8) below) means prescribed by or determined in accordance with regulations.
- (7) The Secretary of State may, in relation to any tax year after 1999-2000, by order increase the amount for the time being specified in subsection (6)(a) above to such amount as is specified in the order.
- (8) When deciding whether, and (if so) by how much, to increase the amount so specified the Secretary of State shall have regard to the movement, over such period as he thinks fit, in the general level of prices obtaining in Great Britain (estimated in such manner as he thinks fit); and the Secretary of State shall in each tax year carry out such a review of the amount so specified as he thinks fit.”
- (4) This section applies in relation to the payment of maternity allowance in cases where a woman’s expected week of confinement (within the meaning of section 35 of the Contributions and Benefits Act) begins on or after 20th August 2000.

Textual Amendments

F7 S. 53(2)(b) repealed (6.4.2002) by [2002 c. 22, s. 54, Sch. 8](#); S.I. 2002/2866, art. 2(5), Sch. 2 Pt. 2

Commencement Information

II S. 53 wholly in force at 2.4.2000; s. 53 not in force at Royal Assent see s. 89; s. 53 in force at 12.1.2000 for certain purposes and at 2.4.2000 for all other purposes by [S.I. 1999/3309](#) art. 2(1)(a)(i)(ii)

Benefits for widows and widowers

54 Bereavement payments.

- (1) For section 36 of the Contributions and Benefits Act there shall be substituted—

“36 Bereavement payment.

- (1) A person whose spouse dies on or after the appointed day shall be entitled to a bereavement payment if—
- (a) either that person was under pensionable age at the time when the spouse died or the spouse was then not entitled to a Category A retirement pension under section 44 below; and
 - (b) the spouse satisfied the contribution condition for a bereavement payment specified in Schedule 3, Part I, paragraph 4.
- (2) A bereavement payment shall not be payable to a person if that person and a person of the opposite sex to whom that person was not married were living together as husband and wife at the time of the spouse’s death.
- (3) In this section “the appointed day” means the day appointed for the coming into force of sections 54 to 56 of the Welfare Reform and Pensions Act 1999.”
- (2) In Schedule 4 to the Contributions and Benefits Act (rates of benefits etc.), for Part II there shall be substituted—

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“PART II

BEREAVEMENT PAYMENT

Bereavement payment.	£2,000.00.”
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Commencement Information

- I2** S. 54 wholly in force at 9.4.2001; s. 54 not in force at Royal Assent see s. 89(1); s. 54 in force for certain purposes at 24.4.2000 and for all other purposes at 9.4.2001 by [S.I. 2000/1047](#), art. 2(2)(a), [Sch. Pt. I](#)

55 New allowances for bereaved spouses.

(1) After section 36 of the Contributions and Benefits Act there shall be inserted—

“36A Cases in which sections 37 to 41 apply.

- (1) Sections 37 to 39 and section 40 below apply only in cases where a woman’s husband has died before the appointed day, and section 41 below applies only in cases where a man’s wife has died before that day.
- (2) Sections 39A to 39C below apply in cases where a person’s spouse dies on or after the appointed day, but section 39A also applies (in accordance with subsection (1)(b) of that section) in cases where a man’s wife has died before that day.
- (3) In this section, and in sections 39A and 39B below, “the appointed day” means the day appointed for the coming into force of sections 54 to 56 of the Welfare Reform and Pensions Act 1999.”

(2) After section 39 of the Contributions and Benefits Act there shall be inserted—

“39A Widowed parent’s allowance.

- (1) This section applies where—
 - (a) a person whose spouse dies on or after the appointed day is under pensionable age at the time of the spouse’s death, or
 - (b) a man whose wife died before the appointed day—
 - (i) has not remarried before that day, and
 - (ii) is under pensionable age on that day.
- (2) The surviving spouse shall be entitled to a widowed parent’s allowance at the rate determined in accordance with section 39C below if the deceased spouse satisfied the contribution conditions for a widowed parent’s allowance specified in Schedule 3, Part I, paragraph 5 and—
 - (a) the surviving spouse is entitled to child benefit in respect of a child falling within subsection (3) below; or
 - (b) the surviving spouse is a woman who either—

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- (i) is pregnant by her late husband, or
 - (ii) if she and he were residing together immediately before the time of his death, is pregnant in circumstances falling within section 37(1)(c) above.
- (3) A child falls within this subsection if one of the conditions specified in section 81(2) below is for the time being satisfied with respect to the child and the child is either—
- (a) a son or daughter of the surviving spouse and the deceased spouse; or
 - (b) a child in respect of whom the deceased spouse was immediately before his or her death entitled to child benefit; or
 - (c) if the surviving spouse and the deceased spouse were residing together immediately before his or her death, a child in respect of whom the surviving spouse was then entitled to child benefit.
- (4) The surviving spouse shall not be entitled to the allowance for any period after she or he remarries, but, subject to that, the surviving spouse shall continue to be entitled to it for any period throughout which she or he—
- (a) satisfies the requirements of subsection (2)(a) or (b) above; and
 - (b) is under pensionable age.
- (5) A widowed parent's allowance shall not be payable—
- (a) for any period falling before the day on which the surviving spouse's entitlement is to be regarded as commencing by virtue of section 5(1)(k) of the Administration Act; or
 - (b) for any period during which the surviving spouse and a person of the opposite sex to whom she or he is not married are living together as husband and wife.

39B Bereavement allowance where no dependent children.

- (1) This section applies where a person whose spouse dies on or after the appointed day is over the age of 45 but under pensionable age at the spouse's death.
- (2) The surviving spouse shall be entitled to a bereavement allowance at the rate determined in accordance with section 39C below if the deceased spouse satisfied the contribution conditions for a bereavement allowance specified in Schedule 3, Part I, paragraph 5.
- (3) A bereavement allowance shall be payable for not more than 52 weeks beginning with the date of the spouse's death or (if later) the day on which the surviving spouse's entitlement is to be regarded as commencing by virtue of section 5(1)(k) of the Administration Act.
- (4) The surviving spouse shall not be entitled to the allowance for any period after she or he remarries, but, subject to that, the surviving spouse shall continue to be entitled to it until—
 - (a) she or he attains pensionable age, or
 - (b) the period of 52 weeks mentioned in subsection (3) above expires, whichever happens first.
- (5) The allowance shall not be payable—

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- (a) for any period for which the surviving spouse is entitled to a widowed parent's allowance; or
- (b) for any period during which the surviving spouse and a person of the opposite sex to whom she or he is not married are living together as husband and wife.

39C Rate of widowed parent's allowance and bereavement allowance.

- (1) The weekly rate of a widowed parent's allowance shall be determined in accordance with the provisions of sections 44 to 45A below as they apply in the case of a Category A retirement pension, but subject, in particular, to the following provisions of this section and section 46(2) below.
- (2) The weekly rate of a bereavement allowance shall be determined in accordance with the provisions of section 44 below as they apply in the case of a Category A retirement pension so far as consisting only of the basic pension referred to in subsection (3)(a) of that section, but subject, in particular, to the following provisions of this section.
- (3) In the application of sections 44 to 45A or (as the case may be) section 44 below by virtue of subsection (1) or (2) above—
 - (a) where the deceased spouse was over pensionable age at his or her death, references in those sections to the pensioner shall be taken as references to the deceased spouse, and
 - (b) where the deceased spouse was under pensionable age at his or her death, references in those sections to the pensioner and the tax year in which he attained pensionable age shall be taken as references to the deceased spouse and the tax year in which he or she died.
- (4) Where a widowed parent's allowance is payable to a person whose spouse dies after 5th April 2000, the additional pension falling to be calculated under sections 44 to 45A below by virtue of subsection (1) above shall be one half of the amount which it would be apart from this subsection.
- (5) Where a bereavement allowance is payable to a person who was under the age of 55 at the time of the spouse's death, the weekly rate of the allowance shall be reduced by 7 per cent. of what it would be apart from this subsection multiplied by the number of years by which that person's age at that time was less than 55 (any fraction of a year being counted as a year)."

