



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

### PART IV

#### PERMISSION TO CARRY ON REGULATED ACTIVITIES

##### *References to the Tribunal*

#### 55 Right to refer matters to the Tribunal.

- (1) An applicant who is aggrieved by the determination of an application made under this Part may refer the matter to the Tribunal.
- (2) An authorised person who is aggrieved by the exercise of the Authority's own-initiative power may refer the matter to the Tribunal.

#### **Modifications etc. (not altering text)**

- C1** S. 55 amended (*temp.* from 3.9.2001 to 1.12.2001) by S.I. 2001/2659, **arts. 1(2)**, 3(3); S.I. 2001/3538, **art. 2(1)**  
S. 55 modified (17.8.2001 for certain purposes otherwise 1.12.2001) by 1992 c. 40, **s. 85(4C)** (as inserted (17.8.2001 for certain purposes otherwise 1.12.2001) by S.I. 2001/2617, **arts. 2(b)**, 13(1), **Sch. 5 Pt. I para. 95** (with **art. 13(3)**, **Sch. 5**)); S.I. 2001/3538, **art. 2(1)**  
S. 55 modified (17.8.2001 for certain purposes otherwise 1.12.2001) by 1986 c. 53, **s. 93(6B)** (as substituted (17.8.2001 for certain purposes otherwise 1.12.2001) by S.I. 2001/2617, **arts. 2(b)**, 13(1), **Sch. 5 Pt. II para. 177(d)** (with **art. 13(3)**, **Sch. 5**)); S.I. 2001/3538, **art. 2(1)**  
S. 55 modified (*temp.* from 31.10.2001) by S.I. 2001/3374, **arts. 1**, 11  
S. 55 excluded (1.12.2001) by S.I. 2001/3592, **arts. 1(2)**, 61(1), 110(1), 115(1), 122(1), 129 (with **art. 23(2)**)
- C2** Ss. 52, 53, 54, 55 modified (*temp.*) (8.4.2002) by The Financial Services and Markets Act 2000 (Permission and Applications) (Credit Unions etc.) Order 2002 (S.I. 2002/704), **art. 7**
- C3** S. 55 modified (1.7.2009 for certain purposes, otherwise 30.6.2010) by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2009 (S.I. 2009/1342), **arts. 1(2)**, 34, {Sch. paras. 1, 3}

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**Status:** Point in time view as at 13/02/2004.

**Changes to legislation:** Financial Services and Markets Act 2000, Cross Heading: References to the Tribunal is up to date with all changes known to be in force on or before 01 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

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- C4** S. 55 applied (with modifications) (31.12.2011) by The Financial Services and Markets Act 2000 (Permissions, Transitional Provisions and Consequential Amendments) (Northern Ireland Credit Unions) Order 2011 (S.I. 2011/2832), **art. 8**
- C5** S. 55(1) applied (27.4.2002) by The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 (S.I. 2001/544), art. 9D(c) (as inserted by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2002 (S.I. 2002/682), arts. 1(2), **4**)
- C6** S. 55(2) applied (with modifications) (27.4.2002) by The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001 (S.I. 2001/544), art. 9E(3) (as inserted by The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2002 (S.I. 2002/682), arts. 1(2), **4**)

**Status:**

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