



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

### PART XII

#### CONTROL OVER AUTHORISED PERSONS

##### *[<sup>F1</sup>Assessment procedure]*

#### <sup>X1</sup>190 Requests for further information

- (1) The Authority may, no later than the 50th working day of the assessment period, in writing ask the section 178 notice-giver to provide any further information necessary to complete its assessment.
- (2) On the first occasion that the Authority asks for further information, the assessment period is interrupted from the date of the request until the date the Authority receives the requested information (“the interruption period”).
- (3) But the interruption period may not exceed 20 working days, unless subsection (4) applies.
- (4) The interruption period may not exceed 30 working days if the notice-giver—
  - (a) is situated or regulated outside the European Community; or
  - (b) is not subject to supervision under—
    - (i) the UCITS directive;
    - (ii) the insurance directives;
    - (iii) the markets in financial instruments directive;
    - (iv) the reinsurance directive; or
    - (v) the banking consolidation directive.
- (5) The Authority may make further requests for information (but a further request does not result in a further interruption of the assessment period).
- (6) The Authority must acknowledge in writing receipt of further information before the end of the second working day following receipt.

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*Status: Point in time view as at 21/03/2009. This version of this provision has been superseded.*

**Changes to legislation:** *Financial Services and Markets Act 2000, Section 190 is up to date with all changes known to be in force on or before 12 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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#### **Editorial Information**

- X1** The substitution of ss. 178-191G for ss. 178-191 on 21.3.2009 which involves the insertion of several new headings in Pt. XII gives rise to a change in the structure of this legislation on SLD which breaks the continuity of historical versions of the existing provisions which are now brought under those new headings.

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Point in time view as at 21/03/2009. This version of this provision has been superseded.

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