Commencement Information

- I3** S. 55 wholly in force at 9.4.2001; s. 55 not in force at Royal Assent see s. 89(1); s. 55 in force for certain purposes at 24.4.2000 and for all other purposes at 9.4.2001 by [S.I. 2000/1047, art. 2\(2\)\(a\)](#), [Sch. Pt. 1](#)

56 Entitlement to Category B retirement pension by reference to new allowances.

After section 48B of the Contributions and Benefits Act there shall be inserted—

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“48BB Category B retirement pension: entitlement by reference to benefits under section 39A or 39B.

- (1) Subsection (2) below applies where a person (“the pensioner”) who has attained pensionable age—
 - (a) was, immediately before attaining that age, entitled to a widowed parent’s allowance in consequence of the death of his or her spouse; and
 - (b) has not remarried.
- (2) The pensioner shall be entitled to a Category B retirement pension by virtue of the contributions of the spouse, which shall be payable at the same weekly rate as the widowed parent’s allowance.
- (3) Subsections (4) to (10) below apply where a person (“the pensioner”) who has attained pensionable age—
 - (a) was in consequence of the death of his or her spouse either—
 - (i) entitled to a bereavement allowance at any time prior to attaining that age, or
 - (ii) entitled to a widowed parent’s allowance at any time when over the age of 45 (but not immediately before attaining pensionable age); and
 - (b) has not remarried.
- (4) The pensioner shall be entitled to a Category B retirement pension by virtue of the contributions of the spouse.
- (5) A Category B retirement pension payable by virtue of subsection (4) above shall be payable at a weekly rate corresponding to the weekly rate of the additional pension determined in accordance with the provisions of sections 44 to 45A above as they apply in relation to a Category A retirement pension, but subject, in particular, to the following provisions of this section and section 46(2) above.
- (6) Where the spouse died under pensionable age, references in the provisions of sections 44 to 45A above, as applied by subsection (5) above, to the tax year in which the pensioner attained pensionable age shall be taken as references to the tax year in which the spouse died.
- (7) Where the spouse dies after 5th April 2000, the pension payable by virtue of subsection (4) above shall (before making any reduction required by subsection (8) below) be one half of the amount which it would be apart from this subsection.
- (8) Where the pensioner was under the age of 55 at the relevant time, the weekly rate of the pension shall be reduced by 7 per cent. of what it would be apart from this subsection multiplied—
 - (a) by the number of years by which the pensioner’s age at that time was less than 55 (any fraction of a year being counted as a year), or
 - (b) by ten, if that number exceeds ten.
- (9) In subsection (8) above “the relevant time” means—
 - (a) where the pensioner became entitled to a widowed parent’s allowance in consequence of the death of the spouse, the time when the pensioner’s entitlement to that allowance ended; and

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(b) otherwise, the time of the spouse's death.

(10) The amount determined in accordance with subsections (5) to (9) above as the weekly rate of the pension payable to the pensioner by virtue of subsection (4) above shall be increased by such percentage as equals the overall percentage by which, had the pension been in payment as from the date of the spouse's death until the date when the pensioner attained pensionable age, that weekly rate would have been increased during that period by virtue of any orders under section 150 of the Administration Act (annual up-rating of benefits)."

Commencement Information

I4 S. 56 wholly in force at 9.4.2001; s. 56 not in force at Royal Assent see s. 89(1); s. 56 in force for certain purposes at 24.4.2000 and for all other purposes at 9.4.2001 by [S.I. 2000/1047, art. 2\(2\)\(a\), Sch. Pt. I](#)

Work-focused interviews

F8 57 **Claim or full entitlement to certain benefits conditional on work-focused interview.**

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Textual Amendments

F8 [S. 57](#) repealed (1.4.2013 for specified purposes) by [Welfare Reform Act 2012 \(c. 5\), s. 150\(3\), Sch. 14 Pt. 1](#); [S.I. 2013/358, art. 8\(c\), Sch. 4](#) (with arts. 910Sch. 5)

F9 58 **Optional work-focused interviews.**

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Textual Amendments

F9 [S. 58](#) repealed (1.4.2013 for specified purposes) by [Welfare Reform Act 2012 \(c. 5\), s. 150\(3\), Sch. 14 Pt. 1](#); [S.I. 2013/358, art. 8\(c\), Sch. 4](#) (with arts. 910Sch. 5)

Jobseeker's allowance

59 **Couples to make joint claim for allowance.**

Schedule 7 (which makes provision in connection with requiring certain couples to make joint claims for an income-based jobseeker's allowance) shall have effect.

Commencement Information

I5 S. 59 wholly in force at 19.3.2001; s. 59 in force for certain purposes at Royal Assent see s. 89(1)(5); s. 59 in force at 19.3.2001 insofar as not already in force by [S.I. 2000/2958, art. 2\(2\)](#)

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60 Special schemes for claimants for jobseeker's allowance.

- (1) The Secretary of State may by regulations make provision for or in connection with the participation of claimants for a jobseeker's allowance in schemes of any prescribed description, being schemes established for designated areas in Great Britain (or for the whole of Great Britain) and designed to assist such persons to obtain sustainable employment.
- (2) Regulations under this section may, in particular, make provision—
 - (a) for the imposition during any prescribed period, as additional conditions for entitlement to a jobseeker's allowance applying in the case of persons participating in schemes, of requirements to take steps determined in accordance with the regulations with a view to improving those persons' prospects of securing employment;
 - (b) for the suspension, during any prescribed period, of any prescribed conditions that would otherwise apply to such persons.
- (3) Regulations under this section may make provision for any provisions of the ^{M3}Jobseekers Act 1995 to apply for the purposes of the regulations subject to prescribed modifications.
- (4) The provisions of that Act which may be so applied include in particular any provisions of—
 - (a) section 19 or 20A (circumstances in which jobseeker's allowance is not payable); or
 - (b) section 20 or 20B (exemptions from section 19 or 20A).
- (5) The Secretary of State may for the purposes of, or in connection with, any scheme—
 - (a) make such arrangements (whether or not with other persons) for the provision of any facilities,
 - (b) provide such support (by whatever means) for arrangements made by other persons for the provision of any facilities,
 - (c) make such payments—
 - (i) by way of fees, grants, loans or otherwise, to persons undertaking the provision of facilities under arrangements within paragraph (a) or (b),
 - (ii) by way of grants, loans or otherwise, to persons participating in the scheme, or
 - (iii) in respect of any incidental expenses,
 as he considers appropriate.
- (6) For the purposes of, or in connection with, a scheme established for (or for an area which includes) Wales or a part of Wales, the National Assembly for Wales may, if it considers that facilities whose provision any person (including the Secretary of State) is undertaking under arrangements within subsection (5)(a) or (b) are capable of being supportive of the training of persons for employment, make such payments to that person as the Assembly considers appropriate; and any such payments—
 - (a) may be by way of fees, grants, loans or otherwise, and
 - (b) may, unless the Assembly otherwise specifies, be used by the person to whom they are made for the provision of any of the facilities provided under the arrangements.

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- (7) In subsections (5) and (6) “facilities” includes services, and any reference to the provision of facilities includes the making of payments to persons participating in the scheme.
- (8) The power of the Secretary of State to make an order under section 26 of the ^{M4}Employment Act 1988 (status of trainees etc.) shall include power to make, in relation to—
- (a) persons participating in any scheme, and
 - (b) payments received by them by virtue of subsection (5) above,
- provision corresponding to any provision which (by virtue of subsection (1) or (2) of that section) may be made in relation to persons using such facilities, and to such payments received by them, as are mentioned in subsection (1) of that section.
- (9) In this section—
- “designated” means designated by the Secretary of State;
 - “employment” has the meaning given by regulations under this section;
 - “prescribed” means specified in or determined in accordance with regulations under this section;
 - “scheme” means a scheme such as is mentioned in subsection (1).

