

Status: Point in time view as at 01/11/2007. This version of this provision has been superseded.
Changes to legislation: Terrorism Act 2000, Paragraph 1 is up to date with all changes known to be in force on or before 21 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

[^{F1}SCHEDULE 3A

REGULATED SECTOR AND SUPERVISORY AUTHORITIES]

Textual Amendments

F1 Sch. 3A inserted (20.12.2001) by 2001 c. 24, s. 3, **Sch. 2 Pt. 3 para. 6**; S.I. 2001/4019, **art. 2(1)(c)**

^{F1}PART 1

REGULATED SECTOR

Textual Amendments

F1 Sch. 3A Pts. 1 and 2 substituted (15.12.2007) by [The Terrorism Act 2000 \(Business in the Regulated Sector and Supervisory Authorities\) Order 2007 \(S.I. 2007/3288\)](#), **art. 2**

Business in the regulated sector

- 1 (1) A business is in the regulated sector to the extent that it engages in any of the following activities in the United Kingdom—
- (a) a regulated activity specified in sub-paragraph (2);
 - (b) the activities of the National Savings Bank;
 - (c) any activity carried on for the purpose of raising money authorised to be raised under the National Loans Act 1968 (c. 13) under the auspices of the Director of Savings;
 - (d) the business of operating a bureau de change, transmitting money (or any representation of monetary value) by any means or cashing cheques which are made payable to customers;
 - (e) any of the activities in points 1 to 12 or 14 of Annex 1 to the Banking Consolidation Directive when carried on by way of business, ignoring an activity falling within any of paragraphs (a) to (d);
 - (f) estate agency work;
 - (g) operating a casino by way of business;
 - (h) the activities of a person appointed to act as an insolvency practitioner within the meaning of section 388 of the Insolvency Act 1986 (c. 45) or Article 3 of the Insolvency (Northern Ireland) Order 1989 (S.I. 1989/2405 (N.I. 19));
 - (i) the provision by way of business of advice about the tax affairs of another person by a body corporate or unincorporate or, in the case of a sole practitioner, by an individual;

Status: Point in time view as at 01/11/2007. This version of this provision has been superseded.

Changes to legislation: Terrorism Act 2000, Paragraph 1 is up to date with all changes known to be in force on or before 21 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (j) the provision by way of business of accountancy services by a body corporate or unincorporate or, in the case of a sole practitioner, by an individual;
 - (k) the provision by way of business of audit services by a person who is eligible for appointment as a company auditor under section 25 of the Companies Act 1989 (c. 40) or Article 28 of the Companies (Northern Ireland) Order 1990 (S.I. 1990/593 (N.I. 5));
 - (l) the provision by way of business of legal services by a body corporate or unincorporate or, in the case of a sole practitioner, by an individual and which involves participation in a financial or real property transaction (whether by assisting in the planning or execution of any such transaction or otherwise by acting for, or on behalf of, a client in any such transaction);
 - (m) the provision by way of business of services in relation to the formation, operation or management of a company or a trust;
 - (n) the activity of dealing in goods of any description by way of business (including dealing as an auctioneer) whenever a transaction involves accepting a total cash payment of 15,000 euro or more.
- (2) These are the regulated activities—
- (a) accepting deposits;
 - (b) effecting or carrying out contracts of long-term insurance when carried on by a person who has received official authorisation pursuant to Article 4 or 51 of the Life Assurance Consolidation Directive;
 - (c) dealing in investments as principal or as agent;
 - (d) arranging deals in investments;
 - [^{F1}(da) operating a multilateral trading facility;]
 - (e) managing investments;
 - (f) safeguarding and administering investments;
 - (g) sending dematerialised instructions;
 - (h) establishing (and taking other steps in relation to) collective investment schemes;
 - (i) advising on investments;
 - (j) issuing electronic money.

Textual Amendments

F1 Sch. 3A para. 1(2)(da) inserted (1.11.2007) by The Terrorism Act 2000 (Business in the Regulated Sector) Order 2007 (S.I. 2007/207), **art. 2**

Status:

Point in time view as at 01/11/2007. This version of this provision has been superseded.

Changes to legislation:

Terrorism Act 2000, Paragraph 1 is up to date with all changes known to be in force on or before 21 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.