



Financial Services and Markets Act 2000

2000 CHAPTER 8

^{F1}PART X

RULES AND GUIDANCE

CHAPTER I

RULE-MAKING POWERS

Textual Amendments

- F1** Pt. 9A substituted for ss. 138-164 (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), ss. **24(1)**, 122(3) (with Sch. 20); S.I. 2013/113, art. 2(1)(c), Sch. Pt. 3; S.I. 2013/423, art. 3, Sch.

138 **General rule-making power.**

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139 **Miscellaneous ancillary matters.**

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139A **General rules about remuneration**

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139B **Rules about recovery plans**

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Status: Point in time view as at 26/11/2015.

Changes to legislation: Financial Services and Markets Act 2000, Chapter I is up to date with all changes known to be in force on or before 12 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

139C Rules about resolution plans

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139D Sections 139B and 139C: interpretation

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139E Rules about recovery and resolution plans: supplementary provision

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139F Special provision in relation to resolution plans

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140 Restriction on managers of certain collective investment schemes.

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141 Insurance business rules.

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142 Insurance business: regulations supplementing Authority’s rules.

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143 Endorsement of codes etc.

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Specific rules

144 Price stabilising rules.

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145 Financial promotion rules.

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146 Money laundering rules.

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147 Control of information rules.

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Modification or waiver

148 Modification or waiver of rules.

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Contravention of rules

149 Evidential provisions.

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150 Actions for damages.

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151 Limits on effect of contravening rules.

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Procedural provisions

152 Notification of rules to the Treasury.

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153 Rule-making instruments.

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154 Verification of rules.

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155 Consultation.

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156 General supplementary powers.

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Status:

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