Modifications etc. (not altering text)

- C1** S. 60(5)(c)(i): certain functions made exercisable by the Scottish Ministers concurrently with the Secretary of State (16.6.2000) by virtue of [S.I. 2000/1563](#), [arts. 1\(1\), 4](#) (with [art. 9](#))

Marginal Citations

- M3** 1995 c. 18.
M4 1988 c. 19.

Incapacity for work

61 Incapacity for work: personal capability assessments.

For section 171C of the Contribution and Benefits Act there shall be substituted—

“171C Personal capability assessments.

- (1) Where the own occupation test is not applicable, or has ceased to apply, in the case of a person, the question whether the person is capable or incapable of work shall be determined in accordance with a personal capability assessment.
- (2) Provision shall be made by regulations—
- (a) defining a personal capability assessment by reference to the extent to which a person who has some specific disease or bodily or mental disablement is capable or incapable of performing such activities as may be prescribed;
 - (b) as to the manner of assessing whether a person is, in accordance with a personal capability assessment, incapable of work.

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- (3) Regulations may provide that, in any prescribed circumstances, a person to whom subsection (1) above applies shall, if the prescribed conditions are met, be treated as incapable of work in accordance with a personal capability assessment until such time as—
- (a) such an assessment has been carried out in his case, or
 - (b) he falls to be treated as capable of work in accordance with regulations under section 171A(2) or (3) above or section 171E below.

The prescribed conditions may include the condition that it has not previously been determined, within such period as may be prescribed, that the person in question is or is to be treated as capable of work.

- (4) Except in prescribed circumstances, a personal capability assessment carried out in the case of a person before the time when subsection (1) above applies to him shall be as effective for the purposes of that subsection as one carried out thereafter.
- (5) The Secretary of State may, in the case of a person who for any purpose of this Act has been determined to be incapable of work in accordance with a personal capability assessment (including one carried out by virtue of this subsection), require the question whether the person is capable or incapable of work to be determined afresh in accordance with a further personal capability assessment.”

Commencement Information

- 16** S. 61 wholly in force at 3.4.2000; in force at Royal Assent for purposes of making regulations only see s. 89(5) and at 3.4.2000 otherwise by [S.I. 1999/3309](#), [art. 2\(2\)\(a\)](#)

Incapacity benefits

62 Incapacity benefit: restriction to recent contributors.

- (1) Paragraph 2 of Schedule 3 to the Contributions and Benefits Act (contribution conditions for short-term incapacity benefit) shall be amended as follows.
- (2) In sub-paragraph (2) (the first condition), for paragraph (a) there shall be substituted—
 - “(a) the claimant must have actually paid contributions of a relevant class in respect of one of the last three complete years before the beginning of the relevant benefit year, and those contributions must have been paid before the relevant time; and”.
- (3) In sub-paragraph (7) (claim by person who does not satisfy second contribution condition to be disregarded in relation to subsequent claim), after “does not satisfy” there shall be inserted “the first contribution condition (specified in sub-paragraph (2) above) or, as the case may be, ”.
- (4) After sub-paragraph (7) there shall be added—
 - “(8) Regulations may—
 - (a) provide for the first contribution condition (specified in sub-paragraph (2) above) to be taken to be satisfied in the case of persons

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- who have been entitled to any prescribed description of benefit during any prescribed period or at any prescribed time;
- (b) with a view to securing any relaxation of the requirements of that condition (as so specified) in relation to persons who have been so entitled, provide for that condition to apply in relation to them subject to prescribed modifications.
- (9) In sub-paragraph (8)—
- “benefit” includes (in addition to any benefit under Parts II to V of this Act)—
- (a) any benefit under Parts VII to XII of this Act, and
- (b) credits under regulations under section 22(5) above;
- “modifications” includes additions, omissions and amendments.”

Commencement Information

- I7** S. 62 wholly in force at 6.4.2001; s. 62 in force for certain purposes at 3.11.2000 and for all other purposes at 6.4.2001 by [S.I. 2000/2958](#), [art. 2\(3\)\(a\)\(4\)\(5\)](#) (subject to [arts. 3, 4](#)) (as amended (13.3.2001) by [S.I. 2001/933](#), [art. 3](#))

63 Incapacity benefit: reduction for pension payments.

After section 30D of the Contributions and Benefits Act there shall be inserted—

“30DD Incapacity benefit: reduction for pension payments.

- (1) Where—
- (a) a person is entitled to incapacity benefit in respect of any period of a week or part of a week,
- (b) a pension payment is payable to him in respect of that period (or a period which forms part of that period or includes that period or part of it), and
- (c) the amount of that payment (or, as the case may be, the amount which in accordance with regulations is to be taken as payable to him by way of pension payments in respect of that period) exceeds the threshold,
- the amount of that benefit shall be reduced by an amount equal to 50 per cent. of that excess.
- (2) In subsection (1) above “the threshold” means—
- (a) if the period in question is a week, £85 or such greater amount as may be prescribed; or
- (b) if that period is not a week, such proportion of the amount mentioned in paragraph (a) as falls to be calculated in accordance with regulations on such basis as may be prescribed.
- (3) Regulations may secure that a person of any prescribed description does not suffer any reduction under subsection (1) above in any amount of incapacity benefit to which he is entitled.
- (4) Regulations may provide—

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- (a) for sums of any specified description to be disregarded for the purposes of this section;
 - (b) for sums of any specified description to be treated for those purposes as payable to persons as pension payments (including, in particular, sums in relation to which there is a deferred right of receipt);
 - (c) for the aggregation of sums of any specified description which are payable as pension payments (or treated as being so payable) in respect of the same or different periods;
 - (d) for such sums or aggregate sums to be apportioned between or otherwise allocated to periods in respect of which persons are entitled to incapacity benefit.
- (5) In this section “pension payment” means—
- (a) a periodical payment made in relation to a person under a personal pension scheme or, in connection with the coming to an end of an employment of his, under an occupational pension scheme or a public service pension scheme;
 - (b) a payment of any specified description, being a payment made under an insurance policy providing benefits in connection with physical or mental illness, disability, infirmity or defect; or
 - (c) a payment of any other specified description;
- and “specified” means prescribed by or determined in accordance with regulations under this section.
- (6) For the purposes of subsection (5) “occupational pension scheme”, “personal pension scheme” and “public service pension scheme” each have the meaning given by section 1 of the ^{M5}Pension Schemes Act 1993, except that “personal pension scheme” includes a contract or trust scheme approved under Chapter III of Part XIV of the ^{M6}Income and Corporation Taxes Act 1988 (retirement annuities).”

Commencement Information

I8 S. 63 wholly in force at 6.4.2001; s. 63 in force for certain purposes at 3.11.2000 and for all other purposes at 6.4.2001 by [S.I. 2000/2958](#), [art. 2\(3\)\(b\)\(4\)\(5\)](#) (subject to [arts. 3, 4](#)) (as amended (13.3.2001) by [S.I. 2001/933](#), [art. 3](#))

Marginal Citations

M5 1993 c. 48.

M6 1988 c. 1.

