



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

### PART VI

#### OFFICIAL LISTING

##### *Miscellaneous*

#### **103 Interpretation of this Part.**

(1) In this Part—

“application” means an application made under section 75;

“issuer”, in relation to anything which is or may be admitted to the official list, has such meaning as may be prescribed by the Treasury;

“listing” has the meaning given in section 74(5);

“listing particulars” has the meaning given in section 79(2);

“listing rules” has the meaning given in section 74(4);

“new securities” has the meaning given in section 84(2);

“the official list” means the list maintained as the official list by the Authority immediately before the coming into force of section 74, as that list has effect for the time being;

“security” (except in section 74(2)) has the meaning given in section 74(5).

(2) In relation to any function conferred on the competent authority by this Part, any reference in this Part to the competent authority is to be read as a reference to the person by whom that function is for the time being exercisable.

(3) If, as a result of an order under Schedule 8, different functions conferred on the competent authority by this Part are exercisable by different persons, the powers conferred by section 91 are exercisable by such person as may be determined in accordance with the provisions of the order.

(4) For the purposes of this Part, a person offers securities if, and only if, as principal—

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*Status: Point in time view as at 25/02/2001. This version of this provision has been superseded.*

**Changes to legislation:** *Financial Services and Markets Act 2000, Section 103 is up to date with all changes known to be in force on or before 12 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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- (a) he makes an offer which, if accepted, would give rise to a contract for their issue or sale by him or by another person with whom he has made arrangements for their issue or sale; or
  - (b) he invites a person to make such an offer.
- (5) “Offer” and “offeror” are to be read accordingly.
- (6) For the purposes of this Part, the question whether a person offers securities to the public in the United Kingdom is to be determined in accordance with Schedule 11.
- (7) For the purposes of subsection (4) “sale” includes any disposal for valuable consideration.

**Status:**

Point in time view as at 25/02/2001. This version of this provision has been superseded.

**Changes to legislation:**

Financial Services and Markets Act 2000, Section 103 is up to date with all changes known to be in force on or before 12 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.