



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

An Act to make provision about the regulation of financial services and markets; to provide for the transfer of certain statutory functions relating to building societies, friendly societies, industrial and provident societies and certain other mutual societies; and for connected purposes. [14th June 2000]

Be it enacted by the Queen's most Excellent Majesty, by and with the advice and consent of the Lords Spiritual and Temporal, and Commons, in this present Parliament assembled, and by the authority of the same, as follows:—

### Modifications etc. (not altering text)

- C1** Act restricted (11.8.2001) by S.I. 2001/2659, **art. 2(4)(c)**  
Act excluded (1.12.2001) by S.I. 2001/2617, **arts. 2(b), 10(9)**; S.I. 2001/3538, **art. 2(1)**  
Act: specified provisions excluded (1.12.2001) by S.I. 2001/2957, **arts. 1, 13(8)(b)**; S.I. 2001/3538, **art. 2(1)**  
Act restricted (1.12.2001) by S.I. 2001/3646, **arts. 2(7)(b), 4(6)(b), 6(4)(b), 7(4)(c), 8(4)(b), 9(4)(b)**  
Act applied (with modifications) by S.I. 1994/188, **reg. 4** (as amended (1.12.2001) by S.I. 2001/3649, **arts. 1, 454**)
- C2** Act modified (18.7.2002 for certain purposes and 21.8.2002 otherwise) by The Electronic Commerce Directive (Financial Services and Markets) Regulations 2002 (S.I. 2002/1775), **regs. 1, 17**
- C3** Act extended (E.W.S.) (1.1.2003) by 2000 c. 39, s. 15(2); S.I. 2002/2711, **art. 2** (subject to **arts. 3-5**)
- C4** Act: power to modify, exclude or apply conferred (N.I.) (10.8.2004) by Open-Ended Investment Companies Act (Northern Ireland) 2002 (c. 13 (N.I.)), **ss. 1(2)(l)(3)(b)(f)(4), 4**; S.R. 2004/333, **art. 2**
- C5** Act extended (18.2.2004) by The Insurers (Reorganisation and Winding Up) Regulations 2004 (S.I. 2004/353), **regs. 2(5), 3**
- C6** Act extended (5.5.2004) by The Credit Institutions (Reorganisation and Winding up) Regulations 2004 (S.I. 2004/1045), **reg. 2(5)**
- C7** Act extended by The European Communities (Lawyer's Practice) Regulations 2000 (S.I. 2000/1119), **reg. 14, Sch. 3 Pt. 1** (as amended (16.9.2004) by The European Communities (Lawyer's Practice) (Amendment) Regulations 2004 (S.I. 2004/1628), **reg. 6**)
- C8** Act modified (31.10.2004) by The Financial Services and Markets Act 2000 (Transitional Provisions) (Mortgages) Order 2004 (S.I. 2004/2615), **arts. 1(2)(b), 5, Sch. para. 5**

*Status: Point in time view as at 30/06/2024.*

*Changes to legislation: Financial Services and Markets Act 2000, Introductory Text is up to date with all changes known to be in force on or before 15 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- C9 Act modified (31.12.2004) by The Insurance Accounts Directive (Lloyd's Syndicate and Aggregate Accounts) Regulations 2004 (S.I. 2004/3219), **reg. 16(4)**
- C10 Act modified (14.1.2005) by The Financial Services and Markets Act 2000 (Transitional Provisions) (General Insurance Intermediaries) Order 2004 (S.I. 2004/3351), arts. 1(2)(b), 5, **Sch. para. 5**
- C11 Act restricted (E.W.) (1.3.2007) by National Health Service Act 2006 (c. 41), **ss. 71(8), 277**
- C12 Act modified (6.4.2007) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2006 (S.I. 2006/1969), arts. 1(3), 7, **Sch. para. 5**
- C13 Act modified (30.6.2008 for certain purposes and 1.1.2009 otherwise) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) (No. 2) Order 2007 (S.I. 2007/3510), arts. 1(2), **8(1)(2), 9**
- C14 Act modified (1.7.2009 for certain purposes and 30.6.2010 otherwise) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2009 (S.I. 2009/1342), arts. 1(2), 34, Sch. paras. 4, **5**
- C15 Act excluded (27.3.2012 for specified purposes) by Health and Social Care Act 2012 (c. 7), **ss. 134(5), 306(1)(d)(4)**
- C16 Act excluded by 2008 c. 10, s. 8(1A) (as inserted (26.7.2013 for specified purposes, 1.4.2014 in so far as not already in force) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) (No.2) Order 2013 (S.I. 2013/1881), art. 1(2)(6), **Sch. para. 14(a)**)
- C17 Act modified by 1990 c. 41, s. 107(17) (as inserted (26.7.2013 for specified purposes, 1.4.2014 in so far as not already in force) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) (No.2) Order 2013 (S.I. 2013/1881), art. 1(2)(6), **Sch. para. 2(3)(b)**)
- C18 Act applied (with modifications) (temp. until 3.1.2018) by S.I. 2011/2699, reg. 6, Sch. 1 (as substituted (3.7.2016) by The Financial Services and Markets Act 2000 (Market Abuse) Regulations 2016 (S.I. 2016/680), regs. 1, **21(3)**)
- C19 Act applied (with modifications) (8.12.2017) by The Risk Transformation Regulations 2017 (S.I. 2017/1212), **reg. 15(4)** (with reg. 189)
- C20 Act applied (with modifications) (8.12.2017) by The Risk Transformation Regulations 2017 (S.I. 2017/1212), **reg. 16(2)(3)** (with reg. 189)
- C21 Act modified (E.W.S.) (10.7.2018) by Financial Guidance and Claims Act 2018 (c. 10), **s. 31**
- C22 Act: power to amend conferred (29.8.2023) by Financial Services and Markets Act 2023 (c. 29), **ss. 67(2), 86(3)**; S.I. 2023/779, reg. 4(ss)
- C23 Act: power to amend or repeal conferred (29.8.2023) by Financial Services and Markets Act 2023 (c. 29), **ss. 15, 17, 86(3)**; S.I. 2023/779, **reg. 4(j)(i)**
- C24 Act: power to apply (with modifications) conferred (29.8.2023) by Financial Services and Markets Act 2023 (c. 29), s. 86(3), **Sch. 11 para. 165**; S.I. 2023/779, **reg. 4(ddd)(xiv)**
- C25 Act: power to modify conferred (29.8.2023) by Financial Services and Markets Act 2023 (c. 29), **ss. 13, 17, 86(3)**; S.I. 2023/779, reg. 4(h)(i)
- C26 Act modified (temp.) (8.1.2024) by The Financial Services and Markets Act 2023 (Digital Securities Sandbox) Regulations 2023 (S.I. 2023/1398), regs. 1(2), 6, **Sch. Pt. 3** (with reg. 13)
- C27 Act applied (with modifications) (30.6.2024) by The Insurance and Reinsurance Undertakings (Prudential Requirements) Regulations 2023 (S.I. 2023/1347), regs. 1(2), **8**

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