64 Incapacity benefit: persons incapacitated in youth.

- (1) In subsection (1) of section 30A of the Contributions and Benefits Act (incapacity benefit: entitlement)—
- (a) for “either of the following conditions” there shall be substituted—
 - “(a) either of the conditions mentioned in subsection (2) below; or
 - (b) if he satisfies neither of those conditions, each of the conditions mentioned in subsection (2A) below;”;

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- (b) after “any day of incapacity for work” there shall be inserted “ (“the relevant day”) ”.
- (2) In subsection (2) of that section—
- (a) after “conditions” there shall be inserted “ mentioned in subsection (1)(a) above ”; and
- (b) in paragraph (a), for “the day in question” there shall be substituted “ the relevant day ”.
- (3) After that subsection there shall be inserted—
- “(2A) The conditions mentioned in subsection (1)(b) above are that—
- (a) he is aged 16 or over on the relevant day;
- (b) he is under the age of 20 or, in prescribed cases, 25 on a day which forms part of the period of incapacity for work;
- (c) he was incapable of work throughout a period of 196 consecutive days immediately preceding the relevant day, or an earlier day in the period of incapacity for work on which he was aged 16 or over;
- (d) on the relevant day he satisfies the prescribed conditions as to residence in Great Britain, or as to presence there; and
- (e) he is not, on that day, a person who is receiving full-time education.”
- (4) In subsection (3) of that section, after “benefit” there shall be inserted “ under subsection (1)(a) above ”.
- (5) After subsection (5) of that section there shall be inserted—
- “(6) Regulations may provide that persons who have previously been entitled to incapacity benefit shall, in prescribed circumstances, be entitled to short-term incapacity benefit under subsection (1)(b) above notwithstanding that they do not satisfy the condition set out in paragraph (b) of subsection (2A) above.
- (7) Regulations may prescribe the circumstances in which a person is or is not to be treated as receiving full-time education for the purposes of paragraph (e) of that subsection.”

Commencement Information

- 19** S. 64 wholly in force at 6.4.2001; s. 64 in force for certain purposes at 3.11.2000 and for all other purposes at 6.4.2001 by [S.I. 2000/2958](#), [art. 2\(3\)\(c\)\(4\)\(5\)](#) (subject to [arts. 3, 4](#)) (as amended (13.3.2001) by [S.I. 2001/933](#), [art. 3](#))

65 Abolition of severe disablement allowance.

Sections 68 and 69 of the Contributions and Benefits Act (severe disablement allowance) shall cease to have effect.

Commencement Information

- 110** S. 65 wholly in force at 6.4.2001; s. 65 in force for certain purposes at 3.11.2000 and for all other purposes at 6.4.2001 by [S.I. 2000/2958](#), [art. 2\(3\)\(4\)\(5\)](#) (subject to [arts. 3, 4](#)) (as amended (13.3.2001) by [S.I. 2001/933](#), [art. 3](#))

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Disability benefits

66 Attendance allowance.

(1) After subsection (3) of section 64 of the Contributions and Benefits Act (entitlement to attendance allowance) there shall be added—

“(4) Circumstances may be prescribed in which a person is to be taken to satisfy or not to satisfy such of the conditions mentioned in subsections (2) and (3) above as may be prescribed.”

(2) In subsection (1) of section 66 of that Act (attendance allowance for the terminally ill)—

- (a) in paragraph (a)(i), for the words from “for the remainder of his life” to “terminally ill” there shall be substituted “ for so much of the period for which he is terminally ill as does not fall before the date of the claim ”;
- (b) in paragraph (a)(ii), for “that date”, in the first place where those words occur, there shall be substituted “ the date of the claim or, if later, the first date on which he is terminally ill ”; and
- (c) in paragraph (b), for “the remainder of the person’s life, beginning with that date” there shall be substituted “ so much of the period for which he is terminally ill as does not fall before the date of the claim ”.

67 Disability living allowance.

(1) In subsection (3) of section 71 of the Contributions and Benefits Act (disability living allowance), for “for life” there shall be substituted “ for an indefinite period ”.

(2) In subsection (5)(b) of section 72 of that Act (the care component), for “for the remainder of his life beginning with that date” there shall be substituted “ for so much of the period for which he is terminally ill as does not fall before the date of the claim ”.

(3) In subsection (1) of section 73 of that Act (the mobility component), for “the age of 5” there shall be substituted “ the relevant age ” and after that subsection there shall be inserted—

“(1A) In subsection (1) above “the relevant age” means—

- (a) in relation to the conditions mentioned in paragraph (a), (b) or (c) of that subsection, the age of 3;
- (b) in relation to the conditions mentioned in paragraph (d) of that subsection, the age of 5.”

(4) Subsection (3) does not affect awards made before the day on which that subsection comes into force.

Commencement Information

III S. 67 partly in force; s. 67 not in force at Royal Assent see s. 89; S. 67(1)(2) in force at 1.12.2000 by [S.I. 1999/3309](#), [art. 2\(3\)](#); s. 67(3)(4) in force at 9.4.2001 by [S.I. 2000/1382](#), [art. 2\(d\)](#)

Status: Point in time view as at 29/04/2013.

Changes to legislation: There are currently no known outstanding effects for the Welfare Reform and Pensions Act 1999, Part V. (See end of Document for details)

Miscellaneous

68 Certain overpayments of benefit not to be recoverable.

- (1) An overpayment to which this section applies shall not be recoverable from the payee, whether by the Secretary of State or a local authority, under any provision made by or under Part III of the Administration Act (overpayments and adjustments of benefit).
- (2) This section applies to an overpayment if—
 - (a) it is in respect of a qualifying benefit;
 - (b) it is referable to a decision given on a review that there has been an alteration in the relevant person’s condition, being a decision to which effect is required to be given as from a date earlier than that on which it was given;
 - (c) the decision was given before 1st June 1999; and
 - (d) the overpayment is not excluded by virtue of subsection (6).
- (3) In subsection (2)(b) the reference to a decision on a review that there has been an alteration in the relevant person’s condition is a reference to a decision so given that that person’s physical or mental condition either was at the time when the original decision was given, or has subsequently become, different from that on which that decision was based, with the result—
 - (a) that he did not at that time, or (as the case may be) has subsequently ceased to, meet any of the conditions contained in the following provisions of the Contributions and Benefits Act, namely—
 - (i) section 64 (attendance allowance),
 - (ii) section 72(1) or (2) (care component of disability living allowance), and
 - (iii) section 73(1) or (2) (mobility component of that allowance); or
 - (b) that he was at that time, or (as the case may be) has subsequently become, capable of work in accordance with regulations made under section 171C(2) of that Act (the all work test).
- (4) For the purposes of this section “qualifying benefit” means—
 - (a) attendance allowance;
 - (b) disability living allowance;
 - (c) any benefit awarded wholly or partly by reason of a person being (or being treated as being) in receipt of a component (at any rate) of disability living allowance or in receipt of attendance allowance;
 - (d) incapacity benefit;
 - (e) any benefit (other than incapacity benefit) awarded wholly or partly by reason of a person being (or being treated as being) incapable of work; or
 - (f) any benefit awarded wholly or partly by reason of a person being (or being treated as being) in receipt of any benefit falling within paragraph (c), (d) or (e).
- (5) For the purposes of this section—
 - (a) “review” means a review taking place by virtue of section 25(1)(a) or (b), 30(2)(a) or (b) or 35(1)(a) or (b) of the Administration Act;
 - (b) “the relevant person”, in relation to a review, means the person to whose entitlement to a qualifying benefit or to whose incapacity for work the review related; and

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- (c) “the original decision”, in relation to a review, means the decision as to any such entitlement or incapacity to which the review related.
- (6) An overpayment is excluded by virtue of this subsection if (before or after the passing of this Act)—
- (a) the payee has agreed to pay a penalty in respect of the overpayment under section 115A of the Administration Act,
 - (b) the payee has been convicted of any offence (under section 111A or 112(1) or (1A) of that Act or otherwise) in connection with the overpayment, or
 - (c) proceedings have been instituted against the payee for such an offence and the proceedings have not been determined or abandoned.
- (7) Nothing in this section applies to an overpayment to the extent that it was recovered from the payee (by any means) before 26th February 1999.
- (8) In this section—
- “benefit” includes any amount included in—
- (a) the applicable amount in relation to an income-related benefit (as defined by section 135(1) of the Contributions and Benefits Act), or
 - (b) the applicable amount in relation to a jobseeker’s allowance (as defined by section 4(5) of the ^{M7}Jobseekers Act 1995);
- “income-related benefit” has the meaning given by section 123(1) of the Contributions and Benefits Act;
- “overpayment” means an amount of benefit paid in excess of entitlement;
- “the payee”, in relation to an overpayment, means the person to whom that amount was paid.

Marginal Citations

M7 1995 c. 18.

69 Child benefit: claimant to state national insurance number.

In section 13 of the Administration Act (entitlement to child benefit dependent on claim), after subsection (1) there shall be inserted—

“(1A) No person shall be entitled to child benefit unless subsection (1B) below is satisfied in relation to him.

(1B) This subsection is satisfied in relation to a person if—

- (a) his claim for child benefit is accompanied by—
 - (i) a statement of his national insurance number and information or evidence establishing that that number has been allocated to him; or
 - (ii) information or evidence enabling the national insurance number that has been allocated to him to be ascertained; or
- (b) he makes an application for a national insurance number to be allocated to him which is accompanied by information or evidence enabling such a number to be so allocated.

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- (1C) Regulations may make provision disapplying subsection (1A) above in the case of—
- (a) prescribed descriptions of persons making claims, or
 - (b) prescribed descriptions of children in respect of whom child benefit is claimed,
- or in other prescribed circumstances.”

Commencement Information

- I12** S. 69 wholly in force at 15.5.2000; s. 69 not in force at Royal Assent see s. 89(1); s. 69 in force for certain purposes at 17.4.2000 and for all other purposes at 15.5.2000 by [S.I. 2000/1047](#), [art. 2\(1\)](#)

70 Welfare benefits: miscellaneous amendments.

Schedule 8 (which makes minor and consequential amendments of provisions relating to welfare benefits) shall have effect.

Commencement Information

- I13** s. 70 partly in force; s. 70 not in force at Royal Assent see s. 89; s. 70 in force for certain purposes at 13.12.1999, for certain further purposes at 12.1.2000, for certain further purposes at 2.4.2000 and for certain further purposes at 3.4.2000 by [S.I. 1999/3309](#), [art. 2\(1\)\(b\)\(i\)\(ii\)\(2\)\(a\)\(b\)](#); s. 70 in force for certain further purposes at 24.4.2000, for certain further purposes at 1.12.2000 and for certain further purposes at 9.4.2001 by [S.I. 2000/1047](#), [art. 2\(2\)\(a\)\(2\)\(d\)](#), [Sch. Pt. I, Pt. IV](#); s. 70 in force for certain further purposes at 3.11.2000 and for certain further purposes at 6.4.2001 by [S.I. 2000/2958](#), [art. 2\(3\)\(e\)\(f\)\(4\)\(5\)\(6\)](#) (subject to [arts. 3, 4](#)) (as amended (13.3.2001) by [S.I. 2001/933](#), [art. 3](#)); s. 70 in force for certain further purposes at 19.3.2001 by [S.I. 2001/933](#), [art. 4\(a\)](#)

Supplementary

71 Sharing of functions as regards claims and information.

After section 7 of the Administration Act there shall be inserted—

“ Sharing of functions as regards certain claims and information

7A Sharing of functions as regards certain claims and information.

- (1) Regulations may, for the purpose of supplementing the persons or bodies to whom claims for relevant benefits may be made, make provision—
- (a) as regards housing benefit or council tax benefit, for claims for that benefit to be made to—
 - (i) a Minister of the Crown, or
 - (ii) a person providing services to a Minister of the Crown;
 - (b) as regards any other relevant benefit, for claims for that benefit to be made to—
 - (i) a local authority,

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- (ii) a person providing services to a local authority, or
 - (iii) a person authorised to exercise any function of a local authority relating to housing benefit or council tax benefit.
- (2) Regulations may make provision for or in connection with—
- (a) the forwarding by a relevant authority of—
 - (i) claims received by virtue of any provision authorised by subsection (1) above, and
 - (ii) information or evidence supplied in connection with making such claims (whether supplied by persons making the claims or by other persons);
 - (b) the receiving and forwarding by a relevant authority of information or evidence relating to social security matters supplied by, or the obtaining by a relevant authority of such information or evidence from—
 - (i) persons making, or who have made, claims for a relevant benefit, or
 - (ii) other persons in connection with such claims, including information or evidence not relating to the claims or benefit in question;
 - (c) the recording by a relevant authority of information or evidence relating to social security matters supplied to, or obtained by, the authority and the holding by the authority of such information or evidence (whether as supplied or obtained or as recorded);
 - (d) the giving of information or advice with respect to social security matters by a relevant authority to persons making, or who have made, claims for a relevant benefit.
- (3) In paragraphs (b) and (d) of subsection (2) above—
- (a) references to claims for a relevant benefit are to such claims whether made as mentioned in subsection (1)(a) or (b) above or not; and
 - (b) references to persons who have made such claims include persons to whom awards of benefit have been made on the claims.
- (4) Regulations under this section may make different provision for different areas.
- (5) Regulations under any other enactment may make such different provision for different areas as appears to the Secretary of State expedient in connection with any exercise by regulations under this section of the power conferred by subsection (4) above.
- (6) In this section—
- (a) “benefit” includes child support or a war pension (any reference to a claim being read, in relation to child support, as a reference to an application under the ^{M8}Child Support Act 1991 for a maintenance assessment);
 - (b) “local authority” means an authority administering housing benefit or council tax benefit;
 - (c) “relevant authority” means—
 - (i) a Minister of the Crown,
 - (ii) a person providing services to a Minister of the Crown,
 - (iii) a local authority,

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- (iv) a person providing services to a local authority, or
 - (v) a person authorised to exercise any function of a local authority relating to housing benefit or council tax benefit;
 - (d) “relevant benefit” means housing benefit, council tax benefit or any other benefit prescribed for the purposes of this section;
 - (e) “social security matters” means matters relating to social security, child support or war pensions;
- and in this subsection “war pension” means a war pension within the meaning of section 25 of the ^{M9}Social Security Act 1989 (establishment and functions of war pensions committees).”

Marginal Citations

M8 1991 c. 48.

M9 1989 c. 24.

72 Supply of information for certain purposes.

- (1) The Secretary of State may by regulations make such provision for or in connection with any of the following matters, namely—
- (a) the use by a person within subsection (2) of social security information^[F10], or information relating to employment or training,] held by that person,
 - (b) the supply (whether to a person within subsection (2) or otherwise) of social security information^[F11], or information relating to employment or training,] held by a person within that subsection,
 - (c) the relevant purposes for which a person to whom such information is supplied under the regulations may use it, and
 - (d) the circumstances and extent (if any) in and to which a person to whom such information is supplied under the regulations may supply it to any other person (whether within subsection (2) or not),
- as the Secretary of State considers appropriate in connection with any provision to which subsection (3) applies or in connection with any scheme or arrangements to which subsection (4) applies.
- (2) The persons within this subsection are—
- (a) a Minister of the Crown;
 - (b) a person providing services to, or designated ^[F12](specifically or by description)] for the purposes of this section by an order of, a Minister of the Crown;
 - (c) a local authority (within the meaning of the Administration Act); ^{F13}...
 - ^[F14](ca) a county council in England; and]
 - (d) a person providing services to, or authorised to exercise any function of, ^[F15]any authority mentioned in paragraph (c) or (ca)].
- (3) This subsection applies to any provision made by or under—
- ^[F16](a) any of sections 2A to 2F and 7A of the Administration Act,]
 - (b) section 60 of this Act, ^{F17}...
 - (c) the ^{M10}Jobseekers Act 1995^{[F18, F19} ...
 - (d) Part 1 of the Welfare Reform Act 2007^[F20], or]

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- (e) Part 1 of the Welfare Reform Act 2012.]
- (4) This subsection applies to—
- (a) any scheme designated by regulations under subsection (1), being a scheme operated by the Secretary of State (whether under arrangements with any other person or not) for any purposes connected with employment or training in the case of persons of a particular category or description;
 - (b) any arrangements of a description specified in such regulations, being arrangements made by the Secretary of State for any such purposes.
- (5) Regulations under subsection (1) may, in particular, authorise information supplied to a person under the regulations—
- (a) to be used for the purpose of amending or supplementing other information held by that person; and
 - (b) if it is so used, to be supplied to any other person, and used for any purpose, to whom or for which that other information could be supplied or used.
- (6) In this section—
- “relevant purposes” means purposes connected with—
- (a) social security, child support or war pensions, or
 - (b) employment or training;
- “social security information” means ^{F21}... information relating to social security, child support or war pensions;
- and in this subsection “war pensions” means war pensions within the meaning of section 25 of the ^{M11}Social Security Act 1989 (establishment and functions of war pensions committees).
- ^{F22}(6A)
- (7) Any reference in this section to [^{F23}information relating to, or purposes connected with, employment or training includes information relating to, or purposes connected with,] the existing or future employment or training prospects or needs of persons, and (in particular) assisting or encouraging persons to enhance their employment prospects.

Textual Amendments

- F10** Words in s. 72(1)(a) inserted (12.1.2010) by [Welfare Reform Act 2009 \(c. 24\)](#), **ss. 34(4)(a)**, 61(2)
- F11** Words in s. 72(1)(b) inserted (12.1.2010) by [Welfare Reform Act 2009 \(c. 24\)](#), **ss. 34(4)(a)**, 61(2)
- F12** Words in s. 72(2)(b) inserted (8.5.2012) by [Welfare Reform Act 2012 \(c. 5\)](#), **ss. 134(2)**, 150(2)(g)
- F13** Word in s. 72(2) repealed (26.1.2009) by [Education and Skills Act 2008 \(c. 25\)](#), s. 173(4)(4), **Sch. 2**; S.I. 2008/3077, art. 4(h)(ii)
- F14** S. 72(2)(ca) inserted (30.3.2010) by [Education and Skills Act 2008 \(c. 25\)](#), s. 173(4), **Sch. 1 para. 74(2)(a)**; S.I. 2010/1093, art. 2(b)
- F15** Words in s. 72(2)(d) substituted (30.3.2010) by [Education and Skills Act 2008 \(c. 25\)](#), s. 173(4), **Sch. 1 para. 74(2)(b)**; S.I. 2010/1093, art. 2(b)
- F16** S. 72(3)(a) substituted for s. 72(3)(a)(aa) (12.11.2009) by [Welfare Reform Act 2009 \(c. 24\)](#), **ss. 2(5)**, 61(1)
- F17** Word in s. 72(3)(b) repealed (27.10.2008) by [Welfare Reform Act 2007 \(c. 5\)](#), s. 70(1), **Sch. 8**; S.I. 2008/787, art. 2(4)(g)
- F18** S. 72(3)(d) and word inserted (18.3.2008 for specified purposes, 27.10.2008 in so far as not already in force) by [Welfare Reform Act 2007 \(c. 5\)](#), s. 70(2), **Sch. 3 para. 18**; S.I. 2008/787, art. 2(1)(4)(f), Sch.

Status: Point in time view as at 29/04/2013.

Changes to legislation: There are currently no known outstanding effects for the Welfare Reform and Pensions Act 1999, Part V. (See end of Document for details)

- F19** Word in s. 72(3)(c) deleted (29.4.2013) by [The Universal Credit \(Consequential, Supplementary, Incidental and Miscellaneous Provisions\) Regulations 2013 \(S.I. 2013/630\)](#), regs. 1(2), **15(a)**
- F20** S. 72(3)(e) and word inserted (29.4.2013) by [The Universal Credit \(Consequential, Supplementary, Incidental and Miscellaneous Provisions\) Regulations 2013 \(S.I. 2013/630\)](#), regs. 1(2), **15(b)**
- F21** Words in s. 72(6) repealed (8.5.2012) by [Welfare Reform Act 2012 \(c. 5\)](#), **ss. 134(3)(a)**, 150(2)(g)
- F22** S. 72(6A) repealed (8.5.2012) by [Welfare Reform Act 2012 \(c. 5\)](#), **ss. 134(3)(b)**, 150(2)(g)
- F23** Words in s. 72(7) substituted (12.1.2010) by [Welfare Reform Act 2009 \(c. 24\)](#), **ss. 34(4)(b)**, 61(2)

Marginal Citations

- M10** 1995 c. 18.
M11 1989 c. 24.

CHAPTER II

NATIONAL INSURANCE CONTRIBUTIONS

73 New threshold for primary Class 1 contributions.

Schedule 9 (which amends the Contributions and Benefits Act, the Administration Act and the ^{M12}Pension Schemes Act 1993 so as to make provision for and in connection with the introduction of a new primary threshold for primary Class 1 contributions) shall have effect.

Commencement Information

- I14** S. 73 wholly in force at 6.4.2000; s. 73 not in force at Royal Assent see s. 89; s. 73 in force at 22.12.1999 for certain purposes and at 6.4.2000 for all other purposes see S.I. 1999/3420, art. 2

Marginal Citations

- M12** 1993 c. 48.

74 New threshold for primary Class 1 contributions: Northern Ireland.

Schedule 10 (which amends the ^{M13}Social Security Contributions and Benefits (Northern Ireland) Act 1992, the ^{M14}Social Security Administration (Northern Ireland) Act 1992 and the ^{M15}Pension Schemes (Northern Ireland) Act 1993 so as to make provision for and in connection with the introduction for Northern Ireland of a new primary threshold for primary Class 1 contributions) shall have effect.

Commencement Information

- I15** S. 74 wholly in force at 6.4.2000; s. 74 not in force at Royal Assent see s. 89; s. 74 in force at 22.12.1999 for certain purposes and at 6.4.2000 for all other purposes see S.I. 1999/3420, art. 2

Marginal Citations

- M13** 1992 c. 7.
M14 1992 c. 8.
M15 1993 c. 49.

Status: Point in time view as at 29/04/2013.

Changes to legislation: There are currently no known outstanding effects for the Welfare Reform and Pensions Act 1999, Part V. (See end of Document for details)

75 **Earnings of workers supplied by service companies etc.**

After section 4 of the Contributions and Benefits Act there shall be inserted—

“4A Earnings of workers supplied by service companies etc.

- (1) Regulations may make provision for securing that where—
- (a) an individual (“the worker”) personally performs, or is under an obligation personally to perform, services for the purposes of a business carried on by another person (“the client”),
 - (b) the performance of those services by the worker is (within the meaning of the regulations) referable to arrangements involving a third person (and not referable to any contract between the client and the worker), and
 - (c) the circumstances are such that, were the services to be performed by the worker under a contract between him and the client, he would be regarded for the purposes of the applicable provisions of this Act as employed in employed earner’s employment by the client,
- relevant payments or benefits are, to the specified extent, to be treated for those purposes as earnings paid to the worker in respect of an employed earner’s employment of his.
- (2) For the purposes of this section—
- (a) “the intermediary” means—
 - (i) where the third person mentioned in subsection (1)(b) above has such a contractual or other relationship with the worker as may be specified, that third person, or
 - (ii) where that third person does not have such a relationship with the worker, any other person who has both such a relationship with the worker and such a direct or indirect contractual or other relationship with the third person as may be specified; and
 - (b) a person may be the intermediary despite being—
 - (i) a person with whom the worker holds any office or employment, or
 - (ii) a body corporate, unincorporated body or partnership of which the worker is a member;

and subsection (1) above applies whether or not the client is a person with whom the worker holds any office or employment.
- (3) Regulations under this section may, in particular, make provision—
- (a) for the worker to be treated for the purposes of the applicable provisions of this Act, in relation to the specified amount of relevant payments or benefits (the worker’s “attributable earnings”), as employed in employed earner’s employment by the intermediary;
 - (b) for the intermediary (whether or not he fulfils the conditions prescribed under section 1(6)(a) above for secondary contributors) to be treated for those purposes as the secondary contributor in respect of the worker’s attributable earnings;
 - (c) for determining—
 - (i) any deductions to be made, and

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- (ii) in other respects the manner and basis in and on which the amount of the worker's attributable earnings for any specified period is to be calculated or estimated, in connection with relevant payments or benefits;
 - (d) for aggregating any such amount, for purposes relating to contributions, with other earnings of the worker during any such period;
 - (e) for determining the date by which contributions payable in respect of the worker's attributable earnings are to be paid and accounted for;
 - (f) for apportioning payments or benefits of any specified description, in such manner or on such basis as may be specified, for the purpose of determining the part of any such payment or benefit which is to be treated as a relevant payment or benefit for the purposes of the regulations;
 - (g) for disregarding for the purposes of the applicable provisions of this Act, in relation to relevant payments or benefits, an employed earner's employment in which the worker is employed (whether by the intermediary or otherwise) to perform the services in question;
 - (h) for otherwise securing that a double liability to pay any amount by way of a contribution of any description does not arise in relation to a particular payment or benefit or (as the case may be) a particular part of a payment or benefit;
 - (i) for securing that, to the specified extent, two or more persons, whether—
 - (i) connected persons (within the meaning of section 839 of the ^{MI6}Income and Corporation Taxes Act 1988), or
 - (ii) persons of any other specified description,are treated as a single person for any purposes of the regulations;
 - (j) (without prejudice to paragraph (i) above) for securing that a contract made with a person other than the client is to be treated for any such purposes as made with the client;
 - (k) for excluding or modifying the application of the regulations in relation to such cases, or payments or benefits of such description, as may be specified.
- (4) Regulations made in pursuance of subsection (3)(c) above may, in particular, make provision—
- (a) for the making of a deduction of a specified amount in respect of general expenses of the intermediary as well as deductions in respect of particular expenses incurred by him;
 - (b) for securing reductions in the amount of the worker's attributable earnings on account of—
 - (i) any secondary Class 1 contributions already paid by the intermediary in respect of actual earnings of the worker, and
 - (ii) any such contributions that will be payable by him in respect of the worker's attributable earnings.
- (5) Regulations under this section may make provision for securing that, in applying any provisions of the regulations, any term of a contract or other

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arrangement which appears to be of a description specified in the regulations is to be disregarded.

(6) In this section—

“the applicable provisions of this Act” means this Part of this Act and Parts II to V below;

“business” includes any activity carried on—

(a) by a government department or public or local authority (in the United Kingdom or elsewhere), or

(b) by a body corporate, unincorporated body or partnership;

“relevant payments or benefits” means payments or benefits of any specified description made or provided (whether to the intermediary or the worker or otherwise) in connection with the performance by the worker of the services in question;

“specified” means prescribed by or determined in accordance with regulations under this section.

(7) Any reference in this section to the performance by the worker of any services includes a reference to any such obligation of his to perform them as is mentioned in subsection (1)(a) above.

(8) Regulations under this section shall be made by the Treasury with the concurrence of the Secretary of State.

(9) If, on any modification of the statutory provisions relating to income tax, it appears to the Treasury to be expedient to modify any of the preceding provisions of this section for the purpose of assimilating the law relating to income tax and the law relating to contributions under this Part of this Act, the Treasury may with the concurrence of the Secretary of State by order make such modifications of the preceding provisions of this section as the Treasury think appropriate for that purpose.”

Marginal Citations

M16 1988 c. 1.

76 Earnings of workers supplied by service companies etc: Northern Ireland.

After section 4 of the Social Security Contributions and Benefits (Northern Ireland) Act 1992 there shall be inserted—

“4A Earnings of workers supplied by service companies etc.

(1) Regulations may make provision for securing that where—

(a) an individual (“the worker”) personally performs, or is under an obligation personally to perform, services for the purposes of a business carried on by another person (“the client”),

(b) the performance of those services by the worker is (within the meaning of the regulations) referable to arrangements involving a third person (and not referable to any contract between the client and the worker), and

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- (c) the circumstances are such that, were the services to be performed by the worker under a contract between him and the client, he would be regarded for the purposes of the applicable provisions of this Act as employed in employed earner's employment by the client, relevant payments or benefits are, to the specified extent, to be treated for those purposes as earnings paid to the worker in respect of an employed earner's employment of his.
- (2) For the purposes of this section—
- (a) “the intermediary” means—
- (i) where the third person mentioned in subsection (1)(b) above has such a contractual or other relationship with the worker as may be specified, that third person, or
- (ii) where that third person does not have such a relationship with the worker, any other person who has both such a relationship with the worker and such a direct or indirect contractual or other relationship with the third person as may be specified; and
- (b) a person may be the intermediary despite being—
- (i) a person with whom the worker holds any office or employment, or
- (ii) a body corporate, unincorporated body or partnership of which the worker is a member;
- and subsection (1) above applies whether or not the client is a person with whom the worker holds any office or employment.
- (3) Regulations under this section may, in particular, make provision—
- (a) for the worker to be treated for the purposes of the applicable provisions of this Act, in relation to the specified amount of relevant payments or benefits (the worker's “attributable earnings”), as employed in employed earner's employment by the intermediary;
- (b) for the intermediary (whether or not he fulfils the conditions prescribed under section 1(6)(a) above for secondary contributors) to be treated for those purposes as the secondary contributor in respect of the worker's attributable earnings;
- (c) for determining—
- (i) any deductions to be made, and
- (ii) in other respects the manner and basis in and on which the amount of the worker's attributable earnings for any specified period is to be calculated or estimated,
- in connection with relevant payments or benefits;
- (d) for aggregating any such amount, for purposes relating to contributions, with other earnings of the worker during any such period;
- (e) for determining the date by which contributions payable in respect of the worker's attributable earnings are to be paid and accounted for;
- (f) for apportioning payments or benefits of any specified description, in such manner or on such basis as may be specified, for the purpose of determining the part of any such payment or benefit which is to

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- be treated as a relevant payment or benefit for the purposes of the regulations;
- (g) for disregarding for the purposes of the applicable provisions of this Act, in relation to relevant payments or benefits, an employed earner's employment in which the worker is employed (whether by the intermediary or otherwise) to perform the services in question;
 - (h) for otherwise securing that a double liability to pay any amount by way of a contribution of any description does not arise in relation to a particular payment or benefit or (as the case may be) a particular part of a payment or benefit;
 - (i) for securing that, to the specified extent, two or more persons, whether—
 - (i) connected persons (within the meaning of section 839 of the ^{M17}Income and Corporation Taxes Act 1988), or
 - (ii) persons of any other specified description,
 are treated as a single person for any purposes of the regulations;
 - (j) (without prejudice to paragraph (i) above) for securing that a contract made with a person other than the client is to be treated for any such purposes as made with the client;
 - (k) for excluding or modifying the application of the regulations in relation to such cases, or payments or benefits of such description, as may be specified.
- (4) Regulations made in pursuance of subsection (3)(c) above may, in particular, make provision—
- (a) for the making of a deduction of a specified amount in respect of general expenses of the intermediary as well as deductions in respect of particular expenses incurred by him;
 - (b) for securing reductions in the amount of the worker's attributable earnings on account of—
 - (i) any secondary Class 1 contributions already paid by the intermediary in respect of actual earnings of the worker, and
 - (ii) any such contributions that will be payable by him in respect of the worker's attributable earnings.
- (5) Regulations under this section may make provision for securing that, in applying any provisions of the regulations, any term of a contract or other arrangement which appears to be of a description specified in the regulations is to be disregarded.
- (6) In this section—
- “the applicable provisions of this Act” means this Part of this Act and Parts II to V below;
 - “business” includes any activity carried on—
 - (a) by a government department or public or local authority (in the United Kingdom or elsewhere), or
 - (b) by a body corporate, unincorporated body or partnership;
 - “relevant payments or benefits” means payments or benefits of any specified description made or provided (whether to the intermediary or the worker or otherwise) in connection with the performance by the worker of the services in question;

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“specified” means prescribed by or determined in accordance with regulations under this section.

- (7) Any reference in this section to the performance by the worker of any services includes a reference to any such obligation of his to perform them as is mentioned in subsection (1)(a) above.
- (8) Regulations under this section shall be made by the Treasury with the concurrence of the Department.
- (9) If, on any modification of the statutory provisions relating to income tax, it appears to the Treasury to be expedient to modify any of the preceding provisions of this section for the purpose of assimilating the law relating to income tax and the law relating to contributions under this Part of this Act, the Treasury may with the concurrence of the Department by order make such modifications of the preceding provisions of this section as the Treasury think appropriate for that purpose.”

Marginal Citations

M17 1988 c. 1.

77 Class 1B contributions.

In section 10A of the Contributions and Benefits Act (Class 1B contributions), for subsection (6) (level of Class 1B percentage) there shall be substituted—

“(6) In subsection (3) above “the Class 1B percentage” means a percentage rate equal to that specified as the secondary percentage in section 9(2) above for the tax year in question.”

78 Class 1B contributions: Northern Ireland.

In section 10A of the ^{M18}Social Security Contributions and Benefits (Northern Ireland) Act 1992 (Class 1B contributions), for subsection (6) (level of Class 1B percentage) there shall be substituted—

“(6) In subsection (3) above “the Class 1B percentage” means a percentage rate equal to that specified as the secondary percentage in section 9(2) above for the tax year in question.”

Marginal Citations

M18 1992 c. 7.

Status: Point in time view as at 29/04/2013.

Changes to legislation: There are currently no known outstanding effects for the Welfare Reform and Pensions Act 1999, Part V. (See end of Document for details)

CHAPTER III

OTHER WELFARE PROVISIONS

79 Measures to reduce under-occupation by housing benefit claimants.

- (1) The Secretary of State may by regulations make a scheme providing for a housing benefit claimant, where he moves from an under-occupied dwelling in the public or social rented sector to a qualifying dwelling, to be entitled to be paid an amount calculated by reference to the difference between—
 - (a) the prescribed payments he was liable to make in respect of his former dwelling, and
 - (b) those he is liable to make in respect of his new dwelling.
- (2) In subsection (1) the reference to a qualifying dwelling is to a dwelling (whether in the public or social rented sector or not) which, in relation to the claimant, either—
 - (a) is not under-occupied, or
 - (b) is under-occupied to a lesser extent than the claimant's former dwelling.
- (3) Regulations under this section may, in particular, make provision—
 - (a) as to the circumstances in which, in relation to a housing benefit claimant, a dwelling is or is not to be regarded for the purposes of the scheme as under-occupied or under-occupied to a lesser extent than another dwelling;
 - (b) as to the manner in which an amount payable to such a claimant under the scheme is to be calculated;
 - (c) for any such amount to be payable (subject to subsection (7))—
 - (i) in a case where the claimant's former and new dwellings are situated in the area of the same local authority, by that authority, or
 - (ii) in a case where they are situated in the areas of different local authorities, by whichever of those authorities is prescribed.
- (4) Regulations made in pursuance of subsection (3)(b) may provide for the amount payable to a housing benefit claimant under the scheme ("the relevant amount") to be reduced on account of—
 - (a) any arrears of rent payable by him, or
 - (b) any amount paid to him by way of housing benefit which constitutes an overpayment for housing benefit purposes;

but regulations under this section shall not otherwise provide for the making of any reduction in the relevant amount on account of any sum due to or recoverable by any public or local authority.
- (5) A person aggrieved by a determination of any prescribed description made under regulations under this section may appeal to such court or tribunal as may be prescribed; and the regulations may make provision as to the procedure to be followed in connection with appeals under this subsection.
- (6) Regulations under this section may provide that the scheme is to apply only in relation to one or more prescribed areas; and, if they do so, they may also—
 - (a) provide that (unless continued in force by subsequent regulations under this section) the scheme is to remain in force there only for a prescribed period;

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- (b) include such transitional, consequential or saving provisions as the Secretary of State considers appropriate in connection with the scheme ceasing to be in force in relation to the area or areas at the end of that period.
- (7) Despite the fact that the scheme is in force in relation to the area of a local authority (whether by virtue of subsection (6) or otherwise), it shall not have effect in relation to the authority unless it has been adopted by resolution of the authority.
- (8) Where a local authority makes any payment under the scheme the authority shall be reimbursed by the Secretary of State in respect of that payment in such manner and subject to such conditions as to claims, records, certificates or other information or evidence as may be prescribed (any reduction made by virtue of subsection (4) being disregarded for the purposes of this subsection).
- (9) Subject to any prescribed exceptions or modifications, the provisions of the Administration Act shall have effect in relation to payments under the scheme as they have effect in relation to housing benefit.
- (10) For the purposes of this section a dwelling occupied by a housing benefit claimant is in the public or social rented sector if the payments which the claimant is liable to make in respect of the dwelling (and on account of which he is entitled to housing benefit) are to be made to—
- (a) a local authority,
 - (b) ^{F24} an unregistered housing association within the meaning of the Housing Associations Act 1985,
 - (ba) a private registered provider of social housing,
 - (bb) a registered social landlord within the meaning of Part 1 of the Housing Act 1996,] or
 - (c) in Scotland, a registered housing association within the meaning of the ^{M20}Housing Associations Act 1985.
- ^{F25}(10A) But if the payments are to be made to a profit-making private registered provider of social housing the dwelling is in the public or social rented sector for the purposes of this section only if the dwelling is social housing within the meaning of Part 2 of the Housing and Regeneration Act 2008.]
- (11) In this section—
- “dwelling” has the same meaning as in Part VII of the Contributions and Benefits Act (income-related benefits);
 - “housing benefit claimant”, in relation to a dwelling, means a person entitled to housing benefit by virtue of being liable to make payments in respect of the dwelling;
 - “local authority” has the same meaning as in the Administration Act;
 - “prescribed” means specified in or determined in accordance with regulations under this section.

Textual Amendments

F24 S. 79(10)(b)(ba)(bb) substituted for s. 79(10)(b) (1.4.2010) by [The Housing and Regeneration Act 2008 \(Consequential Provisions\) Order 2010 \(S.I. 2010/866\)](#), art. 1(2), **Sch. 2 para. 117(2)** (with art. 6, Sch. 3)

F25 S. 79(10A) inserted (1.4.2010) by [The Housing and Regeneration Act 2008 \(Consequential Provisions\) Order 2010 \(S.I. 2010/866\)](#), art. 1(2), **Sch. 2 para. 117(3)** (with art. 6, Sch. 3)

Status: Point in time view as at 29/04/2013.

Changes to legislation: There are currently no known outstanding effects for the Welfare Reform and Pensions Act 1999, Part V. (See end of Document for details)

Marginal Citations

M19 1996 c. 52.

M20 1985 c. 69.

F²⁶ 80 Supply of information for child support purposes.

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Textual Amendments

F26 S. 80 repealed (1.11.2008) by Child Maintenance and Other Payments Act 2008 (c. 6), s. 62(3), **Sch. 8**; S.I. 2008/2675, art. 3(g)(ii)

Status:

Point in time view as at 29/04/2013.

Changes to legislation:

There are currently no known outstanding effects for the Welfare Reform and Pensions Act 1999, Part V.